BEFORE THE PUBLIC UTILITIES COMMISSION OF OHIO

In the Matter of the Ohio Edison)	
Company, the Cleveland Electric)	
Illuminating Company, and the Toledo)	Case No. 17-974-EL-UNC
Edison Company's Compliance with)	
R.C. 4928.17 and the Ohio Adm. Code)	
Chapter 4901:1-37.)	

MOTION FOR A SUBPOENA FOR FORMER FIRSTENERGY CORP. VICE PRESIDENT OF RATES AND REGULATORY AFFAIRS AND ACTING VICE PRESIDENT OF EXTERNAL AFFAIRS EILEEN MIKKELSEN TO APPEAR AT DEPOSITION

BY OFFICE OF THE OHIO CONSUMERS' COUNSEL

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Maureen R. Willis (0020847) Counsel of Record John Finnigan (0018689) Connor D. Semple (0101102) Assistant Consumers' Counsel

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Columbus, Ohio 43215 Telephone: (614) 221-2121 bzets@isaacwiles.com

(willing to accept service by e-mail)

August 4, 2022

BEFORE THE PUBLIC UTILITIES COMMISSION OF OHIO

In the Matter of the Ohio Edison)	
Company, the Cleveland Electric)	
Illuminating Company, and the Toledo)	Case No. 17-974-EL-UNC
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MOTION FOR A SUBPOENA FOR FORMER FIRSTENERGY CORP. VICE PRESIDENT OF RATES AND REGULATORY AFFAIRS AND ACTING VICE PRESIDENT OF EXTERNAL AFFAIRS EILEEN MIKKELSEN TO APPEAR AT DEPOSITION BY

OFFICE OF THE OHIO CONSUMERS' COUNSEL

This motion is to subpoena FirstEnergy Corp.'s former Vice President of Rates and Regulatory Affairs and Acting Vice President of External Affairs, Eileen Mikkelsen.

The subpoena requires Ms. Mikkelsen to appear at deposition. ¹

Ms. Mikkelsen was separated from her position at FirstEnergy Corp. effective May 27, 2021 "related to her inaction regarding the amendment in 2015 of a previously disclosed purported consulting agreement with an entity associated with an individual who in 2019 was appointed to a full-time role as an Ohio government official directly involved in regulating FirstEnergy's Ohio electric utility subsidiaries, Ohio Edison Company, The Cleveland Electric Illuminating Company, and The Toledo Edison Company, including with respect to distribution rates. The consulting agreement had been in place since 2013 and, as previously disclosed, was terminated in 2019 with a

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¹ OCC would have included a request for Ms. Mikkelsen to bring documents to his deposition; however, the Attorney Examiner ruled (wrongly in our view) that OCC is not entitled to request documents when it filed a motion to subpoena Ms. Ebony Yeboah-Amankwah for deposition. *See* Entry (June 16, 2022).

payment of approximately \$4 million. FirstEnergy continues to believe that payments under the consulting agreement may have been for purposes other than those represented within the consulting agreement."²

The contract in question was described by Plaintiffs' counsel in an affidavit filed in the federal securities lawsuit related to H.B. 6. The affidavit states:

* * *

- 4. Plaintiffs' counsel believe that the discovery received would have shown at trial that two senior executives of FirstEnergy devised and orchestrated FirstEnergy's payments to public officials in exchange for favorable legislation and regulatory action:
 - Defendant Charles E. Jones, who was
 FirstEnergy's Chief Executive Officer and a
 director at the time of the scheme and has
 since been terminated by the Company; and
 - Defendant Michael J. Dowling, who was FirstEnergy's Senior Vice President for External Affairs at the time of the scheme and has since been terminated by the Company.
- 5. Based on the discovery, Plaintiffs' counsel understand that Defendant Jones is the individual identified in the DPA [Deferred Prosecution Agreement] as "Executive 1" and that Defendant Dowling is the individual identified in the DPA as "Executive 2." The DPA describes Executive 1's and Executive 2's central roles in the events giving rise to this litigation.
- 6. Defendants Jones and Dowling have vehemently denied acting improperly, and neither Jones nor

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² FirstEnergy Corp. Form 8-K (May 27, 2021).

Dowling have been charged by the Department of Justice ³

OCC intends to question the deponent on topics that are reasonably calculated to lead to the discovery of admissible evidence in this corporate separation case. Among these topics, OCC intends to question the deponent concerning the documents attached to this motion.

The present subpoena compels Ms. Mikkelsen to appear for deposition. The deposition will be at OCC's offices at 65 East State Street, Suite 700, Columbus, Ohio 43215, fourteen days from the date of the subpoena (or at such alternative date and/or location that is mutually agreed upon).

This case concerns FirstEnergy's noncompliance with Ohio corporate separation requirements, including FirstEnergy's misallocations of costs related to House Bill 6, which were part of "the largest bribery money laundering scheme in Ohio history." Ms. Mikkelsen was Vice President of Rates and Regulatory Affairs and Acting Vice President of External Affairs at FirstEnergy Corp. through her termination on May 27, 2021.

Among other things, OCC needs to question Ms. Mikkelsen regarding what FirstEnergy described as "inaction" relating to the payments which resulted in misallocated costs to the FirstEnergy Ohio Utilities.⁵ OCC also needs to question Ms. Mikkelsen about FirstEnergy's bookkeeping, which FERC described in a recent audit report:

³ Jennifer L. Miller v. Michael J. Anderson, et al., Case No. 5:20-cv-1743 Affidavit of Jeroen Van Kwaegen and Thomas Curry (N.D. Ohio) (March 23, 2022).

⁴ FirstEnergy Corp. Form 8-K (May 27, 2021).

⁵ Jennifer L. Miller v. Michael J. Anderson, et al., Case No. 5:20-cv-1743 Affidavit of Jeroen Van Kwaegen and Thomas Curry (N.D. Ohio) (March 23, 2022).

Even more concerning, several factual assertions agreed to by FirstEnergy in DPA and the remedies FirstEnergy agreed to undertake, point towards internal controls having been possibly obfuscated or circumvented to conceal or mislead as to the actual amounts, nature, and purpose of the lobbying expenditures made, and as a result, the improper inclusion of lobbying and other nonutility costs in wholesale transmission billing rates.⁶

It appears that, at Mr. Jones' and Mr. Dowling's direction, FirstEnergy made various political contributions which were then improperly allocated to the FirstEnergy Utilities and improperly charged to consumers. The misallocations were for FirstEnergy political contributions to Generation Now and Hardworking Ohioans, as well as payments to Sustainability Funding Alliance, a firm associated with former PUCO Chair Sam Randazzo.⁷

Consumers were wrongly charged \$6,639,339 for FirstEnergy's improper cost allocations. FirstEnergy also improperly charged to capital accounts an additional \$7,445,573 which a PUCO auditor has recommended should be removed from rate base in future rate cases.⁸ OCC seeks information from Ms. Mikkelsen relating to her inaction that allowed these misallocations to occur.

The PUCO has repeatedly stated that it is "determined to act in a deliberate manner, based upon facts rather than speculation." Signing this subpoena for OCC is part of obtaining the facts (and justice). The subpoena also would help to achieve Chair

⁶ In re FirstEnergy Audit, Docket No. FA19-1-000 at 48 (February 4, 2022) (emphasis added).

⁷ In the Matter of the 2020 Review of the Delivery Capital Recovery Rider of the Ohio Edison Company, the Cleveland Electric Illuminating Company, and the Toledo Edison Company, Case No. 20-1629-EL-UNC, Audit Report, Expanded Scope (August 3, 2021).

⁸ Case No. 20-1629-EL-UNC, Audit Report, Expanded Scope at 28 (August 3, 2021).

⁹ In the Matter of the Review of Ohio Edison Company, the Cleveland Electric Illuminating Company, and the Toledo Edison Company's Compliance with R.C. 4928.17 and Ohio Adm. Code Chapter 4901:1-37, Case No. 17-974-EL-UNC, Entry at ¶ 17 (November 4, 2020).

French's objective to provide "more transparency" "to lift the 'black cloud' of [the] HB 6 scandal" from over the PUCO." 10

Accordingly, OCC files this motion for a subpoena to Ms. Mikkelsen, per O.A.C. 4901-1-25. This motion is more fully explained in the attached memorandum in support.

Respectfully submitted,

Bruce Weston (0016973) Ohio Consumers' Counsel

/s/ Maureen R. Willis
Maureen R. Willis (0020847)
Counsel of Record
John Finnigan (0018689)
Connor D. Semple (0101102)
Assistant Consumers' Counsel

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john.finnigan@occ.ohio.gov
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Columbus, Ohio 43215
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(willing to accept service by e-mail)

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¹⁰ J. Pelzer, New PUCO Chair Jenifer French: more transparency needed to lift the 'black cloud' of [the] HB 6 scandal, Cleveland.com (May 18, 2021).

TABLE OF CONTENTS

		P.	AGE
I.	INTR	ODUCTION	1
II.	LAW	AND ARGUMENT	2
	A.	The PUCO should grant OCC's motion and sign OCC's subpoena to FirstEnergy's former Vice President of Rates and Regulatory Affairs a Acting Vice President of External Affairs.	
III.	CON	CLUSION	4

BEFORE THE PUBLIC UTILITIES COMMISSION OF OHIO

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)	Case No. 17-974-EL-UNC
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MEMORANDUM IN SUPPORT

I. INTRODUCTION

OCC files this motion, per O.A. C. 4901-1-25, to subpoena Ms. Eileen Mikkelsen. Ms. Mikkelsen should be compelled to appear for deposition at OCC's offices at 65 East State Street, Suite 700, Columbus, Ohio 43215, fourteen days from the date of the subpoena (or alternatively at such date and/or location that is mutually agreed upon).

According to Plaintiffs counsel's affidavit in the *Miller* litigation, Mr. Jones and Mr. Dowling "devised and orchestrated FirstEnergy's payments to public officials in exchange for favorable legislation and regulatory action." An audit ordered by the PUCO revealed that a significant amount of the costs for these payments was improperly allocated to the FirstEnergy Ohio Utilities. FirstEnergy Corp. reported that it "separated" Ms. Mikkelsen from the company due to her inaction related to a 2015 amendment to a contract in question. The PUCO previously granted OCC's motion to

¹¹ Jennifer L. Miller v. Michael J. Anderson, et al., Case No. 5:20-cv-1743 Affidavit of Jeroen Van Kwaegen and Thomas Curry (N.D. Ohio) (March 23, 2022).

¹² In the Matter of the 2020 Review of the Delivery Capital Recovery Rider of the Ohio Edison Company, the Cleveland Electric Illuminating Company, and the Toledo Edison Company, Case No. 20-1629-EL-UNC, Audit Report, Expanded Scope (August 3, 2021).

¹³ FirstEnergy Corp. Form 8-K (May 27, 2021).

subpoena Ms. Ebony Yehboah-Amankwah in this case and overruled Ms. Yeboah-Amankwah's motion to quash the subpoena.¹⁴

The PUCO has stated that it is "determined to act in a deliberate manner, based upon facts rather than speculation." But to take appropriate action for public protection based on facts, the PUCO *must first obtain the facts*, including by signing this subpoena for OCC.

Accordingly, the PUCO should grant OCC's motion.

II. LAW AND ARGUMENT

A. The PUCO should grant OCC's motion and sign OCC's subpoena to FirstEnergy's former Vice President of Rates and Regulatory Affairs and Acting Vice President of External Affairs.

OCC satisfies O.A.C. 4901-1-25 for the granting of its motion for a subpoena. Essentially, the signing of the subpoena is a ministerial act for the PUCO. The Attorney Examiner should sign the subpoena when presented by OCC in person, per O.A.C. 4901-1-25(A)(2). If that signing does not occur for whatever reason, the PUCO Examiner should promptly return the signed subpoena to OCC via "United States mail," per O.A.C. 4901-1-25(A)(1). The PUCO's consideration of whether a subpoena is "unreasonable or oppressive" is only prompted if another party moves to quash, per O.A.C. 4901-1-25(C).

Under R.C. 4903.082, parties must be given ample rights of discovery. The Ohio Supreme Court recently affirmed OCC and NOPEC's broad statutory rights to discovery (as intervenors), when it reversed the PUCO's decision in the FirstEnergy Advisors case.

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¹⁴ Entry (June 16, 2022).

¹⁵ In the Matter of the Review of Ohio Edison Company, the Cleveland Electric Illuminating Company, and the Toledo Edison Company's Compliance with R.C. 4928.17 and Ohio Adm. Code Chapter 4901:1-37, Case No. 17-974-EL-UNC, Entry at ¶ 17 (November 4, 2020).

Discovery rights are also in O.A.C. 4901-1-16 et seq. The PUCO denied motions to compel discovery among other things, in the case. The Court directed the PUCO to rule on the discovery motions before issuing a decision on the matters before it. 17

Requiring Ms. Mikkelsen to testify at deposition will help establish how and why FirstEnergy improperly misallocated House Bill 6 costs to the FirstEnergy Ohio Utilities. The evidence obtained to date establishes that FirstEnergy improperly charged the FirstEnergy Utilities for several items. One item was a portion of the \$60 million in payments to Generation Now to benefit a legislator for help in passing House Bill 6.¹⁸ Another item was "a FirstEnergy Corp. payment of \$4,333,333, made on January 2, 2019 under a consulting agreement with Sustainability Funding Alliance ("SFA"), which the U.S. Attorney/FirstEnergy deferred prosecution agreement indicates was political spending in support of House Bill 6."¹⁹

The PUCO should grant OCC's motion for a subpoena to require Ms. Mikkelsen to testify at deposition.

¹⁶ In re Suvon LLC, 2021 WL 4783198, 2021-Ohio-3630 (October 14, 2021).

¹⁷ *Id*. at ¶ 41.

¹⁸ In the Matter of the Review of the Political and Charitable Spending by Ohio Edison Company, the Cleveland Electric Illuminating Company, and the Toledo Edison Company, Deposition of Santino Fanelli at 129-130 (March 9, 2021) (testifying that political and charitable spending costs involving Generation Now payments were allocated to the Ohio companies); see also In the Matter of the 2020 Review of the Delivery Capital Recovery Rider of Ohio Edison Company, the Cleveland Electric Illuminating Company, and the Toledo Edison Company, Case No. 20-1629-EL-RDR, Compliance Audit of the 2020 Delivery Capital Recovery (DCR) Riders of Ohio Edison Company, the Cleveland Electric Illuminating Company, and the Toledo Edison Company, Expanded Audit Report at Table 24 at 27 (Auditor finding that a payment of \$355,800 to Generation Now was "unsupported" but nonetheless classified in part as capital investment and charged to FirstEnergy consumers through the 2017 Rider DCR and the Pole attachment charges) (August 3, 2021).

¹⁹ In the Matter of the Review of the Political and Charitable Spending by Ohio Edison Company, the Cleveland Electric Illuminating Company, and the Toledo Edison Company, Case No. 20-1502-EL-UNC, Ohio Edison Company, the Cleveland Electric Illuminating Company, and the Toledo Edison Company's Supplemental Response to the September 15, 2020 Show Cause Entry at 1 (August 6, 2021).

III. CONCLUSION

The PUCO should sign OCC's subpoena toward giving Ohioans the benefit of a proper investigation of FirstEnergy's apparent corporate separation violations related to House Bill 6. OCC's requested subpoena to FirstEnergy's former Vice President of Rates and Regulatory Affairs and Acting Vice President of External Affairs is needed to obtain crucial information for case preparation toward reaching justice in this proceeding.

Respectfully submitted,

Bruce Weston (0016973) Ohio Consumers' Counsel

/s/ Maureen R. Willis

Maureen R. Willis (0020847)

Counsel of Record

John Finnigan (0018689)

Connor D. Semple (0101102)

Assistant Consumers' Counsel

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Two Miranova Place, Suite 700

Columbus, Ohio 43215

Telephone: (614) 221-2121

bzets@isaacwiles.com

(willing to accept service by e-mail)

CERTIFICATE OF SERVICE

I hereby certify that a copy of this Motion was served on the persons stated below via electric transmission this 4th day of August 2022.

/s/ Maureen R. Willis
Maureen R. Willis
Senior Counsel

The PUCO's e-filing system will electronically serve notice of the filing of this document on the following parties:

SERVICE LIST

thomas.lindgren@ohioAGO.gov werner.margard@ohioAGO.gov joliker@igsenergy.com Mnugent@igsenergy.com bethany.allen@igs.com evan.betterton@igs.com gkrassen@bricker.com dstinson@bricker.com whitt@whitt-sturtevant.com trent@hubaydougherty.com mwise@mcdonaldhopkins.com mkurtz@BKLlawfirm.com kboehm@BKLlawfirm.com jkylercohn@BKLlawfirm.com talexander@beneschlaw.com khehmeyer@beneschlaw.com

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edanford@firstenergycorp.com

STATE OF OHIO PUBLIC UTILITIES COMMISSION 180 E. EAST BROAD STREET COLUMBUS OHIO 43266-0573

Michael DeWine GOVERNOR



PUBLIC UTILITIES COMMISSION OF OHIO SUBPOENA

TO: Ms. Eileen Mikkelsen 2345 Woodmill Drive Westlake, Ohio 44145

Upon application of the Office of the Ohio Consumers' Counsel ("OCC"), Ms. Eileen Mikkelsen, former Vice President of Rates and Regulatory Affairs and Acting Vice President of External Affairs of FirstEnergy Corp., is hereby required to appear for deposition at OCC's office at 65 East State Street, Suite 700, Columbus, Ohio 43215 fourteen days of the date of this subpoena or at such alternative time and/or location as is mutually agreed.

This subpoena is issued in connection with the proceeding entitled: "In the Matter of the Ohio Edison Company, the Cleveland Electric Illuminating Company, and the Toledo Edison Company's Compliance with R.C. 4928.17 and the Ohio Adm. Code Chapter 4901:1-37, Case No. 17-974-EL-UNC."

Dated at Columbus, Ohio, this _____ day of August 2022.

PUCO Attorney Examiner

NOTICE:

If you are not a party or an officer, agent, or employee of a party to this proceeding, then witness fees for attending under this subpoena are to be paid by the party at whose request the witness is summoned. Every copy of this subpoena for the witness must contain this notice.

The following documents, though originally marked confidential, are public documents pursuant to OCC's disclosure notices provided for under its protective agreement with FirstEnergy.

Item 1

Modification of agreement with Sustainability Funding Alliance

Year Four (2016)	\$1,733,333 (increase by \$600,000)
Year Five (2017)	\$2,866,666 (increase by \$600,000)
Year Six (2018)	\$2,866,666 (increase by \$600,000)
Year Seven (2019)	\$1,733,333 (increase by \$600,000)
New	

Year Eight (2020)	\$900,000
Year Nine (2021)	\$800,000
Year Ten (2022)	\$700,000
Year Eleven (2023)	\$600,000
Year Twelve (2024)	\$600,000

\$600,000 per year (2016 through 2024) not contingent on ESP/PPA outcome acceptable to FE and payment underwritten by independent third party.

Item 2

Ms. Vespoli, Mr. Dowling, Mr. Evans, Mr. Vegas, Mr. Frohle, Mr. Pine and Mr. Randazzo will jointly meet with President of the Senate, Speaker of the House and Governor to explain ESP/PPA related need to pass legislation extending SB 310 streamlined opt out to "mercantile customers" effective 1/1/17 with streamlined opt out applicable to AER, EE/PDR and opt out also applicable to any AER, EE/PDR requirements approved by the PUCO (including any requirements established as part of an ESP, MRO or any other PUCO process).

{C48819:}

From: "Dowling, Michael J." <dowlingm@firstenergycorp.com>

To: "Mikkelsen, Eileen M." <mikkelsene@firstenergycorp.com>

Cc: "Strah, Steven E." <sestrah@firstenergycorp.com>
Subject: Re: Ohio HB 6 - Decoupling Talking Points.docx

Date: Fri, 19 Apr 2019 19:49:22 -0000

Importance: Normal

Thanks, Eileen. Steve, I know you want the financials, but a little more background on how we got to where we are. Shortly after all the Ohio utilities met with Speaker Householder, we received a draft copy of the legislation. The draft legislation (and ultimately the as-introduced legislation) eliminated Ohio's energy efficiency mandate, creating a significant annual financial hole for us by foreclosing our ability to continue recovery of Lost Distribution Revenue (LDR). Before the bill was introduced, we developed various ways to address the problem that would ensure our ability to continue recover LDR. Some of our LDR fixes were considered to be politically problematic (by the Speaker's office) because the solutions made it clear we would continue to recover LDR . After some back and forth with the Speaker's office, we landed on the decoupling language that was included in the as-introduced bill.

Michael J. Dowling Senior VP, External Affairs FirstEnergy 330-384-5761 office 330-283-1180 mobile

On Apr 19, 2019, at 3:08 PM, Mikkelsen, Eileen M. < mikkelsene@firstenergycorp.com > wrote:

Steve,

I am happy to catch up with you next week. I did discuss this with Jason Lisowski and Jon Taylor prior to the external affairs folks work to include this language in the bill. Both Jason and Jon supported the idea. We also shared the plan with Steve, Irene and Ollie at our monthly regulatory update meeting. The team did prepare a revenue comparison over the planning period. Importantly, the bill was moving and we were faced with losing collection of lost distribution revenue in the future and were looking for a solution that we could tuck into the bill that wouldn't raise a lot of attention but would protect us financially. I will set something up to discuss in more detail next week. Thank you.

Sent from my iPhone

On Apr 19, 2019, at 2:49 PM, Strah, Steven E. <<u>sestrah@firstenergycorp.com</u>> wrote:

Mike/Eileen - -

I greatly appreciate the attached update and completely understand the "under the radar" aspect. I also understand the approach we be a good thing for customers and the company. I did have a couple questions. Was there a financial analysis performed on the plan? How does it fit with our current financial plan? How does it impact our 6%-8% growth rate? Myself and the Finance team have seen nothing....I believe....I might be missing something. Perhaps Sonny or someone could reach out to me next week and provide a verbal briefing. Thanks.

From: Dowling, Michael J.

Sent: Monday, April 15, 2019 4:52 PM

Confidential FE_CIV_SEC_0203292

To: Strah, Steven E. <sestrah@firstenergycorp.com>; Prezelj, Irene M. cycenter firstenergycorp.com; Taylor,
Jon <taylorj@firstenergycorp.com>; Staub, Steven R <sstaub@firstenergycorp.com>; Jones, Charles E.
cycenter firstenergycorp.com>; Yeboah-Amankwah, Ebony <eyeboah@firstenergycorp.com>; Bingaman,
Bradley A
bingaman@firstenergycorp.com>; Knipe, Brian J
bknipe@firstenergycorp.com>
Cc: Bailey, Joel D.
baileyj@firstenergycorp.com>; Biltz, Justin T
jbiltz@firstenergycorp.com>; Pine, Ty
<tpine@firstenergycorp.com>; Mikkelsen, Eileen M. <mikkelsene@firstenergycorp.com>
Subject: Ohio HB 6 - Decoupling Talking Points.docx

Attached are talking points prepared by our Corp. Comm. team with help from Rates, Legal and External Affairs on decoupling language which we proposed be included in the recently-introduced Ohio Clean Energy Bill (House Bill 6). The bill is also attached and the decoupling language appears on lines 517-557. To date, we haven't seen any media articles that have referenced the language. We'd like it to remain "under the radar" as long as possible, but we know the language will inevitably be questioned and discussed. We are educating legislators and others using the attached talking points. Considering the interest in this legislation, the varying degrees of support for the decoupling language from Ohio's other EDUs, and the upcoming earnings call, we wanted you to be aware of the language and have the talking points.

Please let me know if you have any questions.

Confidential FE_CIV_SEC_0203293

From: "Gawlik, Gregory J" <ggawlik@firstenergycorp.com>

To: "Mikkelsen, Eileen M." < mikkelsene@firstenergycorp.com>

Subject: FW:

Date: Tue, 18 May 2021 16:06:01 +0000

Importance: Normal

Eileen – just wanted to circle back with you from the discussion we had last week. I think the best approach for me to get at this information is to start with Mike Eckard's team. So, I told Mike I'll be sending him an email to circulate to his organization later today. I'll copy you.

Redacted

Redacted I'll let you know if I need anything else down the line. Thanks for talking with

me last week.



Gregory Gawlik

Asst Controller-Tax
office: 330-255-1630 (825-1630) | cell: 330-571-4866

ggawlik@firstenergycorp.com
76 South Main, Akron, OH 44308 | mailstop: A-GO-6 / AK-General Office Bldg

From: Gawlik, Gregory J

Sent: Friday, May 14, 2021 11:25 AM

To: Mikkelsen, Eileen M. <mikkelsene@firstenergycorp.com>

Subject:

Eileen - for our call.

[SAMPLE EMAIL]

In preparing FirstEnergy's federal income tax return every year, we must analyze expenses related to activity that meets the definition of "lobbying" for federal income tax purposes. Any such lobbying costs are non-deductible. In years' past, the tax department has circulated a "survey" to the government affairs team asking each person to estimate a percentage of annual time that may have been spent on "lobbying" activities <u>as defined for federal income tax purposes only</u>. The tax department then aggregates the responses from all individuals and determines a percentage of total labor costs that should be considered non-deductible.

For purposes of preparing the 2020 tax return, I am asking you to please indicate in the attached spreadsheet an estimated percentage of your time – if any – that you may have spent on the following activities during 2020 that would be considered lobbying for federal income tax purposes:

- Communications concerning specific federal or state legislation. Communicating with a federal or state
 legislator (or staff) concerning pending or proposed legislation or reflecting a view on such legislation (an
 example might include a letter, email or phone call discussing specific legislation or indicating support for
 such legislation); this category does not include legislation at the local level, like city council.
- 2. <u>Preparation for communications on specific federal or state legislation</u>. Any research, preparation, or planning that was directly related to the communication described in #1 (an example might be time spent reading and researching the legislation).
- 3. <u>Influencing certain federal executive branch officials.</u> Influencing the official actions of a "federal covered executive branch official" or others designated by the President as having "Cabinet level status" this category basically covers the President, Vice President, any officer or employee of the White House Office of the Executive Office of the President, or any Level 1 Executive which usually means Cabinet-level officers but can also include deputies; this does not necessarily cover all heads of agencies, for example the Chair of FERC is *not* a Level 1 executive <u>if in doubt on this category, ask us</u>
- 4. <u>Participation in political campaigns or PAC administration.</u> Active participation in a political campaign or maintenance and operation of a Political Action Committee this does not include attending political events

as long as you are not actively participating in the event

- 5. <u>Influencing local governments</u>. Activities to influence local governments city or county level (examples might include meeting with a mayor or other city official to influence local ordinances or permits)
- 6. <u>Influencing the public at large concerning specific legislation or political issues.</u> Engaging in "grassroots" lobbying with a specific call to action this basically means trying to influence the general public concerning legislation or a referendum (examples might include direct mail, rallies, billboards or other advertising, but also includes research, planning, and coordination of the activities)



Gregory Gawlik

Asst Controller-Tax office: 330-255-1630 (825-1630) | cell: 330-571-4866

ggawlik@firstenergycorp.com
76 South Main, Akron, OH 44308 | mailstop: A-GO-6 / AK-General Office Bldg

Confidential FE_CIV_SEC_0443423

Short Message Report

Conversations: 1	Participants: 7
Total Messages: 3	Date Range: 11/29/2015

Outline of Conversations



chat290693100407714331 3 messages on 11/29/2015 • Ebony Yeboah • Eileen Mikkelsen • Kelley E Mendenhall • Leila Vespoli • Mark Hayden Cell • Michael Dowling • William R. Ridmann

Confidential FE_PUCO_0000075

Messages in chronological order (times are shown in GMT -05:00)

chat290693100407714331 MD **Michael Dowling** 11/29/2015, 3:18 PM Sam is on board with the agreement. We agreed to \$500k additional in years 2016-19 and \$600k in years 2020-2024 Agreement is NOT contingent on acceptable ESP/PPA outcome. We agreed to jointly meet with Senate President and House Speaker, but not with Governor on the issue described in Item 2. EM Eileen Mikkelsen 3:18 PM Great **Ebony Yeboah** 3:19 PM ΕY

That is super. I will send language soon. There may be issues with what we are willing to agree to

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8/4/2022 4:22:49 PM

in

Case No(s). 17-0974-EL-UNC

Summary: Motion Motion for a Subpoena for Former FirstEnergy Corp. Vice President of Rates and Regulatory Affairs and Acting Vice President of External Affairs Eileen Mikkelsen to Appear at Deposition by Office of the Ohio Consumers' Counsel electronically filed by Mrs. Tracy J. Greene on behalf of Willis, Maureen R.