



DIS Case Number: 18-1369-EL-CRS

Section A: Application Information

A-1. Provider type:

☒ Power Broker

☒ Aggregator

☒ Retail Generation
Provider

☒ Power
Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Powervine Energy LLC
Phone: 7325984756 **Extension (if applicable):**

Website (if any):
www.powervineenergy.com

Country: United States
Street: 2100 West Loop South, Ste 800
City: Houston **Province/State:** TX

Postal Code: 77027

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Powervine Energy, LLC	Official Name	539 W. Commerce St. #2290 Dallas, TX 75208	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Powervine Energy, LLC	Official Name	539 W. Commerce St. #2290 Dallas, TX 75208	Yes	File

A-5. Contact person for regulatory matters



Jessica Evans
539 W. Commerce St #2290
Dallas, TX 75208
US
jevans@powervineenergy.com
2147970339

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Sallie Thompson
539 W. Commerce St. #2290
Dallas, TX 75208
US
compliance@powervineenergy.com
4694051629

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: 8882632806	Extension (if applicable):	Country: United States
Fax:	Extension (if applicable):	Street: 539 W. Commerce St. #2290
Email: service@powervineenergy.com		City: Dallas
		Province/State: TX
		Postal Code: 75208

A-8. Applicant's federal employer identification number

82-3298907

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

Duke Energy Ohio

FirstEnergy - Cleveland Electric Illuminating
FirstEnergy - Ohio Edison
FirstEnergy - Toledo Edison
AES Ohio
American Electric Power (AEP)

Class of customer selection

Commercial
Industrial
Mercantile
Residential

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 10-01-2018

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Chad Yoder	cyoder@powervineenergy.com	CFO	539 W. Commerce St. #2290 Dallas, TX 75208 US
John Mulcahy	jmulcahy@powervineenergy.com	Interim CEO	539 W. Commerce St. #2290 Dallas, TX 75208 US

A-13. Company history

Powervine Energy, LLC f/k/a Sanford Energy Associates, LLC d/b/a Powervine Energy, a Delaware Limited Liability Company ('Powervine') formed in 2017, is a Competitive Retail Electric Service with plans to selectively expand into deregulated states and utility territories. Powervine has been successfully operating as a retail energy supplier in Pennsylvania since 2019 & Ohio since 2018.

A-14. Secretary of State

Secretary of State Link:



Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

File Attached

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

File(s) attached

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

Liability and Investigations Disclosures: There are no applicable liabilities or investigations to disclose.

B-4. Disclosure of consumer protection violations

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No



B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

Yes

Description: Powervine Energy, LLC f/k/a Sanford Energy Associates, LLC d/b/a Powervine Energy has relinquished its Natural Gas licenses both in Ohio and Pennsylvania at this time. There were no Natural Gas customers in either state at the time the licenses were relinquished.

B-6. Environmental disclosures

Provide a detailed description of how the applicant intends to determine its generation resource mix and environmental characteristics, including air emissions and radioactive waste. Include the annual projection methodology and the proposed approach to compiling the quarterly actual environmental disclosure data. See 4901:1-21-09 of the Ohio Administrative Code for additional details of this requirement.

PJM disclosure option chosen

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has



not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not



Public Utilities Commission

have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

Preferred to file this information confidentially

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

C-8. Corporate structure



Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

File(s) attached

C-9. Financial arrangements

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.
2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal **in the opinion of the Staff reviewer** to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

File(s) attached



Section D: Applicant Technical Capacity

D-1. Operations

Power Marketers/Generators: Describe the operational nature of the applicant's business, specifying whether operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services, as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

Power Marketers/Generators: Describe the operational nature of the applicant's business, specifying whether operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services, as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

Power brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

File(s) attached

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

File(s) attached

D-3. FERC Power Marketer and License Number

Provide a statement disclosing the applicants FERC Power Marketer License Number (Power Marketers Only).

ER18-2189-001



Public Utilities
Commission

Application Attachments

Exhibit B-1 “Jurisdictions of Operation”

Pennsylvania –

Powervine Energy, LLC f/k/a Sanford Energy Associates, LLC d/b/a Powervine Energy has been approved by the Pennsylvania Public Service Commission (“PAPUC”) to serve residential and non-residential customers in all electric utilities in the state.

PAPUC Electric License # A-2018-3005112 dated 2/7/2019 under the company's original name of Sanford Energy Associates, LLC d/b/a Powervine Energy attached, as well as an updated License reflecting the company's name change to Powervine Energy, LLC dated 4/13/2021.

PENNSYLVANIA PUBLIC UTILITY COMMISSION

IN THE MATTER OF THE APPLICATION OF: A-2018-3005112

EFFECTIVE DATE: February 7, 2019

The Application of Sanford Energy Associates LLC dba Powervine Energy to begin to offer, render, furnish or supply electricity or electric generation services as a supplier to residential, small commercial (25 kW and under demand), large commercial (over 25 kW demand), industrial, and governmental customers in all of the electric distribution company service territories throughout the Commonwealth of Pennsylvania.

The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or hearing, it has, by its report and order made and entered, found and determined that the granting of the application is necessary or proper for the service, accommodation, convenience and safety of the public and hereby issues, evidencing the Commission's approval, to the applicant this:

LICENSE FOR ELECTRIC GENERATION SUPPLIER.

In Witness Whereof, the PENNSYLVANIA PUBLIC UTILITY COMMISSION has caused these presents to be signed and sealed, and duly attested by its Secretary at its office in the city of Harrisburg this 7th of February, 2019.


Secretary

PENNSYLVANIA PUBLIC UTILITY COMMISSION

IN THE MATTER OF THE APPLICATION OF: A-2018-3005112

EFFECTIVE DATE: April 13, 2021

Application of Powervine Energy, LLC for the right to begin to offer, render, furnish, or supply electric generation services as a supplier to residential, small commercial (25 kW and under demand), large commercial (over 25 kW demand), industrial, and governmental customers in all of the electric distribution company service territories throughout the Commonwealth of Pennsylvania.

The Pennsylvania Public Utility Commission hereby certifies that after an investigation and/or hearing, it has, by its report and order made and entered, found and determined that the granting of the application is necessary or proper for the service, accommodation, convenience and safety of the public and hereby issues, evidencing the Commission's approval, to the applicant this:

LICENSE FOR ELECTRIC GENERATION SUPPLIER.

In Witness Whereof, the PENNSYLVANIA PUBLIC UTILITY COMMISSION has caused these presents to be signed and sealed, and duly attested by its Secretary at its office in the city of Harrisburg this 13th day, of April, 2021.



Secretary

Exhibit B-2 “Experience and Plans”

Powervine Energy, LLC f/k/a Sanford Energy Associates, LLC d/b/a Powervine Energy, a Delaware Limited Liability Company ("Powervine"), is a Competitive Retail Electric Service with plans to selectively expand into deregulated states and utility territories. Powervine has been successfully operating as a retail energy supplier in Pennsylvania since 2019 & Ohio since 2018. Powervine's executive team is an elite group of energy professionals with an impressive variety of industry experience (See "Operational Expertise" and "Key Technical Personnel"). Powervine's planned process for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints will be in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code and contained in the Ohio Administrative Code.



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	CERT	COPY
11/16/2020	202030804214	FOREIGN LLC - REGISTRATION CORRECTION (LFC)	50.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment.

INCORP SERVICES, INC.
3773 HOWARD HUGHES PARKWAY
SUITE 500S
LAS VEGAS, NV 89169

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Frank LaRose
4141658

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
POWERVINE ENERGY, LLC

and, that said business records show the filing and recording of:

Document(s)

FOREIGN LLC - REGISTRATION CORRECTION

Effective Date: 11/16/2020

Document No(s):

202030804214



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
16th day of November, A.D. 2020.

Ohio Secretary of State

Form 543B Prescribed by:



Toll Free: 877.767.3453 | Central Ohio: 614.466.3910

OhioSoS.gov | business@OhioSoS.govFile online or for more information: OhioBusinessCentral.gov

Foreign Limited Liability Company Certificate of Correction

Filing Fee: \$50**(135-LFC)****Form Must Be Typed**

The undersigned authorized representative of:

POWERVINE ENERGY (SANFORD ENERGY ASSOCIATES, LLC)

Name of limited liability company in jurisdiction of formation

Name of limited liability company in Ohio, if different than above

4141658

Ohio Registration Number

Delaware

Jurisdiction of Formation

Only complete sections that apply. (sections to be corrected)

Powervine Energy, LLC

Name of limited liability company in jurisdiction of formation

Name of limited liability company in Ohio

Powervine Energy, LLC

Name of limited liability company in Ohio must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd." or "Ltd"

Jurisdiction of Formation

The address to which interested persons may direct requests for copies of any operating agreement, or any bylaws, or other charter documents of the company is:

Mailing Address

City

State

ZIP Code

Complete the information in this section if the limited liability company wants to correct the statutory agent information.

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

Name of Statutory Agent

Mailing Address

City

State

ZIP Code

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if:

- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

Complete the information in this section if the statutory agent is resigning.

Name of Current Agent

Current or last known address of the entity's principal office where a copy of this Registration of Agent was sent as of the date of filing or prior to the date filed.

Mailing Address

City

State

ZIP Code

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Must be signed by an authorized representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

Signature

By (if applicable)

Print Name

Signature
By (if applicable)
Print Name

201806100976

DATE: 03/02/2018	DOCUMENT ID 201806100976	DESCRIPTION REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	FILING 99.00	EXPED .00	PENALTY .00	CERT .00	COPY .00
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Receipt

This is not a bill. Please do not remit payment.

CORPORATION SERVICE COMPANY
DEANNE E SCHAUSEIL
50 W. BROAD STREET, SUITE 1330
COLUMBUS, OH 43215

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

4141658

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

POWERVINE ENERGY (SANFORD ENERGY ASSOCIATES, LLC)

and, that said business records show the filing and recording of:

Document(s)

REG. OF FOR. PROFIT LIM. LIAB. CO.

Document No(s):

201806100976

Effective Date: 02/26/2018



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 2nd day of March, A.D. 2018.

Jon Husted

Ohio Secretary of State

201806400804

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
03/05/2018	201806400804	TRADE NAME/ORIGINAL FILING (RNO)	39.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

CORPORATION SERVICE COMPANY
MONIQUE WEAVER
50 W. BROAD STREET
COLUMBUS, OH 43215

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

4142200

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

POWERVINE ENERGY

and, that said business records show the filing and recording of:

Document(s)

TRADE NAME/ORIGINAL FILING

Document No(s):

201806400804

Effective Date: 03/02/2018

Date of First Use: 03/01/2018
Expiration Date: 03/02/2023

POWERVINE ENERGY (SANFORD
ENERGY ASSOCIATES, LLC)
4 BLUE MOUNTAIN CT
NORWALK, CT 06856



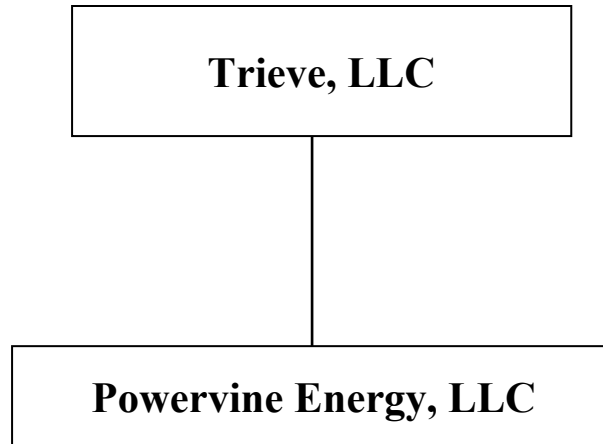
United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 5th day of March, A.D. 2018.

A handwritten signature in cursive script that reads "Jon Husted".

Ohio Secretary of State

Exhibit C-8 “Corporate Structure”



Powervine Energy, LLC f/k/a Sanford Energy Associates, LLC d/b/a Powervine Energy is owned solely by Trieve, LLC f/k/a SEA Powervine Holding Company, LLC, and is a licensed electricity supplier in both Pennsylvania and Ohio.



139 East Fourth
EX396
Cincinnati, Ohio 45202

September 1, 2022

Powervine Energy LLC has met the Electric Collateral obligations for Duke Energy Corporation as of September 1, 2022.

Tom Hunt

Duke Energy Corp
Certified Supplier Business Center
Tom.Hunt@Duke-Energy.com



Exhibit D-1 “Operations”

Powervine Energy, LLC f/k/a Sanford Energy Associates, LLC d/b/a Powervine Energy (“Powervine”) has the essential managerial and technical expertise to serve retail customers in Ohio. The Powervine staff is responsible for power scheduling and settlement, pricing, risk management, billing, tax filings, regulatory filings, and customer service. (See **Exhibit D-2 “Operational Expertise”** and **Exhibit D-3 “Key Technical Personnel”**). Our team is dedicated to compliance with the Public Utilities Commission of Ohio’s rules and regulations as well as strict adherence to market and utility protocols.

Powervine’s wholesale supply needs (energy, capacity and renewable energy) will be provided by a third-party wholesale supplier. Our EDI transactions will be conducted by Latitude Technologies, an experienced and highly rated EDI company that will ensure quality data communications between Powervine and the utilities.

Powervine intends to expand into deregulated markets in a strategic manner. We intend to recruit additional experienced industry talent to streamline middle and back office functions to ensure exceptional service for both business partners and our customers.

Exhibit D-2 “Operational Expertise”

Powervine Energy, LLC f/k/a Sanford Energy Associates, LLC d/b/a Powervine Energy (“Powervine”) was formed in May 2017 by an elite group of industry experts with extensive experience in energy risk management, power and natural gas purchasing and trading, finance, operations, sales, regulatory affairs and customer service. Recent management changes include the same caliber of industry experts as follows:

John Mulcahy – Interim CEO

Mr. John Mulcahy brings over 25 years of experience as a strategy driven entrepreneur delivering sales and management accomplishments to a broad range of businesses. Mr. Mulcahy serves as the Interim Chief Executive Officer at Powervine. He is a 14-year veteran of the retail energy industry and has over 25 years’ experience in finance and senior management in a broad range of businesses including the natural resource industry, oil & gas, commercial and investment banking. Prior to stepping in as interim CEO for Powervine, John was the Managing Partner at ParkWest Energy Advisors where he provided clients in the retail energy industry services including independent board positions, M&A consulting services, capital advisory services, growth strategies, outsourcing optimization strategies and advised & structured credit sleeve transactions with the overall goal of growing the business in a profitable and sustainable manner.

Prior to ParkWest, he stepped in to turn-around a U.S. Energy Company as an operational CFO and was responsible for completing \$150M in financings, bring the balance sheet out of the red by \$20M and leaving with TTM of \$50M EBITDA. Prior to this, Mr. Mulcahy was a partner at Meridian Capital Group, a Los Angeles boutique capital advisory firm responsible for startup investment of operations for international timber and oil & gas projects completing a series of financings up to \$ 100M. Previously, Mr. Mulcahy was responsible for a series of IT services startups including Openhire, an HR recruitment portal that was eventually sold to Silkroads Technology. Mr. Mulcahy began his career with Wells Fargo Bank, N.A., and finished as VP of Corporate Banking & Finance Division responsible for managing a \$500M portfolio of small business and commercial customers.

Mr. Mulcahy currently holds several board positions on companies including startup technology companies and non-profit companies. Mr. Mulcahy holds a B.A. with a double major in Business finance and Political Science.

Chad Yoder, CFO

Chad has over 15 years’ experience in various senior financial roles, including Controller and Chief Financial Officer (CFO), as well as a CPA and Masters in Accounting – Taxation, Chad has led multiple companies in their growth and financial well-being. While this technical know-how is critical, it has always been those values instilled in him as a child and the trusting relationships with colleagues and clients that he has developed over the years, which have contributed toward his professional success. Chad has a strong passion for business, especially small businesses, which he firmly believes to be the backbone of our U.S. economy. During his corporate Finance career, Chad created financial, accounting, operational, and HR systems that helped companies be more efficient and profitable. He understands the positive impact that correct business systems and processes can have on a business and knows that he wants to help more businesses grow and THRIVE! This led to Chad starting GreenPath CPA Solutions in 2016,

bringing these CFO level solutions to small businesses owners and REVOLUTIONIZING the way that businesses and individuals interact with and see accountants. Because he has always been the “roll up your sleeves” kind of accountant, he knows YOUR problems and concerns from the inside out, not in theory. He understands what it takes and more importantly he has the solutions necessary for success and growth!

Jessica Evans – Director of Operations

Jessica Evans has over 12 years of experience in working with retail electric and natural gas suppliers, Utilities and CIS billing vendors. Jessica began her career working with a startup retailer that quickly grew to have one of the largest U.S. footprints of its time, which eventually led her to co-founding a consulting company that solely focused on the operational aspects of the industry. Throughout consulting she held various roles within companies from advising them through licensing to production, launching new markets as a supplier or vendor, negotiating PUC penalties, or compliance and operations auditor. Jessica was on the executive committee of NEM for many years. Jessica is currently the Direction of Operations for Powervine Energy.

Anthony Gregory – Systems Manager

Mr. Gregory has over 33 years of operational management experience with a focus in Information Technology developing systems and business processes that improve the customer experience and company’s operational efficiencies. Most recently, Mr. Gregory served as Vice President at Crius Energy managing teams supporting Sales, Operations, Accounting and Finance. Responsibilities crossed all disciplines including project management, solution delivery, operations and support. Mr. Gregory was a key senior management team member that contributed to Crius’ growth. Prior to Crius Energy, Mr. Gregory had a long distinguished and successful career with many multi-national companies with experience and responsibilities includes system conversions, budget management, process manufacturing, information technology management, application development, project management, infrastructure, operations and support center.

Exhibit D-2 Cont. “Key Technical Personnel”

John Mulcahy

Interim CEO

539 W. Commerce St. #2290

Dallas, TX 75208

Phone: 1-888-263-2806

jmulcahy@PowervineEnergy.com

Chad Yoder

CFO

539 W. Commerce St. #2290

Dallas, TX 75208

Phone: 1-888-263-2806

accounting@PowervineEnergy.com

Jessica Evans

Director of Operations

539 W. Commerce St. #2290

Dallas, TX 75208

Phone: 1-888-263-2806

Compliance@PowervineEnergy.com

Anthony Gregory

Systems Manager

539 W. Commerce St. #2290

Dallas, TX 75208

Phone: 1-888-263-2806

Ops@PowervineEnergy.com

Competitive Retail Electric Service Affidavit

County of Harris :

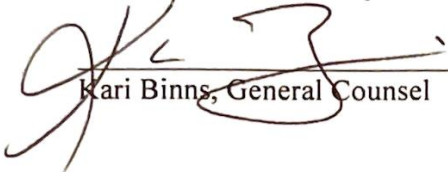
State of Texas :

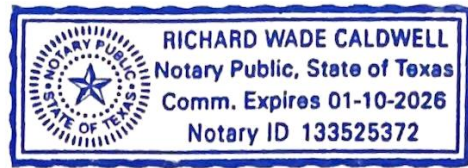
Kari Binns, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught.


Kari Binns, General Counsel



Sworn and subscribed before me this 1 day of SEPT, 2022
Month Year


Signature of official administering oath

RICHARD CALDWELL, NOTARY
Print Name and Title

My commission expires on 01-10-2026

**This foregoing document was electronically filed with the Public Utilities
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in

Case No(s). 18-1369-EL-CRS

Summary: In the Matter of the Application of Powervine Energy LLC