



DIS Case Number: 17-0064-GA-AGG

Section A: Application Information

A-1. Provider type:

☒ Retail Natural Gas
Broker

☐ Retail Natural Gas
Aggregator

☐ Retail Natural Gas
Marketer

A-2. Applicant's legal name and contact information.

Legal Name: National Utilities Refund LLC

Phone: 8006871968

**Extension (if
applicable):**

Country: United States

Street: 660 Delaware Ave, #351

Website (if any):

www.nationalutilitiesrefund.com

City: Hellertown

Province/State: PA

Postal Code: 18055

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
National Energy	DBA	660 Delaware Ave, #351 Hellertown, PA 18055	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
National Energy	DBA	660 Delaware Ave, #351 Hellertown, PA 18055	Yes	File



Public Utilities Commission

A-5. Contact person for regulatory matters

Vincent A. DiMaio
660 Delaware Ave, #351
Hellertown, PA 18055
US
info@nationalutilityltd.com
8006871968

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Vincent A. DiMaio
660 Delaware Ave, #351
Hellertown, PA 18055
US
info@nationalutilityltd.com
8006871968

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: 800-687-1968	Extension (if applicable):	Country: United States
Fax:	Extension (if applicable):	Street: 660 Delaware Ave, #351
Email: info@nationalutilityltd.com		City: Hellertown
		Province/State: PA
		Postal Code: 18055

A-8. Applicant's federal employer identification number

45-3825531

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection



Public Utilities Commission

Columbia Gas of Ohio
Dominion Energy Ohio
Duke Energy Ohio
CenterPoint Energy Ohio

Class of customer selection

Industrial
Small Commercial
Large Commercial

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 02-01-2021

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Vincent DiMaio	vince@nationalutilityltd.com	President	660 Delaware Ave #351 Hellertown, PA 18055 US

A-13. Company history

National Utilities Refund LLC, 'NUR', DBA National Energy, is a utility bill auditing firm that uncovers billing discrepancies within the utility invoices for commercial organizations. NUR was formed in 2011 by Ralph DiMaio and Vincent DiMaio and has grown to service over 300 active customers across the country. In 2012, NUR created the DBA National Energy and is licensed to broker electricity and natural gas in OH, PA, MD, DC, and IL. The DBA National Energy was created and is used when procuring energy rates for customers in order to eliminate any potential customer confusion between our other utility auditing services.

A-14. Secretary of State

Secretary of State Link:

A-15. Proof of Ohio Employee and Office



Provide proof of an Ohio Office and Employee in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address

Employee Name: Michael Reinhold
119 East Court Street
Cincinnati, OH 45202
US
michael@corpcreations.com
5616948107

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

Jurisdiction of Operation: National Utilities Refund LLC DBA National Energy is currently licensed to broker natural gas in PA, MD, DC, OH, TX, VA, and IL.

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

File(s) attached

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

Liability and Investigations Disclosures: None. Not applicable

B-4. Disclosure of consumer protection violations

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No

B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements



Public Utilities Commission

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating



Public Utilities Commission

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No



C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

Stand-alone entity with no affiliate or subsidiary companies

Section D: Applicant Technical Capacity

D-1. Operations

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

File(s) attached

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

File(s) attached



Public Utilities
Commission

Application Attachments

EXHIBIT B-2

Experience and Plans

Sales, Marketing, and Customer Service Plans

The majority of NUR's new business is derived from word of mouth and customer referrals. In return, customer satisfaction is our number one priority. And, as our client's utility advocate, we are fully aware of the importance of delivering on our promises – it's key to maintaining our reputation as an industry leader in customer satisfaction. We strive to develop longstanding business relationships and our vision is to consistently meet the evolving needs of our customer's.

National Utilities Refund LLC, "NUR", DBA National Energy offers electric supply contracts to our current clientele and prospects new customers through our established marketing techniques. We currently acquire new clients through face-to-face direct marketing and we will continue to prospect customers through the same means as a licensed electric broker. Currently, Ralph DiMaio and Vincent DiMaio actively pursue new customers by attending trade shows, industry associations, and local networking events. In addition, we have four independent sales contractors that perform the same sales techniques in the tristate area.

Ralph DiMaio is in charge of sales and marketing training for the company as well as the independent sales contractors. In order to ensure that all marketing is performed in an ethical manner, Ralph developed a sales system and training manual for our independent contractors. In addition, he continually educates them by hosting bi-weekly sales training calls. Ralph also performs follow-up calls with each customer and asks about their experience working with us to see if there is anything we can improve on.

Customer Complaints

In order to limit customer complaints, National Energy will use a systematic sales approach to promote transparency and ensure customer satisfaction. In addition, several checks and balances will be established in order to prevent errors. For example, if the customer is unsure of their contract end date with their current supplier, National Energy can assist the customer by reviewing their current supply agreement.

Customer Complaints can be filed via mail, phone, and/or fax. All customer complaints will promptly be addressed by Ralph DiMaio and/or Vincent DiMaio within 24 hours. In the event a customer's complaint involves an error billed by the energy supplier we recommended, we will resolve the issue with the supplier for the customer.

EXHIBIT B-2

Summary of Experience and Plans (cont.)

National Utilities Refund LLC, “NUR”, DBA National Energy, is a utility bill auditing firm that uncovers billing discrepancies within the utility invoices for commercial organizations all over the country. NUR has experience verifying electric and natural gas tariffs/rate schedules in deregulated and regulated energy markets. Our utility bill audit will verify the most cost efficient rate schedule for a business, determine the accuracy of their historical meter reads, confirm whether or not a meter was properly installed, and uncover sales tax exemptions for eligible organizations. In addition, NUR verifies the accuracy of 3rd party energy supplier bills and agreements. We can verify if an energy supplier met the contracted start/end dates, audit the contract supply rate versus the actual billed rate, uncover hidden pass-through charges, and rectify sales tax billed to exempt organizations. Having this type of knowledge is crucial to be a successful energy broker.

In 2012, NUR created the DBA National Energy in order to offer energy procurement services to our current customers. The DBA National Energy was created and is used when procuring energy rates for customers in order to eliminate any potential customer confusion between our other utility services. National Energy is contracted to procure electric and natural gas in PA, MD, DC, IL, and OH and we are familiar with all of the electric and gas utility tariffs in each state. National Energy services approximately 30+ businesses in Ohio from, medium to large businesses, less than 2,000,000 kWh, and none of the customers have been aggregated to combined electric loads. This is due to the difficulty of arranging multiple customers to agree to one agreement. In return, National Energy does not intend to aggregate customers and/or accounts that are unrelated to each other.

When National Energy submits customer contracts to the supplier for processing, National Energy also performs a complimentary review for our customers after they receive their first supply bill from the supplier they chose. The “post procurement review” helps ensure that the customer’s bill coincides with the supplier agreement. Unfortunately, some energy suppliers seem to be more error prone than others. With an energy broker license, National Energy has control over which suppliers we represent and recommend to our customers which will increase customer satisfaction and eliminate customer complaints.

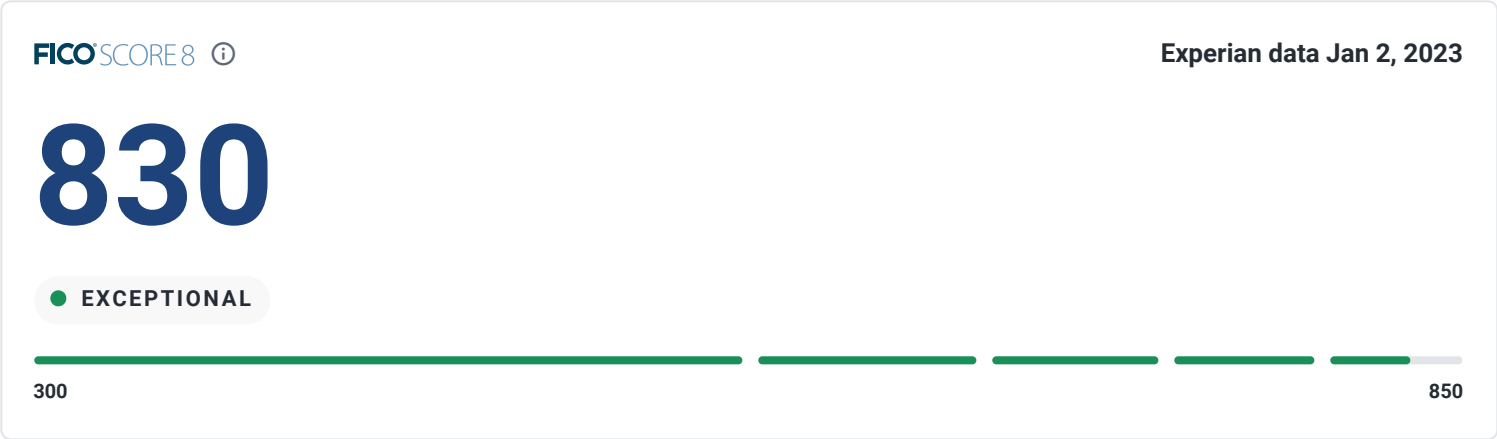
*Resumes listing industry experience for Ralph DiMaio and Vincent DiMaio have also been attached.



← Your credit reports

Experian Equifax TransUnion

Experian credit report
VINCENT A DIMAIO
As of Jan 2, 2023 ▾



Personal information

NAME
VINCENT A DIMAIO

ADDRESSES
1549 JAKES PL

ALSO KNOWN AS

-

GENERATIONAL IDENTIFIER

-

YEAR OF BIRTH

1988

HELLERTOWN, PA 18055-2641

PO BOX 351

HELLERTOWN, PA 18055-0351

143 SUSQUEHANNA TRL

ALLENTOWN, PA 18104-8582

EMPLOYERS

NATIONAL UTILITIES REFUND LLC

PERSONAL STATEMENTS

NO STATEMENT(S) PRESENT AT THIS TIME

Quick actions



Your credit file is unlocked

Manage Experian CreditLock



Print your report

Open a printable version



File a dispute

Visit the dispute center

You have 8 open accounts

Total balance: \$883,427

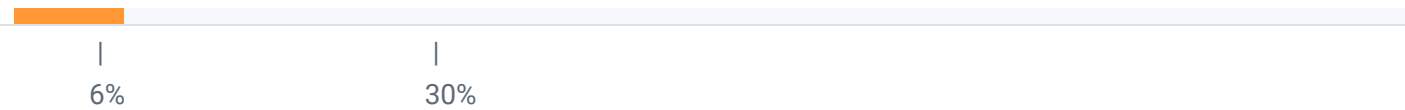
Credit cards or credit lines

Total balance: \$3,088

8% credit usage ⓘ

Credit used: **\$3,088**

Credit limit: **\$38,500**



DISCOVER BANK



 Link card name | What's this?

Balance

\$1,618

Balance updated

Dec 09, 2022

JPMCB CARD



 Link card name

Balance

\$718

Balance updated

Dec 23, 2022

SYNCB/LOWES



 Link card name

Balance

\$752

Balance updated

Dec 16, 2022

Add to your \$38,500 credit limit

You could improve your credit usage and increase your FICO® Score with a new card. Check out offers matched just to you.



Explore credit cards

2 closed accounts ▼

Auto loans or leases


Total balance: \$16,801


	FIRST COMMONWEALTH FCU >	
	Balance	\$16,371
	Balance updated	Dec 31, 2022

4 closed accounts ▾

Real estate loans

Total balance: \$858,286

	FIRST COMMONWEALTH FCU >	
	Balance	\$136,993
	Balance updated	Dec 31, 2022
	PENNYMAC LOAN SERVICES >	
	Balance	\$195,444
	Balance updated	Dec 05, 2022



PENNYMAC LOAN SERVICES

Balance

Balance updated

\$525,849

Dec 05, 2022

>

3 closed accounts ▾


Student loans

Total balance: -

12 closed accounts ▾

Personal loans

Total balance: \$5,252



SHEFFIELD FINANCIAL CO

Balance

Balance updated

\$5,252

Nov 30, 2022


>

0 collections

Experian has no collections on file for you as of Jan 02, 2023. If you fall behind on payments, your lender or service provider may sell your debt to a collections agency, so remember to pay on time.

3 inquiries

These lenders have accessed your credit file:



CREDCO


Inquiry date

Dec 22, 2020

Removal date

Jan 2023

>



CREDCO/CORELOGIC


Inquiry date

Dec 29, 2020

Removal date

Jan 2023

>



FIRST COMMONWEALTH FCU

Inquiry date

Apr 27, 2022

Removal date


May 2024

>

Your Experian credit file is unlocked.

Locking your credit file can help prevent unauthorized access.

More on CreditLock





Keep in mind, not all inquiries are factored into your FICO® Score. Inquiries stay on your credit report for two years but only impact your FICO Score for the first year. Also, FICO may group loan inquiries together so you aren't penalized for shopping for a better rate.

0 public records

This is where public records, such as bankruptcies, would appear on your report.



See all 3 FICO® Scores

Your credit information at the three credit bureaus can be different. Add your Equifax® and TransUnion® FICO® Scores today.

[Review my 3 FICO® Scores](#)



What's a credit report?

Your credit report is a detailed record of all your credit and debt accounts.

It contains info about how much debt you have, how often you pay your credit and debt bills on time, and how long you have been managing your credit accounts.



CREDIT

Our credit bot is ready to help

Dig deeper into the factors that make up your FICO® Score and get tips on reaching your credit goals.

Learn more

Services



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- Personal Finances BETA
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Loans

Auto

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Experian CreditLock

Score Planner

Score Simulator

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EXHIBIT D -1

Business Operational Plans

Prospecting

- *New Customers:* Direct Marketing Techniques IE: Networking events, referrals, and trade shows. No mass marketing techniques or mailers, only direct forms of communication through phone and email. Schedule meeting for a sales presentation.
- *Current Clients:* Inform current clientele that National Energy is a licensed broker and schedule an appointment to go over the client's current energy procurement needs.

Sales Presentation

- Introduce National Energy, understand customer's needs, and go over various electric rate options; variable, fixed, block & index.
- Identify customer's current contract end date or obtain a copy of the customer's current agreement if the customer is unsure.
- Present a letter of authorization for the customer to grant National Energy permission to obtain pricing from various electric suppliers.
- Obtain a copy of the customer's energy bills and/or list of accounts to submit for pricing.
- Schedule an appointment on a future date to go over the rates with the customer.

Procure Electric Rates

- Compile the customer's accounts and billing information into an excel document and note any specific pricing requests.
- Send the customer's account data to various electric suppliers who can fulfill the customer's specific needs and rate requests.
- Compile the supplier's rates into a proposal as we receive them.
- Verify whether or not each supplier's rate can be compared apples-to-apples. If not, note the difference between the rates; does not include pass-through charges, GRT, and/or etc.
- Obtain a copy of the supplier's electric agreement for the customer to review.

Present Supplier Rates

- Present a report to the customer that compares all of the supplier rate options so they can make an informed decision.
- If the customer chooses to move forward with an electric rate, help the customer complete the supplier's agreement.
- Send the supplier a copy of the executed agreement and any other required documents.
- If the customer declines the rates, inform the suppliers and schedule a date to refresh the rates for the customer, unless they chose another supplier.

Post-procurement Review (Optional)

- Per the customer's request, obtain a copy of their supplier bill to verify that it is being billed in accordance with the supplier agreement and make sure the tax exemption certificates properly transferred over, where applicable.
- If a billing error is uncovered on the supply charges, notify the supplier and perform the necessary follow-up work to get the error corrected and credited on the customer's invoice.
- Note the customer's contract end date and update CRM for renewal follow-up.

EXHIBIT D-2

Operations expertise and key technical personnel

The two owners of National Utilities Refund, "NUR" bring over 40 years of combined experience in the utility industry. Ralph and Vincent co-founded NUR and successfully managed audit operations and energy sales since 2011. Prior to NUR, Vincent DiMaio received training from a national utility bill auditing firm and successfully performed utility bill audits for over 3,000 organizations to date. Before founding NUR, Ralph DiMaio was a branch sales manager for 22 years at a utility construction company. Today he manages the sales operations and customer relations at NUR for over 400 energy contracts.

NUR has 9 years of experience brokering energy and is currently licensed to broker energy in PA, MD, OH, DC, VA, and IL. Currently, we manage over 400 energy supply contracts and our customer retention is greater than 95%. The majority of NUR's customers are medium to large businesses less than 2,000,000 kWh, we do not service residential customers. NUR offers a variety of energy rate plans; fixed, variable, block and index, and blended products. The supplier options are always presented in an apples-to-apples format to help the customer make an informed decision. In addition, NUR will analyze the customer's historical energy use to assist the customer in choosing a product that best fits their load profile.

NUR has strategically remained a small business and we do not have affiliations with other companies. As a family run business, our number one priority is customer satisfaction. By limiting the number of customers we service, we can ensure that every customer is properly serviced.

Attached are resumes for the two owners of National Utilities Refund.

Vincent A. DiMaio

143 Susquehanna Trail • Allentown, PA 18104
Phone: 610.462.9818 Email: vince@nationalutilityltd.com

SUMMARY

President and lead utility bill auditor at National Utilities Refund, LLC, DBA National Energy, performed hundreds of utility audits for a wide range of businesses, including fortune 500 companies and local government entities. He holds a BSBA in Entrepreneurship and Marketing from John L Grove School of Business at Shippensburg University. Vincent is experienced in analyzing and verifying electric and gas tariffs in over 40 states including Canada. Vincent has experience working with public, private, and municipally owned utilities, and he has uncovered errors billed by some of the largest utility companies in the country as well as the fly-by-night ESCOs. Vincent has a proven track record auditing commercial customers and he untangled extremely complex energy billing errors, resulting in high five-figure recoveries for our clients.

PROFESSIONAL EXPERIENCE

National Utilities Refund LLC DBA National Energy- President

Nov 2011 – Present

1717 Main Street, 2nd Floor, Northampton PA 18067

6216 Vista Terrace, Orefield PA 18069

➤ Operations

- Identify the most cost efficient electric, natural gas, and water/sewer rate classification
- Determine whether or not a time-of-use rate schedule is advantageous for a business
- Verify historical meter reads for demand errors, skipped meters, and additional overcharges
- Implement and present findings to the utility and customer
- File state sales tax overpayments with the State for exempt organizations

➤ Sales & Marketing

- Increased Sales by 49% from 2014 to 2015 through direct-to-consumer online marketing

➤ Product Development

- Developed and programmed an in-house platform for utility bill auditing and rate schedule verification

➤ Audit Abilities

- Solid understanding of electric and gas tariffs
- Experienced verifying energy supplier agreements, assuring proper contract start and end dates
- Thoroughly educated on the state sales tax exemption requirements for qualified government, nonprofit, and educational institutions.
- Knowledgeable on the various 3rd party supplier contracts: fixed, variable, index, and block & index

➤ Key Accomplishments

- 100% success rate filing refunds through the State for utility state sales tax exemptions
- Uncovered, \$60,000 in LG&E electric rate schedule errors for a large insurance company in 2015
- Identified errors and recovered funds for 80% of the municipalities NUR audited in Pennsylvania
- Implemented a time-of-use rate schedule for Little Caesar's restaurants.

Ralph R. DiMaio

6216 Vista Terrace • Orefield, PA 18069

Phone: 610.440.2300 Email: Ralph@nationalutilityltd.com

Company Biography

Member of National Utilities Refund, LLC, DBA National Energy, and lead auditor on energy efficiency, bringing over 30+ years as a consultant within the utility and construction industry. Ralph has a thorough background in water, sewer, and energy tariffs and he has broken down and redesigned some of the most complex manufacturing and waste water energy projects in the Northeast. With this experience, Ralph is able to bridge a connection between a client's energy consumption and the most cost efficient rate option by analyzing their run hours and machine use. In most states, a manufacturer may be entitled to sales tax exemption on their electric and natural gas; Ralph is experienced in calculating and filing the necessary documentation for a manufacturer to properly claim a partial or full state tax exemption on the utilities.

Company Experience

National Utilities Refund LLC DBA National Energy- Partner

Nov 2011 – Present

1717 Main Street, 2nd Floor, Northampton PA 18067

6216 Vista Terrace, Orefield PA 18069

➤ Operations

- Designing new sales and marketing material to expand into new markets
- Onsite customer visits to collect data on energy consumption
- Develop and engineer manufacturing energy tax exemptions

➤ Sales & Marketing

- Track and Record sales results
- Post audit follow-up for customer satisfaction
- Develop new customer relationships and expand sales through trade associations

➤ Product Development

- Capable of performing onsite visits to analyze energy consumption for lighting efficiency

➤ Audit Abilities

- Engineer detailed energy consumption reports in order to show the electric or gas usage attributable to a plant's manufacturing process.
- Experienced verifying 3rd party energy supplier agreements to compare contractual rates to billed rates.
- Thoroughly educated on the state sales tax exemptions requirements for manufacturers in over 20 States, including Pennsylvania.
- Analyzes energy consumption at a facility to determine the best supply contract for the customer: fixed, variable, index, and block & index.

➤ Key Accomplishments

- Audit with **Ultrasep** on water filtration technologies on separating contaminants from manufacturing processes.
- Designed drainage systems to handle drillings and steel scale for **Carpenter** technologies- reclassified rate schedule.
- Implemented digestion tanks for **Armstrong Flooring** to dispose toxins created from their manufacturing process.
- Consulting **The H&K Group** on controlling costs within their manufacturing processes.
- Identified a major rate schedule error on a **3M** modified Ink plant.



JON HUSTED
OHIO SECRETARY OF STATE

Toll Free: (877) SOS-FILE (877-767-3453) | Central Ohio: (614) 466-3910
www.OhioSecretaryofState.gov | busserv@OhioSecretaryofState.gov
File online or for more information: www.OHBusinessCentral.com

Please return the approval certificate to:

Name:

National Utilities Refund LLC

(Individual or Business Name)

To the attention of:

Vincent DiMaio

(If necessary)

Address:

PO BOX 332

City:

Fogelsville

State:

PA

ZIP Code:

18051

Phone Number:

800.687.1968

E-mail Address:

vince@nationalutilityltd.com

- ☒ Check here if you would like to receive important notices via e-mail from the Ohio Secretary of State's office regarding Business Services.
- ☒ Check here if you would like to be signed up for our Filing Notification System for the business entity being created or updated by filing this form. This is a free service provided to notify you via e-mail when any document is filed on your business record.

Please submit the required filing fee by completing the attached Credit Card Authorization Form or include a check or money order made payable to "Ohio Secretary of State" for the exact fee. Pursuant to Ohio law, overpayments of \$10.00 or less will not be refunded.

Type of Service Being Requested: (PLEASE CHECK ONE BOX BELOW)

- ☒ **Regular Service:** Only the filing fee listed on page one of the form is required and the filing will be processed in approximately 3-7 business days. The processing time may vary based on the volume of filings received by our office.
- ☐ **Expedite Service 1:** By including an Expedite fee of \$100.00, **in addition** to the regular filing fee on page one of the form, the filing will be processed within 2 business days after it is received by our office.
- ☐ **Expedite Service 2:** By including an Expedite fee of \$200.00, **in addition** to the regular filing fee on page one of the form, the filing will be processed within 1 business day after it is received by our office. **This service is only available to walk-in customers who hand deliver the document to the Client Service Center.**
- ☐ **Expedite Service 3:** By including an Expedite fee of \$300.00, **in addition** to the regular filing fee on page one of the form, the filing will be processed within 4 hours after it is received by our office, if received by 1:00 p.m. **This service is only available to walk-in customers who hand deliver the document to the Client Service Center.**
- ☐ **Preclearance Filing:** A filing form, to be submitted at a later date for processing, may be submitted to be examined for the purpose of advising as to the acceptability of the proposed filing for a fee of \$50.00. The Preclearance will be complete within 1-2 business days.



JON HUSTED
Ohio Secretary of State

Client Service Center

180 E. Broad Street, Suite 103

Columbus, OH 43215

(614) 728-9200

www.OhioSecretaryofState.gov | Records@OhioSecretaryofState.gov

Credit Card Authorization Form

Form Must Be Printed Or Typed

Cardholder Name

Vincent DiMaio

Address

Street

143 Susquehanna Trail

City

Allentown

State

PA

ZIP Code

18104

Credit Card Information

Credit Card Type

Visa

Card Number

4246315202802870

Expiration Month

02

Expiration Year

2018

CVV/Security Code

685



Form 533B Prescribed by:

JON HUSTED
OHIO SECRETARY OF STATE

Toll Free: (877) SOS-FILE (877-767-3453)

Central Ohio: (614) 466-3910

www.OhioSecretaryofState.gov

busserv@OhioSecretaryofState.gov

File online or for more information: www.OHBusinessCentral.com

Mail this form to one of the following:

Regular Filing (non expedite)

P.O. Box 670

Columbus, OH 43216

Expedite Filing (Two business day processing time.

Requires an additional \$100.00)

P.O. Box 1390

Columbus, OH 43216

Registration of a Foreign Limited Liability Company

Filing Fee: \$99

Form Must Be Typed

CHECK ONLY ONE (1) BOX

- (1) ☒ Registration of a Foreign For-Profit Limited Liability Company
(106-LFA)
ORC 1705

Jurisdiction of Formation

PA

Date of Formation

10/20/2011

- (2) ☐ Registration of a Foreign Nonprofit Limited Liability Company
(106-LFA)
ORC 1705

Jurisdiction of Formation

Date of Formation

Name of Limited Liability Company in its jurisdiction of formation

National Utilities Refund LLC

Name under which the foreign limited liability company desires to transact business in Ohio (if different from its name in its jurisdiction of formation) is:

National Energy

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd"

The address to which interested persons may direct requests for copies of the limited liability company's operating agreement, bylaws, or other charter documents of the company is:

Vincent DiMaio

Name

PO BOX 332

Mailing Address

Fogelsville

City

PA

State

18051

ZIP Code

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

Corporate Creations Network Inc.

Name

119 East Court Street

Mailing Address

Cincinnati

City

Ohio

State

45202

ZIP Code

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if:

- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Must be signed by an authorized representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

Signature

By (if applicable)

Print Name

National Utilities Refund LLC

Signature



By (if applicable)

Vincent DiMaio

Print Name

Signature

By (if applicable)

Print Name

Instructions for Registration of a Foreign Limited Liability Company

This form should be used if you wish to register a foreign for-profit or nonprofit limited liability company to transact business in Ohio.

If you wish to register a foreign for-profit limited liability company, please select box 1. If you wish to register a foreign nonprofit limited liability company, please select box 2. Please complete the entire form (as required) whether you have selected box 1 or box 2. Pursuant to Ohio Revised Code §1705.54(A)(2), indicate the state in which the foreign limited liability company was organized and the date of its formation.

Name of Limited Liability Company

The name of the foreign limited liability company, as registered in its state of organization, must be provided. Pursuant to Ohio Revised Code §1705.05, the name used in Ohio must include one of the following: "limited liability company," "limited," "LLC," "L.L.C.," "ltd." or "ltd".

Additional Provisions

If the information you wish to provide for the record does not fit on the form, attach additional provisions on a single-sided, 8 ½ x 11 sheet(s) of paper.

Address to Contact

An address to which interested persons may direct requests for copies of the articles of organization, operating agreement, bylaws, or other charter documents of the company must be provided pursuant to Ohio Revised Code §1705.54(A) (5).

Original Appointment of Statutory Agent

Pursuant to Ohio Revised Code §1705.54(A)(3), a foreign limited liability company must appoint a statutory agent to accept service of process on behalf of the company. We cannot accept articles of organization unless the statutory agent information is provided. The statutory agent must be one of the following: (1) A natural person who is a resident of this state; or (2) A domestic or foreign corporation, nonprofit corporation, limited liability company, partnership, limited partnership, limited liability partnership, limited partnership association, professional association, business trust, or unincorporated nonprofit association that has a business address in this state. If the agent is a business entity then the agent must meet the requirements of Title XVII of the Revised Code to transact business or exercise privileges in Ohio.

Consent Statement

Pursuant to Ohio Revised Code §1705.54(A)(4), by signing this document the limited liability company irrevocably consents to service of process upon the listed agent and to service of process upon the Ohio Secretary of State if an agent is not appointed, or an agent is appointed but the authority of that agent has been revoked, or the agent cannot be found or served after the exercise of due diligence.

Signature(s)

After completing all information on the filing form, please make sure that page 2 is signed by at least one authorized representative of the limited liability company.

****Note for Nonprofit LLCs**

Filing with the Ohio Secretary of State's office is not sufficient to obtain state or federal tax exemptions. The Secretary of State does not grant tax exempt status. You must contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions.

****Note: Our office cannot file or record a document which contains a social security number or tax identification number. Please do not enter a social security number or tax identification number, in any format, on this form.**

Competitive Retail Natural Gas Service Affidavit

County of Northampton :

State of PA :

Vincent A Di Maio, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
10. Affiant further sayeth naught.

Vincent A Di Maio President
Signature of Affiant & Title

Commonwealth of Pennsylvania - Notary Seal
YOLANDA PINTO - Notary Public
Lehigh County
My Commission Expires November 24, 2024
Commission Number 1199621

Sworn and subscribed before me this 6th day of Jan, 2023
Month Year

Yolanda Pinto
Signature of official administering oath

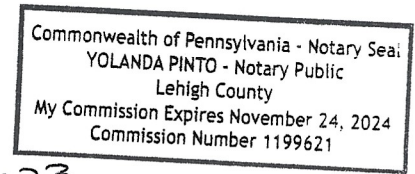
Yolanda Pinto Notary
Print Name and Title

My commission expires on 11-24-24.

12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught.

[Signature], President
Signature of Affiant & Title



Sworn and subscribed before me this 6th day of Jan, 2023
Month Year

[Signature]
Signature of official administering oath

Yolanda Pinto Notary
Print Name and Title

My commission expires on 11-24-24

**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

1/6/2023 1:30:44 PM

in

Case No(s). 17-0064-GA-AGG

Summary: In the Matter of the Application of National Utilities Refund LLC