



Please complete all information. Identify all attachments with a label and title (example: Exhibit C-2 Financial Statements). For paper filing, you can mail the original and two complete copies to the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

A. Application Information

A-1. Provider Type.

Select the competitive retail natural gas service (CRNGS) provider type(s) for which the applicant is seeking certification. Please note you can select more than one.

Retail Natural Gas Aggregator

☒

Retail Natural Gas Broker

☒

Retail Natural Gas Marketer

☐

A-2. Applicant's legal name and contact information.

Provide the name and contact information of the business entity.

Legal Name: OE Retail Services LLC
Street Address: 12385 Township Road 215
City: Findlay State: Ohio Zip: 45840
Telephone: 877.298.5853 Website: oedata.com

A-3. Names and contact information under which the applicant will do business in Ohio.

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name: OE Retail Services LLC d/b/a One Energy Analytics
Street Address: 12385 Township Road 215
City: Findlay State: Ohio Zip: 45840
Telephone: 877.298.5853 Website: oedata.com

A-4. Names under which the applicant does business in North America.

Provide all business names the applicant uses in North America. You do not need to include the names provided in A-2 and A-3.

Name(s): _____

A-5. Contact person for regulatory matters.

Name: James D. Dunn Title: Senior Counsel
Street Address: 12385 Township Road 215
City: Findlay State: Ohio Zip: 45840
Telephone: 419.379.8552 Email: jdunn@oneenergyllc.com

A-6. Contact person for PUCO Staff use in investigating consumer complaints.

Name: James D. Dunn Title: Senior Counsel
Street Address: 12385 Township Road 215
City: Findlay State: Ohio Zip: 45840
Telephone: 419.379.8552 Email: jdunn@oneenergyllc.com

A-7. Applicant's address and toll-free number for customer service and complaints.

Street Address: 12385 Township Road 215
City: Findlay State: Ohio Zip: 45840
Toll-free Telephone: 877.298.5853 Email: oeretail@oneenergyllc.com

A-8. Applicant's federal employer identification number.

FEIN: 833272737

A-9. Applicant's form of ownership (select one).

Sole Proprietorship ☐ Limited Liability Partnership (LLP) ☐ Corporation ☐ Partnership ☐

Limited Liability Company (LLC) ☒ Other: _____

A-10. Identify current or proposed service areas.

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection:

CenterPoint Energy Ohio ☒ Columbia Gas of Ohio ☒ Dominion Energy Ohio ☒ Duke Energy Ohio ☒

Class of customer selection:

Industrial



Residential



Small Commercial



Large Commercial

**A-11. Start Date.**

Indicate the approximate start date the applicant began/will begin offering services.

Date: 11/01/21

A-12. Principal officers, directors and partners.

Please provide an attachment for all contacts that should be listed as an officer, director or partner.

A-13. Company history.

Provide an attachment with a concise description of the applicant's company history and principal business interests.

A-14. Secretary of State.

Provide evidence that the applicant is currently registered with the Ohio Secretary of State.

A-15. Proof of Ohio office and employee.

Provide "Proof of an Ohio Office and Employee" in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address.

Name: Rich Bohon Title: VP, Head of Analytics
Street Address: 12385 Township Road 215
City: Findlay State: Ohio Zip: 45840
Telephone: 513.505.7733 Email: bohon@oneenergyllc.com

B. Managerial Capability

Provide a response or attachment for each of the sections below.

B-1. Jurisdiction of operations.

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application.

B-2. Experience and plans.

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections [4928.10](#) and/or [4929.22](#) of the Ohio Revised Code.

B-3. Disclosure of liabilities and investigations.

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

B-4. Disclosure of consumer protection violations.

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years? If yes, attach a document detailing the information.

Yes

☐

No

☒

B-5. Disclosure of certification denial, curtailment, suspension, or revocation.

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years? If yes, attach a document detailing the information.

Yes

☐

No

☒

C. Financial Capability

Provide a response or attachment for each of the sections below.

C-1. Financial reporting.

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or attach a copy of the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow

statement, the applicant may provide a copy of its two most recent years of tax returns with social security numbers and bank account numbers redacted.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

C-3. Forecasted financial statements.

Provide two years of forecasted income statements based solely on the applicant's anticipated business activities in the state of Ohio.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in business activities only in the state of Ohio for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

C-4. Credit rating.

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "Not Rated".

C-5. Credit report.

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. Bank/credit account numbers and highly sensitive identification information must be redacted. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select "This does not apply" and provide a response stating that a credit rating(s) was provided in response to C-4.

C-6. Bankruptcy information.

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy? If yes, attach a document detailing the information.

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

Yes

☐

No

☒

C-7. Merger information.

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months? If yes, attach a document detailing the information.

Yes

☐

No

☒

C-8. Corporate structure.

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

C-9. Financial arrangements.

This section is only applicable if retail natural gas marketer has been selected in A-1.

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.

2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

D. Technical Capability

Provide an attachment for each of the sections below.

D-1. Operations.

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

Gas Marketers: Describe the operational nature of the applicant's business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

D-2. Operations expertise and key technical personnel.

Provide evidence of the applicant's experience and technical expertise in performing the operations described in this application. Include the names, titles, e-mail addresses, telephone numbers and background of key personnel involved in the operational aspects of the applicant's business. If vendors or third parties are or will be utilized for any activities listed in this application, provide the name and contact information for each and list which activities they will perform. Also indicate which activities will be performed directly by the company. Please note that this information is required to be updated within 30 days of any changes.

As authorized representative for the above company/organization, I certify that all the information contained in this application is true, accurate and complete. I also understand that failure to report completely and accurately may result in penalties or other legal actions.

James B. Dunn

Signature

09/25/2023

Date

Senior Counsel

Title

Competitive Retail Natural Gas Service Affidavit

County of Hancock :

State of Ohio :

James D. Dunn

, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
10. Affiant further sayeth naught.

James D. Dunn
Signature of Affiant & Title

Sworn and subscribed before me this 25th day of September, 2023
Month Year

Megan S. Zemke
Signature of official administering oath

Megan S. Zemke
Print Name and Title



MEGAN S. ZEMKE
Notary Public, State of Ohio
My Commission Expires May 19, 2026

My commission expires on May 19, 2026



EXHIBIT A-12

PRINCIPAL OFFICERS, DIRECTORS AND PARTNERS

OE Retail Services LLC is a member-managed limited liability company and managed by One Energy Enterprises Inc., the sole member. OE Retail Services LLC has no direct officers or directors. One Energy Enterprises Inc. is a Delaware corporation. Jerome Kent is Chief Executive Officer of One Energy Enterprises Inc., and his address is 12385 Township Road 215, Findlay, OH 45840.



EXHIBIT A-13

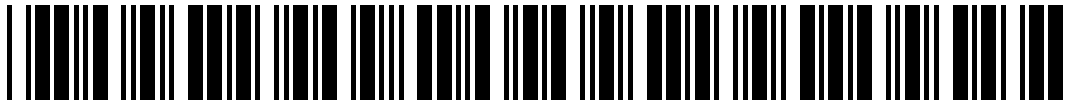
COMPANY HISTORY

OE Retail Services LLC was formed as a business entity on January 22, 2019, for the purpose of becoming a Competitive Retail Electric Service Provider (“CRES”) in Ohio. It received its CRES certificate (case 20-0654-EL-AGG) from the PUCO to provide aggregation and power broker services effective May 20, 2020, Certificate 20-1474E (1) (updated and effective June 14, 2021, Certificate 20-1474E).

OE Retail Services LLC started to provide brokerage services as a CRES in December of 2021, and received a renewal of its CRES certificate effective May 20, 2022, Certificate 20-1474E. It also received its Competitive Retail Natural Gas Service Provider (“CRNGS”) certificate (case 21-0963-GA-AGG) from the PUCO effective October 25, 2021, Certificate 21-102191G and began providing brokerage services as a CRNGS in December of 2021.



EXHIBIT A-14
SECRETARY OF STATE



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	CERT	COPY
01/23/2019	201902201424	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	99.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment.

ONE ENERGY
12385 TOWNSHIP RD 215
FINDLAY, OH 45840

**STATE OF OHIO
CERTIFICATE**

**Ohio Secretary of State, Frank LaRose
4283133**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

OE RETAIL SERVICES LLC

and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG
Effective Date: 01/22/2019

Document No(s):

201902201424



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
23rd day of January, A.D. 2019.

Ohio Secretary of State



EXHIBIT B-1

JURISDICTION OF OPERATIONS

The applicant, as of the date of filing the application, is a certified CRES, effective May 20, 2022, and is a certified CRNGS, effective October 25, 2021, in the State of Ohio. As such, the applicant is certified to provide retail electric and gas aggregation and broker services in the State of Ohio.



EXHIBIT B-2

EXPERIENCE AND PLANS

OE Retail Services LLC was formed as a business entity on January 22, 2019, for the purpose of becoming a CRES in Ohio. It received its CRES certificate (case 20-0654-EL-AGG) from the PUCO to provide aggregation and power broker services effective May 20, 2020, Certificate 20-1474E (1) (updated and effective June 14, 2021, Certificate 20-1474E).

OE Retail Services LLC started to provide brokerage services as a CRES in December of 2021, and received a renewal of its CRES certificate effective May 20, 2022, Certificate 20-1474E. It also received its CRNGS certificate (case 21-0963-GA-AGG) from the PUCO effective October 25, 2021, Certificate 21-102191G. Its principal business interest is providing brokerage and aggregation services to its customers as a CRES and CRNGS going forward.

One Energy Enterprises Inc. is the sole member of OE Retail Services LLC. Jereme Kent is Chief Executive Officer of One Energy Enterprises Inc. and has considerable experience in the electric industry. One Energy Enterprises Inc., as of the date of the filing of this application, installs, owns, and operates behind-the-meter wind turbines that are self-certified as qualifying facilities under the Public Utility Regulatory Policies Act of 1978 ("PURPA"). These facilities, which total 40.5 MWs of installed facilities, power manufacturing and industrial facilities in the State of Ohio. One Energy has been offering these services to manufacturing and industrial users in the State of Ohio since 2011. As such, Mr. Kent has considerable experience in the electric industry. Additionally, One Energy Enterprises Inc. added an analytics team that has over 30 years of combined experience in the retail energy industry, including natural gas brokerage service experience (see Exhibit D-2).

James D. Dunn, Senior Counsel for One Energy Enterprises Inc., is responsible for handling customer inquiries and complaints for OE Retail Services LLC in accordance with the Commission's rules promulgated under R.C. 4928.10. Prior to joining One Energy Enterprises Inc., Mr. Dunn was an Associate Assistant Attorney General at the Ohio Attorney General's Office, General Counsel for an energy solutions company, and spent almost five years at the Public Utilities Commission of Ohio on staff and the commission level.



EXHIBIT B-3

DISCLOSURE OF LIABILITIES AND INVESTIGATIONS

There are no existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matters that could adversely impact the applicant's financial or operational status or its ability to provide the services it is seeking to provide.



EXHIBIT C-1 FINANCIAL REPORTING

Neither the applicant nor its parent company are required to file periodic reports with the SEC because neither company has any securities registered under section 12 or 15 of the Securities Exchange Act of 1934, as amended. Therefore, Exhibit C-1 does not apply.



EXHIBIT C-2
FINANCIAL STATEMENTS

EXHIBIT C-3

FORECASTED FINANCIAL STATEMENTS

OE Retail Services LLC - Natural Gas Services	1	2	3
ESTIMATED INCOME STATEMENT	2023E	2024E	2025E
Brokerage Fees	25,000	50,000	75,000
Less: Operating Expenses			
Payroll	50,000	55,000	60,000
Operating Expenses	5,000	5,000	5,000
Total Operating Expenses	55,000	60,000	65,000
Operating Profit	(30,000)	(10,000)	10,000
Net Income	(30,000)	(10,000)	10,000



EXHIBIT C-4 CREDIT RATING

Neither the applicant nor its parent has a credit rating. Therefore, Exhibit C-4 does not apply.



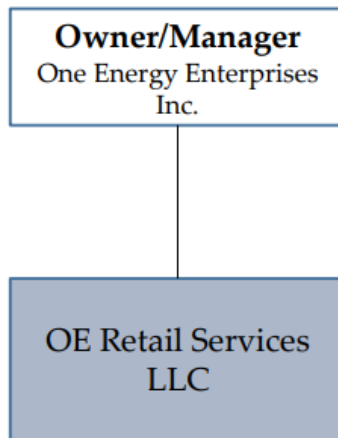
EXHIBIT C-5
CREDIT REPORT



EXHIBIT C-8 CORPORATE STRUCTURE

OE Retail Services LLC

Ownership Structure



* No affiliates or subsidiaries supply retail or wholesale electricity to customers in North America. OE Retail Services LLC does have affiliates that are qualifying facilities under PURPA that supply single customers with electricity behind-the-meter.



EXHIBIT C-9 FINANCIAL ARRANGEMENTS

Applicant is not taking title to the gas and is seeking to be recertified as an aggregator and broker. Therefore, Exhibit C-9 does not apply.



EXHIBIT D-1 OPERATIONS

OE Retail Services LLC's parent company and its affiliates (One Energy) have offered extensive electric services in Ohio for nearly a decade. One Energy added an analytics team in early 2021 that has over 30 years of combined experience in the retail energy industry, including natural gas generation brokerage experience. It utilizes this knowledge to provide Commission-regulated natural gas generation brokerage and aggregation services for the benefit of industrial, small commercial, and large commercial customers throughout the State of Ohio.



EXHIBIT D-2

OPERATIONS EXPERTISE AND KEY TECHNICAL PERSONNEL

RICH BOHON

VP, Head of Analytics

Rich leads a team of professional analysts and problem solvers who are responsible for the strategies and solutions that help our customers become better consumers of energy.

The One Energy Analytics team was originally assembled early in Ohio's deregulation history and has used customers' data coupled with consistent educational practices, proven modeling strategies, and frequent customer interaction to drive savings to our customers' utility expenses. The vast knowledge and experience of the team members provide the perfect balance between data analytics and business methodology. Prior to joining One Energy, Rich held positions with Lykins Energy Advisors, and Ford Motor Company, and owned his own service company. Rich holds a Master of Science in Mechanical Engineering from The Ohio State University College of Engineering where he specialized in fluid dynamics.

Rich has brokered Natural Gas and helped customers develop Natural Gas infrastructure for large use facilities since 2012.

SCOTT ERICKSON

Director of Analytics

As the team's Director of Analytics, Scott uses business discovery and interpretation of data analytics to communicate meaningful patterns in data to customers. He combines scientific technology with regulatory best practices so that optimal energy solutions are achieved. Prior to joining One Energy, Scott was the Strategic Solutions Manager for Lykins Energy Advisors, where he played a key role in establishing and managing the professional energy consulting and services firm. Scott holds a Bachelor of Arts in Economics & Business from the University of Cincinnati.

Scott has brokered Natural Gas in the state of Ohio since 2013.

JEFF WEDGEWORTH

Principal Energy Advisor

Jeff leads the One Energy Analytics team's data and modeling efforts, as well as a broad array of energy consulting projects.



Key to the project-oriented work Jeff provides for customers is a focus on customer service and transparency. He works as an analyst, problem solver, and educator who identifies primary energy issues for customers and creates elegant solutions in a timely fashion. He has consulted organizations in the commercial, industrial, and public sectors, providing strategies that manage customer risk and support their bottom line. Jeff's expertise in renewable energy and storage technologies and his analytical approach to their feasibility and development are greatly valuable.

Jeff first worked as an analyst with AEP Energy, modeling customer churn and renewable energy project feasibility. Before One Energy, Jeff led the analytics team at Lykins Energy Advisors. Jeff holds a Master of Environmental Science from Miami University Oxford and earned undergraduate degrees in Physics and Philosophy at Oberlin College.

Jeff has brokered Natural Gas in the state of Ohio since 2014.

**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

9/26/2023 3:09:08 PM

in

Case No(s). 21-0963-GA-AGG

Summary: Application for Recertification electronically filed by Mr. James Dunn on
behalf of OE Retail Services LLC.