



DIS Case Number: 11-0027-GA-CRS

Section A: Application Information

A-1. Provider type:

☐ Retail Natural Gas
Broker

☐ Retail Natural Gas
Aggregator

☒ Retail Natural Gas
Marketer

A-2. Applicant's legal name and contact information.

Legal Name: United Energy Trading LLC

Phone: 8002962203 **Extension (if applicable):**

Website (if any):
<http://www.unitedenergytrading.com/>

Country: United States

Street: 225 Union Boulevard Suite 200

City: Lakewood

Province/State: CO

Postal Code: 80228

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Kratos Gas & Power	DBA	225 Union Boulevard, Suite 200 Lakewood, CO 80228	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Kratos Gas & Power	DBA	225 Union Boulevard, Suite 200 Lakewood, CO 80228	Yes	File
Vector Fuels	DBA	225 Union Boulevard, Suite 200 Lakewood, CO 80228	Yes	File



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Collective Energy	DBA	225 Union Boulevard, Suite 200 Lakewood, CO 80228	Yes	File
Uncle Frank	DBA	225 Union Boulevard, Suite 200 Lakewood, CO 80228	Yes	File
United Green Energy	DBA	225 Union Boulevard, Suite 200 Lakewood, CO 80228	Yes	File
Blue Spruce Energy Services	DBA	225 Union Boulevard, Suite 200 Lakewood, CO 80228	Yes	File

A-5. Contact person for regulatory matters

Darci Ellwein
225 Union Boulevard Suite 200
Lakewood, CO 80228
US
dellwein@uetllc.com
7012146463

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Stephen Shortell
8044 Montgomery Rd
Cincinnati, OH 45236
US
sshortell@uetllc.com
7208974758

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: 3039910983	Extension (if applicable):	Country: United States
Fax: 3039910988	Extension (if applicable):	Street: 225 Union Boulevard, Suite 200
Email: dellwein@uetllc.com		City: Lakewood Province/State: CO
		Postal Code: 80228

A-8. Applicant's federal employer identification number

31-1439798

A-9. Applicant's form of ownership



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Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

Columbia Gas of Ohio
Dominion Energy Ohio
Duke Energy Ohio
CenterPoint Energy Ohio

Class of customer selection

Industrial
Residential
Small Commercial
Large Commercial

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 02-27-2021

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Tom Smith	tsmith@uetllc.com	President	225 Union Boulevard, Suite 200 Lakewood, CO 80228 US
Loren Kopseng	lkopseng@uetllc.com	Chief Executive Officer	701 South 7th Street, Suite 405 Bismarck, ND 58504 US
Ryan Kopseng	rkopseng@uetllc.com	Secretary	701 South 7th Street, Suite 405 Bismarck, ND 58504 US
Thomas Williams	twilliams@uetllc.com	Executive Chairman	225 Union Boulevard, Suite 200 Lakewood, CO 80228 US



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Scott Russell	scottr@cloverdalefoods.com	Director	701 South 7th Street, Suite 405 Bismarck, ND 58504 US
Tim Penney	tpenney@uetllc.com	Executive Vice President of Business Development	225 Union Boulevard, Suite 200 Lakewood, CO 80228 US
Seth Alward	salward@uetllc.com	Director	Ste. 1800-144-4th Ave SW Calgary, AB AB T2P 3N4 CA
Jason Hill	jhill@uetllc.com	Chief Credit Officer	6405 Metcalf Avenue, Suite 306 Overland Park, KS 66202 US
Kristi Birdsall	kbirdsall@uetllc.com	Chief Risk Officer	225 Union Boulevard, Suite 200 Lakewood, CO 80228 US
Mike Huggins	mhuggins@uetllc.com	Managing Director	225 Union Boulevard, Suite 200 Lakewood, CO 80228 US
KJ Coghlan	kjcoghlan@uetllc.com	Director	919 South 7th Street, Suite 405 Bismarck, ND 58504 US
Kurt Batenhorst	kbatenhorst@uetllc.com	Executive Vice President of Natural Gas	20445 State Hwy 249, Suite 850 Houston, TX 77070 US
Janelle Steiner	jsteiner@unitedenergycorp. com	Chief Financial Officer	919 S 7th St. Suite 405 Bismarck, ND 58504 US
Dave Sandy	dsandy@uetllc.com	Financial Controller	225 Union Boulevard Suite 200 Lakewood, CO 80228 US

A-13. Company history

Founded in 1984, United Energy Corporation (UEC) is a privately-owned corporation actively engaged in the acquiring, drilling, producing and the reworking of oil and natural gas properties primarily located in the Williston Basin region of North Dakota and Montana. With an exceptional record of safe and environmentally responsible operations, UEC has ownership in over 5,000 gross oil and natural gas wells and had net production of over 5,000 BOEPD (barrel oil equivalent per day) as of June 1, 2020.

United Energy Trading, LLC (UET), a subsidiary of UEC, is a fully integrated energy marketing and logistics organization. We are active in the marketing of natural gas and crude oil, as well as related transportation and transmission services throughout the U.S. and Canada. This



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positioning allows UET to generate value for customers with teams of professionals that focus on providing intelligent solutions for energy logistics, energy marketing, optimization, asset management and risk management needs. UET supplies natural gas to retail customers in the State of California under the trade name 'Collective Energy', the States of Ohio and Michigan under the trade name 'Kratos Gas & Power', and the states of Nebraska and Wyoming under the trade name 'Uncle Frank Energy Services,'

A-14. Secretary of State

Secretary of State Link:

A-15. Proof of Ohio Employee and Office

Provide proof of an Ohio Office and Employee in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address

Employee Name: Jay Jordan
9740 Concord Rd
Dublin, OH 43017
US
jjordan@uetllc.com
6143563817

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

File Attached

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements



and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

File(s) attached

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

File Attached

B-4. Disclosure of consumer protection violations

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No

B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting



Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is



expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

File(s) attached

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

This does not apply.

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?



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- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

Merger Information: United Energy Trading purchased PESCO's non-Florida retail operations and contracts in September 2019.

AmGas – On or about January 1, 2023, UET purchased A M Gas Transfer Corporation and A M Gas Marketing Corporation, both Colorado companies.

GPT – On or about December 31, 2021, UET purchased 51% of GPT Holding, Inc., a California corporation.

Encore – On or about August 1, 2022, UET purchased contractual assets from Encore Energy Services, Inc., a Nebraska corporation.

Bullhorn – On or about November 19, 2021, UET purchased Bullhorn Petroleum, LLC, a Texas company.

C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

File(s) attached

C-9. Financial arrangements

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or



letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.
2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal **in the opinion of the Staff reviewer** to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

Preferred to file confidentially

Section D: Applicant Technical Capacity

D-1. Operations

Gas Marketers: Describe the operational nature of the applicant's business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

File(s) attached

D-2. Operations Expertise & Key Technical Personnel



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Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

File(s) attached



Public Utilities
Commission

Application Attachments

Entity#: 1979328
Filing Type: FOREIGN LIMITED LIABILITY COMPANY
Original Filing Date: 11/24/2010
Location: --
Business Name: KRATOS GAS & POWER, LLC (UNITED ENERGY TRADING, LLC)
Status: Active
Exp. Date: -

Agent/Registrant Information

BRIAN HERBETH
4905 LAKE AVE4 FLOOR
CLEVELAND OH 44102
11/24/2010
Active

Filings

Filing Type	Date of Filing	Document ID
FOREIGN LLC – CERTIFICATE OF REGISTRATION	11/24/2010	201033300811

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF SECRETARY OF STATE

I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State.



*Witness my hand and the seal of the
Secretary of State at Columbus,
Ohio this 22nd of February, A.D. 2023*
Ohio Secretary of State

Frank LaRose

Entity#: 1880213
Filing Type: FOREIGN LIMITED LIABILITY COMPANY
Original Filing Date: 08/31/2009
Location: --
Business Name: UNITED ENERGY TRADING, LLC

Status: Active
Exp. Date: -

Agent/Registrant Information

JAY JORDAN
7132 BRODIE BLVD.
DUBLIN OH 43017
08/31/2009
Active

Filings

Filing Type	Date of Filing	Document ID
FOREIGN LLC – CERTIFICATE OF REGISTRATION	08/31/2009	200924301874

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF SECRETARY OF STATE

I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State.



*Witness my hand and the seal of the
Secretary of State at Columbus,
Ohio this 22nd of February, A.D. 2023
Ohio Secretary of State*

Frank LaRose

Exhibit B-2 Experience and Plans

Kratos Gas & Power has experience with contacting customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints, as exemplified by the success of its retail operations in California, Ohio, Michigan, Nebraska, and Wyoming.

Kratos plans on employing a variety of methods to reach customers including call centers, internet marketing and traditional marketing methods.

United Energy Trading has expertise in the full spectrum of natural gas marketing including processing, producer services, end-use markets, storage management, and wholesale marketing. The company will be able to fulfill any requirements in Ohio.

We have a robust billing system in place that we are currently using in California, Ohio, Michigan, Nebraska, and Wyoming that is fully capable of continuing to meet the needs of our Ohio business.

Kratos Gas & Power has a full staffed call center dedicated to proactively and effectively handling customer inquiries and complaints.

Additionally, United Energy Trading has put in place a compliance program that includes:

- A regular review of sales calls and third-party verification calls used to enroll customers and ensure compliance with Ohio Adm.Code 4901:1-29-03 through 4901:1-29-06;
- An audit program for reviewing randomly selected enrollments for compliance when using a third-party contractor;
- Identification of company employee(s) who will be responsible for oversight of this plan; and
- A review schedule that includes a list of any concerns or patterns identified during the reviews.

Exhibit B-1 Jurisdictions of Operation

United Energy Trading, LLC (Kratos Gas & Power, Collective Energy, Uncle Frank Energy Services) is currently providing retail natural gas in Ohio, California, Oregon, Washington, Texas, Oklahoma, Michigan, Missouri, Kansas, Georgia, Nebraska, Wyoming, Delaware, Pennsylvania, Maryland, Virginia, DC and Colorado

Exhibit C-4 Credit Rating

UET is not rated by S&P or Moody's debt rating services as it has no long-term debt and is a privately held company.

Exhibit D-1 Operations

UET's operations include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas city gate for retail customers.

Exhibit D-2 Operations Expertise

As evidenced by its success over the past ten years, Kratos is fully capable of providing retail natural gas service in Ohio. UET has extensive experience in the Ohio area and currently services approximately 125,000 retail customers in Ohio. UET's scheduling group has extensive experience in Ohio and currently nominates all gas supplied to Kratos' customers. Our accountants and back office have experience in both wholesale and retail natural gas transactions.

Mike Huggins

Managing Director of Natural Gas Hedging, NW Rockies & Retail

303-489-4003

mhuggins@uetllc.com

Mike graduated from the University of Illinois with a degree in statistics and started his career in 1995 at Western Gas Resources as a Financial Analyst. Two years later, Mike began trading derivatives at Western. He became WGR's lead financial trader shortly thereafter trading Nymex, Basis, and Options, with a focus on the Rockies.

In 2007, Mike was hired by High Sierra Energy as their risk officer. He went on to help create and manage High Sierra's wholesale gas marketing group.

Mike joined UET in March 2009. Mike helps manage Collective Energy, Kratos Gas and Power, and Uncle Frank Energy Services – the Retail Energy Marketing divisions of UET. Mike is also part of a group who manages Green products such as RNG, Carbon Offsets, and REC's nationwide. He also helps with natural gas storage and wholesale gas marketing in the Rockies West region.

Brian Potts

Director of Natural Gas NW

303-704-1465

bpotts@uetllc.com

Brian Potts started his career in the energy industry in 2001 with Aquila Merchant Services in Kansas City, MO as a Gas Scheduler, and moved to Gas Trading within the year. He then joined Southwest Gas Corp in Las Vegas, NV as a Specialist in the Gas Purchases and Transportation division. In 2006, Brian joined United Energy Trading, LLC. Currently, Brian helps manage Collective Energy, Kratos Gas and Power, and Uncle Frank Energy Services – the Retail Energy Marketing divisions of UET. He is part of a group that manages Green products such as RNG, Carbon Offsets, and REC's nationwide. Additionally, Brian helps manage natural gas storage and wholesale gas marketing in the Rockies/West region. Brian has scheduling and trading experience on gas pipelines and hubs throughout the Rockies, Pacific Northwest, Southwest, California, and AECO areas. Brian is a graduate of the University of Kansas, with a Bachelor of Arts degree in Psychology.

Serafin Barayazarra

Director of Natural Gas NW

303-919-1140

sbarayazarra@uetllc.com

Serafin started his career in the natural gas business in 1999 as a financial staff accountant with Western Gas Resources, Inc. where he was responsible for preparing the monthly financial reports for eight natural gas and liquid plants.

In 2000, Serafin made the move from accounting to marketing as a financial analyst, where he was responsible for tracking the daily futures and derivative trading activities for WGR's corporate financial trading desk.

In 2001, he made the move from the financial side of the business to the physical side as an Associate Gas Marketing Representative. His duties included providing analytical support to the physical gas marketing team.

In 2005, Serafin joined WGR's gas marketing team where he was responsible for maximizing sales revenue for WGR's Rockies production as well as monetizing storage and transportation contracts in the Rockies region and in Canada.

In 2007, Serafin left WGR/Anadarko to join High Sierra Energy, LLC. in their efforts to start up a wholesale natural gas group.

In the spring of 2009, Serafin left High Sierra Energy to join United Energy Trading, LLC. where he is currently working with the retail natural gas group. Helping to manage CALlective Energy, Kratos Gas and Power and Uncle Frank Energy Services.

Stephen Shortell

Manager – Retail Gas Operations

303-888-1827

sshortell@uetllc.com

Steve found his way to the oil and gas industry after spending over 10 years in financial services where he focused on serving the needs of individual customers. In 2011, he joined United Energy's risk group where he was responsible for ensuring accuracy in United Energy's accounts payable. As a dedicated Manager, Steve is responsible for servicing the needs of United Energy's retail natural gas customers; both internal and external. Steve is committed to delivering the highest level of customer service to each and every retail natural gas customer and ensuring their

questions and concerns are addressed timely, professionally and to the customer's satisfaction. In addition to overseeing the operations side of the business, Steve also is learning the gas marketing side as well. Steve holds a Bachelor of Science in Business Administration degree with a concentration in Finance from the University of Northern Colorado.

Jim Stilling

Director, Origination Midwest

312-446-7105

jstilling@uetllc.com

Jim has been involved in various aspects of the energy industry for over 35 years. He started his career in accounting and corporate planning with Peoples Energy and MidCon Corp. From there, Jim moved into unregulated marketing with MidCon Marketing and has held management and gas origination positions with Aquila, Peoples Energy, Integrys and NextEra. Over this period, Jim has compiled an outstanding track record of innovative customer solutions. With United Energy Trading, Jim is focused on originating new opportunities with utilities, power plants, producers, industrials and municipalities.

Jim has a BBA in Finance from St. Norbert College, an MBA in Corporate Finance from DePaul University and holds an Illinois CPA certificate.

Jay Jordan

Director of Marketing, Appalachia

614-356-3817

jjordan@uetllc.com

Jay started his career with United Energy Trading, LLC in May of 2013. He serves as Director of Appalachia. Jay started his career in 1993 at Columbia Gas of OH and has extensive industry experience ranging from scheduling, financial and physical trading, asset management, and risk management. Prior to joining UET, Jay worked for Columbia Distribution Companies, Statoil, Enron, Aquila, and Integrys Energy primarily in a scheduling or trading role. Jay graduated from The Ohio State University with a Bachelor of Science in Accounting and Finance.

Brad Freeman

Director of Asset Management & Marketing

832-698-8119

bfreeman@uetllc.com

Brad Freeman has more than 30 years of experience in the operations, marketing, strategic planning and optimization of natural gas assets, gas supply, and LNG. Extensive experience in

pipeline, storage, supply and demand modeling across North America with strong relationships with LDCs, marketers, power generators and industrial customers. Brad started his career with Atlanta Gas Light Company working his way up through Gas Control from Engineer to Director, Gas Operations spending 10 years in Gas Control/Operations at the utility. In 2001, Brad transitioned to the AGL affiliate Sequent Energy Management. For the next 13 years, Brad modeled, managed and optimized multi-year natural gas asset management deals in all regions of the US and Canada. In 2013, Brad worked at Wood Mackenzie modeling natural gas pipeline infrastructure and basis for pipeline project, supply and demand changes across North America. In August 2014, Brad joined UET as Director, Asset Management and Marketing. Brad is focused on growing the asset management transactions for UET across the country but with primary focus in the east. Brad has a Bachelor of Industrial Engineering degree from the Georgia Institute of Technology.

Al Gallo

Managing Director – Northeast

302-485-0688

agallo@uetllc.com

Al brings to UET a wealth of experience in the energy industry in various capacities including financial and physical energy trading, marketing, and origination. Al started his career in natural gas marketing at O&R Energy/Norstar in 1993 where his initial focus was scheduling natural gas in the Mid-Atlantic Region. It was after joining Louis Dreyfus Energy Corp. (LDEC) in 1996 where Al transitioned into purchasing supply for term markets and trading physical gas on Texas Eastern and Transco pipelines. After LDEC, Al moved to Delmarva Power/Conectiv Energy where he traded financial and physical energy products for the Mid-Atlantic Region. For 13 years, Al managed the forward fuel supply for Conectiv's power plants throughout DC, DE, MD, NJ, and PA. In 2010, Al moved to Constellation/Exelon in Baltimore where he managed the financial trading and physical supply for Constellation's power plants throughout the Mid-Atlantic and New England regions. In 2015, Al moved to Chesapeake Utilities where he was responsible for building Chesapeake's natural gas marketing affiliate's, PESCO, wholesale and retail presence in the Mid-Atlantic and Ohio Valley.

Al joined United Energy Trading, LLC (UET) in January 2020 as Managing Director, Northeast. In this role he leads all aspects of UET's Northeast Retail book, including natural gas supply, trading, marketing, and sales. Al is a graduate of the University of Delaware for his BA and his MBA in Finance.

Sharran Patterson

Natural Gas Trader/Scheduler

609-850-1307

Sharran began her career in the natural gas industry with South Jersey Gas Company as Manager of Payroll in 2005, and later moved into a position with South Jersey Resources (SJR) group,

subsidiary of South Jersey Industries, as a natural gas scheduler in 2011. Prior to working in the natural gas industry, Sharran was employed for over 10 years with Atlantic City Electric Company (an Exelon Corporation) in various accounting roles. Sharran has extensive scheduling experience, having scheduled on most of the northeast pipes including TGP, Iroquois, Dominion, Transco, TETCO, TCO, and National Fuel. In 2013, Sharran was promoted to Scheduler Manager and managed scheduling operations for SJR's New Jersey and Houston offices. In January of 2019, Sharran accepted an offer with Peninsula Energy Services Company (PESCO) as a Supply and Transportation Manager, where she was responsible for trading, scheduling, and optimization of pipeline assets.

In November of 2019, Sharran joined United Energy Trading, LLC. as a Northeast Trader/Scheduler for Western Pennsylvania and AB retail books, and has been very active in supporting UET's presence in the Northeast.

Sharran has a Bachelor's of Accounting Degree from Franklin Pierce University and a Master's Degree in Business Administration from University of Phoenix.

Johnny Wilson

Natural Gas Scheduler

832-444-5031

jwilson@uetllc.com

Jonny Wilson has been in the energy industry for over 12 years and worked in a variety of roles including Scheduling, Marketing & Origination, Biogas Origination, and Fundamental Analysis. After graduating from Texas A&M University with a Bachelors in Accounting and Masters in Finance, he began his career in Houston, TX with BP before moving to Irvine, CA. After 9 years with BP he moved on to Antero Resources in Denver, CO as a Gas Scheduler for 1.5 years before joining United Energy Trading as a Gas Scheduler in May 2019.

Dave Sandy

Financial Controller

701-214-6458

dsandy@uetllc.com

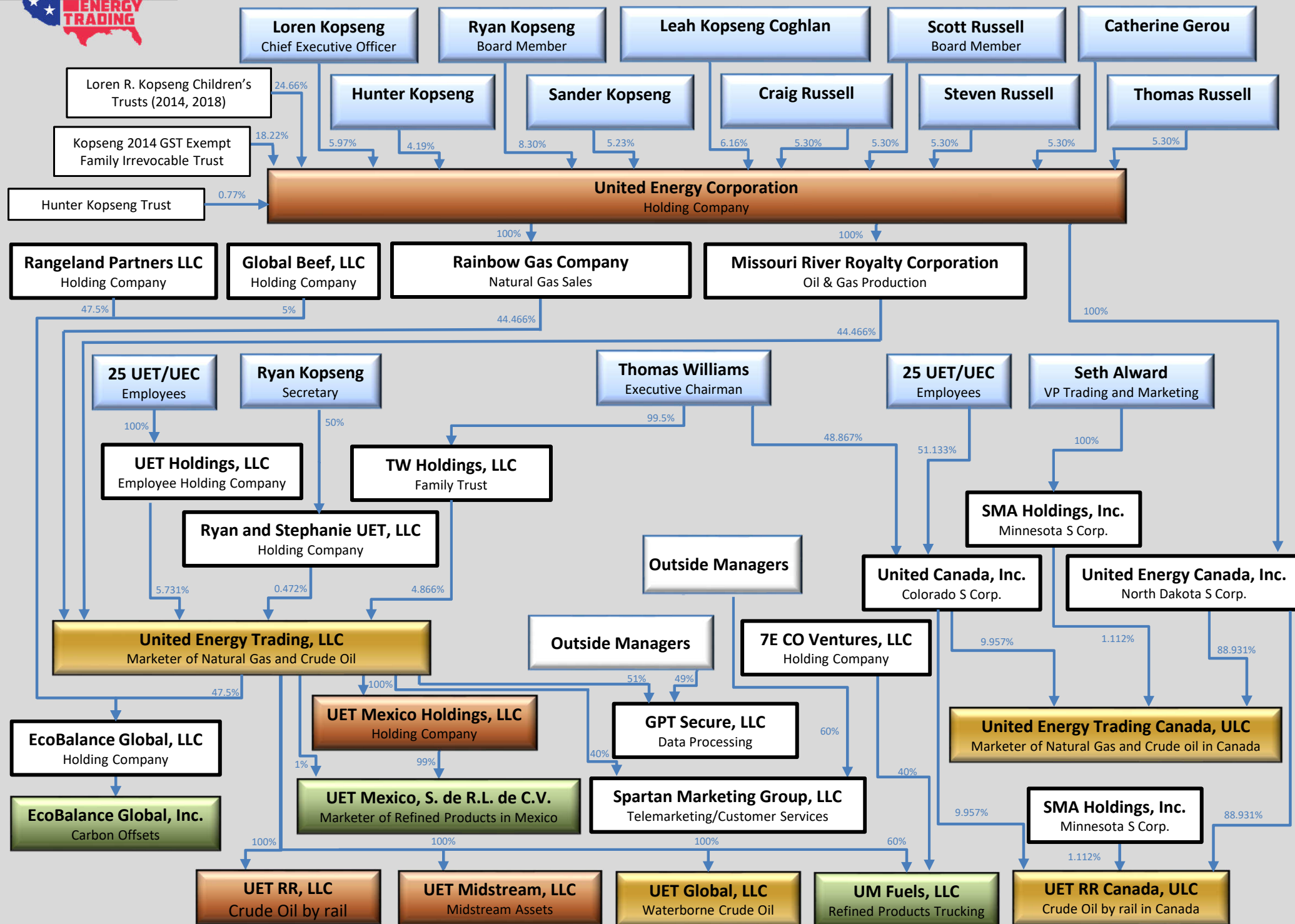
Dave Sandy is the Financial Controller for United Energy Trading. Dave oversees a staff of eleven that handles volume actualizing, invoicing, settlements, cash management, foreign exchange, imports, gross production taxes, excise taxes and sales tax reporting. Dave came to UET in August 2006 after a six year stint working for the State of North Dakota. Dave received his bachelor's degree in accounting from the University of North Dakota. The UET accounting office is headquartered in Bismarck, ND with its parent company, United Energy Corporation.

Exhibit B-3 Disclosure of Liabilities and Investigations

On November 9, 2018, UET received correspondence from the Ohio Public Utilities Commission regarding a staff investigation. UET responded to this inquiry on December 3, 2018.

As of March 2, 2021, UET does not have any liabilities or open investigations.

As of May 2, 2022, UET received a formal customer complaint from the Ohio Public Utilities Commission. A settlement was offered to the customer with no response received from the customer. I believe this case may still be open.



Competitive Retail Natural Gas Service Affidavit

County of Burlingame :

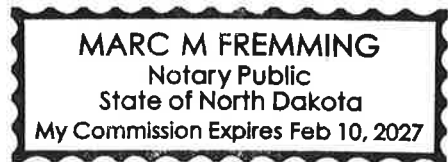
State of North Dakota :

Loren Kopseng, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

10. Affiant further sayeth naught.

[Signature] Loren Kopseng CEO
Signature of Affiant & Title



Sworn and subscribed before me this 3rd day of March, 2023
Month Year

[Signature]
Signature of official administering oath

Marc Fremming, Notary Public
Print Name and Title

My commission expires on 2/10/27

**This foregoing document was electronically filed with the Public Utilities
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in

Case No(s). 11-0027-GA-CRS

Summary: In the Matter of the Application of United Energy Trading LLC