

DIS Case Number: 00-1711-EL-AGG

Section A: Application Information

A-1. Provider type:	•		
⊠ Power Broker	□ Aggregator	Retail Generation	Power
		Provider	Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Industrial Energy Users-Ohio **Country:** United States

Phone: 6144698000 Extension (if Street: 21 East State Street 17th Floor

applicable):
Website (if any): www.ieu-ohio.org
City: Columbus
Province/State: OH

Postal Code: 43215

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Туре	Address	Active?	Proof
Industrial Energy Users-Ohio	Official Name	21 East State Street 17th Floor Columbus, OH 43215	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Туре	Address	Active?	Proof

A-5. Contact person for regulatory matters



Matthew Pritchard
21 East State Street 17th Floor
Columbus, OH 43215
US
mpritchard@mcneeslaw.com
6147192842

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Kevin Murray
21 East State Street 17th Floor
Columbus, OH 43215
US
murraykm@mwncmh.com
6147192844

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: 8008603841 Extension (if Country: United States

applicable):

Fax: Extension (if applicable): Street: 21 East State Street 17th Floor

Email: murraykm@mwncmh.com City: Columbus Province/State: OH

Postal Code: 43215

A-8. Applicant's federal employer identification number

31-1366474

A-9. Applicant's form of ownership

Form of ownership: Other

Other form of Ownership: Not for profit

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection



Duke Energy Ohio
FirstEnergy - Cleveland Electric Illuminating
FirstEnergy - Ohio Edison
FirstEnergy - Toledo Edison
AES Ohio
American Electric Power (AEP)

Class of customer selection

Commercial Industrial Mercantile

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 10-21-2022

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Kevin Murray	murraykm@mwncmh.com	Exec Dir	21 East State Street 17th Floor Columbus, OH 43215 US
Renee Gannon	rgannon@mcneeslaw.com	Administrative Assistant	c/o McNees Wallace & Nurick LLC 21 E. State Street, 17th Floor Columbus, OH 43215 US
Matthew Pritchard	mpritchard@mcneeslaw.co m	General Counsel	21 East State Street 17th Floor Columbus, OH 43215 US

A-13. Company history

The Industrial Energy Users-Ohio (IEU-Ohio) is a group of energy intensive manufacturing and business customers that has assisted its members obtain savings on their energy supply costs. Membership in IEU-Ohio is open to businesses that desire to learn about changing energy markets and how to manage their costs.



A-14. Secretary of State

Secretary of State Link:

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

Jurisdiction of Operation: IEU-Ohio has been certified to provide service in Ohio since 2001. It is not certified or active in any other state.

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

Application Experience and Plan Description: For thirty years IEU-Ohio has assisted its members with obtaining substantial savings on their energy costs. As energy-intensive manufacturers and businesses, IEU-Ohio members are keenly aware of the impacts of energy costs to their competitiveness. Membership in IEU-Ohio is open to companies that desire to learn about changing energy markets and how to use that knowledge to their competitive advantage.

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

Liability and Investigations Disclosures: There are no existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

B-4. Disclosure of consumer protection violations



Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted orheld liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No

B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements

Provide copies of the applicant's <u>two most recent years</u> of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer



certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted.**

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

C-3. Forecasted financial statements

Provide two years of forecasted income statements based <u>solely</u> on the applicant's anticipated business activities in the state of Ohio.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization



and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. Bank/credit account numbers and highly sensitive identification information must be redacted. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies,



subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

Stand-alone entity with no affiliate or subsidiary companies

Section D: Applicant Technical Capacity

D-1. Operations

<u>Power brokers/aggregators:</u> Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

Operations Description: IEU-Ohio has acted as a curtailment service provider in PJM, and will act in that capacity as needed by members. IEU-Ohio is also able to act as a conduit to provide members with access to PJM's wholesale electricity market.

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, email addresses, and background of key personnel involved in the operations of the applicant's business.

Operations Expertise & Personnel Description: Kevin Murray serves as Executive Director and has been involved in energy markets since 1991. He currently serves as vice chair of the Midcontinent ISO Advisory Committee. He can be reached at murraykm@mcneeslaw.com or 614-719-2844.

Matt Pritchard serves as IEU-Ohio's General Counsel and has working as a regulatory attorney for over ten years. He can be reached at mpitchard@mcneeslaw.com or 614-719-2842.



Application Attachments



DATE 11/17/2016

DOCUMENT ID 201632103116

DESCRIPTION
NONPROFIT - CERTIFICATE OF CONTINUED
EXISTENCE (CCE)

FILING EXPED

PENALTY CERT COPY 0.00 0.00 0.00

Receipt

This is not a bill. Please do not remit payment.

INDUSTRIAL ENERGY USERS-OHIO ATTN S C RANDAZZO 21 E STATE ST 17TH FL COLUMBUS, OH 43215

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted 834443

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

INDUSTRIAL ENERGY USERS-OHIO

and, that said business records show the filing and recording of:

Document(s)

NONPROFIT - CERTIFICATE OF CONTINUED EXISTENCE
Effective Date: 11/15/2016

Document No(s):

201632103116



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 17th day of November, A.D. 2016.

Ohio Secretary of State



Form 522 Prescribed by:

JON HUSTED OHIO SECRETARY OF STATE

Toll Free: (877) SOS-FILE (877-767-3453) Central Ohio: (614) 488-3910

www.OhioSecretaryofState.gov busserv@OhioSecretaryofState.gov

File online or for more information: www.OHBusinessCentral.com

Mail this form to one of the following:

Regular Filing (non expedite) P.O. Box 788 Columbus, OH 43216

Expedite Filing (Two business day processing time. Requires an additional \$100.90) P.O. Box 1390

Columbus, OH 43218

Statement of Continued Existence

CHECK ONLY ONE (4) B	Filing Fee: \$25	S
CHECK ONLY ONE (1) Box	-	2
(1) Statement of Continu (Domestic Nonprofit C	ed Existence (163-CCE) (2) Verification of Foreign Nonprofit (17 (Foreign Nonprofit Corporation)	
By submitting this form the engaged in exercising its co	corporation is verifying with the secretary of state's office that it is still acorporate privileges	ctively
Name of Corporation Indu	strial Energy Users-Ohio	
Charter or License Number	834443	
Complete the information in	this section if box (1) is checked	
Location of Principal Office	Columbus	
	City	
	2/30/1992 Pate	
Complete the information in	this section if box (2) is checked	
Date of Qualification in Ohio	Date	
Jurisdiction of Formation	Jurisdiction	
Location of Office NOT in Ohio	Mailing Address	
	waining Address	
	City State Zip Code	
Location of Office IN Ohio		
	Mailing Address	

Ohio

Current Statutory Agent's Name	and Address		
Samuel C. Randazzo		-	manufacture by the second seco
Name of Agent			
21 East State Street, 17th Floor			
Mailing Address			
Columbus		Ohio	43215
City		State	Zip Code
y signing and submitting this for equisite authority to execute this	m to the Ohio Secretary of S	state, the undersigned here	by certifies that he or sl
equired	document.		
he statement must be	Industrial Energy Users-	Ohio	
igned by a director, officer, or	Signature	Δ	
ree members in good landing.	77.	2. Hanvazzo	
	By (if applicable)		and the second of the second of the second of the second
authorized representative an individual, then they	Samuel C. Randazzo		
nust sign in the "signature"	Print Name		
ox and print their name the "Print Name" box.	Tintivalle	œ.	
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DATE 08/02/2019 DOCUMENT ID 201921400712

DESCRIPTION
SUBSEQUENT AGENT APPOINTMENT (AGS)

FILING 25.00

EXPED 0.00 CERT COPY 0.00 0.00

Receipt

This is not a bill. Please do not remit payment.

INDUSTRIAL ENERGY USERS-OHIO 21 EAST STATE STREET 17TH FLOOR COLUMBUS, OH 43215

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Frank LaRose 834443

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

INDUSTRIAL ENERGY USERS-OHIO

and, that said business records show the filing and recording of:

Document(s)

Document No(s):

201921400712

SUBSEQUENT AGENT APPOINTMENT

Effective Date: 08/01/2019



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 2nd day of August, A.D. 2019.

FIJE

Ohio Secretary of State

Form 521 Prescribed by:



Toll Free: 877.767.3453 Central Ohio: 614.466.3910

OhioSoS.gov

business@OhioSoS.gov

File online or for more information: OhioBusinessCentral.gov

For screen readers, follow instructions located at this path.

Mail this form to one of the following:

Regular Filing (non expedite) P.O. Box 788 Columbus, OH 43216

Expedite Filing (Two business day processing time. Requires an additional \$100.00)

P.O. Box 1390 Columbus, OH 43216

Statutory Agent Update Filing Fee: \$25 Form Must Be Typed

(CHECK ONLY ONE(1) BOX)		
(1) Subsequent Appointment of Agent Corp (165-AGS) LP (165-AGS) LLC (171-LSA) Business Trust (171-LSA) Real Estate Investment Trust (171-LSA)	(2) Change of Address of an Agent Corp (145-AGA) LP (145-AGA) LLC (144-LAD) Business Trust (144-LAD) Real Estate Investment Trust (144-LAD)	(3) Resignation of Agent Corp (155-AGR) LP (155-AGR) LLC (153-LAG) Partnership (153-LAG) Business Trust (153-LAG) Real Estate Investment Trust (153-LAG)
Name of Entity Industrial Energy Us Charter, License or Registration No. Name of Current Agent Samuel Ra	834443	SECRETATY OF STATE 2019 AUG - 1 PM 3: 28 CLIENT SERVICE CENTER
Name and Address of New Agent Matthew Pritcha Name of Agent 21 East State St Mailing Address Columbus City	rd	OH 43215 State ZIP Code

Complete the info	rmation in this section if box (1) is checked and business is an Ohio entity
	ACCEPTANCE OF APPOINTMENT FOR DOMESTIC ENTITY'S AGENT
The Undersigned,	Matthew Pritchard Name of Agent , named herein as the
statutory agent for	Industrial Energy Users-Ohio , hereby acknowledges Name of Business Entity
and accepts the ap	pointment of statutory agent for said entity.
	Signature: Matt Pitchard
	Individual Agent's Signature/Signature on behalf of Business Serving as Age
Complete the info	rmation in this section if box (2) is checked
New Address of Ag	ent
	Mailing Address
	ОН
	City State ZIP Code
Complete the info	rmation in this section if box (3) is checked
The agent of record	for the entity identified on page 1 resigns as statutory agent.
Current or last know date of filing or prio	vn address of the entity's principal office where a copy of this Resignation of Agent was sent as of the r to the date filed.
Mailing /	Address
City	
City	State Zip Code

By signing and submitting this form has the requisite authority to execu	n to the Ohio Secretary of State, the undersigned hereby certifies that he or she ate this document.
Required	Do. Just
Agent update must	Signature
be signed by an authorized	Ognature
representative (see	Renes Bambo, Charman
instructions for specific information).	By (if applicable)
- 100 - 100	by (ii applicable)
If authorized representative	
is an individual, then they must sign in the "signature"	Renee Rambo
box and print their name	Print Name
in the "Print Name" box.	
If authorized representative	
is a business entity, not an	
individual, then please print the business name in the	Signature
"signature" box, an	
authorized representative	
of the business entity must sign in the "By" box	By (if applicable)
and print their name in the	
"Print Name" box.	

Print Name



Credit Evaluator Plus

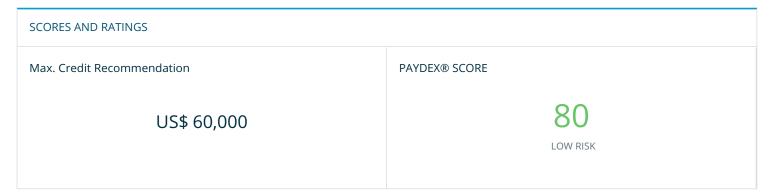
INDUSTRIAL ENERGY USER OHIO

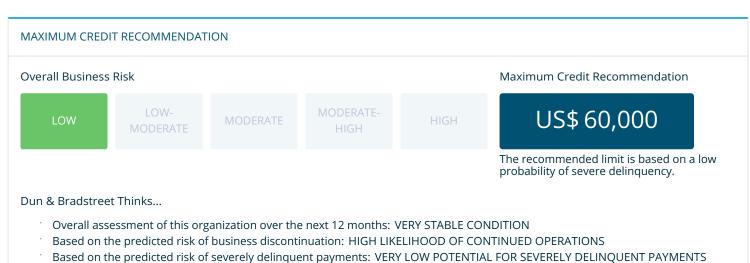
D-U-N-S: 08-538-6196

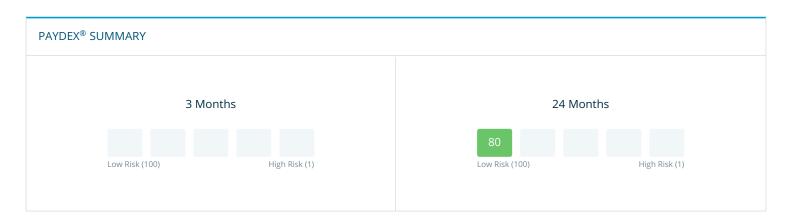
ADDRESS: 21 East State Street, 17th Floor, Columbus, OH, 43215, United States

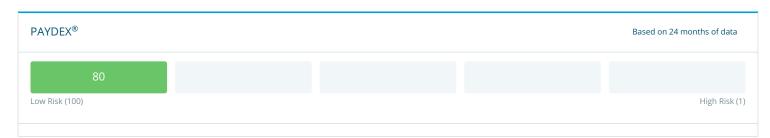
Date: 09/06/2022

RISK ASSESSMENT











TRADE PAYMENTS

TRADE PAYMENTS SUMMARY

Based on 24 months of data

Overall Payment Behavior

0

Days Beyond Terms

Highest Now Owing: US\$ 0

% of Trade Within Terms

100%

Total Trade Experiences: 3

Largest High Credit: US\$ 15,000

Average High Credit: US\$ 8,000

Highest Past Due

US\$0

Total Unfavorable Comments: 0

Largest High Credit: US\$ 0

Total Placed in Collections: 0

Largest High Credit: US\$ 0

TRADE PAYMENTS BY CREDI	T EXTENDED		
\$ CREDIT EXTENDED	% OF PAYMENTS WITHIN TERMS	# PAYMENT EXPERIENCES	TOTAL & DOLLAR AMOUNT
OVER 100,000	0%	0	\$0
50,000 - 100,000	0%	0	\$0
15,000 - 49,999	100%	1	\$15,000
5,000 - 14,999	0%	0	\$0
1,000 - 4,999	100%	1	\$1,000
UNDER 1,000	0%	0	\$0

EVENTS

LEGAL EVENTS

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

SUITS		JUDGEMENTS		LIENS		UCC FILINGS	
TOTAL	0	TOTAL	0	TOTAL	0	TOTAL	0
LAST FILING DATE	-						

General: The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this was reported. This information may not be reproduced in whole or in part by any means of reproduction.

UCC Filings: There may be additional UCC Filings in the D&B file on this company which are available by contacting 1-800-234-3867.

Suits, Liens, Judgements: There may be additional suits, liens, or judgements in D&B's file on this company available in the U.S. Public Records Database that are also covered under your contract. If you would like more information on this database, please contact the Customer Resource Center at 1-800-234-3867.

Lien: A lien holder can file the same lien in more than one filing location. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurrence.

COMPANY PROFILE

COMPANY OVERVIEW

Named Principal

SAMUEL C. RANDAZZO, COUNSEL

Business Form Corporation (US)

SIC 4911 Age (Year Started)

29 years (1992)

Annual Sales

US\$ 621,621

Employees

10

Line of Business

Electric services

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Competitive Retail Electric Service Affidavit

County of Franklin	:
State of Ohio	_:

Kevin Murcay, Affiant, being duly sworn/affirmed, hereby states that:

- The information provided within the certification or certification renewal application and supporting
 information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its
 application while it is pending if any substantial changes occur regarding the information provided.
- The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales
 of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio
 Revised Code.
- 3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
- The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any
 utility matter including the investigation of any consumer complaint regarding any service offered or
 provided by the applicant.
- The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
- 11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

 The facts set forth above are true are belief and that he/she expects said a 	applicant to be able to prove the same at any hearing hereof.
13. Affiant further sayeth naught.	ARAH NOTARI
Signature of Affiant & Title	Director
Sworn and subscribed before me this	day of Stoteway, 2027 Month Year
/. MVVV	John A Darch
Signature of official administering oath	Print Name and Title
	My commission expires on 08/15/26

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

9/6/2022 2:35:45 PM

in

Case No(s). 00-1711-EL-AGG

Summary: In the Matter of the Application of Industrial Energy Users-Ohio