



DOCKET #17-2450-GA-CRS

March 13, 2024

VIA ONLINE E-FILING

Public Utilities Commission of Ohio
180 East Broad Street
Columbus OH 43215-3793
Attn: Docketing Division

Re: Renewal Application for Retail Generation Providers and Power Marketers - ENGIE Resources LLC
("ENGIE Resources")

In accordance with the Ohio Administrative Code Chapters 4901:1-27-09 and 4901:1-24 and Section 4928.08 of the Ohio Revised Code, and the Commission rules and regulations, renewal applications are to be filed between 60 and 30 days before expiration of the current certificate. ENGIE Resources natural gas renewal certificate expires April 22, 2024 (the "Renewal Application"). Therefore, ENGIE Resources hereby submits its 2024 Renewal Application as a Competitive Retail Natural Gas Supplier.

Please note that pursuant to Rule 4901:1-27-07 of the Administrative Code, Exhibit C-2. Financial Statements, together with Attachments C-2 and C-4, together with the Guaranty attached thereto are all being filed "CONFIDENTIALLY**" and "**FILED UNDER SEAL**" as these documents contain confidential and proprietary information.**

If you have any questions in connection with this filing, please contact either Naveen Rabie, Senior Counsel for ENGIE Resources, via email at Naveen.rabie@engie.com or myself at marsha.griffin@engie.com.

Regards,

A handwritten signature in blue ink that reads "Marsha F. Griffin".

Marsha Griffin
ENGIE Resources LLC
Senior Paralegal
1360 Post Oak Blvd., Suite 400
Houston, TX 77056
www.engieresources.com



**Public Utilities
Commission**

Competitive Retail Natural Gas Service
(CRNGS) Provider Application

Case Number: 17 -2450 -GA-CRS

Please complete all information. Identify all attachments with a label and title (example: Exhibit C-2 Financial Statements). For paper filing, you can mail the original and two complete copies to the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

A. Application Information

A-1. Provider Type.

Select the competitive retail natural gas service (CRNGS) provider type(s) for which the applicant is seeking certification. Please note you can select more than one.

Retail Natural Gas Aggregator

☐

Retail Natural Gas Broker

☐

Retail Natural Gas Marketer

☒

A-2. Applicant's legal name and contact information.

Provide the name and contact information of the business entity.

Legal Name: ENGIE Resources LLC
 Street Address: 1360 Post Oak Blvd., Suite 400
 City: Houston State: TX Zip: 77056
 Telephone: (713) 636-0000 Website: www.engieresources.com

A-3. Names and contact information under which the applicant will do business in Ohio.

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name: ENGIE Resources LLC
 Street Address: 1360 Post Oak Blvd., Suite 400
 City: Houston State: TX Zip: 77056
 Telephone: (866) 693-6443 Website: www.engieresources.com

A-4. Names under which the applicant does business in North America.

Provide all business names the applicant uses in North America. You do not need to include the names provided in A-2 and A-3.

Name(s): ENGIE Resources _____

A-5. Contact person for regulatory matters.

Name: Marsha Griffin Title: Senior Paralegal
 Street Address: 1360 Post Oak Blvd., Suite 400
 City: Houston State: TX Zip: 77056
 Telephone: (713) 636-1083 Email: marsha.griffin@engie.com

A-6. Contact person for PUCO Staff use in investigating consumer complaints.

Name: Naveen Rabie Title: Senior Counsel
 Street Address: 1360 Post Oak Blvd., Suite 400
 City: Houston State: TX Zip: 77056
 Telephone: (713) 636-1607 Email: naveen.rabie@engie.com

A-7. Applicant's address and toll-free number for customer service and complaints.

Street Address: 1360 Post Oak Blvd., Suite 400
 City: Houston State: TX Zip: 77056
 Toll-free Telephone: (866) 693-6443 Email: care@engieresources.com

A-8. Applicant's federal employer identification number.

FEIN: 76-0685946

A-9. Applicant's form of ownership (select one).

Sole Proprietorship ☐ Limited Liability Partnership (LLP) ☐ Corporation ☐ Partnership ☐

Limited Liability Company (LLC) ☒

Other: _____

A-10. Identify current or proposed service areas.

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection:

CenterPoint Energy Ohio ☒ Columbia Gas of Ohio ☒ Dominion Energy Ohio ☒ Duke Energy Ohio ☒

Class of customer selection:

Industrial



Residential



Small Commercial



Large Commercial



A-11. Start Date.

Indicate the approximate start date the applicant began/will begin offering services.

Date: _____

A-12. Principal officers, directors and partners.

Please provide an attachment for all contacts that should be listed as an officer, director or partner.

A-13. Company history.

Provide an attachment with a concise description of the applicant's company history and principal business interests.

A-14. Secretary of State.

Provide evidence that the applicant is currently registered with the Ohio Secretary of State.

A-15. Proof of Ohio office and employee.

Provide "Proof of an Ohio Office and Employee" in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address.

Name: N/A See Exhibit A-13 Title: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Telephone: _____ Email: _____

B. Managerial Capability

Provide a response or attachment for each of the sections below.

B-1. Jurisdiction of operations.

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application.

B-2. Experience and plans.

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections [4928.10](#) and/or [4929.22](#) of the Ohio Revised Code.

B-3. Disclosure of liabilities and investigations.

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

B-4. Disclosure of consumer protection violations.

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years? If yes, attach a document detailing the information.

Yes

☐

No

☒

B-5. Disclosure of certification denial, curtailment, suspension, or revocation.

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years? If yes, attach a document detailing the information.

Yes

☐

No

☒

C. Financial Capability

Provide a response or attachment for each of the sections below.

C-1. Financial reporting.

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or attach a copy of the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow

statement, the applicant may provide a copy of its two most recent years of tax returns with social security numbers and bank account numbers redacted.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

C-3. Forecasted financial statements.

Provide two years of forecasted income statements based solely on the applicant's anticipated business activities in the state of Ohio.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in business activities only in the state of Ohio for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

C-4. Credit rating.

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "Not Rated".

C-5. Credit report.

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. Bank/credit account numbers and highly sensitive identification information must be redacted. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select "This does not apply" and provide a response stating that a credit rating(s) was provided in response to C-4.

C-6. Bankruptcy information.

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy? If yes, attach a document detailing the information.

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

Yes

☐

No

☒

C-7. Merger information.

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months? If yes, attach a document detailing the information.

Yes

☐

No

☒

C-8. Corporate structure.

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

C-9. Financial arrangements.

This section is only applicable if retail natural gas marketer has been selected in A-1.

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.

2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

D. Technical Capability

Provide an attachment for each of the sections below.

D-1. Operations.


Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

Gas Marketers: Describe the operational nature of the applicant's business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

D-2. Operations expertise and key technical personnel.

Provide evidence of the applicant's experience and technical expertise in performing the operations described in this application. Include the names, titles, e-mail addresses, telephone numbers and background of key personnel involved in the operational aspects of the applicant's business. If vendors or third parties are or will be utilized for any activities listed in this application, provide the name and contact information for each and list which activities they will perform. Also indicate which activities will be performed directly by the company. Please note that this information is required to be updated within 30 days of any changes.

As authorized representative for the above company/organization, I certify that all the information contained in this application is true, accurate and complete. I also understand that failure to report completely and accurately may result in penalties or other legal actions.

DocuSigned by:

28736A34A8774A1...
Signature

03/12/24

Date

Senior Counsel

Title

Competitive Retail Natural Gas Service Affidavit

County of Harris :

State of Texas :

Naveen Rabie, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

~~to~~ Affiant further sayeth naught.

Naveen Rabie Counsel

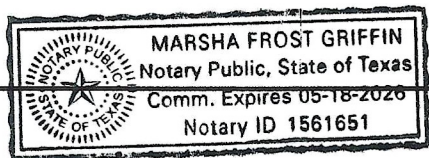
Signature of Affiant & Title

Sworn and subscribed before me this 12th day of March, 2024
Month Year

Marsha Frost Griffin
Signature of official administering oath

Marsha Frost Griffin

Print Name and Title



My commission expires on 05/18/2026

ENGIE RESOURCES LLC

2024 Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit A-12 - "Principal Officers, Directors & Partners"

Please provide an attachment for all contacts that should be listed as an officer, director or partner.

PRINCIPAL OFFICERS FOR ENGIE RESOURCES LLC

Officers having P&L and/or Operational Responsibility

The following officers have direct P&L and/or operating responsibility **solely to ENGIE Resources LLC**:

John "J.D." Burrows

Vice President, Customer Engagement
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-1390
F: 713-636-1601
Email: jd.burrows@engie.com

Jay Bell

Vice President, Sales
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-1716
Email: jay.bell@engie.com

Officers Having Shared Services Responsibility

The following officers are shared services functional leaders providing business functions for ENGIE Resources LLC and other affiliates.

Ray Cunningham

Vice President, General Counsel & Secretary
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-1980
Email: ray.cunningham@engie.com

Greg Leflar

Vice President, Customer Experience
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-1006
F: 713-636-1601
Email: greg.leflar@engie.com

Kevin Jordan

Vice President Controller, Finance Accounting & Tax
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-1477
Email: kevin.jordan@engie.com

Geoffrey Duda

Vice President, Business Performance
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-1174
Email: geoffrey.duda@engie.com

David Benhamou

Vice President, Head of Power Portfolio Risk Management
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-1624
Email: david.benhamou@engie.com

Corporate Officers

The following officers are corporate leaders who, by corporate policy, are included on all ENGIE entities. These officers do not have P&L, operating responsibility, or shared services functions.

Sayun Sukduang

President & CEO
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-0000
Email: sayun.sukduang@engie.com

Rachel W. Kilpatrick

Vice President & Assistant Secretary, Tax
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-0000
Email: Rachel.kilpatrick@engie.com

John Funk

Vice President, GEM & Supply-EEMNA Accounting Risk & Finance
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-1174
Email: john.funk@engie.com

ENGIE RESOURCES LLC

2024 Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit A-13 – “Company History”

Provide an attachment with a concise description of the applicant’s company history and principal business interests.

ENGIE Resources currently provides risk-managed electric energy supply to commercial and industrial customers as a licensed retail electric supplier in Ohio, licensed pursuant to Certificate No. 04-118, granted on July 25, 2004.

ENGIE Resources has been in business since 2001. ENGIE Resources is a licensed competitive retail electric supplier that serves approximately 25,000 customers and over 100,000 accounts in the United States in Connecticut, Delaware, District of Columbia, Illinois, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Texas and Virginia.

ENGIE Resources is licensed as a retail natural gas supplier in Ohio for commercial and industrial customers, licensed pursuant to Certificate No. 17-2450-GA-CRS, granted on April 2, 2018.

ENGIE Resources currently has no natural gas customers in OH and is not actively marketing in OH; however, ENGIE Resources wishes to continue to maintain its licensure in order to possibly serve customers in the natural gas industry in Ohio in the future.



ENGIE RESOURCES LLC

2024 Renewal Certification Application for Competitive Retail Natural Gas Suppliers

Exhibit A-14 – “Secretary of State”

Provide evidence that the applicant is currently registered with the Ohio Secretary of State.



CERTIFICATE OF GOOD STANDING

Business Name	Charter/Registration Number	Type	Original Filing Date	Status
ENGIE RESOURCES LLC	1432088	CONVERTED FOR. PROFIT LIM. LIAB. CO.	January 9, 2004	Active

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show ENGIE RESOURCES LLC, a Delaware For Profit Limited Liability Company, Registration Number 1432088, filed on January 9, 2004, is currently in FULL FORCE AND EFFECT upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 15th day of February, A.D. 2022.

A handwritten signature in blue ink, reading "Frank LaRose".

Ohio Secretary of State

Validation Number: 202003602360



ENGIE RESOURCES LLC

2024 Renewal Application for Competitive Retail Natural Gas Supplier

Exhibit B-1 – “Jurisdictions of Operations”

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric service as of the date of filing the application.

ENGIE Resources’ natural gas supplier jurisdictions are as follows:

STATE OF LICENSE	LICENSE NO.	LICENSE ISSUE DATE
<i>Illinois</i>	<i>Certificate No. 18-0365-EL</i>	<i>03/21/2018</i>
<i>New Jersey</i>	<i>License #GSL-0207</i>	<i>03/13/2019</i>
<i>New York</i>	<i>ESCO Code: SUEZ</i>	<i>03/19/2018</i>
<i>Ohio</i>	<i>Certificate No. 17-2450-GA</i>	<i>03/29/2018</i>
<i>Pennsylvania</i>	<i>Docket No. A-2018-3002116</i>	<i>10/04/2018</i>
<i>Virginia</i>	<i>License #G-52</i>	<i>12/14/2018</i>

ENGIE Resources’ electric supplier jurisdictions are as follows:

STATE OF LICENSE	LICENSE NO.	LICENSE ISSUE DATE
<i>Connecticut</i>	<i>Docket #04-06-11</i>	<i>09/29/2004</i>
<i>Delaware</i>	<i>Docket #04-325</i>	<i>11/23/2004</i>
<i>District of Columbia</i>	<i>Order # 13472</i>	<i>01/05/2005</i>
<i>Illinois</i>	<i>ICC Cert. No. 17-0163</i>	<i>05/03/2017</i>
<i>Maine</i>	<i>Docket #2003-120</i>	<i>03/14/2003</i>
<i>Maryland</i>	<i>License #IR-605</i>	<i>03/21/2004</i>
<i>Massachusetts</i>	<i>License # CS-037</i>	<i>05/15/2002</i>
<i>New Hampshire</i>	<i>Docket #DM 15-468</i>	<i>12/11/2015</i>
<i>New Jersey</i>	<i>License # ESL-0061</i>	<i>08/06/2003</i>
<i>New York</i>	<i>ESCO Code: SUEZ</i>	<i>09/13/2004</i>
<i>Ohio</i>	<i>Certificate #04-118</i>	<i>08/10/2004</i>
<i>Pennsylvania</i>	<i>License No. A-11015</i>	<i>07/18/2002</i>
<i>Rhode Island</i>	<i>Docket #D-96-6(P2)</i>	<i>10/25/2004</i>
<i>Texas</i>	<i>License # 10053</i>	<i>08/05/2003</i>

ENGIE Power & Gas, LLC (“EP&G”), a wholly-owned subsidiary of ENGIE Resources’, natural gas supplier jurisdictions are as follows:

STATE OF LICENSE	LICENSE NO.	LICENSE ISSUE DATE
<i>District of Columbia</i>	<i>Order #19757</i>	<i>12/03/2018</i>
<i>Illinois</i>	<i>ICC Cert. No. 19-0805</i>	<i>10/03/2019</i>
<i>Maryland</i>	<i>License #IR-2705</i>	<i>08/01/2012</i>
<i>Massachusetts</i>	<i>License # GS-069</i>	<i>09/15/2019</i>
<i>New Hampshire</i>	<i>Business ID: 847940</i>	<i>08/03/2020</i>

STATE OF LICENSE	LICENSE NO.	LICENSE ISSUE DATE
New Jersey	GSL-0220	03/24/2021
New York	ESCO Code:	09/02/2004
Ohio	Certificate #12-256G	07/08/2020
Pennsylvania	License No. A-2013-2384046	09/02/2020
Rhode Island	Docket #2379(04)	03/12/2021

EP&G's electric supplier jurisdictions are as follows:

STATE OF LICENSE	LICENSE NO.	LICENSE ISSUE DATE
District of Columbia	Order #19416	07/23/2018
Illinois	ICC Cert. No. 12-0252	05/02/2012
Maryland	License #IR-2518	04/11/2012
Massachusetts	License # CS-114	09/07/2018
New Jersey	License #ESL-0250	03/24/2021
New York	ESCO Code:	09/02/2004
Ohio	Certificate #12-480E	03/17/2022
Pennsylvania	License No. A-2011-2264916	10/16/2020



ENGIE RESOURCES LLC

2024 Renewal Application for Competitive Retail Natural Gas Supplier

Exhibit B-2 – “Experience & Plans”

Describe the applicant’s experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

See Exhibit A-13 attached hereto.



ENGIE RESOURCES LLC

2022 Renewal Application for Competitive Retail Natural Gas Supplier

Exhibit B-3 – “Disclosure of Liabilities and Investigations”

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

Applicant does not currently have any liabilities or investigations to disclose which could adversely impact its financial or operational ability to should it decide to serve in the future as a natural gas supplier in the State of Ohio.



ENGIE RESOURCES LLC

2024 Renewal Application for Competitive Retail Natural Gas Supplier

Exhibit C-1 – “Financial Reporting”

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or attach a copy of the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Neither ENGIE Resources nor its parent company are required to file reports with the SEC because ENGIE Holdings Inc. is a privately-held corporation.

ENGIE RESOURCES LLC

2024 Renewal Application for Competitive Retail Natural Gas Supplier

Exhibit C-2 – “Financial Statements”

Provide copies of the applicant’s two (2) most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two (2) most recent years of tax returns with social security numbers and account numbers redacted.

If the applicant is unable to meet the requirement for two (2) years of financial statements, the Staff review may request additional financial information.

THIS EXHIBIT CONTAINS CONFIDENTIAL AND PROPRIETARY INFORMATION AND IS BEING SUBMITTED UNDER SEAL.

*ENGIE Resources is a wholly-owned subsidiary of ENGIE Holdings Inc. (“EHI”). ENGIE Resources’ most recent fiscal year audited financials are consolidated within its parent company, EHI (hereinafter collectively, the “Group”). The Group’s 2022 Financial Statements are attached hereto as **Attachment 1**. 2023 financials are not available as yet. Therefore, attached hereto as **Attachment 2** is an officer certified unaudited balance sheet and income statement for CY2023.*



ENGIE RESOURCES LLC

2024 Renewal Application for Competitive Retail Natural Gas Supplier

Exhibit C-2 – “Financial Statements”

ATTACHMENT 1

ENGIE GROUP 2024 ANNUAL CONSOLIDATED FINANCIALS

THIS EXHIBIT CONTAINS CONFIDENTIAL AND PROPRIETARY INFORMATION AND IS BEING SUBMITTED UNDER SEAL.



ENGIE RESOURCES LLC

2024 Renewal Application for Competitive Retail Natural Gas Supplier

Exhibit C-2 – “Financial Statements”

ATTACHMENT 2

ENGIE CERTIFIED DIRECTOR AFFIDAVID & UNAUDITED FINANCIALS

THIS EXHIBIT CONTAINS CONFIDENTIAL AND PROPRIETARY INFORMATION AND IS BEING SUBMITTED UNDER SEAL.

ENGIE RESOURCES LLC

2024 Renewal Application for Competitive Retail Natural Gas Supplier

Exhibit C-3 – “Forecasted Financial Statements”

Provide two (2) years of forecasted income statements based solely on the applicant’s anticipated business activities in the state of Ohio.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of the filing of this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in business activities only in the state of Ohio for those periods.

ENGIE Resources currently has no natural gas customers in OH and is not actively marketing in OH; however, ENGIE Resources wishes to continue to maintain its licensure in order to possibly serve customers in the natural gas industry in Ohio in the future. Therefore, no forecasted financials are available.



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Exhibit C-4 – “Credit Rating”

Provide a credit opinion disclosing the applicant’s credit rating as reported by at least one of the following ratings agencies: Moody’s Investors Service, Standard & Poor’s Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “Not Rated”.

THIS EXHIBIT CONTAINS CONFIDENTIAL AND PROPRIETARY INFORMATION AND IS BEING SUBMITTED UNDER SEAL.

*See ENGIE Resources’ D&B report, together with ENGIE Resources’ ultimate parent, ENGIE Holdings Inc.’s, corporate Guaranty attached hereto as **Attachment C-4**.*



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Exhibit C-5 – “Credit Report”

Provide a copy of the applicant’s credit report from Experian, Equifax, TransUnion, Dun & Bradstreet (“D&B”) or a similar credit reporting organization. If the applicants is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. Bank/credit account numbers and highly sensitive identification information must be redacted. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select “This does not apply” and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

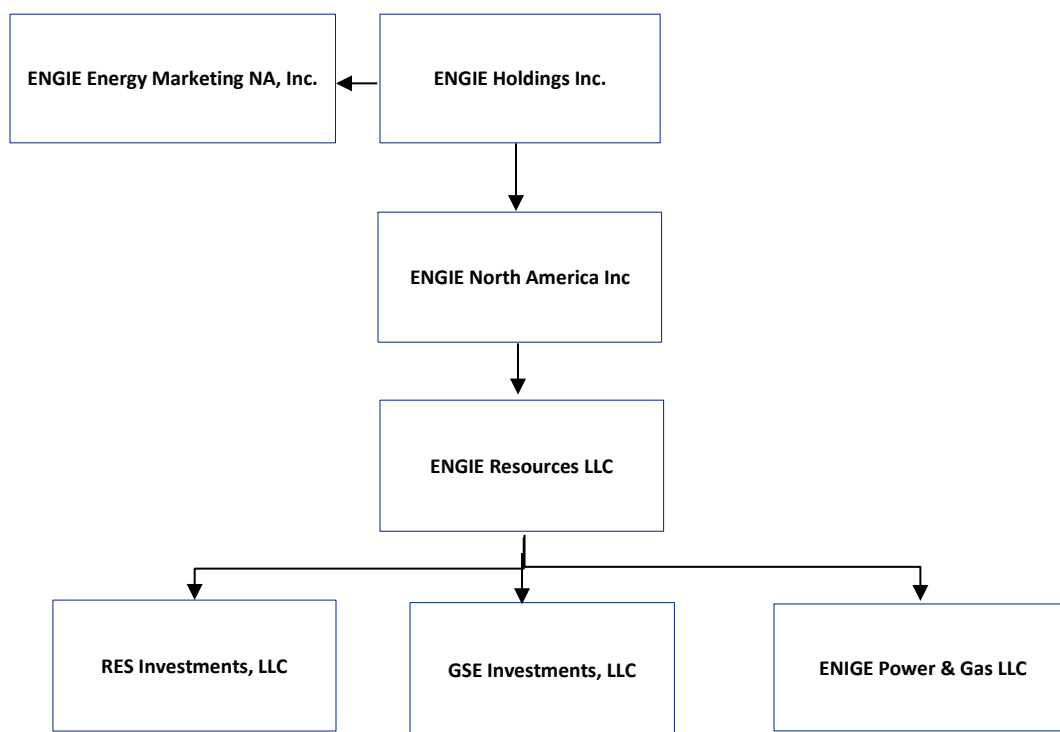
See ENGIE Resources’ D&B report attached under Attachment C-4 hereto.

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Exhibit C-8 – “Corporate Structure”

Provide a graphical depiction of the applicant’s corporate structure. Do not provide an internal organization chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.



ENGIE Resources LLC	Supplies Commercial & Industrial Retail Electricity & Natural Gas
ENGIE Power & Gas, LLC	Supplies Retail Electricity & Natural Gas
ENGIE Energy Marketing NA, Inc.	Supplies Wholesale Electricity



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Exhibit C-9 – “Financial Arrangements”

This section is only applicable if power marketer or retail electric generation provider has been selected in A-1.

Provide copies of the applicant’s financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements. The statement or letter must be on the utility’s letterhead and dated within a 30-day period of the date the applicant files its renewal application.

*ENGIE Resources is a wholly-owned subsidiary of ENGIE Holdings Inc. and guarantees ENGIE Resources’ financial obligations as shown by the Guaranty attached under **Attachment C-4**.*

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Exhibit D-1 – “Operations”

Describe the operational nature of the applicant’s business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

ENGIE Resources’ operations include the retail power supply and other retail ancillary services used to arrange for the purchase and delivery of retail electricity to retail commercial and industrial customers and small retail customers. ENGIE Resources does not generate power and does not own any generation facilities. ENGIE Resources, in conjunction with its agents and consultants, performs all functions of a competitive natural gas supplier, including the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of ancillary services as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

ENGIE Resources is a licensed, active electric supplier in the retail marketplace in Connecticut, Delaware, District of Columbia, Illinois, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Texas and Virginia, and serves over 22,000 customers and 100,000 accounts in the United States. ENGIE Resources has access to shared services, support and oversight for day-to-day technical, managerial and operational activities, including risk management; legal counsel services, contract administration services; accounting and finance services; general management services; transaction related services, power supply procurement and portfolio management services; hedging of load requirements and supply resources; logistics management and coordination activities; compliance with ISO and state regulatory directives; wholesale counterparty credit administration; and the maintenance of all systems necessary to coordinate with the ISO and/or local utility.

ENGIE Resources has decades of experience in the energy industry. ENGIE Resources believes that its continued proven record of compliant performance substantially demonstrates that ENGIE Resources maintains the managerial resources and abilities to match the services it provides to its customers, and that ENGIE Resources continues to have the ability and commitment to comply with all NGS standard and procedures, and the rules and regulations of ISP, the local utilities, and the Ohio Revised Code.

ENGIE Resources continues to maintain an internal staff as follows: (i) operations and customer care staff to manage the day-to-day management of the Houston account (outsourced billing and customer care provider) and direct contact, as needed, with customers for customer care and billing matters; (ii) supply group to manage pricing analytics and portfolio management; (iii) a marketing team to manage all marketing functions including strategic pricing, market planning, go-to-market strategies, new customer acquisition, and campaign management and analysis; (iv) an IT (information technology) team to manage the internal systems, databases, technical support, customer-facing applicants, back-office applicants, and system integration; (v) a business control team to manage all financial control matters including, billing, revenue, accounting, and settlements; and (vi) an exclusive sales channel to manage all direct and indirect sales channels and third-party relationships. ENGIE Resources continues to offer e-services and after-hour services for 24/7 availability to customers.

ENGIE Resources contracts with Energy Services Group (“ESG”) for support of EDI, XML and other data translation, transmission, auditing, archiving, business rule validation, exception identification and resolution, transaction management services, market portal, and data exchange.



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Exhibit D-2 – “Operations Expertise & Key Technical Personnel”

Provide evidence of the applicant’s experience and technical expertise in performing the operations described in this application. Include the names, titles, email addresses, telephone numbers and background of key personnel involved in the operational aspects of the applicant’s business.

See Exhibit B-2 and below:

Sayun Sukduang

President & CEO

1360 Post Oak Blvd, Suite 400

Houston, TX 77056

T: 713-636-0000

Sayun Sukduang is the President and CEO of ENGIE Resources LLC (“ENGIE”) serving small commercial, commercial and industrial customers, who in turn is a subsidiary of ENGIE North America, Inc. (“ENA”). ENGIE is active in the development, acquisition, and operation of electricity and cogeneration facilities, the marketing and sales of products and services, and the import and distribution of natural gas and LNG. Mr. Sukduang joined ENA in 2000 and has held executive level positions in Mergers and Acquisitions, Energy Infrastructure Development, Commodity Trading, Operations and Engineering. Mr. Sukduang has over 22 years of experience in the energy industry and serves as a board member of C2C Power, one of Canada’s largest renewable energy companies, and TagSur Pipelines, a mid-stream pipeline company in Mexico. Mr. Sukduang holds a Bachelors of Science in Mechanical Engineering and a Masters of Business Administration.

Ray Cunningham

Vice President, General Counsel & Secretary

1360 Post Oak Blvd, Suite 400

Houston, TX 77056

T: 713-636-1980

Email: ray.cunningham@engie.com

Mr. Cunningham joined ENGIE in October 2012 as its Vice President & General Counsel where he is responsible for government affairs, regulatory compliance, corporate transactions, litigation, risk management, and all legal matters impacting the retail business. Mr. Cunningham has over 27 years of experience in the energy industry and was most recently Vice President & Assistant General Counsel of the wholesale gas and power business unit of ENA. Mr. Cunningham is a graduate of Texas Tech University and South Texas College of Law.

J.D. Burrows

Vice President, Customer Engagement

1360 Post Oak Blvd, Suite 400

Houston, TX 77056

T: 713-636-1390

Email: jd.burrows@engie.com

Mr. Burrows is Vice President of Customer Engagement. He rejoined ENGIE in April 2013 as its Vice President of Marketing where he is responsible for lead generation, brand positioning, market analytics and pricing strategies. Prior to rejoining ENGIE, Mr. Burrows worked as the Vice President of Origination & Procurement for the wholesale gas and power business unit of ENA where he was responsible for wholesale origination of structured energy products; mid-marketing of energy, capacity, RECs; and procurement of natural gas, fuel oil, coal, transportation and rail capacity; and prior to that he was the Vice President of Strategy & Marketing for ENA. Before joining ENGIE, Mr. Burrows held positions with Enron in structuring and risk management, and with Dynegy in Northeast market development. He started his career in energy with the Public Utility Commission of Texas, where he served as a witness

Kevin Jordan

*Vice President, Controller
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-1477
Email: kevin.jordan@engie.com*

Mr. Jordan rejoined ENGIE in April 2019 and is responsible for accounting, financial reporting, tax compliance, business development support, internal controls compliance, and enterprise risk management for ENGIE's North America's operations. Mr. Jordan has over 23 years of experience downstream energy and chemical markets including experience in commodity trading and renewable energy companies. Before rejoining ENGIE, Mr. Jordan held numerous financial and risk control leadership roles at various companies including Enron, Constellation New Energy, Air Liquide, and Renewable Biofuels. Mr. Jordan began his career in accounting standard setting at the Financial Accounting Standards Board and is licensed as a Certified Public Accountant in the state of Texas. He holds a Bachelor of Business Administration in accounting and a Master of Science in accounting with an emphasis in information systems from Texas A&M University.

Greg Leflar

*Vice President, Customer Experience
1360 Post Oak Blvd, Suite 400
Houston, TX 77056
T: 713-636-1006
Email: greg.leflar@engie.com*

*Mr. Leflar joined ENGIE July 2017 as then Vice President of Operations. Mr. Leflar is responsible for sales support, transaction management, contract validation, process and systems management, and information technology. Mr. Leflar has over 20 years of experience working with companies in a variety of industries to bring about transformative change in the areas of organization effectiveness, culture, and the adoption of emerging technology. Prior to joining ENGIE, Mr. Leflar served as an officer of **Pariveda Solutions** responsible for the firm's largest clients in the retail electric energy industry and served in a leadership role over operations in the consulting firm with responsibility over business planning, financial performance, and marketing activities. As a management consultant, Mr. Leflar partnered with executives to devise strategies around business agility, organizational structure, and team culture. At Continental Airlines, Mr. Leflar led the adoption of mobile devices in the aircraft maintenance organization and the digitization of many of their processes and procedures. In his lengthy career as an IT consultant, Mr. Leflar worked with companies in upstream oil and gas, midstream, and healthcare industries to envision and implement leading-edge technology solutions. Mr. Leflar is a subject matter expert in agile methods, cloud computing, advanced analytics, mobility, and enterprise*

architecture. Mr. Leflar has published papers and spoken at conferences on the API economy, enterprise cloud adoption, and the integration of big data and machine learning into business operations. Mr. Leflar is a graduate of Baylor University.

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Summary: Report 2024 Renewal Application for Retail Generation Providers and
Power Marketers electronically filed by Marsha Griffin on behalf of Naveen Rabie.