

DIS Case Number: 20-1807-GA-AGG

Section A: Application Information

A-1. Provider type:

☒ Retail Natural Gas
Broker

☒ Retail Natural Gas
Aggregator

☐ Retail Natural Gas
Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Secure Energy Solutions LLC

Phone: 4137332571 **Extension (if applicable):**

Website (if any): www.sesenergy.org

Country: United States

Street: 515 Shaker Rd.

City: East
Longmeadow

Postal Code: 01028

Province/State: MA

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Secure Energy Solutions, LLC	Official Name	515 Shaker Road East Longmeadow, MA 01028	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Secure Energy Solutions, LLC	Official Name	515 Shaker Road East Longmeadow, MA 01028	Yes	File

A-5. Contact person for regulatory matters



Erik Ness
515 Shaker Rd.
East Longmeadow, MA 01028-3126
US
eness@sesenergy.org
4137332571

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Erik Ness
515 Shaker Rd.
East Longmeadow, MA 01028-3126
US
eness@sesenergy.org
4137332571

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: 413-733-2571	Extension (if applicable): 210	Country: United States
Fax: 413-733-2574	Extension (if applicable):	Street: 515 Shaker Road
Email: jhaynes@sesenergy.org	City: East Longmeadow	Province/State: MA
	Postal Code: 01028	

A-8. Applicant's federal employer identification number

11-3776111

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

Columbia Gas of Ohio
 Dominion Energy Ohio
 Duke Energy Ohio
 CenterPoint Energy Ohio

Class of customer selection

Industrial
 Small Commercial
 Large Commercial

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 01-01-2021

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Christopher Duby	cduby@sesenergy.org	President	515 Shaker Rd. East Longmeadow, MA 01028 US
Michael Schmidt	mschmidt@sesenergy.org	Dir of Ops	515 Shaker Road East Longmeadow, MA 01028 US
Erik Ness	eness@sesenergy.org		515 Shaker Rd. East Longmeadow, MA 01028-3126 US
Joseph Fusco	jfusco@sesenergy.org	Vice President	515 Shaker Road East Longmeadow, MA 01028 US

A-13. Company history

Secure Energy Solutions was founded in January of 2006 by Christopher Duby (President), Kevin Mattson (CEO) and Joseph Fusco (Vice President). SES opened its first office in 2007, located in Springfield Massachusetts. Secure Energy Solutions offers competitive supply options of both electricity and natural gas to commercial, industrial and governmental facilities. In 2010 Secure Energy Solutions relocated the central office and established a fully operational satellite office



Public Utilities Commission

in Montville New Jersey which serves the Mid-Atlantic region. Our Central office is now rooted in East Longmeadow Massachusetts. Secure Energy Solutions currently carries a staff of 45 full-time, and 3 part-time employees, with a fully operational administrative staff in addition to sales and management staff.

A-14. Secretary of State

Secretary of State Link:

A-15. Proof of Ohio Employee and Office

Provide proof of an Ohio Office and Employee in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address

Employee Name: InCorp Services Inc
9435 Waterstone Boulevard, Suite 140
Cincinnati, OH 45249
US
status@incorp.com
8002462677

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

File Attached

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.



File(s) attached

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

File Attached

B-4. Disclosure of consumer protection violations

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No

B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting



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Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.



If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant



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- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

Stand-alone entity with no affiliate or subsidiary companies

Section D: Applicant Technical Capacity

D-1. Operations

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.
File(s) attached

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-



Public Utilities Commission

mail addresses, and background of key personnel involved in the operations of the applicant's business.

File(s) attached



Public Utilities
Commission

Application Attachments

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show SECURE ENERGY SOLUTIONS, LLC, a Massachusetts Limited Liability Company, Registration Number 1875081, was registered in the State of Ohio on August 6, 2009, is currently authorized to transact business in this state.



*Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 20th day of October, A.D. 2022.*

A handwritten signature in cursive script, appearing to read "Frank LaRose".

Ohio Secretary of State

Validation Number: 202229301032

B-1 – Jurisdictions of Operations

Connecticut

Delaware

Florida

Georgia

Illinois

Massachusetts

Maryland

Maine

Michigan

New Hampshire

New Jersey

New York

Ohio

Oregon

Pennsylvania

Rhode Island

Texas

Virginia

Washington, D.C.

Exhibit B-3 “Disclosure of Liabilities and Investigations”

Secure Energy Solutions, LLC, has had no regulatory issues regarding its ability to provide services.

B-2 “Experience & Plans”

Secure Energy Solutions, LLC is a broker/aggregator who does not take ownership of the power. Secure Energy Solutions, LLC currently contracts power through a number of competitive suppliers including *Constellation New Energy, Direct Energy, Dynegy Energy, EDF Energy, Engie Resources, First Point Power, Global Energy, Hudson Energy, Infinite Energy, Major Energy, Marathon Energy, MP2 Energy, NextEra Energy, Nordic Energy, Plymouth Energy, Sprague Energy, Summit Energy, Supreme Energy, WGL Energy, Vistra Energy.*

Secure Energy Solutions uses a consultative approach to inform commercial, industrial & governmental customers about their options and current market conditions. The services we provide include reviewing energy load profiles, building competitive bidding situations, and also aggregating customers to pool their energy loads to achieve buying power. Secure Energy Solutions will not be taking ownership of the power, hence Secure Energy Solutions will not be collecting or remitting payment to either the customer, or Local Distribution Companies. The LDC and/or Supplier will provide billing services to the customer to collect and remit gross receipts tax to the State.

Secure Energy Solutions offers a full-time administrative staff whose duties include providing customer service. We have a toll-free number, (800) 655-9818 in which our customers may utilize during normal business hours. Each of our employees distributes business cards which also lists an office telephone number, personal telephone number as well as an email address which are all monitored daily. Furthermore, we have made it practice to mail within 3 days of contract a copy of the agreement in conjunction with a welcome letter which confirms the rate, term and Supplier information. The terms of each agreement explicitly details the rescission period, termination procedures, supplier contact information and individual account information.

Business Information Report Snapshot

SECURE ENERGY SOLUTIONS, LLC

D-U-N-S: 62-302-8409
ADDRESS: 515 Shaker Rd, East Longmeadow, MA, 01028, United States
Date: 10/20/2022

RISK ASSESSMENT

SCORES AND RATINGS				
Max. Credit Recommendation	PAYDEX® SCORE	Delinquency Predictor Percentile	Financial Stress Percentile	Supplier Evaluation Risk Rating
US\$ 135,000	79 LOW RISK	92 LOW RISK	60 MODERATE RISK	2 LOW RISK

MAXIMUM CREDIT RECOMMENDATION

Overall Business Risk

LOW

LOW-MODERATE

MODERATE

MODERATE-HIGH

HIGH

Maximum Credit Recommendation

US\$ 135,000

The recommended limit is based on a low probability of severe delinquency.

Dun & Bradstreet Thinks...

- Overall assessment of this organization over the next 12 months: STABLE CONDITION
- Based on the predicted risk of business discontinuation: LIKELIHOOD OF CONTINUED OPERATIONS
- Based on the predicted risk of severely delinquent payments: VERY LOW POTENTIAL FOR SEVERELY DELINQUENT PAYMENTS

PAYDEX® SUMMARY	
<div><p>3 Months</p><div><div>79</div><div></div><div></div><div></div><div></div></div><p>Low Risk (100) High Risk (1)</p><p>When weighted by dollar amount, payments to suppliers average 2 days beyond terms. Value is based on payments collected over the last 3 months.</p></div> <div><p>24 Months</p><div><div>79</div><div></div><div></div><div></div><div></div></div><p>Low Risk (100) High Risk (1)</p><p>When weighted by dollar amount, payments to suppliers average 2 days beyond terms. Value is based on payments collected over the last 24 months.</p></div>	

79

Low Risk (100)

High Risk (1)

Based on a D&B PAYDEX® of

Risk of Slow Pay

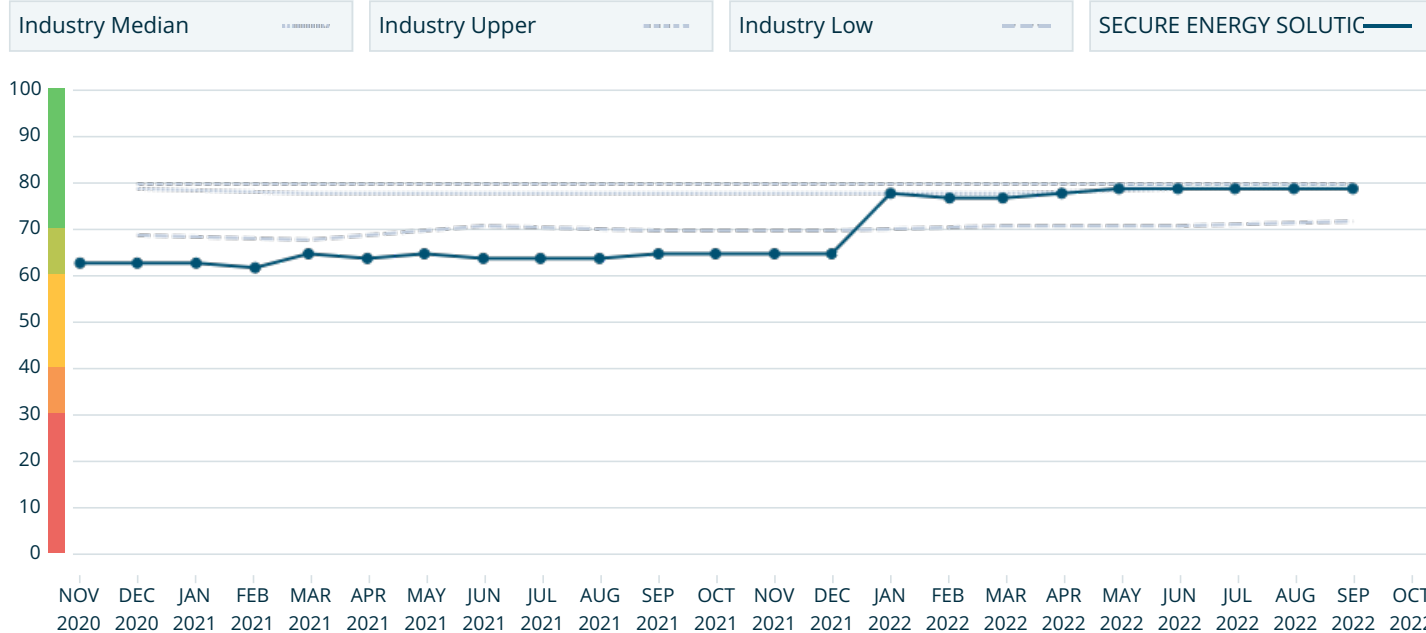
LOW

Payment Behavior

2 Days Beyond Terms

Business and Industry Trends

6221 - Commodity contract broker



DELINQUENCY PREDICTOR SCORE

92

Low Risk (100)

High Risk (1)

Based on a D&B Delinquency Predictor Percentile of >

Higher risk industry based on delinquency rates for this industry

Level of Risk

LOW

Raw Score

583

Probability of Delinquency

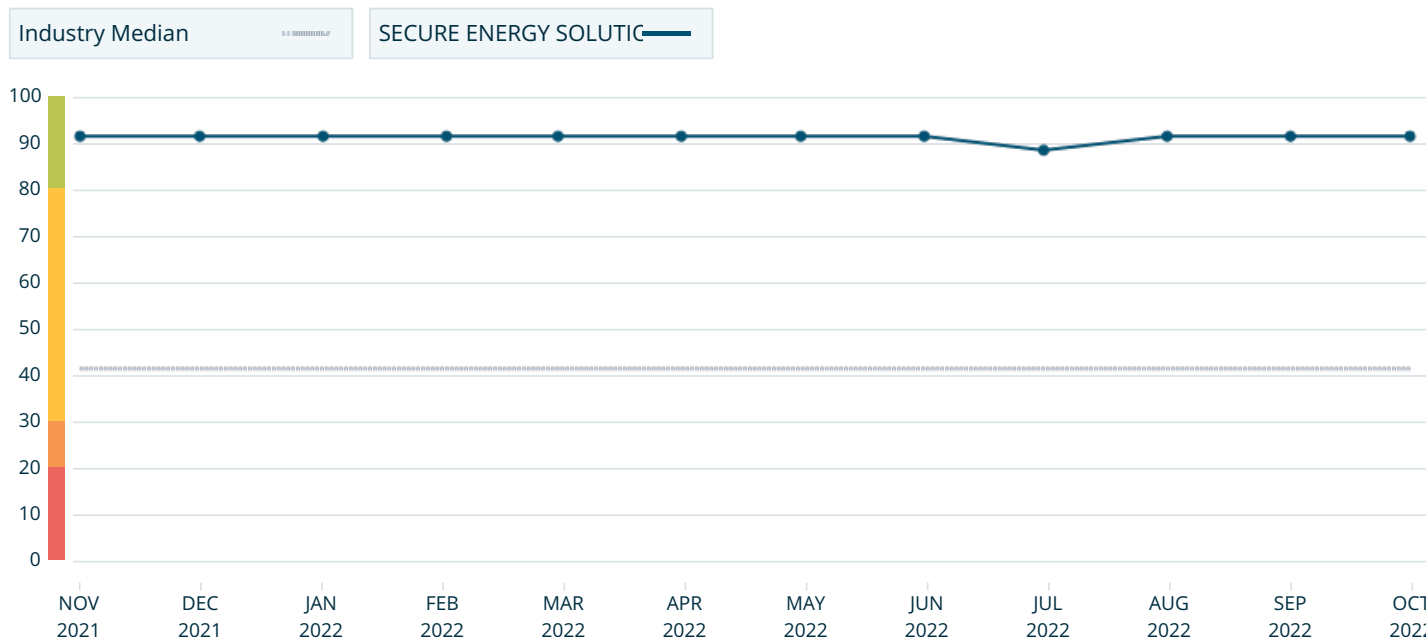
1.42%

Compared to Businesses in D&B

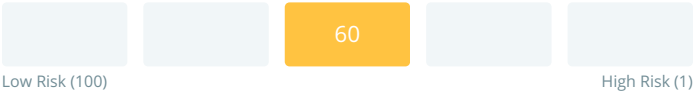
10.2%

Business and Industry Trends

6221 - Commodity contract broker



FINANCIAL STRESS SCORE



Based on a D&B Financial Stress Percentile of >

- Low proportion of satisfactory payment experiences to total payment experiences
- UCC Filings reported
- Unstable Paydex over last 12 months
- Business does not own facilities
- Composite credit appraisal is rated fair
- High proportion of slow payment experiences to total number of payment experiences

Level of Risk
MODERATE

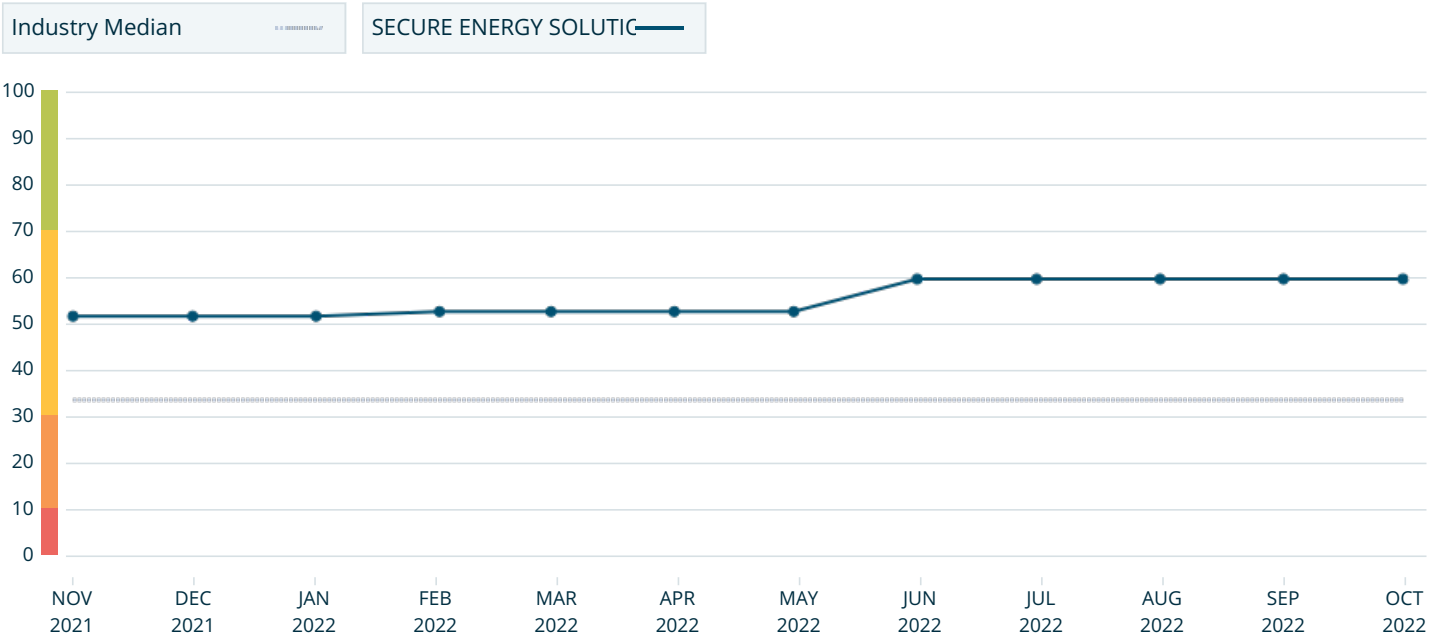
Raw Score
1494

Probability of Failure
0.18%

Compared to Businesses in D&B
0.48%

Business and Industry Trends

6221 - Commodity contract broker



SUPPLIER EVALUATION RISK RATING



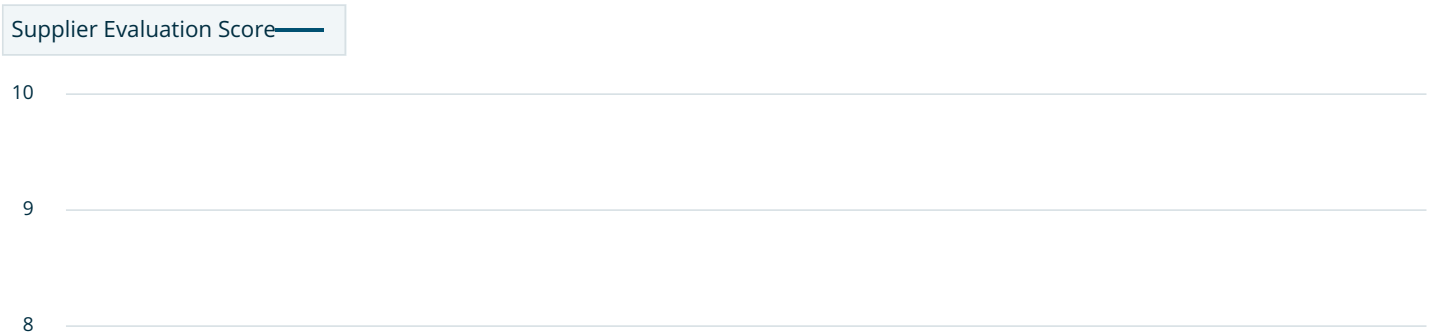
Based on a Supplier Evaluation Risk Rating of -

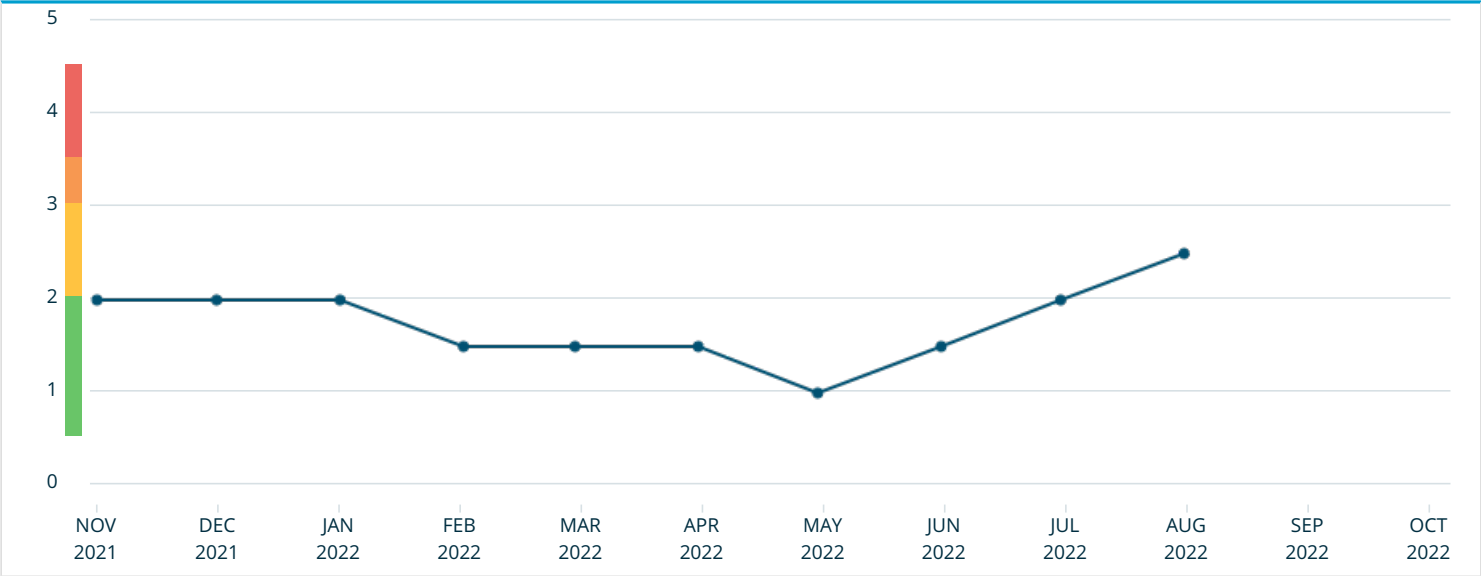
Factors Affecting Your Score

- Unstable Paydex over last 12 months
- Proportion of slow payment experiences to total number of payment experiences reported

Business and Industry Trends

6221 - Commodity contract broker





D&B RATING

Current Rating as of 07-14-2014

Employee Size	Risk Indicator
1R: 10 employees and over	3: Moderate Risk

Previous Rating

Employee Size
1R: 10 employees and over

TRADE PAYMENTS

TRADE PAYMENTS SUMMARY			Based on 24 months of data
<div>Overall Payment Behavior</div> <div>2</div> <div>Days Beyond Terms</div> <div>Highest Now Owing: US\$ 20,000</div>	<div>% of Trade Within Terms</div> <div>80%</div> <div>Total Trade Experiences: 32</div> <div>Largest High Credit: US\$ 25,000</div> <div>Average High Credit: US\$ 5,333</div>	<div>Highest Past Due</div> <div>US\$ 0</div> <div>Total Unfavorable Comments : 0</div> <div>Largest High Credit: US\$ 0</div> <div>Total Placed in Collections: 0</div> <div>Largest High Credit: US\$ 0</div>	

TRADE PAYMENTS BY CREDIT EXTENDED			
\$ CREDIT EXTENDED	% OF PAYMENTS WITHIN TERMS	# PAYMENT EXPERIENCES	TOTAL & DOLLAR AMOUNT
OVER 100,000	<div><div></div></div> 0%	0	\$0
50,000 - 100,000	<div><div></div></div> 0%	0	\$0
15,000 - 49,999	<div><div></div></div> 100%	2	\$45,000
5,000 - 14,999	<div><div></div></div> 100%	3	\$30,000
1,000 - 4,999	<div><div></div></div> 33%	3	\$3,000
UNDER 1,000	<div><div></div></div> 97%	7	\$2,000

TRADE PAYMENTS BY INDUSTRY			
Collapse All Expand All			
Industry Category	Number of Payment Experiences	Largest High Credit (US\$)	% Within Terms (Expand to View)
▼73 - Business Services	6	25,000	
7389 - Misc Business Service	4	25,000	98
7374 - Data Processing Svcs	1	10,000	100
7361 - Employment Agency	1	1,000	0
▼61 - Nondepository Credit Institutions	2	250	
6159 - Misc Business Credit	2	250	100

▼50 - Wholesale Trade - Durable Goods	3	20,000	
5075 - Whol Heating/ac Equip	1	20,000	100
5044 - Whol Office Equipment	1	1,000	100
5063 - Whol Electrical Equip	1	50	0
▼48 - Communications	1	10,000	
4812 - Radiotelephone Commun	1	10,000	100
▼96 - Administration Of Economic Programs	1	750	
9611 - Admin Economic Prgm	1	750	100
▼55 - Automotive Dealers And Gasoline Service Stations	1	750	
5511 - Ret New/used Autos	1	750	100
87 - Engineering Accounting Research Management And ▼ Related Services	1	100	
8748 - Business Consulting	1	100	100

TRADE LINES						
Date of Experience ▼	Payment Status	Selling Terms	High Credit (US\$)	Now Owes (US\$)	Past Due (US\$)	Months Since Last Sale
09/2022	Prompt	-	25,000	15,000	0	1 Month
09/2022	Prompt	-	10,000	0	0	Between 6 and 12 Months
09/2022	Prompt	-	10,000	7,500	0	1 Month
09/2022	Prompt	-	10,000	10,000	0	1 Month
09/2022	Prompt	-	1,000	0	0	1 Month
09/2022	Prompt	-	50	50	0	1 Month
09/2022	Slow	-	1,000	0	0	Between 6 and 12 Months
08/2022	-	Cash Account	500	-	-	Between 4 and 5 Months
08/2022	-	Cash Account	500	-	-	Between 4 and 5 Months
06/2022	-	Cash Account	50	-	-	Between 2 and 3 Months
04/2022	Slow	-	1,000	0	0	Between 6 and 12 Months
04/2022	-	Cash Account	500	0	0	1 Month

04/2022	-	Cash Account	50	-	-	Between 2 and 3 Months
04/2022	-	Cash Account	50	-	-	1 Month
04/2022	-	Cash Account	50	-	-	1 Month
04/2022	-	Cash Account	50	-	-	1 Month
04/2022	-	Cash Account	0	0	0	Between 6 and 12 Months
03/2022	Prompt	-	20,000	20,000	0	1 Month
03/2022	-	Cash Account	-	-	-	1 Month
02/2022	Prompt	-	750	0	0	Between 6 and 12 Months
01/2022	Prompt	-	100	0	0	Between 6 and 12 Months
12/2021	-	Cash Account	100	-	-	Between 6 and 12 Months
12/2021	-	Cash Account	100	-	-	Between 6 and 12 Months
11/2021	-	Cash Account	100	-	-	1 Month
11/2021	-	Cash Account	50	-	-	1 Month
10/2021	-	Cash Account	250	-	-	Between 2 and 3 Months
10/2021	-	-	50	-	-	1 Month
07/2021	Prompt	-	750	0	0	Between 6 and 12 Months
07/2021	Prompt	-	250	0	0	Between 6 and 12 Months
07/2021	Prompt	-	50	0	0	Between 6 and 12 Months
07/2021	Slow	-	50	0	0	Between 6 and 12 Months
07/2021	-	Cash Account	0	-	-	1 Month

EVENTS

LEGAL EVENTS			
The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.			
SUITS	JUDGEMENTS	LIENS	UCC FILINGS
TOTAL0	TOTAL0	TOTAL0	TOTAL6
LAST FILING DATE-	LAST FILING DATE-	LAST FILING DATE-	LAST FILING DATE07/27/2020

General: The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this was reported. This information may not be reproduced in whole or in part by any means of reproduction.

UCC Filings: There may be additional UCC Filings in the D&B file on this company which are available by contacting 1-800-234-3867.

Suits, Liens, Judgements: There may be additional suits, liens, or judgements in D&B's file on this company available in the U.S. Public Records Database that are also covered under your contract. If you would like more information on this database, please contact the Customer Resource Center at 1-800-234-3867.

Lien: A lien holder can file the same lien in more than one filing location. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurrence.

EVENTS	
UCC Filing - Original	
Filing Date	2020-07-27
Filing Number	202068608730
Received Date	2020-08-04
Collateral	All Negotiable instruments including proceeds and products - All Inventory including proceeds and products - All Account(s) including proceeds and products - All General intangibles(s) including proceeds and products - and OTHERS
Secured Party	U.S. SMALL BUSINESS ADMINISTRATION, BIRMINGHAM, AL
Debtors	SECURE ENERGY SOLUTIONS, LLC
Filing Office	SECRETARY OF STATE/UCC DIVISION, BOSTON, MA
UCC Filing - Original	
Filing Date	2019-03-20
Filing Number	201953914590
Received Date	2019-04-09
Secured Party	MONSON SAVINGS BANK, MONSON, MA
Debtors	SECURE ENERGY SOLUTIONS, LLC

Filing Office	SECRETARY OF STATE/UCC DIVISION, BOSTON, MA
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UCC Filing - Original

Filing Date	2017-05-10
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Filing Number	201736714320
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Received Date	2017-05-15
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Secured Party	MONSON SAVINGS BANK, MONSON, MA
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Debtors	SECURE ENERGY SOLUTIONS, LLC
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Filing Office	SECRETARY OF STATE/UCC DIVISION, BOSTON, MA
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UCC Filing - Assignment

Filing Date	2015-12-16
-------------	------------

Filing Number	201524856720
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Received Date	2016-01-05
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Original Filing Date	2009-12-29
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Original Filing Number	200977433850
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Secured Party	NUVO BANK & TRUST COMPANY, SPRINGFIELD, MA
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Debtors	SECURE ENERGY SOLUTIONS, LLC
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events-panel:as-is-Assignee	MERCHANTS BANK, BURLINGTON, VT
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Filing Office	SECRETARY OF STATE/UCC DIVISION, BOSTON, MA
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UCC Filing - Continuation

Filing Date	2014-07-02
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Filing Number	201413041680
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Received Date	2014-07-07
---------------	------------

Original Filing Date	2009-12-29
----------------------	------------

Original Filing Number	200977433850
------------------------	--------------

Secured Party	NUVO BANK & TRUST COMPANY, SPRINGFIELD, MA
---------------	--

Debtors	SECURE ENERGY SOLUTIONS, LLC
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Filing Office	SECRETARY OF STATE/UCC DIVISION, BOSTON, MA
---------------	---

UCC Filing - Original

Filing Date	2009-12-29
-------------	------------

Filing Number	200977433850
---------------	--------------

Received Date	2010-01-06
---------------	------------

Collateral	Accounts receivable and proceeds - Account(s) and proceeds - Contract rights and proceeds - General intangibles(s) and proceeds - and OTHERS
Secured Party	NUVO BANK & TRUST COMPANY, SPRINGFIELD, MA
Debtors	SECURE ENERGY SOLUTIONS, LLC
Filing Office	SECRETARY OF STATE/UCC DIVISION, BOSTON, MA

COMPANY EVENTS

The following information was reported on: 09-03-2022

The Massachusetts Secretary of State's business registrations file showed that Secure Energy Solutions, LLC was registered as a Limited Liability Company on January 26, 2006, under file registration number 113776111.

Business started 2006.

CHRISTOPHER DUBY. Antecedents are unknown.

JOSEPH FUSCO. Antecedents are unknown.

KEVIN MATTSON. Antecedents are unknown.

Business address has changed from 56 Lawnwood St, Agawam, MA, 01001 to 146 Chestnut St, Springfield, MA, 01103.

Business address has changed from 146 Chestnut St Ste 400, Springfield, MA, 01103 to 12 Somers Rd # 14, East Longmeadow, MA, 01028.

Business address has changed from 12 Somers Rd # 14, East Longmeadow, MA, 01028 to 515 Shaker Rd, East Longmeadow, MA, 01028.

SPECIAL EVENTS

There are no special events recorded for this business.

Financials

D&B currently has no financial information on file for this company.

COMPANY PROFILE

COMPANY OVERVIEW		
D-U-N-S 62-302-8409	Mailing Address 515 Shaker Rd, East Longmeadow MA 01028, US	Annual Sales -
Business Form Corporation (US)	Telephone (800) 655-9818	Employees 50
Date Incorporated -	Fax -	Age (Year Started) 16 years (2006)
State of Incorporation Massachusetts	Website -	Named Principal CHRISTOPHER DUBY, MNG MBR
Ownership -	Line of Business Commodity contract broker	SIC 6221

OWNERSHIP

FAMILY TREE SUMMARY		
Members in the Tree	Subsidiaries of this Company	Branches of this Company
2	0	1

FAMILY TREE	
<div><div><div><div><div></div><div>HQ</div></div><div>Secure Energy Solutions, Llc</div><div>623028409 East Longmeadow, MA</div></div><div><div><div></div><div>B</div></div><div>Secure Energy Solutions, Llc</div><div>055139934 Montville, NJ</div></div></div></div>	

Exhibit D-1 "Operations"

Secure Energy Solutions solicits business through various prospecting activities. Secure Energy Solutions' representatives suggest and recommend product offerings that meets the needs of its clients operational goals. Secure Energy Solutions procures energy contracts utilizing its relationships with an array of third party energy suppliers. Secure Energy Solutions does not take possession of, nor own the power it transacts.

Exhibit D-2 – “Operations Expertise and Key Technical Personnel”

Secure Energy Solutions is operated by 3 managing members, each with a minimum of 15 years of experience in the deregulated energy industry. Christopher Duby, President, possesses a Certified Energy Procurement Professional designation with the Association of Energy Engineers. In addition to the managing members, Secure Energy Solutions also employs and staffs Erik J. Ness, Esq., as Vice President & General Counsel. Erik also possesses a Certified Energy Procurement designation, as well as a Certified Energy Manager designation with the Association of Energy Engineers. Prior to joining Secure Energy Solutions, Attorney Ness practiced Utility and Energy law for a large firm, and later in a self-managed practice. Information for all can be found below:

1. Christopher A. Duby
President
515 Shaker Road
East Longmeadow, MA 01028
413-733-2571 Ext. 211
2. Kevin R. Mattson
Chief Executive Officer
515 Shaker Road
East Longmeadow, MA 01028
413-733-2571 Ext. 212
3. Joseph S. Fusco
Vice President
515 Shaker Road
East Longmeadow, MA 01028
413-733-2571 Ext. 215
4. Erik J. Ness
Vice President & General Counsel
515 Shaker Road
East Longmeadow, MA 01028
413-733-2571 Ext. 238

Competitive Retail Electric Service Affidavit

County of HAMPSHIRE :

State of MASSACHUSETTS :

CHRISTOPHER DOBRY, Affiant, being duly sworn/affirmed, hereby states that:

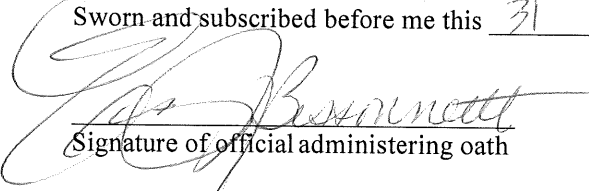
1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught.

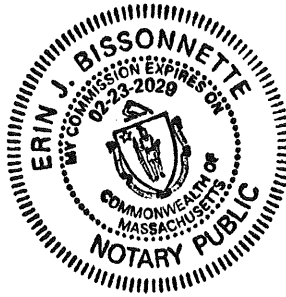
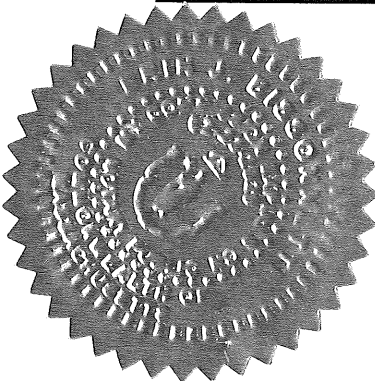

Signature of Affiant & Title

Sworn and subscribed before me this 31 day of OCTOBER, 2022
Month Year


Signature of official administering oath

ERIN J. BISSONNETTE
Print Name and Title

My commission expires on February 23, 2029



**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

11/9/2022 12:25:44 PM

in

Case No(s). 20-1807-GA-AGG

Summary: In the Matter of the Application of Secure Energy Solutions LLC