

THE PUBLIC UTILITIES COMMISSION OF OHIO

IN THE MATTER OF THE ANNUAL
REPORTS FOR CALENDAR YEAR 2021 FOR
THE FISCAL ASSESSMENT OF ALL
REGULATED ENTITIES.

CASE NO. 22-01-AU-RPT

ENTRY

Entered in the Journal on June 29, 2023

{¶ 1} Pursuant to R.C. 4905.10, by Entry issued January 26, 2022, the Commission directed all regulated entities to file a 2021 annual report for fiscal assessment through the Commission's web-based filing system known as the PUCO Community by April 29, 2022. The Commission uses the annual report information to generate invoices that are then sent to the annual report filers on or before the first day of October consistent with R.C. 4905.10(B) to fund the operations of the Commission.

{¶ 2} On June 23, 2023, Rosenthal Energy Advisors, Inc. (REA) filed a motion for leave to late-file its electric aggregator annual report for calendar year 2021. REA is authorized to provide electric aggregator services pursuant to Certificate No. 19-1440E in Case No. 19-2010-EL-AGG. REA explains that it did not timely file the annual reports due to an administrative oversight. Consistent with the Commission's June 29, 2022, Finding and Order, which was effective July 29, 2022, Staff assessed a \$1,000 civil forfeiture against REA for failure to file its 2021 annual report. REA requests that the \$1,000 non-compliance penalty be waived upon receipt of said report. Upon approval of this motion and the unlocking of the 2021 annual report in the PUCO Community, REA seeks to submit promptly the 2021 annual report and remit payment as deemed necessary.

{¶ 3} The attorney examiner determines that REA's motion for leave to late-file its annual report for calendar year 2021 should be granted. The attorney examiner additionally finds that good cause does not exist to grant REA's request to waive the \$1,000 compliance fee. The January 26, 2022 Entry initially provided regulated entities instructions on filing an

annual report. Thereafter, the June 29, 2022 Finding and Order provided delinquent entities an opportunity to final-file their annual report, and assessed a civil forfeiture of \$1,000 against delinquent entities. As such, the attachment to the Finding and Order specifically identified REA as being delinquent. REA must now contact the Commission's Fiscal Division to make arrangements to submit its 2021 annual report for fiscal assessment and to pay any applicable fees.

{¶ 4} It is, therefore,

{¶ 5} ORDERED, That the motion filed by REA be granted and denied in part, in accordance with Paragraph 3. It is, further,

{¶ 6} ORDERED, That a copy of this Entry be served upon REA.

THE PUBLIC UTILITIES COMMISSION OF OHIO

/s/Isabel M. Marcelletti

By: Isabel M. Marcelletti
Attorney Examiner

NJW/CES/dr

**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

6/29/2023 10:25:00 AM

in

Case No(s). 22-0001-AU-RPT

Summary: Attorney Examiner Entry ordering that the motion filed by REA be granted and denied in part, in accordance with Paragraph 3 electronically filed by Debbie S. Ryan on behalf of Isabel M. Marcelletti, Attorney Examiner, Public Utilities Commission of Ohio.