

Mike DeWine, Governor Jenifer French, Chair

Daniel R. Conway Dennis P. Deters Lawrence K. Friedeman John D. Williams

May 1, 2023

To the Honorable Commission:

On April 28, 2023, Spectrum applied for reconsideration of a decision made by the Underground Technical Committee ("UTC") in UTC Case No. 00799404. The UTC determined Spectrum failed to comply with R.C. 3781.29(A)(1) which requires utilities to, within 48 hours of receiving notice, review the status of its facilities within an excavation site, to locate and mark its underground utility facilities at the excavation site in such a manner as to indicate their course and report the appropriate information to the protection service for its positive response system. Applications for reconsideration are described in R.C. 4913.25 and the process by which they are considered is described in the Ohio Administrative Code (OAC) 4901:1-2-15.

Staff conducted its inquiry into the alleged violation by Spectrum following the requirements of R.C. 4913.09 "Conduct of Inquiry" and R.C. 4913.13 "Reports of Inquiries". The UTC was provided with all information submitted by both Spectrum and the complainant Stat Communications obtained in response to Staff's inquiry.

All evidence used by the UTC to decide this case, a copy of the verdict letter documenting the UTC decision, and correspondence between Staff and Spectrum are included as attachments to this memorandum.

Respectfully submitted,

Peter A. Chace

Chief, Facility Operations & Field Division

Service Monitoring and Enforcement Department

Request for Reconsideration

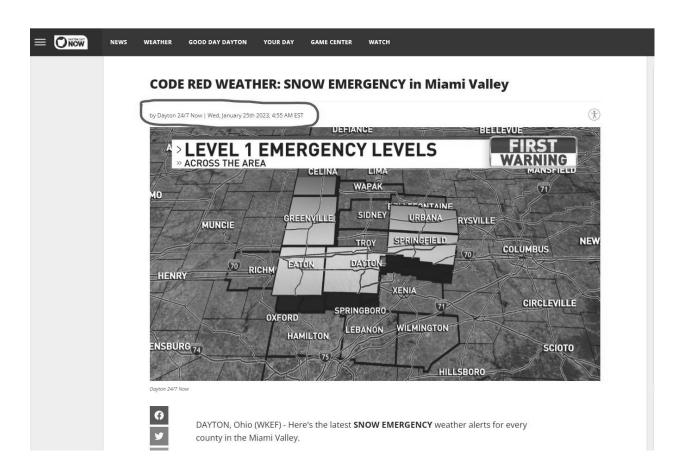
4/28/2023

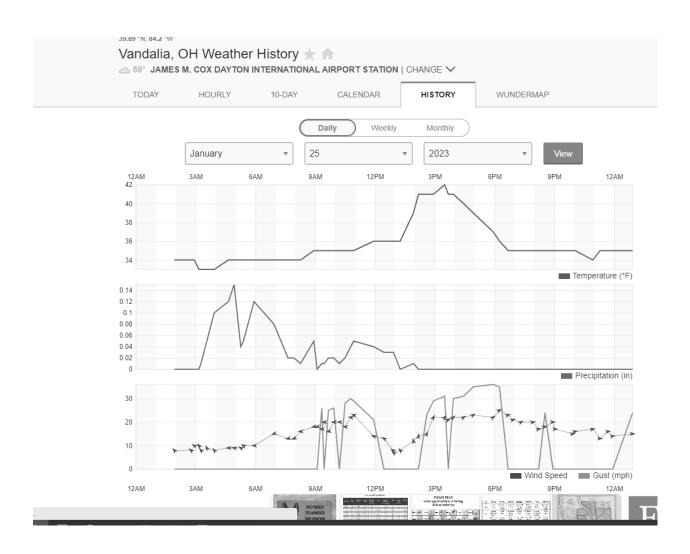
PUCO Case 00799404

I, Frank Ward, Construction Supervisor for Spectrum, do hereby officially request a reconsideration of Case 00799404. The reason given was due to adverse weather conditions. After further research, a level 1 Snow Emergency had been issued on the evening of Jan 24th. There were Highway Shutdowns, Ice Storm Pending, and many of the Dayton areas Municipalities, Schools and Govt Buildings were to be closed on the day of Jan 25th. Wright Patterson AFB was closed as well due to the weather, with 5 inches of snow and over a half inch of freezing rain. With this were strong wind gusts over 30 MPH. Please take this into consideration. I am including some snippets of news articles from the 24th and 25th which prove this.

The excavator had been contacted by USIC that marking would be delayed.

USIC has been taking steps to mark facilities on time and their locating technicians go through extensive training. On this occasion, USIC had made the determination that in order to keep their employees and equipment safe, did not roll trucks on this day. Contacted the excavators with dig tickets, per the ORC.





January 2023 Dayton Weather

Day	High (°F)	Low (°F)	Precip. (inches)	Snow (inches)
January 1	53	38	0.00	0.0
January 2	59	49	0.00	0.0
January 3	63	57	0.37	0.0
January 4	62	40	0.00	0.0
January 5	47	35	0.00	0.0
January 6	37	30	0.00	0.0
January 7	41	24	0.00	0.0
January 8	44	24	0.00	0.0
January 9	41	24	0.00	0.0
January 10	43	31	0.00	0.0
January 11	50	33	0.01	0.0
January 12	50	37	0.86	0.0
January 13	37	29	0.01	0.0
January 14	34	23	0.00	0.0
January 15	40	19	0.00	0.0
January 16	47	26	0.24	0.0
January 17	59	40	0.02	0.0
January 18	43	38	0.46	0.0
January 19	61	38	0.45	0.0
January 20	38	33	0.00	0.0
January 21	34	28	0.00	0.0
January 22	35	27	0.40	4.0
January 23	34	27	0.02	0.3
January 24	39	25	0.00	0.0
January 25	42	33	0.67	5.0
January 20	25	22	0.05	0.5

Jefferson Township Administrative Offices will be closed on Wednesday due to the weather. Staff will be working remotely and all emergency personnel will be on duty.

UPDATE: Due to inclement weather, all Sinclair Community College campuses will open at noon on Wednesday, January 25, 2023. Any course that starts at noon or later will meet as scheduled.

All online courses delivered through eLearn (including those with scheduled meeting times) will meet as usual. Additionally, students and faculty should arrive at their clinical site on time.

Students are encouraged to log into eLearn and check their email for any additional information or guidance from their faculty, and to contact them via email if they have any questions or concerns.

If possible, Sinclair Community College employees will work from home/remotely during the delay.

In Moraine, the Payne Rec Center and Gerhardt Civic Center will open at 10 a.m. on January 25.

REPORT OF INQUIRY

Case Number: 00799404 Date Reported: 2/3/2023 10:15:01 AM

COMPLAINANT
Thomas 'Tom' Donahue
SURESHOT DIRECTIONAL
BORING INC

RESPONDENT Frank Ward

Time Warner Cable Information Service (Ohio), LLC

Alleged Violations:					
3781.29(A)(1) - Incorrect/Improper Marks - Utility failed to locate and mark facilities within 48 hours					

Compliance History:

Add				
Date	Case Number	Violation(s)	Verdict Date	Verdict

Date of Alleged Violation 1/25/2023

Address of Alleged Violation

636 High Ave, Russells Point, Ohio Zip Code 43348

REPORT OF INQUIRY

Case Number: 00799404 Date Reported: 2/3/2023 10:15:01 AM

COMPLAINANT
Thomas 'Tom' Donahue
SURESHOT DIRECTIONAL
BORING INC

RESPONDENT Frank Ward

Time Warner Cable Information Service (Ohio), LLC

Positive Response was due at this location by Wednesday, January 25th 2023 by 1:38 pm. The location was not marked by Charter Comm until Thursday, January 26th 2023 at 10:38 am. Therefore, the positive response was late. Staff Notes: Nature, circumstance, and gravity information	3302302024	
marked by Charter Comm until Thursday, January 26th 2023 at 10:38 am. Therefore, the positive response was late.	scription of Alleged Violation:	
		ite.



M. Beth Trombold Lawrence K. Friedeman Dennis P. Deters Daniel R. Conway

February 7, 2023

Frank Ward
Time Warner Cable Information Service (Ohio), LLC
10920 Kenwood Rd
Cincinnati, Ohio 45242

Case Number: 00799404

A Request for Inquiry has been filed with the Public Utilities Commission of Ohio (PUCO) alleging that you or your company violated Ohio damage prevention law. Enclosed is a summary of the complaint filed against you. Please read it carefully.

You have until March 9, 2023 to respond, in writing, to the PUCO. The following options are available to you:

- 1. Admission of the compliance failure. Your case file will be forwarded to the Underground Technical Committee (UTC) to determine if a fine and/or penalty is warranted.
- 2. Denial of the compliance failure. If you believe that you did not commit a compliance failure, please send us a written statement addressing the allegations and arrange to submit any other evidence you wish to be considered (e.g. OUPS ticket, pictures, etc.). Once all facts and evidence are collected, the case file will be forwarded to the UTC for a decision.
- 3. No Contest. If you do not wish to deny or admit to the compliance failure, you may choose to accept the decision that results from the process.

All correspondence should reference the Case Number above and can be emailed to: DamagePrevention@puco.ohio.gov

The decision of the UTC will be based solely on the information provided to PUCO Staff for the case file. Please provide all documentation and information that you believe to be important to the case so that the UTC can make a well informed decision. You may attend the UTC meeting when the case is decided; however, you will only be permitted to observe, thus information furnished must have sufficient explanations and stand on its own merits.

If you do not respond by the due date above, your case will still be forwarded to the UTC for a decision. If you would like a copy of the UTC meeting schedule or have questions about the enforcement process, please visit our website at www.puco.ohio.gov or contact the PUCO Call Center at (800) 686-7826, Option 4.

Sincerely,

Peter A. Chace, Chief

Facility & Operations Field Division

Enclosure

THE PUBLIC UTILITIES COMMISSION OF OHIO DAMAGE PREVENTION SECTION

COMPLAINT SUMMARY

State Ohio Zip Code 43130
Title
e (Ohio), LLC_
State Ohio Zip Code 45242
Λ
<u> </u>
State Ohio Zip Code 43348
County Logan
y failed to locate and mark facilities within 48 hours
dnesday, January 25th 2023 by 1:38 pm. The location
dnesday, January 25th 2023 by 1:38 pm. The location , January 26th 2023 at 10:38 am. Therefore, the

THE PUBLIC UTILITIES COMMISSION OF OHIO DAMAGE PREVENTION SECTION

RESPONDENT RESPONSE

Case Number <u>00799404</u>					
Name Frank Ward Title Construction Supervisor					
Company Spectrum Cable					
Address 10920 Kenwood Rd					
City Cincinnati State Oh Zip Code 45242					
Preferred Contact Telephone Number					
Email Address _frank.ward@charter.com					
Would you prefer correspondence be sent via electronic mail? $\ oxinesq$ Yes $\ oxinesq$ No					
Please indicate whether or not you want to:					
\square Admit to the compliance failure \square Deny the compliance failure \square Plead No Contest					
For the last 12 months please indicate: Number of locate requests received 895399 Number of excavations completed Number of locate rquests responded to Number of design/development projects Please provide a response to the allegations below.					
Due to weather conditions, marks were not placed on 1/25/23. Wind and Icey conditions were					
prevelent. It was marked on 1/26. This had been relayed to the excavator on that day via telephone.					
Signature Frank Ward					
Printed Name Frank Ward					
If you have any documents that you would like to submit as evidence, please reference your Case Number in the subject line an email them to:					
DamagePrevention@puco.ohio.gov					

If you have questions regarding the process, please visit our website at www.puco.ohio.gov or contact

our Call Center at (800) 686-7826, Option 4.

Ticket A302302024-00A OUPS/OUPSA 3/8/2023 8:22 AM by kareyf Page 1

MBRCOD 00001 OUPSa 01/23/23 13:38:07 A302302024-00A ROUT NEW POLY LREQ

Ticket: A302302024 Rev: 00A Taken: 01/23/23 01:38 PM Channel: OPR

Old Tkt: A302302024 Taken: 01/23/23 01:34 PM

State: OH Cnty: LOGAN Place: RUSSELLS POINT

Subdivsn:
Lot nbrs:

Address: 636 Street: HIGH AVE

Cross 1 : E AIKEN ST Intersection: N
Cross 2 : Between: N

Rail/Hwy: Milemarker(s):

Where : ENTIRE PROPERTY AND A 25 FT RADIUS OF THE ELECTRIC TEMP LINE ON THE

: GROUND

: FARTHEST POINT OFF ROAD: 200 FT WEST : DISTANCE FROM CROSS STREET: NORTH OF

: PLEASE MARK ALL MAIN AND SERVICES WITH PAINT AND FLAGS

WorkType: UG UTILITIES INSTALLATION

Done for:
Done by:

Whitelined: N Blasting: N

Means of Excavation: HYDRO VAC AND DIRECTIONAL DRILL

Work date: 01/25/23 01:38 PM Meet: N

Start by: 02/06/23 01:38 PM Response Due: 01/25/23 01:38 PM

GPS lat : GPS long:

Best Fit: 40.464870/-83.896456 40.465494/-83.895230 : 40.463495/-83.895756 40.464119/-83.894530

Where :

Caller: AMANDA WEYAND Phone: 330-212-6617 Ext:

Company : SURESHOT DIRECTIONAL BORING Type: CONT

Co addr : 640 S MAPLE ST

Co addr2:

City : LANCASTER St: OH Zip: 43130

Alt Tel#: 740-689-1221 Ext:

Fax :

Alt cont: SAME AS ABOVE Phone: Ext:

Email: CUSTOMERSERVICE@SURESHOTBORING.COM

Grids : 4027300835330

Polygon: 40.464331/-83.894691 40.464224/-83.894705

: 40.464121/-83.894746 40.464026/-83.894812 : 40.463942/-83.894901 40.463874/-83.895010 : 40.463823/-83.895135 40.463792/-83.895270

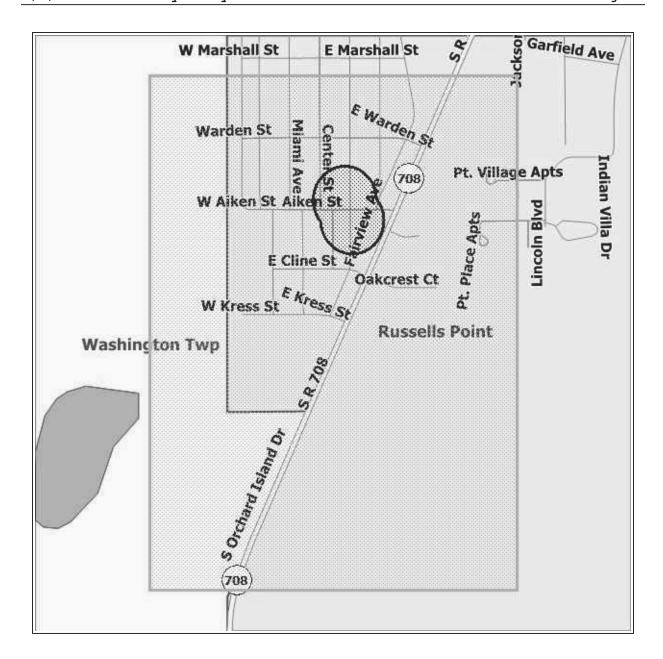
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: 40.463782/-83.895410 40.463792/-83.895550
: 40.463823/-83.895685 40.463874/-83.895809
: 40.463942/-83.895918 40.464026/-83.896008
: 40.464121/-83.896074 40.464224/-83.896115
: 40.464305/-83.896126 40.464382/-83.896199
: 40.464480/-83.896257 40.464585/-83.896290
: 40.464693/-83.896295 40.464799/-83.896272
: 40.464900/-83.896223 40.464992/-83.896147
: 40.465071/-83.896051 40.465134/-83.895937
: 40.465179/-83.895809 40.465203/-83.895671
: 40.465207/-83.895531 40.465190/-83.895391
: 40.465152/-83.895259 40.465095/-83.895140
: 40.465022/-83.895036 40.464935/-83.894954
: 40.464837/-83.894896 40.464681/-83.894861
: 40.464541/-83.894746 40.464438/-83.894705
: 40.464331/-83.894691
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Members:

BSDP	=BRIGHTSPEED (USIC)	DPL	=AES OHIO (FORMERLY DAYTON POWE
LWP	=LOGAN COUNTY WATER POLLUTION C	RPW	=RUSSELL POINT - VILLAGE OF
VEBP	=CENTERPOINT ENERGY / GRIDHAWK	WCOP	=CHARTER COMM(SPECTRUM/TIME WAR

RESPONSES:

Member	Response	Responded			SysID
=========					
BSDP	999	01/25/2023	01:38:01	PM	A
BSDP	001	01/26/2023	10:38:59	AM	В
DPL	004	01/25/2023	09:36:01	AM	В
DPL	001	01/26/2023	10:38:48	AM	В
LWP	002	01/25/2023	09:55:40	AM	В
RPW	002	01/24/2023	07:58:04	AM	A
VEBP	001	01/24/2023	12:14:33	PM	A
WCOP	004	01/25/2023	09:36:01	AM	В
WCOP	001	01/26/2023	10:38:48	AM	В



This foregoing document was electronically filed with the Public Utilities Commission of Ohio Docketing Information System on

5/1/2023 3:06:36 PM

in

Case No(s). 23-0490-AU-UTC

Summary: Application Application for Reconsideration and supporting documentation. electronically filed by Mr. Peter A. Chace on behalf of Public Utilities Commission of Ohio.