



DIS Case Number: 11-0027-GA-CRS

Section A: Application Information

Section B: Applicant Managerial Capability and Experience

Section C: Applicant Financial Capability and Experience

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

Section D: Applicant Technical Capacity



Public Utilities
Commission

Application Attachments



UNITED ENERGY TRADING, LLC
919 S 7TH ST STE 405, BISMARCK, ND 58504
Phone: +1 (303) 991-0983

Experian BIN # 788939013
DUNS # 119162860

Search Inquiry: United Energy Trading / 919 S 7th St. Suite 405 / Bismarck / ND / 58504

Ordered: 3/10/2023 2:52:38 PM (UTC)

Analytics

Credit Logic Score



Key Score Factors

- CURRENT MONTH DAYS BEYOND TERMS 5 OR LESS.
- TRADE PAYMENT PERCENTAGE CURRENT.

Data Depth Score



Data Depth Score is based on:

- Years in business
- Number of trade lines
- Number of bureaus pulled

* Indicates the volume of predictive data available on a company. Scale of 0-9 where 9 indicates greatest level of predictive data.

Business Failure Assessment

Average

Assessment is based on a combination of the Credit Logic Score and Data Depth Score.

MultiMax Credit Guideline

\$53,500

This calculation is based on high credit amounts in recent trade payment history. Your final decision must be based upon your company's own business policies.

Highest Non-Financial Line: \$76,400

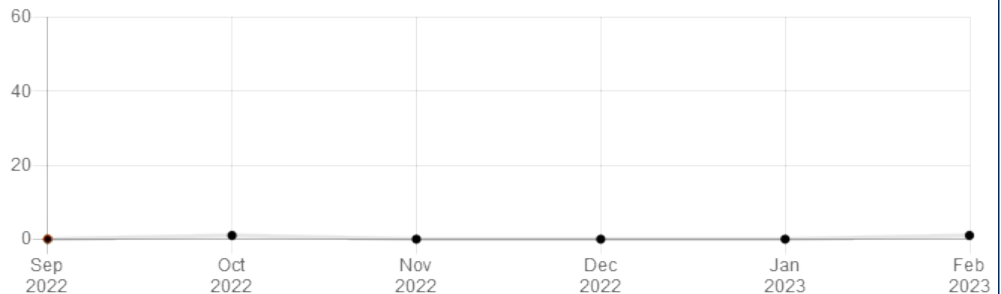
Highest Financial Line: \$42,600

Payment Summary

Average Days Beyond Terms 0

Experian DBT

0



Derogatory Item Summary

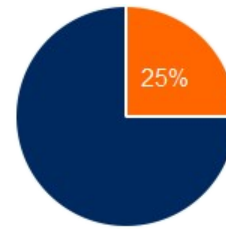
Bankruptcy	No	Judgments	0	Liens	0
Collections	0	Charge-Offs	0	NSF Checks	0
Most recent derogatory	N/A	Derogatory items in previous 24 months			N/A

Balance Summary

Accounts	15
Total Balance	\$37,300
Recent High Credit	\$76,400
High 100% Current	\$32,000
Average Balance	\$2,487
Current	\$36,900 (99%)
1-30	\$200 (1%)
31-60	\$200 (1%)
61-90	\$0 (0%)
91+	\$0 (0%)

Balance to High Credit Ratio

(Total Balance / Total High Credit)
(Data Sources: Experian)



Balances At Risk (> 60 days past due)

Account Type	Recent High	Balance	Current	1-30	31-60	61-90	91+
Total Balance at Risk: N/A							

Credit Utilization Summary

Business Category	Total High Credit	Balance	Utilization
Total Available Credit	\$151,800	\$37,300	25%
COMMUNICTN	\$1,600	\$1,400	88%
COMPUTERS	\$76,400	\$32,000	42%
CRED CARD	\$1,100	\$100	9%
DISTRIBUTR	\$14,800	\$0	0%
FINCL SVCS	\$42,600	\$0	0%
FUEL DISTR	\$0	\$0	0%
LEASING	\$0	\$0	0%
MANUFCTRNG	\$0	\$0	0%
OFFC EQUIP	\$4,200	\$1,500	36%
PACKAGING	\$0	\$0	0%
TRANSPORTN	\$11,100	\$2,300	21%

Credit Lines / High Credits

Credit Line Category	Accounts	Total Available Credit	Utilization
\$250,000 and Over	0	\$0	0%
\$100,000 - \$249,999	0	\$0	0%
\$50,000 - \$99,999	1	\$76,400	42%
\$15,000 - \$49,999	1	\$42,600	0%
\$1,000 - \$14,999	5	\$31,700	15%
Under \$1,000	8	\$1,100	36%

Top Recent High Credit Lines

Account Type	Recent High	Balance	Current	1-30	31-60	61-90	91+
COMPUTERS	\$76,400	\$32,000	100%	0%	0%	0%	0%
FINCL SVCS	\$42,600	\$0	0%	0%	0%	0%	0%
DISTRIBUTR	\$14,800	\$0	0%	0%	0%	0%	0%
TRANSPORTN	\$10,200	\$1,900	100%	0%	0%	0%	0%
OFFC EQUIP	\$4,000	\$1,500	100%	0%	0%	0%	0%
COMMUNICTN	\$1,600	\$1,400	100%	0%	0%	0%	0%
CRED CARD	\$1,100	\$100	100%	0%	0%	0%	0%
TRANSPORTN	\$600	\$200	0%	0%	100%	0%	0%

TRANSPORTN	\$300	\$200	0%	100%	0%	0%	0%
OFFC EQUIP	\$200	\$0	0%	0%	0%	0%	0%

Fraud Flags

Address Info for: UNITED ENERGY TRADING, LLC, 919 S 7TH ST, BISMARCK, ND 58504

- ☒ Address zone: Commercial
- ☒ Corporate registration information available

Additional Company Background Information

Principal(s):	LOREN R KOPSENG, CEO	TOM SMITH, PRES	THOMAS W WILLIAMS, PRES	Number of Employees:	13
Primary SIC Code:	OIL & GAS EXPLORATION & DEVELOPMEN - 1382			Year Founded	2002
Secondary SIC Code:	NATURAL GAS DISTRIBUTION - 4924			Years In Business:	21
Additional SIC Codes:	6719, 1311			Business Type:	Corporation

Secretary of State or Corporate Registration

The following information was provided by the state of NORTH DAKOTA			
State of Origin:	ND	Charter Number:	12778900
Date of Incorporation:	8/29/1997	Agent:	LOREN R KOPSENG
Current Status:	Active	Agent Address:	919 S 7TH ST STE 405 BISMARCK ND
Business Type:	Incorporated - Profit		

Firmographics (data sources: D&B⁴)

Business Information

Name		DUNS #	
United Energy Trading, LLC		119162860	
Address		Website	
225 Union Blvd Ste 200, Lakewood, CO 80228-1861		www.unitedenergytrading.com	
Phone #	Registered Address?	Residential Address?	Undeliverable Address?
+1 (303) 991-0983	No	N/A	No
Operating Status	Organization Start Year	Incorporation Year	Years In Business
Active	2002	N/A	21

Ownership & Diversity

Ownership Type	Ownership Control Date	Ownership Ethnicity
N/A	2002	N/A
Minority Owned?	Small Business?	Labor Surplus Area?
No	No	N/A
Current Principals Name	Current Principals Job Title	
Loren R Kopseng	Member	
Most Senior Principal Name	Most Senior Principal Job Title	
Loren R Kopseng	Member	

Roles

Roles	
1	Subsidiary
2	Headquarters
3	Parent

	Roles
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Linkage

	Total Family Count	Total Employee Count	Individual Location Employee Count
	24	15	N/A
	Global Ultimate DUNS #	Global Ultimate Name	Global Ultimate Address
	858440092	United Energy Corporation	919 S 7TH St Ste 405, Bismarck, ND 58504-5835
	Domestic Ultimate DUNS #	Domestic Ultimate Name	Domestic Ultimate Address
	858440092	United Energy Corporation	919 S 7TH St Ste 405, Bismarck, ND 58504-5835
	Parent DUNS #	Parent Name	Parent Address
	858440092	United Energy Corporation	919 S 7TH St Ste 405, Bismarck, ND 58504-5835

Registered Identification Numbers

	Type	Number
	Commercial And Government Entity Code	4XEM5
	Federal Taxpayer Identification Number (US)	37-1439798
	US General Services Administration Unique Entity Identifier	ED15M3HNGVL3

Financial Information

	Annual Sales Amount	Financial Statement Date
	\$83,922,161 USD	2022-03-14 - Past 1 Year

Industry Information

	Primary SIC Division	Line of Business
	Mining	Crude petroleum/natural gas production
	Industry Code Type	Industry Code & Description
	D&B Standard Industry Code	13110102 - NATURAL GAS PROD
	D&B Hoovers Industry Code	1284 - Oil & Gas Exploration & Production
	US SIC (1987)	1311 - Crude petroleum/natural gas production
	North American Industry Classification System 2012	211120 - Crude Petroleum Extraction
	NAICS	211120 - Crude Petroleum Extraction
	UK SIC 2003	11.1 - Extraction of crude petroleum and natural gas
	UN Standards Products & Services Code	71130000 - Oil and gas extraction and production enhancement services

Corporate Linkage

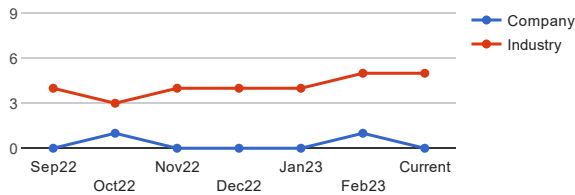
Linkage occurs when one business has financial and legal responsibility for another. Common linkage identifiers are used to identify these relationships. Corporate Linkage enables businesses to make better decisions through the identification of relationships within a corporate family.

Ultimate Parent — Top-most responsible member of the family tree.

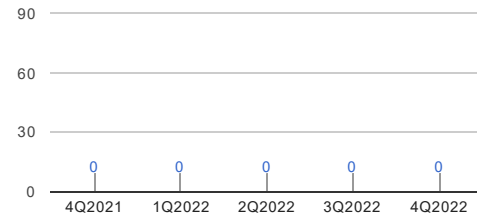
Immediate Parent — Parent refers to a business with a subsidiary. The Immediate Parent is the parent company for the business that is the subject of the profile.

Type of Location	Business Name	Address	Experian BIN
ULTIMATE PARENT	UNITED ENERGY TRADING, LLC	919 S 7TH ST STE 405 BISMARCK ND	788939013 *Matches inquired upon business
BRANCH	UNITED ENERGY TRADING, LLC	941 STAFFORD CASPER WY Country: USA	433925724
BRANCH	UNITED ENERGY TRADING, LLC	20405 STATE HIGHWAY 249 STE 850 HOUSTON TX Country: USA	467335500
BRANCH	UNITED ENERGY TRADING, LLC	4520 CHERRY BLOSSOM DR YPSILANTI MI Country: USA	535357817
BRANCH	UNITED ENERGY TRADING, LLC	215 UNION BLVD LAKEWOOD CO Country: USA	759746852
BRANCH	UNITED ENERGY TRADING, LLC	8100 BROADWAY STE 201 SAN ANTONIO TX Country: USA	784470465
BRANCH	UNITED ENERGY TRADING, LLC	8 AUGUST LN TRUMBULL CT Country: USA	892706142

6-Month Days Beyond Terms Trends



Quarterly Days Beyond Terms Trends (previous 5 quarters)



Monthly Payment Trends

Payment Trends Analysis					Account Status Days Beyond Terms				
Date Reported	Industry		Business	Balance	Cur	1-30	31-60	61-90	91+
	Cur	DBT	DBT						
CURRENT	N/A	N/A	0	\$35,100	99%		1%		
02/2023	86%	5	1	\$34,600	98%		2%		
01/2023	85%	4	0	\$63,000	100%				
12/2022	89%	4	0	\$79,600	100%				
11/2022	90%	4	0	\$54,300	100%				
10/2022	90%	3	1	\$55,200	96%	4%			
09/2022	89%	4	0	\$25,100	100%				

* Days Beyond Terms (DBT) is a dollar weighted calculation of the average number of days that payment was made beyond the invoice due date based on trades on file that have been updated in the previous 3 months.

Quarterly Payment Trends

Payment History Quarterly Averages					Account Status Days Beyond Terms				
Quarter	Months	DBT	Balance	Cur	1-30	31-60	61-90	91+	

Payment History Quarterly Averages					Account Status Days Beyond Terms			
Quarter	Months	DBT	Balance	Cur	1-30	31-60	61-90	91+
Q4 - 2022	OCT-DEC	0	\$65,700	100%				
Q3 - 2022	JUL-SEP	0	\$50,700	99%	1%			
Q2 - 2022	APR-JUN	0	\$33,600	100%				
Q1 - 2022	JAN-MAR	0	\$18,000	100%				
Q4 - 2021	OCT-DEC	0	\$17,300	100%				
* Days Beyond Terms (DBT) is a dollar weighted calculation of the average number of days that payment was made beyond the invoice due date based on trades on file that have been updated in the previous 3 months.								

Legal Filings and Collections (data source: Experian¹)

Public records have been searched on county, state, and federal levels.						
Filing Type	Total Filed	Total Balance	Total Collections	Original Balance	Amount Paid	Balance Remaining
Tax Liens	0	\$0	0	\$0	\$0	\$0
Judgments	0	\$0				
UCC Filings	106	n/a				

Trade Payments

Trade Payment Experiences (Trade lines with an (*) after date are newly reported)						Account Status Days Beyond Terms				
Business Category	Date Reported	Payment Terms	Recent High Credit	Balance	Cur	1-30	31-60	61-90	90+	Comments
COMPUTERS	03/2023	CONTRCT	\$76,400	\$32,000	100%					
DISTRIBUTR	03/2023	NET 10	\$14,800	\$0						
FUEL DISTR	03/2023	VARIED	\$0	\$0						
LEASING	03/2023	CONTRCT	\$0	\$0						
OFFC EQUIP	03/2023	CONTRCT	\$4,000	\$1,500	100%					
PACKAGING	03/2023	NET 30	\$0	\$0						CUST 5 YR
TRANSPORTN	03/2023	NET 15	\$600	\$200			100%			
CRED CARD	02/2023	REVOLVE	\$1,100	\$100	100%					
COMMUNICTN	01/2023	VARIED	\$1,600	\$1,400	100%					
MANUFCTRNG	12/2022	VARIOUS	\$0	\$0						
OFFC EQUIP	10/2022	CONTRCT	\$200	\$0						
TRANSPORTN	09/2022	VARIED	\$300	\$200		100%				
FINCL SVCS	04/2022	VARIED	\$42,600	\$0						ACCTCLOSED
TRANSPORTN	03/2022	CONTRCT	\$10,200	\$1,900	100%					
FINCL SVCS	12/2020	CONTRCT	\$0	\$0						

Trade Payment Totals

Trade Payment Experiences					Account Status Days Beyond Terms				Comments
Type	Lines Reported	Recent High Credit	Balance	Cur	1-30	31-60	61-90	90+	
Trade Lines Totals (last 12 months)	13	\$76,400	\$35,400	99%	1%	1%			
Trade Lines Totals	15	\$76,400	\$37,300	99%	1%	1%			

Collection Filings

No collection information was found after an extensive search of commercial collection agencies.

Bankruptcies

No bankruptcy information was found after an extensive search of public record data.

Tax Liens

No tax liens were found after an extensive search of public record data.

Judgments

No judgment or attachment liens were found after an extensive search of public record data.

UCC Filings

Public records have been searched on county, state, and federal levels.

There are 106 UCC filings for this company.

Date Filed	Status	Document Number	Filing Location	Secured Party	Collateral Codes
10/14/2021	CONTINUED	21-000959211	SEC OF STATE N DAKOT		
07/07/2021	CONTINUED	21-000921144	SEC OF STATE N DAKOT		
04/08/2021	CONTINUED	21-000883246	BURLEIGH COUNTY REGI		
03/25/2021	CONTINUED	20212027878	SEC OF STATE CO		
03/23/2021	TERMINATED	21-000873822	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873739	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873756	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873777	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873813	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873737	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873666	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873677	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873598	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873613	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873367	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873336	SEC OF STATE N DAKOT		

Date Filed	Status	Document Number	Filing Location	Secured Party	Collateral Codes
03/23/2021	TERMINATED	21-000873567	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873506	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873444	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873420	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873451	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873373	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873386	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873307	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873355	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873276	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873404	SEC OF STATE N DAKOT		
03/23/2021	TERMINATED	21-000873578	SEC OF STATE N DAKOT		
03/22/2021	TERMINATED	21-000872390	SEC OF STATE N DAKOT		
03/22/2021	TERMINATED	21-000872431	SEC OF STATE N DAKOT		
03/22/2021	TERMINATED	21-000872439	SEC OF STATE N DAKOT		
12/07/2020	AMENDED	20-000813732	SEC OF STATE N DAKOT		
12/07/2020	AMENDED	20-000813738	SEC OF STATE N DAKOT	ICE NGX CANADA INC. FO CALGARY T2P1N SUITE 2610 225 - 6TH A	
04/22/2020	TERMINATED	20-000716413	SEC OF STATE N DAKOT		
03/24/2020	TERMINATED	20-000702610	SEC OF STATE N DAKOT		
03/20/2020	FILED	20-000701097-6	BURLEIGH COUNTY REGI	COLUMBIA GAS OF PENNSLYVANIA INC. OH COLUMBUS 43215 290 W.	
03/05/2020	AMENDED	20-000691832	BURLEIGH COUNTY REGI		
03/04/2020	AMENDED	20-000691310	BURLEIGH COUNTY REGI		
03/02/2020	FILED	20-000689082-1	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
02/24/2020	TERMINATED	20-000685310	SEC OF STATE N DAKOT		
02/03/2020	FILED	20-000674249-6	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	

Date Filed	Status	Document Number	Filing Location	Secured Party	Collateral Codes
01/22/2020	TERMINATED	20-000667959	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
01/22/2020	TERMINATED	20-000667965	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
01/02/2020	FILED	20-000656976-5	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
12/30/2019	FILED	19-000654967-9	SEC OF STATE N DAKOT	ICE NGX CANADA INC. FO CALGARY T2P3C 300 5TH AVENUE SW SUIT	
12/30/2019	TERMINATED	19-000654983	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
12/02/2019	FILED	19-000641727-5	SEC OF STATE N DAKOT		
12/02/2019	FILED	19-000641741-1	SEC OF STATE N DAKOT		
11/21/2019	TERMINATED	19-000638351	SEC OF STATE N DAKOT		
11/21/2019	TERMINATED	19-000638345	SEC OF STATE N DAKOT		
11/01/2019	FILED	19-000629244-5	SEC OF STATE N DAKOT		
10/22/2019	TERMINATED	19-000624225	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
10/22/2019	TERMINATED	19-000624265	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
10/18/2019	CONTINUED	19-000622454	BURLEIGH COUNTY REGI		
10/01/2019	FILED	19-000613871-2	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
10/01/2019	FILED	19-000613926-9	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
09/30/2019	TERMINATED	19-000613081	SEC OF STATE N DAKOT		
08/30/2019	FILED	19-000600947-0	SEC OF STATE N DAKOT		
08/30/2019	FILED	19-000600979-1	SEC OF STATE N DAKOT		
08/20/2019	TERMINATED	19-000596640	SEC OF STATE N DAKOT		
08/20/2019	TERMINATED	19-000596674	SEC OF STATE N DAKOT		
08/01/2019	FILED	19-000589261-5	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
07/23/2019	TERMINATED	19-000584994	SEC OF STATE N DAKOT		
07/23/2019	TERMINATED	19-000584999	SEC OF STATE N DAKOT		
07/01/2019	FILED	19-000573876-0	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
07/01/2019	FILED	19-000573878-4	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	

Date Filed	Status	Document Number	Filing Location	Secured Party	Collateral Codes
06/24/2019	TERMINATED	19-000571237	SEC OF STATE N DAKOT		
06/24/2019	TERMINATED	19-000571251	SEC OF STATE N DAKOT		
05/31/2019	FILED	19-000561811-6	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
05/31/2019	FILED	19-000561932-8	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
05/30/2019	TERMINATED	19-000561306	SEC OF STATE N DAKOT		
05/30/2019	TERMINATED	19-000561318	SEC OF STATE N DAKOT		
05/30/2019	TERMINATED	19-000561298	SEC OF STATE N DAKOT		
05/01/2019	FILED	19-000548608-1	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
05/01/2019	FILED	19-000548619-7	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
04/23/2019	TERMINATED	19-000543444	SEC OF STATE N DAKOT		
04/23/2019	TERMINATED	19-000543456	SEC OF STATE N DAKOT		
04/02/2019	FILED	19-000532253-2	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
04/02/2019	FILED	19-000532292-1	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
04/02/2019	FILED	19-000532500-7	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
03/25/2019	TERMINATED	19-000527382	SEC OF STATE N DAKOT		
03/25/2019	TERMINATED	19-000527404	SEC OF STATE N DAKOT		
03/01/2019	FILED	19-000515026-5	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBEL ENERGY WAY	
03/01/2019	FILED	19-000515075-3	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
02/22/2019	TERMINATED	19-000511430	SEC OF STATE N DAKOT		
02/22/2019	TERMINATED	19-000511397	SEC OF STATE N DAKOT		
02/01/2019	FILED	19-000501559-5	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
02/01/2019	FILED	19-000501564-9	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
12/31/2018	FILED	18-000483896-7	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
12/31/2018	FILED	18-000484086-1	SEC OF STATE N DAKOT	NOBLE ENERGY INC. TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
11/29/2018	TERMINATED	18-000470280	SEC OF STATE N DAKOT		

Date Filed	Status	Document Number	Filing Location	Secured Party	Collateral Codes
10/01/2018	FILED	18-000445446-4	SEC OF STATE N DAKOT	NOBLE ENERGY INC TX HOUSTON 77070 1001 NOBLE ENERGY WAY	
01/05/2017	FILED	17-000147349-0	SEC OF STATE N DAKOT	COLUMBIA GAS OF OHIO INC. OH COLUMBUS 43215 290 WEST NATION	UNDEFINED
01/03/2017	AMENDED	17-000145238	BURLEIGH COUNTY REGI		
01/03/2017	AMENDED	17-000145289	BURLEIGH COUNTY REGI		
12/21/2016	FILED	16-000140922-3	SEC OF STATE N DAKOT	DOMINION EAST OHIO OH CLEVELAND 44103 1201 EAST 55TH STREET	
04/05/2016	CONTINUED	20162030255	SEC OF STATE CO		
02/11/2016	CONTINUED	16-001040206	BURLEIGH COUNTY REGI		
12/09/2014	CONTINUED	14-000950727	BURLEIGH COUNTY REGI	MACQUARIE BANK LIMITED AS ADMINISTRATIV FO SYDNEY 1 M	
10/14/2011	ASSIGNED	11-000700244	BURLEIGH COUNTY REGI		
08/08/2011	AMENDED	11-000687280	BURLEIGH COUNTY REGI		
04/28/2011	FILED	2011F018804	SEC OF STATE CO	WEBBANK UT SALT LAKE CITY 84121 6440 S. WASATCH BLVD., STE 3	
01/03/2011	CONTINUED	11-000643444	BURLEIGH COUNTY REGI		
12/18/2009	FILED	09-000569957-8	BURLEIGH COUNTY REGI		
03/03/2006	CONTINUED	06-000301942	BURLEIGH COUNTY REGI	BNC NATIONAL BANK ND BISMARCK 58501 322 EAST MAIN AVENUE	
05/24/2001	FILED	01-001017359	BURLEIGH COUNTY REGI		

Dun & Bradstreet Lawsuits

No lawsuit information was found after an extensive search of public record data.

OFAC Alert

Results for: UNITED ENERGY TRADING, LLC (UNITED ENERGY TRADING)

No OFAC hits found

We are providing the Office of Foreign Assets Control (OFAC) Alert Due Diligence Guide, below, as an aid to facilitate a determination of what further compliance action, if any, should be considered. As a reminder, Client may not use OFAC Alert as part of its decision-making process for determining an individual's eligibility for any credit or any other purpose authorized under the FCRA.

OFAC ALERT DUE DILIGENCE GUIDE

The following guidance is provided for clients receiving OFAC Alert notices indicating some level of match with a person on the OFAC List.

Notices:

- I. Do **NOT** use this Alert to determine an individual's eligibility for any credit or any other purpose authorized under the FCRA. This Alert is **NOT** a consumer report. It is only an Alert for you to take further due diligence steps.
- II. Business Credit Reports assumes no liability for any errors or omissions in this guide. While this guide is intended to facilitate OFAC compliance, its use does not guarantee compliance with applicable law.

Recommended Due Diligence Steps:

- A. Access: <http://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx>
- B. Locate the name in question.

Compare the complete OFAC entry with all of the information you have on the subject of your request. An OFAC entry often will have a full name, address, nationality, passport, tax ID or cedula number, place of birth, date of birth, former names and aliases.

If you are missing a lot of this information for your subject, contact the individual or company for additional information and then compare the complete information against the information provided in the OFAC entry.

The following are False Positive Review Standard Match Comparison Guidelines:

- Company Name Match - Compare the words in the Company Names.
 - Name Match - Compare the First and Last Names.
 - Middle Name Match - Compare the Middle Names/Initials.
 - Address Match - Compare Street Addresses.
 - City/State Match - Compare city and state information.
 - Date of Birth - Compare DOB and/or ages, if provided.
 - Spouse - Compare the spouse names if the information is provided.
 - Occupation - Compare the occupation if the information is provided.
 - Suffix/Title/Prefix - Compare the suffix/title/prefix if the information is provided.
- C. Are there a number of similarities or exact matches?
- If yes, please call the OFAC hotline at [1-800-540-6322](tel:1-800-540-6322).
 - If no, you do not have a valid match. However, if you have reason to know or believe that allowing this person to do business in the United States would violate any of the Department of the Treasury or other Regulations, you should call the OFAC hotline and explain this knowledge or belief.

Much of the above information is from the due diligence steps recommended by the U.S. Department of Treasury for handling and reporting Office of Foreign Assets Control (OFAC) hits. To ensure you have the most recent version of the due diligence steps, please go to: <http://www.treasury.gov/resource-center/sanctions/Pages/regulations.aspx>

¹ Experian data for: UNITED ENERGY TRADING, LLC, 919 S 7TH ST STE 405, BISMARCK, ND 58504 (Experian File # 788939013 established PRIOR TO JANUARY 1977)

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⁴ D&B data for: UNITED ENERGY TRADING, LLC, 225 UNION BLVD STE 200, LAKEWOOD, CO 80228-1861 (DUNS # 119162860)

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**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

3/13/2023 1:15:44 PM

in

Case No(s). 11-0027-GA-CRS

Summary: In the Matter of the Application of United Energy Trading LLC