



DIS Case Number: 08-0638-GA-CRS

Section A: Application Information

A-1. Provider type:

☐ Retail Natural Gas
Broker

☐ Retail Natural Gas
Aggregator

☒ Retail Natural Gas
Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Spark Energy Gas, LLC

Country: United States

Phone: 877-547-7275
Extension (if applicable):

Street: 12140 Wickchester Ln., Suite 100

Website (if any): www.sparkenergy.com

City: Houston

Province/State: TX

Postal Code: 77079

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

| Name | Type | Address | Active? | Proof |
|-----------------------|---------------|---|---------|-------|
| Spark Energy Gas, LLC | Official Name | 12140 Wickchester Ln., Suite 100 Houston, TX 77079 | Yes | File |

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

| Name | Type | Address | Active? | Proof |
|-----------------------|---------------|---|---------|-------|
| Spark Energy Gas, LLC | Official Name | 12140 Wickchester Ln., Suite 100 Houston, TX 77079 | Yes | File |

A-5. Contact person for regulatory matters



Muriel LeClerc
12140 Wickchester Ln., Suite 100
Houston, TX 77079
US
regulatorymatters@sparkenergy.com
2078904094

A-6. Contact person for PUCO Staff use in investigating consumer complaints

April Lusk
12140 Wickchester Ln, Ste 100
Houston, TX 77079
US
regulatory@sparkenergy.com
7136002600

A-7. Applicant's address and toll-free number for customer service and complaints

| | | |
|--|-----------------------------------|---|
| Phone: 877-547-7275 | Extension (if applicable): | Country: United States |
| Fax: | Extension (if applicable): | Street: 12140 Wickchester Ln., Suite 100 |
| Email: customercare@sparkenergy.com | | City: Houston |
| | | Province/State: TX |
| | | Postal Code: 77079 |

A-8. Applicant's federal employer identification number

76-0668204

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

Columbia Gas of Ohio

Dominion Energy Ohio
Duke Energy Ohio
CenterPoint Energy Ohio

Class of customer selection

Industrial
Residential
Small Commercial
Large Commercial

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 07-01-2009

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

| Name | Email | Title | Address |
|----------------------|-------------------------------|-------------------------|---|
| W. Keith Maxwell III | kmaxwell@viarenewables.com | CEO/Chairman | 12140 Wickchester Ln., Suite 100 Houston, TX 77079 US |
| Paul Konikowski | pkonikowski@viarenewables.com | Chief Operating Officer | 12140 Wickchester Ln., Suite 100 Houston, TX 77079 US |
| Mike Barajas | mbarajas@viarenewables.com | Chief Financial Officer | 12140 Wickchester Ln., Suite 100 Houston, TX 77079 US |

A-13. Company history

Spark Energy Gas, LLC markets natural gas to residential, commercial and industrial customers in 14 different states across 31 utilities. Spark Energy Gas, LLC is headquartered in Houston, TX and has approximately 200 employees. Since 2002, Spark Energy Gas, LLC has been a provider of retail natural gas; in 2003, Spark Energy, LLC began offering retail electricity products.

A-14. Secretary of State

Secretary of State Link:



A-15. Proof of Ohio Employee and Office

Provide proof of an Ohio Office and Employee in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address

Employee Name: James Stapleton
8044 Montgomery Rd., Suite 700
Cincinnati, OH 45236
US
customercare@sparkenergy.com
5137922200

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

File Attached

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

File(s) attached

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or



Public Utilities Commission

informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

File Attached

B-4. Disclosure of consumer protection violations

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

Yes

File(s) attached

B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.



Financial Reports Link(s):

<https://www.sec.gov/ix?doc=/Archives/edgar/data/1606268/000160626822000008/spke-20211231.htm>

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Links to Financial Statement(s): 2020 – 10-K

<https://www.sec.gov/Archives/edgar/data/1606268/000160626821000015/spke-20201231.htm>

2021 – 10-K

<https://www.sec.gov/ix?doc=/Archives/edgar/data/1606268/000160626822000008/spke-20211231.htm>

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is



expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant



Public Utilities Commission

- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

File(s) attached

C-9. Financial arrangements

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.



2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal **in the opinion of the Staff reviewer** to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

File(s) attached

Section D: Applicant Technical Capacity

D-1. Operations

Gas Marketers: Describe the operational nature of the applicant's business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

File(s) attached

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

File(s) attached



Public Utilities
Commission

Application Attachments

Entity#: 1489505
Filing Type: FOREIGN LIMITED LIABILITY COMPANY
Original Filing Date: 09/15/2004
Location: --
Business Name: SPARK ENERGY GAS, LLC

Status: Active
Exp. Date: -

Agent/Registrant Information

CORPORATION SERVICE COMPANY
3366 RIVERSIDE DRIVE, SUITE 103
UPPER ARLINGTON OH 43221
04/18/2022
Active

Filings

| Filing Type | Date of Filing | Document ID |
|---|----------------|--------------|
| REGISTRATION OF FOREIGN LIMITED PARTNERSHIP | 09/15/2004 | 200426502908 |
| CORRECTION/FOREIGN LIMITED PARTNERSHIP | 05/10/2007 | 200713100230 |
| FOREIGN/DESIGNATED APPOINTMENT OF AGENT | 01/19/2012 | 201202501288 |
| CONVERSION WITHIN SOS RECORDS | 07/24/2014 | 201420601889 |
| REAL ESTATE TRUST/AGENT ADDRESS CHANGE | 11/10/2016 | 201632602630 |
| FOREIGN/DESIGNATED APPOINTMENT OF AGENT | 06/01/2017 | 201715203366 |
| FOREIGN LLC - CERTIFICATION OF CORRECTION | 10/06/2021 | 202127902780 |
| AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS | 04/18/2022 | 202210801594 |

Prior Business Names

Prior Business Name

Effective Date

SPARK ENERGY GAS, LP

07/24/2014

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF SECRETARY OF STATE

I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State.



*Witness my hand and the seal of the
Secretary of State at Columbus,
Ohio this 30th of June, A.D. 2022*

Ohio Secretary of State

A handwritten signature in blue ink, reading "Frank LaRose".

| Utility State | UtilityLongName | Commodity | Resi/Comm | Spark Energy | Spark Energy Gas | Verde Energy | Provider Power MASS | Electricity Maine | ENH Power | Major Energy Electric | Major Energy Services |
|---------------|---|-------------|-----------|--------------|------------------|--------------|---------------------|-------------------|-----------|-----------------------|-----------------------|
| AZ | Southwest Gas Corporation (Arizona) | Natural Gas | Com | | ✓ | | | | | | |
| CA | Pacific Gas and Electric | Natural Gas | Resi/Com | | ✓ | | | | | | |
| CA | San Diego Gas and Electric | Natural Gas | Resi/Com | | ✓ | | | | | | |
| CA | Southern California Gas Company | Natural Gas | Resi/Com | | ✓ | | | | | | |
| CO | Public Service Company of Colorado | Natural Gas | Com | | ✓ | | | | | | |
| CT | Connecticut Lighting and Power | Electric | Resi/Com | ✓ | | ✓ | | | | | ✓ |
| CT | United Illuminating | Electric | Resi/Com | ✓ | | ✓ | | | | | ✓ |
| CT | Connecticut Natural Gas | Natural Gas | Com | | ✓ | | | | | | |
| CT | Southern Connecticut Gas | Natural Gas | Com | | ✓ | | | | | | |
| CT | Yankee Gas | Natural Gas | Com | | ✓ | | | | | | |
| DC | Pepco DC | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| DC | Washington Gas & Light | Natural Gas | Resi/Com | | | | | | | | ✓ |
| DE | Delmarva Power | Electric | Resi/Com | ✓ | | | | | | | |
| FL | Central Florida Gas | Natural Gas | Com | | ✓ | | | | | | |
| FL | Florida City Gas | Natural Gas | Com | | ✓ | | | | | | |
| FL | Florida Public Utilities | Natural Gas | Com | | ✓ | | | | | | |
| FL | TECO Peoples Gas | Natural Gas | Com | | ✓ | | | | | | |
| IL | Common Wealth Edison | Electric | Resi/Com | ✓ | | | | | | | ✓ |
| IL | Nicor Gas Company | Natural Gas | Resi/Com | | ✓ | | | | | | |
| IL | North Shore Gas | Natural Gas | Resi/Com | | ✓ | | | | | | |
| IL | Peoples Gas | Natural Gas | Resi/Com | | ✓ | | | | | | |
| IN | Citizens Gas | Natural Gas | Com | | ✓ | | | | | | |
| IN | Northern Indiana Public Service Company | Natural Gas | Resi/Com | | ✓ | | | | | | |
| MA | Boston Edison | Electric | Resi/Com | ✓ | | ✓ | ✓ | | | | ✓ |
| MA | Cambridge Electric | Electric | Resi/Com | ✓ | | ✓ | ✓ | | | | ✓ |
| MA | Commonwealth Electric | Electric | Resi/Com | ✓ | | ✓ | ✓ | | | | ✓ |
| MA | Fitchburg Gas and Electric | Electric | Resi/Com | | | | ✓ | | | | |
| MA | Massachusetts Electric Company | Electric | Resi/Com | | | ✓ | ✓ | | | | ✓ |
| MA | Nantucket Electric | Electric | Resi/Com | | | | ✓ | | | | |
| MA | Eversource WMECO | Electric | Resi/Com | | | ✓ | ✓ | | | | ✓ |
| MA | National Grid Boston | Natural Gas | Com | | ✓ | | | | | | |
| MA | Columbia Gas of Massachusetts | Natural Gas | Com | | ✓ | | | | | | |
| MA | National Grid Colonial | Natural Gas | Com | | ✓ | | | | | | |
| MA | National Grid Essex | Natural Gas | Com | | ✓ | | | | | | |
| MA | Nstar C&I Gas | Natural Gas | Com | | ✓ | | | | | | |
| MA | Nstar - Residential Gas | Natural Gas | Resi | | ✓ | | | | | | |
| MD | Baltimore Gas and Electric | Electric | Resi/Com | ✓ | | | | | | | ✓ |
| MD | Delmarva Power | Electric | Resi/Com | ✓ | | | | | | | ✓ |
| MD | Pepco Maryland | Electric | Resi/Com | ✓ | | | | | | | ✓ |
| MD | First Energy Potomac Edison | Electric | Resi/Com | | | | | | | | ✓ |
| MD | Southern Maryland Electric Cooperative | Electric | Resi/Com | | | | | | | | ✓ |
| MD | Baltimore Gas and Electric | Natural Gas | Resi/Com | | ✓ | | | | | | ✓ |
| MD | Washington Gas & Light | Natural Gas | Resi/Com | | | | | | | | ✓ |
| ME | Central Maine Power | Electric | Resi/Com | | | | | ✓ | | | ✓ |
| ME | Emera Maine (formerly Bangor Hydro) | Electric | Resi/Com | | | | | ✓ | | | ✓ |
| MI | Consumers Energy Gas | Natural Gas | Resi/Com | | ✓ | | | | | | |
| MI | DTE Energy | Natural Gas | Resi/Com | | ✓ | | | | | | |
| NH | Liberty Utilities | Electric | Resi/Com | | | | | | ✓ | | |
| NH | New Hampshire Electric Coop | Electric | Resi/Com | | | | | | ✓ | | |
| NH | Public Service of New Hampshire | Electric | Resi/Com | | | | | | ✓ | | |
| NH | Unitil Energy Systems | Electric | Resi/Com | | | | | | ✓ | | |
| NJ | Atlantic City Electric | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| NJ | Jersey Central Power & Light | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| NJ | Public Service Electric and Gas Company | Electric | Resi/Com | ✓ | | ✓ | | | | | ✓ |
| NJ | Rockland Electric | Electric | Resi/Com | | | ✓ | | | | | |
| NJ | Elizabethtown Natural Gas | Natural Gas | Resi/Com | | | | | | | | ✓ |
| NJ | New Jersey Natural Gas | Natural Gas | Resi/Com | | | ✓ | | | | | ✓ |
| NJ | Public Service Electric and Gas Company | Natural Gas | Resi/Com | | ✓ | ✓ | | | | | ✓ |
| NJ | South Jersey Gas Company | Natural Gas | Resi/Com | | | ✓ | | | | | ✓ |
| NV | Southwest Gas Corporation (Nevada) | Natural Gas | Com | | ✓ | | | | | | |
| NY | Central Hudson | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| NY | Consolidated Edison | Electric | Resi/Com | ✓ | | ✓ | | | | | ✓ |
| NY | National Grid Niagara Mohawk | Electric | Resi/Com | ✓ | | ✓ | | | | | ✓ |
| NY | New York State Electric and Gas Corporation | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| NY | Orange & Rockland | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| NY | Rochester Gas & Electric | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| NY | Central Hudson | Natural Gas | Resi/Com | | | | | | | | ✓ |
| NY | Consolidated Edison | Natural Gas | Resi/Com | | ✓ | | | | | | ✓ |
| NY | National Grid Long Island | Natural Gas | Resi/Com | | ✓ | | | | | | ✓ |
| NY | National Grid New York | Natural Gas | Resi/Com | | ✓ | | | | | | ✓ |
| NY | National Fuel Gas - New York | Natural Gas | Resi/Com | | | | | | | | ✓ |
| NY | National Grid Niagara Mohawk | Natural Gas | Resi/Com | | ✓ | | | | | | ✓ |
| NY | New York State Electric and Gas Corporation | Natural Gas | Resi/Com | | | | | | | | ✓ |
| NY | Orange & Rockland | Natural Gas | Resi/Com | | | | | | | | ✓ |
| NY | Rochester Gas & Electric | Natural Gas | Resi/Com | | | | | | | | ✓ |

| Utility State | UtilityLongName | Commodity | Resi/Comm | Spark Energy | Spark Energy Gas | Verde Energy | Provider Power MASS | Electricity Maine | ENH Power | Major Energy Electric | Major Energy Services |
|---------------|----------------------------------|-------------|-----------|--------------|------------------|--------------|---------------------|-------------------|-----------|-----------------------|-----------------------|
| OH | Cleveland Illuminating | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| OH | AEP Columbus Southern Power | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| OH | Dayton Power & Light | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| OH | Duke | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| OH | Ohio Edison | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| OH | Ohio Power Company | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| OH | Toledo Edison | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| OH | Columbia Gas of Ohio | Natural Gas | Resi/Com | | ✓ | ✓ | | | | | ✓ |
| OH | Dominion East Ohio | Natural Gas | Resi/Com | | ✓ | ✓ | | | | | ✓ |
| OH | Duke | Natural Gas | Resi/Com | | | ✓ | | | | | ✓ |
| OH | Centerpoint OH | Natural Gas | Resi/Com | | | | | | | | ✓ |
| PA | Allegheny Power | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| PA | Duquesne Light | Electric | Resi/Com | ✓ | | ✓ | | | | | ✓ |
| PA | Metropolitan Edison Company | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| PA | Philadelphia Electric Company | Electric | Resi/Com | ✓ | | ✓ | | | | | ✓ |
| PA | Pennsylvania Electric Company | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| PA | Penn Power | Electric | Resi/Com | | | ✓ | | | | | ✓ |
| PA | Pennsylvania Power and Light | Electric | Resi/Com | ✓ | | ✓ | | | | | ✓ |
| PA | Columbia Gas Pennsylvania | Natural Gas | Resi/Com | | | ✓ | | | | | ✓ |
| PA | National Fuel Gas - Pennsylvania | Natural Gas | Resi/Com | | | | | | | | ✓ |
| PA | Philadelphia Gas Company | Natural Gas | Resi/Com | | | ✓ | | | | | ✓ |
| PA | Peoples Natural Gas | Natural Gas | Resi/Com | | | ✓ | | | | | ✓ |
| PA | Peoples Equitable Division | Natural Gas | Resi/Com | | | ✓ | | | | | ✓ |
| PA | Philadelphia Gas Works | Natural Gas | Resi/Com | | | | | | | | ✓ |
| PA | UGI Utilities | Natural Gas | Resi/Com | | | ✓ | | | | | ✓ |
| TX | Centerpoint Energy | Electric | Resi/Com | ✓ | | | | | | | |
| TX | AEP Texas Central | Electric | Resi/Com | ✓ | | | | | | | |
| TX | Oncor | Electric | Resi/Com | ✓ | | | | | | | |
| TX | Texas New Mexico Power Company | Electric | Resi/Com | ✓ | | | | | | | |
| TX | AEP Texas North | Electric | Resi/Com | ✓ | | | | | | | |
| TX | AEP Texas North | Electric | Resi/Com | ✓ | | | | | | | |
| VA | Washington Gas of VA | Gas | Resi/Com | | ✓ | | | | | | |

Exhibit B-2: Experience & Plans

Experience

Spark Energy Gas, LLC has been actively marketing gas since 2002. Currently, Spark has over 200 employees and is an active gas marketer that serves 15 states across 36 utilities. Spark Energy Gas, LLC and its affiliates serve Residential and Commercial customers supplying a total of 18 million Btu of retail natural gas. Service areas in each state for Spark Energy Gas, LLC and its affiliates can be found in *Exhibit B-1: Jurisdictions of Operation*.

Contracting with Customers/Providing Contracted Services

Spark Energy Gas, LLC provides competitively-priced gas products, while complying with all applicable laws and rules set forth by the Public Utilities Commission of Ohio, to customers in Ohio as it does in other states. All terms and conditions of the contract (pricing, terms, rights to terminate, etc.) are clearly disclosed to the customer.

Providing Billing Statements

Spark Energy Gas, LLC's billing services are provided through a third party, which manages the billing process for Spark's customers. Spark Energy Gas, LLC uses consolidated billing whenever possible unless the customer/product dictates that Spark Energy Gas, LLC bill for the energy charges separately. Any billing performed by Spark Energy Gas, LLC through a third party complies with the policies set forth by the PUCO.

Response to Customer Inquiries and Complaints

Spark Energy Gas, LLC commits to maintaining a fully trained staff of representatives to fulfill the needs of our customers. Spark utilizes a toll-free number specifically for Ohio customers and that number is provided on Spark's website as well as the contract. Spark Energy Gas, LLC is prepared to comply with all sections of Chapter 4901:1-21-08 concerning customer complaint handling. Spark Energy Gas, LLC is highly proficient in accepting customer inquiries and responding to complaints and does so meet similar requirements in other markets.

Subcode: 384420

Transaction Number: C023740097

Search Inquiry: Via Renewables/Houston/TX

Model Description: Intelliscore Plus V2

Ordered: 03/21/2022 13:17:30 CST



Business Name

SPARK ENERGY GAS, LLC

Business Identification Number

866052780**Primary Address:** 2105 CITY WEST BLVD STE 100
HOUSTON, TX 77042**Website:** www.sparkenergy.com**Phone:** (713) 977-5611**Tax ID:** 32-0360984

This business is the ultimate parent.

See the corporate hierarchy by clicking here

TOP

Risk Dashboard

| Risk Scores and Credit Limit Recommendation | | Days Beyond Terms | Derogatory Legal | Fraud Alerts |
|--|------------------------------|-------------------|------------------|------------------|
| Intelliscore Plus | Financial Stability Risk | Company DBT | Original Filings | High Risk Alerts |
| 16 MEDIUM TO HIGH RISK | 45 LOW TO MEDIUM RISK | 15 | 2 | 0 |
| Score range: 1 - 100 percentile | | Industry DBT: 7 | | |
| Credit Limit Recommendation: \$48,100 | | | | |

TOP

Business Facts

Founded in 2014 and headquartered in Houston, TX, Spark Energy, Inc. (NASDAQ: SPKE) is an energy services company. It operates in two segments: Retail Natural Gas Segment and Retail Electricity Segment. The company's Retail Natural Gas Segment is involved in natural gas sales to, and natural gas transportation & distribution for, residential & commercial customers. It provides natural gas and electricity to residential and commercial customers. The company operates in 90 utility service territories across 18 states in the US.

| | | | |
|--------------------------------|---|-------------------------------------|---|
| Years on File: | 26 (FILE ESTABLISHED 12/1996) | SIC Code: | NATURAL GAS DISTRIBUTION - 4924 |
| State of Incorporation: | TX | | NATURAL GAS TRANSMISSION & DISTRIBUTION - 4923 |
| Date of Incorporation: | 09/15/2004 | | ELECTRIC & OTHER SERVICES COMBINED - 4931 |
| Business Type: | Profit | NAICS Code: | Natural Gas Distribution - 221210 |
| Contacts: | SPARK ENERGY LLC - GENERAL PARTNER | | Electric Power Generation, Transmission and Distribution - 221100 |
| | WILLIAM KEITH MAXWELL - GENERAL PARTNER | | Utilities - 221000 |
| | W KEITH MAXWELL - GENERAL PARTNER | Sales: | \$10,000,000- \$24,999,999 |
| | | Public Company: | Yes |
| | | Stock Exchange & Symbol: | National Association of Securities Dealers Inc., SPKE |

TOP

Commercial Fraud ShieldEvaluation for: **SPARK ENERGY GAS, LLC, 2105 CITY WEST BLVD STE 100, HOUSTON, TX77042**

| Business Alerts | Verification Triggers |
|--|---|
| Active Business Indicator: Experian shows this business as active | The primary Business Name, Address, and Phone Number on Experian File were reviewed for High Risk indicators, no High Risk indicators were found. |
| Possible OFAC Match: No OFAC match found | |
| Business Victim Statement: No victim statement on file | |

TOP

Credit Risk Score and Credit Limit Recommendation

Credit Risk Score: Intelliscore Plus

Current Intelliscore Plus Score: 16



This score predicts the likelihood of serious credit delinquencies for this business within the next 12 months. Payment history and public record along with other variables are used to predict future risk. Higher scores indicate lower risk.

Factors lowering the score

- NUMBER OF RECENTLY ACTIVE COMMERCIAL ACCOUNTS
- NUMBER OF COMMERCIAL ACCOUNTS WITH NET 1-30 DAYS TERM
- BALANCE OF COMMERCIAL ACCOUNTS AT WORST DELINQUENCY
- AVERAGE BALANCE OF RECENTLY DELINQUENT COMMERCIAL ACCOUNTS

Risk Class: 4

MEDIUM - HIGH RISK

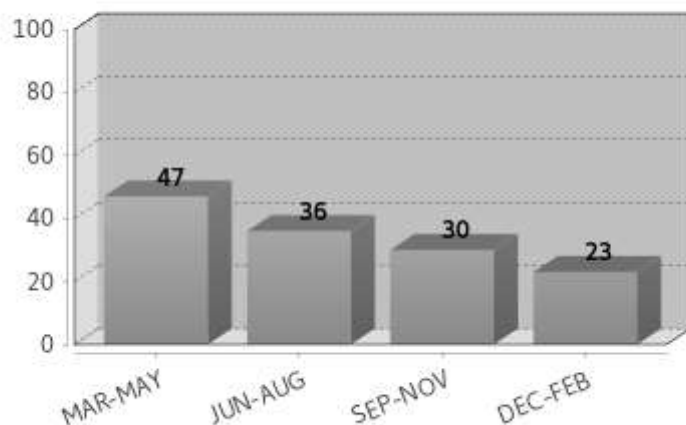
The risk class groups scores by risk into ranges of similar performance. Range 5 is the highest risk, range 1 is the lowest risk.

Industry Risk Comparison

15% of businesses indicate a higher likelihood of severe delinquency.

Intelliscore Plus Quarterly Score Trends

Quarterly Score Trends



The Intelliscore Plus Quarterly Score Trends provide a view of the likelihood of delinquency over the past 12 months for this business. The trends will indicate if the score improved, remained stable, fluctuated or declined over the last 12 months.

Credit Risk Score: Financial Stability Risk

Current Financial Stability Risk Score: 45



This score predicts the likelihood of financial stability risk within the next 12 months. The score uses tradeline and collections information, public filings as well as other variables to predict future risk. Higher scores indicate lower risk.

Please note, this business is publicly traded. Publicly traded companies are required to publish financial details including balance sheet, income statement and cash flow information that should be considered in conjunction with this score when assessing financial stability risk.

Factors lowering the score

- PAST COMMERCIAL DEROGATORY BALANCE
- NUMBER OF ACTIVE COMMERCIAL ACCOUNTS
- NUMBER OF COMMERCIAL DEROGATORY PUBLIC RECORDS
- RISK ASSOCIATED WITH THE BUSINESS TYPE

Risk Class: 2

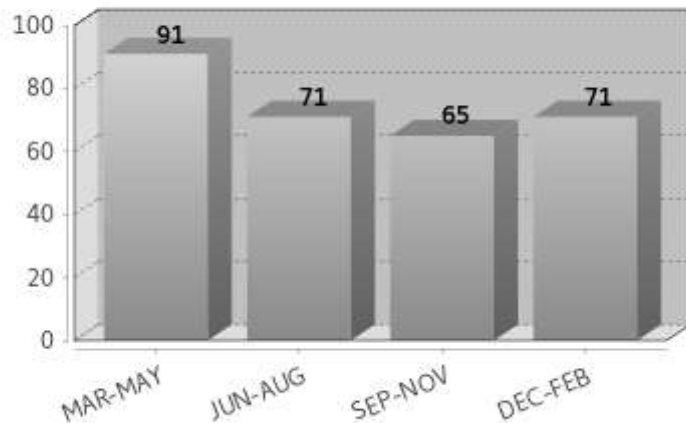
LOW - MEDIUM RISK

The risk class groups scores by risk into ranges of similar performance. Range 5 is the highest risk, range 1 is the lowest risk.

Industry Risk Comparison

44% of businesses indicate a higher likelihood of financial stability risk.

Quarterly Score Trends



The Financial Stability Risk Quarterly Score Trends provide a view of the likelihood of financial stability risk over the past 12 months for this business. The trends will indicate if the score improved, remained stable, fluctuated or declined over the last 12 months.

Credit Limit Recommendation

Credit Limit Recommendation

\$48,100

This recommendation compares this business against similar businesses in the Experian business credit database. It is based on trade information, industry, age of business and the Intelliscore Plus. The recommendation is a guide. The final decision must be made based on your company's business policies.

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Payment and Legal Filings Summary

| Payment Performance | | Trade and Collection Balance | | Legal Filings | |
|----------------------------------|----|--|---------------------|-------------------------|-----------|
| Current DBT: | 15 | Total trade and collection (11): | \$24,000 | Bankruptcy: | No |
| Predicted DBT as 05/18/2022 : | 15 | All trades (11): | \$24,000 | Tax Lien filings: | 2 |
| Monthly Average DBT: | 7 | All collections (0): | \$0 | Judgment filings: | 0 |
| Highest DBT Previous 6 Months: | 21 | Continuous trade (4): | \$18,000 | Sum of legal filings: | \$107,833 |
| Highest DBT Previous 5 Quarters: | 11 | 6 month average: | \$12,400 - \$28,400 | UCC filings: | 11 |
| Payment Trend Indication: | | Highest credit amount extended: | \$226,700 | Cautionary UCC filings: | Yes |
| No payment trend identifiable | | Most frequent industry purchasing terms: | | | |
| | | NET 30,CONTRCT,CREDIT | | | |

Industry Comparison

Industry DBT Range Comparison

The current DBT of this business is 15. 11% of businesses have a DBT range of 6-15.

DBT for this business: 15



DBT Norms

All industry: 4

Same industry: 7

Industry Payment Comparison

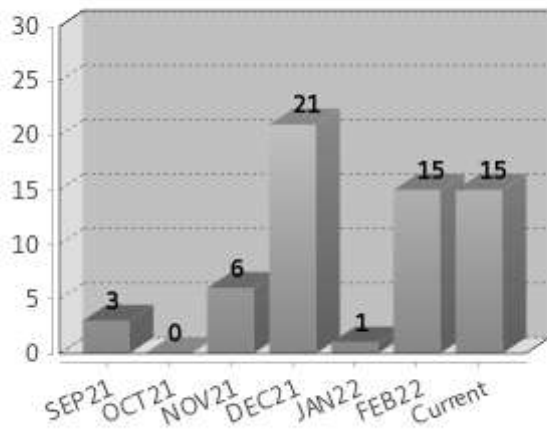
Has paid sooner than 50% of similar businesses

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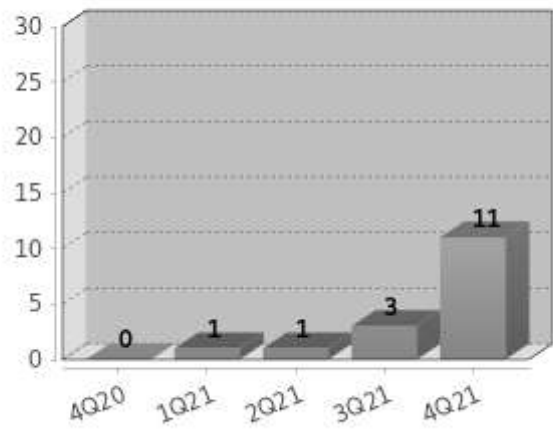
Payment Trending

DBT Trends

Monthly DBT Trends



Quarterly DBT Trends



Monthly Payment Trends

| Payment Trends Analysis NATURAL GAS DISTRIBUTION - 4924 | | | | | | Account Status Days Beyond Terms | | | |
|--|-----------------|-----|-----------------|----------|-----|-------------------------------------|-------|-------|-----|
| Date Reported | Industry Cur | DBT | Business DBT | Balance | Cur | 1-30 | 31-60 | 61-90 | 91+ |
| CURRENT | 81% | 7 | 15 | \$18,000 | 73% | 12% | 5% | | 10% |
| FEB22 | 81% | 7 | 15 | \$18,600 | 71% | 14% | 5% | | 10% |
| JAN22 | 85% | 6 | 1 | \$14,800 | 96% | 3% | 1% | | |
| DEC21 | 86% | 6 | 21 | \$26,200 | 51% | 4% | 45% | | |
| NOV21 | 85% | 6 | 6 | \$13,900 | 59% | 41% | | | |
| OCT21 | 86% | 5 | 0 | \$22,000 | 97% | 3% | | | |
| SEP21 | 90% | 4 | 3 | \$12,400 | 90% | 8% | | | 2% |

Quarterly Payment Trends

| Payment History - Quarterly Averages | | | | | Account Status Days Beyond Terms | | | |
|--------------------------------------|-----------|-----|----------|-----|-------------------------------------|-------|-------|-----|
| Quarter | Months | DBT | Balance | Cur | 1-30 | 31-60 | 61-90 | 91+ |
| Q4 - 21 | OCT - DEC | 11 | \$18,900 | 68% | 12% | 20% | | |
| Q3 - 21 | JUL - SEP | 3 | \$13,400 | 87% | 12% | | | 1% |
| Q2 - 21 | APR - JUN | 1 | \$26,100 | 98% | 1% | | | 1% |
| Q1 - 21 | JAN - MAR | 1 | \$20,500 | 93% | 7% | | | |
| Q4 - 20 | OCT - DEC | 0 | \$24,100 | 98% | 2% | | | |

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Trade Payment Summary

| Trade Line Type | Lines Reported | DBT | Recent High Credit | Balance | Current | 01-30 | 31-60 | 61-90 | 91+ |
|-----------------------|----------------|-----------|-----------------------|-----------------|------------|------------|-----------|-------|------------|
| Continuous | 4 | 15 | \$226,700 | \$18,000 | 73% | 12% | 5% | | 10% |
| New | 0 | | | \$0 | | | | | |
| Combined Trade | 4 | 15 | \$226,700 | \$18,000 | 73% | 12% | 5% | | 10% |
| Additional | 7 | | \$54,600 | \$6,000 | 100% | | | | |
| Total Trade | 11 | | \$281,300 | \$24,000 | 81% | 9% | 3% | | 7% |

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Trade Payment - New and Continuously Reported Trade Details

Payment Experiences

| (Trade Lines with an (*) after the date are newly reported) | | | | | | | Account Status Days Beyond Terms | | | | |
|---|---------------|-----------|---------------|--------------------|----------|-----|-------------------------------------|-------|-------|-----|------------|
| Business Category | Date Reported | Last Sale | Payment Terms | Recent High Credit | Balance | Cur | 1-30 | 31-60 | 61-90 | 91+ | Comments |
| COMMUNICTN | 02/2022 | | VARIED | \$226,700 | \$18,000 | 73% | 12% | 5% | | 10% | |
| DISTRIBUTR | 03/2022 | | NET 10 | | \$0 | | | | | | |
| PACKAGING | 03/2022 | 12/2021 | NET 30 | | \$0 | | | | | | CUST 12 YR |
| TRANSPORTN | 01/2022 | | CONTRCT | | \$0 | | | | | | |

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| Trade Payment - Additional Trade Details | | | | | | | | | | | |
|--|---------------|-----------|---------------|--------------------|---------|------|-------------------------------------|-------|-------|-----|------------|
| Payment Experiences (Trade Lines with an (*) after the date are newly reported) | | | | | | | Account Status Days Beyond Terms | | | | |
| Business Category | Date Reported | Last Sale | Payment Terms | Recent High Credit | Balance | Cur | 1-30 | 31-60 | 61-90 | 91+ | Comments |
| BUREAU | 06/2021 | 06/2021 | NET 30 | \$35,700 | \$6,000 | 100% | | | | | |
| ELEC SUPLR | 01/2021 | | VARIED | \$2,300 | \$0 | | | | | | |
| LEASING | 03/2022 | | CONTRCT | | \$0 | | | | | | |
| LEASING | 12/2019 | | CONTRCT | | \$0 | | | | | | CUST 4 YR |
| PERSNLVCS | 09/2021 | 03/2013 | ROI | | \$0 | | | | | | |
| PRNTG&PUBL | 04/2020 | 01/2020 | NET 30 | \$300 | \$0 | | | | | | |
| RETL TRADE | 07/2020 | 12/2019 | VARIED | \$16,300 | \$0 | | | | | | ACCTCLOSED |

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| Legal Filings | | | | | |
|---------------|---------------------------------|----------|----------|---------------|---------------------------------|
| Tax Liens | | | | | |
| File Date | Filing Type | Status | Amount | Filing Number | Jurisdiction |
| 08/20/2020 | State Tax Lien | Released | \$37,048 | 202001044 | CUMBERLAND COUNTY COURT |
| | Filed by: STATE OF PENNSYLVANIA | | | | |
| 10/17/2019 | State Tax Lien | Filed | \$73,467 | DJ16160519 | NEW JERSEY STATE SUPERIOR COURT |
| | Filed by: STATE OF NEW JERSEY | | | | |
| 03/05/2019 | State Tax Lien | Filed | \$34,366 | 201902061 | CUMBERLAND COUNTY COURT |
| | Filed by: STATE OF PENNSYLVANIA | | | | |

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| Uniform Commercial Code (UCC) Filings | | | | | | |
|--|------|--------------------|------------------------------------|------------------------|------------|--------------------|
| UCC Filing Summary | | | | | | |
| Date Range | Year | Cautionary UCCs ** | Total Filed | Released / Termination | Continuous | Amended / Assigned |
| JAN - PRESENT | 2022 | | | | | |
| JUL - DEC | 2021 | | | | | |
| JAN - JUN | 2021 | | | | | |
| JUL - DEC | 2020 | 1 | 1 | | | |
| JAN - JUN | 2020 | | | | | |
| PRIOR TO JAN | 2020 | 6 | 10 | | 8 | |
| Total | | 7 | 11 | 0 | 8 | 0 |
| ** Cautionary UCC Filings include one or more of the following collateral: Accounts, Accounts Receivables, Contract Rights, Hereafter Acquired Property, Inventory, Leases, Notes Receivable or Proceeds. | | | | | | |
| UCC Details | | | | | | |
| UCC CONTINUED Date: 12/17/2021 | | | UCC CONTINUED Date: 12/17/2021 | | | |
| Filing Number: 2100565918 | | | Filing Number: 2100565917 | | | |
| Original Filing Date: 05/19/2017 | | | Original Filing Date: 05/19/2017 | | | |
| Original Filing Number: 1700173531 | | | Original Filing Number: 1700173532 | | | |

Original Filing State: TX
Jurisdiction: SEC OF STATE TX
Secured Party: COPERATIEVE RABOBANK U.A., NEW YORK
BRAN NY NEW YORK 2

UCC FILED Date: 07/08/2020
Filing Number: 2020 4711497
Jurisdiction: SEC OF STATE DE
Secured Party: BANK OF AMERICA, N.A. GA TUCKER 30084 2059
NORTHLAKE PARKWAY
Collateral: UNDEFINED, EQUIP, HEREFTER AQUIRED PROP

UCC CONTINUED Date: 02/19/2020
Filing Number: 2000069441
Original Filing Date: 05/20/2015
Original Filing Number: 1500160216
Original Filing State: TX
Jurisdiction: SEC OF STATE TX
Secured Party: KEYSpan GAS EAST CORPORATION D/B/A
NATIO NY HICKSVILLE 11801

UCC FILED Date: 01/28/2019
Filing Number: 190003375573
Jurisdiction: SEC OF STATE TX
Secured Party: ICE NGX CANADA INC. AB CALGARY T2P3C 300
5TH AVENUE SW, SUIT

UCC CONTINUED Date: 09/28/2017
Filing Number: 1700329163
Original Filing Date: 10/03/2012
Original Filing Number: 1200314245
Original Filing State: TX
Jurisdiction: SEC OF STATE TX
Secured Party: COLUMBIA GAS OF OHIO, INC. OH COLUMBUS
43215 200 CIVIC CENTE

[+ View Additional UCC Details](#)

Original Filing State: TX
Jurisdiction: SEC OF STATE TX
Secured Party: COPERATIEVE RABOBANK U.A., NEW YORK
BRAN NY NEW YORK 2

UCC CONTINUED Date: 03/06/2020
Filing Number: 2000090611
Original Filing Date: 06/11/2010
Original Filing Number: 1000169430
Original Filing State: TX
Jurisdiction: SEC OF STATE TX
Secured Party: NORTHERN INDIANA PUBLIC SERVICE
COMPANY IN MERRILLVILLE 4641

UCC CONTINUED Date: 02/13/2020
Filing Number: 2000063163
Original Filing Date: 05/20/2015
Original Filing Number: 1500160213
Original Filing State: TX
Jurisdiction: SEC OF STATE TX
Secured Party: THE BROOKLYN UNION GAS COMPANY D/B/A
NAT NY BROOKLYN 11201 O

UCC FILED Date: 05/29/2018
Filing Number: 180018873612
Jurisdiction: SEC OF STATE TX
Secured Party: NIAGARA MOHAWK POWER CORPORATION D/B
/A N NY SYRACUSE 13202 3

UCC FILED Date: 05/19/2017
Filing Number: 170017353188
Jurisdiction: SEC OF STATE TX
Secured Party: COPERATIEVE RABOBANK U.A., NEW YORK
BRAN NY NEW YORK 10167 2
Collateral: UNDEFINED, HEREFTER AQUIRED PROP

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Additional Business Facts

Corporate Registration

THE FOLLOWING INFORMATION WAS PROVIDED BY THE STATE OF OHIO. THE DATA IS CURRENT AS OF 03/21/2022.

State of Origin: TX
Date of Incorporation: 09/15/2004
Current Status: Active
Business Type: Profit
Charter Number: 1489505
Agent: CORPORATION SERVICE COMPANY
Agent Address: 50 WEST BROAD STREET SUITE 1330 COLUMBUS, OH

Competitors

NRG Energy, Inc.

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Corporate Linkage

| Business Name | Location | BIN |
|---|---|-----------|
| The inquired upon business, SPARK ENERGY GAS, LLC, is the Ultimate Parent | | |
| SPARK ENERGY GAS, LLC | 2105 CITY WEST BLVD STE 100 - HOUSTON,TX | 866052780 |
| Subsidiaries of the inquired upon business: | | |
| <u>NATIONAL GAS & ELECTRIC, LLC</u> | 12140 WICKCHESTER LN STE 100 - HOUSTON,TX | 410989767 |
| <u>SPARK ENERGY GAS LLC</u> | 2105 CITYWEST BLVD STE 100 - HOUSTON,TX | 414349429 |
| <u>SPARK ENERGY L P</u> | 1330 POST OAK BLVD - HOUSTON,TX | 827681338 |

| | | |
|--|--|-----------|
| <u>VERDE ENERGY USA TEXAS, LLC</u> | 7322 SOUTHWEST FWY STE 1010 - HOUSTON,TX | 920685914 |
| <u>SPARK ENERGY VENTURES, LLC</u> | 2105 CITYWEST BLVD STE 100 - HOUSTON,TX | 928424938 |
| <u>HIKO ENERGY, LLC</u> | 12 COLLEGE RD - MONSEY,NY | 945792247 |
| <u>CENSTAR ENERGY CORP</u> | 56 HARRISON ST STE 306 - NEW ROCHELLE,NY | 969490059 |
| Branches of the inquired upon business: | | |
| SPARK ENERGY GAS, LLC | 5510 S RICE AVE - HOUSTON,TX | 414650176 |
| SPARK ENERGY GAS, LLC | 1 RADISSON PLZ STE 704 - NEW ROCHELLE,NY | 430117515 |
| SPARK ENERGY GAS, LLC | 206 S CORONADO AVE - ESPANOLA,NM | 482914731 |
| SPARK ENERGY GAS, LLC | 3010 BRIARPARK DR STE 550 - HOUSTON,TX | 784535749 |
| SPARK ENERGY GAS, LLC | 1235 NORTH LOOP W - HOUSTON,TX | 792261630 |

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| Inquiries | | | | | | | | | |
|----------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| Summary of Inquiries | | | | | | | | | |
| Business Category | MAR22 | FEB22 | JAN22 | DEC21 | NOV21 | OCT21 | SEP21 | AUG21 | JUL21 |
| ADV MEDIA | | | | | | | | 1 | |
| Totals | | | | | | | | 1 | |

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| Corporate Financial Information | | | |
|---|----------------|----------------|----------------|
| Balance sheet for fiscal year ending: DEC 31 Data current through: 12/31/2019 (\$ Thousands) | | | |
| | 2020 | 2019 | 2018 |
| Cash and equivalent | 71,684 | 56,664 | 41,002 |
| Receivables - net | 75,403 | 113,635 | 150,866 |
| Inventory | 1,496 | 2,954 | 3,878 |
| Other current assets | 56,291 | 62,875 | 96,234 |
| Total current assets | 204,874 | 236,128 | 291,980 |
| Fixed assets - net | 3,354 | 3,267 | 4,366 |
| Investments | 0 | 0 | 0 |
| Other assets | 158,439 | 183,573 | 192,392 |
| Total assets | 366,667 | 422,968 | 488,738 |
| Debt due in 1 year | 0 | 0 | 8,264 |
| Notes payable | 0 | 0 | 0 |
| Accounts payable | 28,148 | 49,254 | 72,095 |
| Taxes payable | 0 | 0 | 0 |
| Other current liabilities | 62,513 | 92,701 | 61,592 |
| Total current liabilities | 90,661 | 141,955 | 141,951 |
| Long term debt | 0 | 123,000 | 139,500 |
| Other liabilities | 100,257 | 712 | 26,235 |
| Net worth | 88,461 | 157,301 | 181,052 |
| Total liab. and net worth | 366,667 | 422,968 | 488,738 |
| Operating statement for fiscal year ending: DEC 31 Data current through: 12/31/2019 (\$ Thousands) | | | |
| | 2020 | 2019 | 2018 |
| Net sales | 554,890 | 813,725 | 1,005,928 |
| Cost of goods sold | 344,592 | 615,225 | 845,493 |
| Gross income on sales | 0 | 198,500 | 160,435 |

| | | | |
|---------------------------------|---------|---------|---------|
| Expenses | 466,093 | 177,030 | 172,750 |
| Pre-tax income | 83,954 | 21,470 | -12,315 |
| Taxes | 15,736 | 7,257 | 2,077 |
| After tax income | 68,218 | 14,213 | -14,392 |
| Extraord. inc. & discount'd ops | 0 | 0 | 0 |
| Net income | 68,218 | 14,213 | -14,392 |

Critical data and ratios for fiscal year ending: DEC 31
Data current through: 12/31/2019 (\$ Thousands)

| | 2020 | 2019 | 2018 |
|------------------------------------|---------|---------|---------|
| Net worth | 88,461 | 157,301 | 181,052 |
| Net working capital | 114,213 | 94,173 | 150,029 |
| Current ratio (times) | 2.3 | 1.7 | 2.1 |
| % Total debt to n.w. | 314.5 | 168.9 | 169.9 |
| % Current debt to n.w. | 102.5 | 90.2 | 78.4 |
| % After tax inc. to n.w. | 77.1 | 9.0 | -7.9 |
| % After tax inc. to net sales | 12.3 | 1.7 | -1.4 |
| Net sales to inventory (times) | 370.9 | 275.5 | 259.4 |
| CGS to inventory (times) | 230.3 | 208.3 | 218.0 |
| Avg. days sales outstanding (days) | 49.6 | 51.0 | 54.7 |

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Experian prides itself on the depth and accuracy of the data maintained on our databases. Reporting your customer's payment behavior to Experian will further strengthen and enhance the power of the information available for making sound credit decisions. Give credit where credit is due. Call 1-800-520-1221, option #4 for more information.

End of report

1 of 1 report

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Friday, July 01, 2022

Spark Energy Gas, LLC
Attn: Muriel LeClerc
12140 Wickchester Ln, Ste 100
Houston, Texas 77079
Re: Columbia Gas of Ohio Collateral Requirements

To Whom It May Concern:

Spark Energy Gas, LLC has complied with Columbia Gas of Ohio's collateral requirements.

Sincerely,

Angela Sanchez

Angela Sanchez
Director, Transportation Programs and Nominations

Via Renewables, Inc.

(f/k/a Spark Energy. Inc) Structure

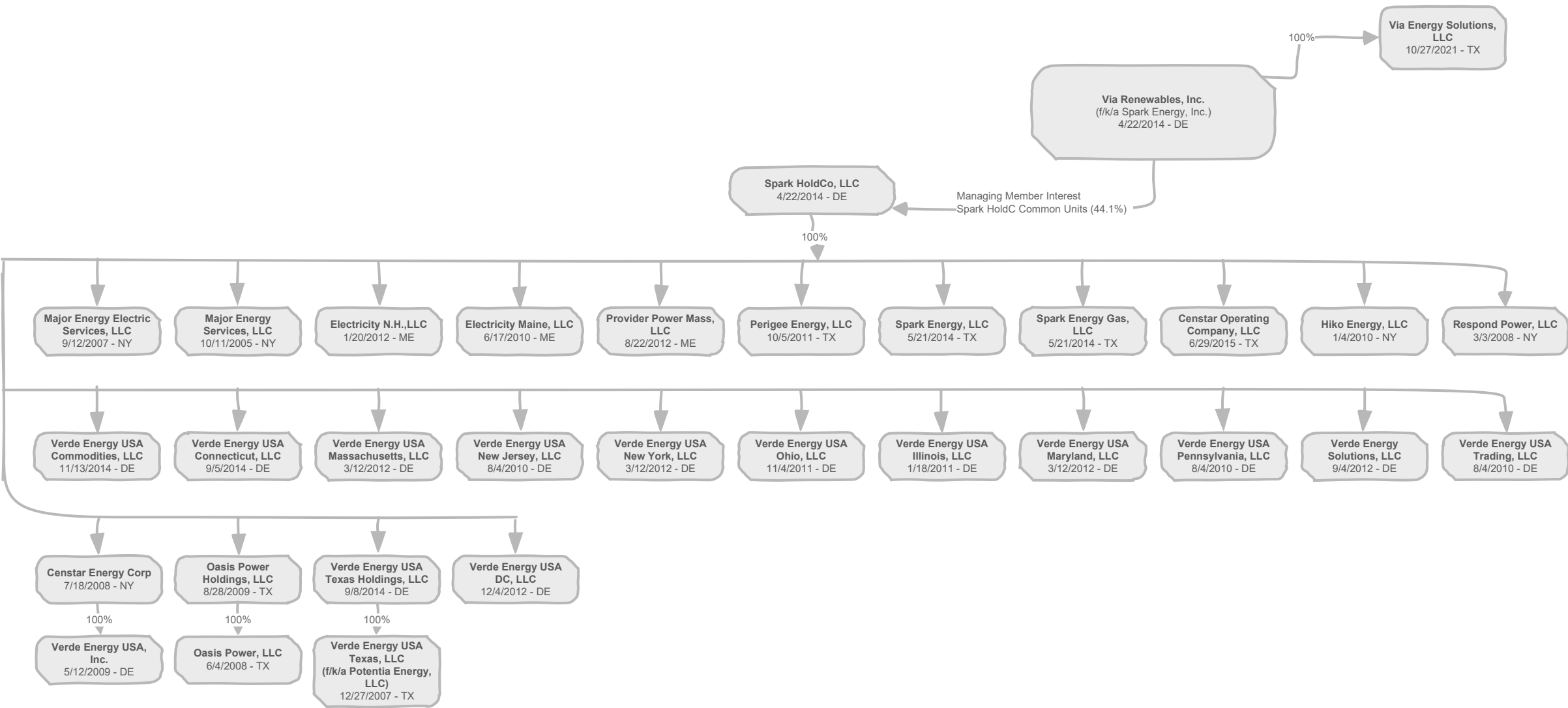




Exhibit D-1: Operations

Spark Energy Gas, LLC markets natural gas by entering into contracts for retail sales, and provides gas supplies by contracting with and purchasing gas from wholesale suppliers. Spark also manages the nomination and scheduling process in house for all of its customers. Further details of the level of expertise of Spark Energy Gas, LLC employees and managers can be found in Exhibit D-2 “Operations Expertise and Key Technical Personnel”.

Exhibit D-2: Operations Expertise and Key Technical Personnel

W. KEITH MAXWELL III – Interim President and Chief Executive Officer
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (713) 977-5641

W. Keith Maxwell serves as Interim President and Chief Executive Officer of Spark Energy, which he was appointed to in March 2020. Prior to founding the predecessor of Spark Energy in 1999, Mr. Maxwell was a founding partner in Wickford Energy, an oil and natural gas services company which was sold to Black Hills Utilities in 1997.

Prior to Wickford Energy, Mr. Maxwell was a partner in Polaris Pipeline, a natural gas producer services and midstream company that sold to TECO Pipeline in 1994. In 2010, Mr. Maxwell was named Ernst & Young Entrepreneur of the Year in the Energy, Chemicals and Mining category. A native of Houston, Texas, Mr. Maxwell earned a Bachelor's Degree in Economics from the University of Texas at Austin in 1987.

Mr. Maxwell has several philanthropic interests, including the Special Olympics, Child Advocates, Salvation Army, Star of Hope and Helping a Hero. We believe that Mr. Maxwell's extensive energy industry background, leadership experience, and strategic planning and oversight brings an important skill set to Spark Energy.

MIKE BARAJAS –Chief Financial Officer
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (832) 217-1827

Mike Barajas was appointed Chief Financial Officer in November 2021. Immediately prior to his appointment as CFO, Mr. Barajas served as the Vice President of Finance and Investor Relations. Mr. Barajas oversees the Company's accounting, tax, SEC reporting, treasury, financial planning and analysis, and investor relations functions. He is also the Chief Risk Officer.

Prior to rejoining the Company in 2019, Mr. Barajas worked for several energy companies including Spark Energy from 2009 to 2014, Marlin Midstream, Xcalibur Logistics, and National Gas & Electric with leadership experience in accounting, finance, mergers & acquisitions, and treasury.

Mr. Barajas holds a Master of Science in Accounting and a Bachelor of Business Administration from the C.T. Bauer College of Business at The University of Houston. Mr. Barajas is a Certified Public Accountant in the state of Texas.



PAUL KONIKOWSKI –*Chief Operating Officer*
12140 Wickchester Ln., Suite 100
Houston, TX 77079
Phone: (832) 294-1955

Paul Konikowski was appointed Chief Operating Officer in November 2021. Immediately prior to his appointment as COO, Mr. Konikowski served as Senior Vice President of National Gas and Electric (“NG&E”), a position he had held since April 2015.

Prior to NG&E, Mr. Konikowski served as Chief Operating Officer of Glacial Energy and Senior Vice President and Chief Information Officer of Via Renewables, Inc. (formerly Spark Energy, Inc.). Mr. Konikowski has extensive retail energy experience spanning 20+ years including, sales, operations, risk and IT.

Mr. Konikowski holds a Bachelor of Business Administration in Computer Information Systems and Marketing from Stephen F. Austin State University.



Exhibit B-3: Disclosure of Liabilities and Investigations
Exhibit B-4: Disclosure of Consumer Protection Violations

Spark Energy Gas, LLC does not have any existing, pending, or past rulings, judgments, contingent liabilities, and revocation of authority, regulatory investigations, or any other matters that we believe would adversely impact our financial or operations status or adversely affect our ability to provide retail electrical or natural gas supply services for which we are seeking to provide services as a retail electric and/or retail natural gas service provider.

The Company, from time to time, received and responded to customer inquiries that have been elevated to more formal complaints or investigations with the regulatory bodies of the states in which we do business at the entity level. However, none of these matters have caused the Company in the past, nor are likely in the future in our belief, to prohibit the Company from continuing to comply with its obligations or have otherwise prevented it from performing such activities, except where specifically restricted or prohibited by each jurisdiction.

Provided below are summaries of pending and closed matters that have occurred overtime.

Pending Matters

| Matter Name | Entity | State | Agency | Description | Opening Date and Reference No. | Status & Date Completed |
|---|----------------------------|-------|-----------------------------------|---|--|-------------------------|
| New York Attorney General v. Major Energy Services, LLC | Major Energy Services, LLC | NY | Attorney General | The NYAG filed a complaint on January 19, 2022 ("Complaint"). The Complaint is based on historical acts of the former Major Energy owners. | 2016 AOD 16-206 | Pending |
| Pennsylvania PUC v. Verde Energy USA, LLC | Verde Energy USA, LLC | PA | Public Utilities Commission (PUC) | Allegations of Misleading and Deceptive telemarketing & door-to-door conduct, slamming, and release of private customer information/unauthorized access to accounts, and failure to maintain verification records. Penalty \$1,000,000. | 2020 Docket No. C-2020-3017229 | Pending |
| Texas Public Utility Commission v. Spark Energy, LLC | Spark Energy, LLC | TX | Public Utility Commission (PUC) | Compliance with 16 Tex. Admin. Code (TAC) 25.475, related to General Retail Electric Provider Requirements and Information Disclosures to Residential and Small Commercial Customers. | 2022 Investigation No. SIR2022030007 | Pending |

Closed Matters

| Matter Name | Entity | State | Agency | Description | Opening Date and Reference No. | Status & Date Completed |
|---|-------------------------------------|-------|--|---|--|--|
| IL ICC v Major Energy Electric Services, LLC | Major Energy Electric Services, LLC | IL | Illinois Commerce Commission (ICC) | Alleged violation of Pub. Util. Act., Section 16-115A(b) & 16-115A(e); 83 Ill. Admin. Code, Section 412.110, 412.130. The Order approving the settlement was a voluntary dismissal of an investigation without a finding of guilt with the following settlement provisions, funding a refund pool for \$262,500. | 2014 Docket No. 14-052 | Completed. No Fault. 04/16/2015 (settlement executed) |
| CT PURA v Verde Energy USA, LLC | Verde Energy USA, LLC | CT | Public Utility Regulatory Authority (PURA) | Notice of Violation and Assessment of Civil Penalty (NOV) issued 2-25-2015. Customer Disclosure Notices. Verde volunteered to make a charitable contribution in the amount of \$2,000 to the Operation Fuel, Inc. | 2015 Investigation No. 09-06-08RE02 | Completed 06/17/2015 |
| CT PURA v Major Energy Electric Services, LLC | Major Energy Electric Services, LLC | CT | Public Utilities Regulatory Authority (PURA) | PURA approved settlement -- failed to effectuate renewals for customers who were informed they would auto renew; provided restitution of \$62.50 per customer. | 2020 Docket No. 14-03-03 | Complete 04/27/2022 |
| MD PSC v Major Energy Services, LLC | Major Energy Services, LLC | MD | Public Service Commission (PSC) | Action regarding the alleged violation of any provision of Title 7, subtitles 5 & 6 of PUA; Title 20, subtitles 51-55 and 59 of COMAR, or applicable Commission Order in their customer marketing, advertising, or trade practices. The order approving the PSC findings resulted in the payment of \$300,000 in Civil Penalties for civil penalties | 2016 Case No. 9346(b) | Complete (appeal resolved (02/26/2016)) |
| TX PSC v Spark Energy, LLC | Spark Energy, LLC | TX | Public Utility Commission (PUCT) | Action regarding the alleged violation of <u>16 T.A.C. section 25.454</u> (rate reduction program), <u>16 T.A.C. section 25.480</u> (bill payment and adjustments), <u>16 T.A.C. section 25.483</u> , (disconnection of service). The Order approving the settlement was a voluntary dismissal of an investigation with a finding of guilt with the following settlement with an administrative penalty of \$160,000. | 2016 Docket No. 45520 | 03/07/2016 (Final Order Approving Settlement) |
| NY PSC v Spark Energy, LLC | Spark Energy, LLC | NY | Public Service Commission (PSC) | Action regarding allegations of Slamming and Deceptive Marketing. Upon review of Spark's responses to numerous document requests, the NY PSC issued an Order to Continue ESCO Eligibility with Contingencies, which "closed" the proceedings with no assessment of penalties. | 2017 Case No. 16-M-0468 | Eligibility Approved w/ Contingencies 05/19/2017 |

| Matter Name | Entity | State | Agency | Description | Opening Date and Reference No. | Status & Date Completed |
|--|-------------------------------------|-------|--|--|---------------------------------|---------------------------------|
| NY PSC v Major Energy Services, LLC | Major Energy Services, LLC | NY | Public Service Commission (PSC) | Investigating excessive number of complaints. Provided satisfactory responses. | 2017 NOAF | Completed 11/2017 |
| Maine PUC v. Electricity Maine, LLC | Electricity Maine, LLC | ME | Public Utilities Commission (PUC) | Allegations of Misleading and Deceptive door-to-door conduct, using false, deceptive, coercive and unfair statements and actions. Penalty \$500,000. | 2018 Docket No. 2010-00256 | Completed 02/26/2021 |
| TX PUC v Spark Energy, LLC | Spark Energy, LLC | TX | Public Utility Commission (PUC) | Telemarketing and deceptive Marketing allegations. | 2018 Investigation No. 49684 | Completed 6/26/2019 |
| IL AG v Major Energy Electric Services, LLC | Major Energy Electric Services, LLC | IL | Circuit Court of Cook County Department, Chancery Div. | Action regarding alleged violations of Illinois Consumer Fraud and Deceptive Business Practices Act, 815 ILCS 505/1 et seq., Illinois Telephone Solicitation Act, 815 ILCS 413/1 et seq., Illinois Automatic Contract Renewal Act, 815 ILCS 605/5 et seq, and the Illinois Prize and Gifts Act, 815 ILCS 525/1 et seq. Penalty, \$2,000,000. | Docket No. 2018-CH-04549 | Completed Settlement 08/2019 |
| CT PURA v Spark Energy, LLC | Spark Energy, LLC | CT | Public Utility Regulatory Authority (PURA) | Action regarding the alleged telephone solicitations which would be a violation of Conn. Ge. Stat. <u>Section 16-245o(h)(1), 16-245o(h)(2)(A), 16-245o(h)(3), 16-245o(h)(4), 16-245o(j), and 42-110b.</u> Penalty \$750,000. | 2018 Docket No. 10-06-18RE02 | Completed 7/17/2019 |
| Connecticut PURA v Verde Energy USA, LLC | Verde Energy USA, LLC | CT | Public Utility Regulatory Authority (PURA) | Next Rate Cycle Amnesty Program - Verde was obligated to provide a refund to customers who were not timely notified as to the Next Cycle Rate; No penalty was imposed. PURA ultimately approved the Amnesty Plan for each entity. | 2018 Docket No. 18-12-22 | Completed 06/16/2020 |
| Connecticut PURA v Major Energy Electric Services, LLC | Major Energy Electric Services, LLC | | | The PURA reopened the matter under Docket No. 18-12-22RE01. Multiple suppliers participated in the docket, as part of suppliers participant PURA did not assess penalties; however, customers were provided with refunds as part of PURA's industry-wide investigation. PURA closed the docket on July 6, 2022. | Docket No. 18-12-22RE01 | 06/11/2020 12/02/2020 |
| Connecticut PURA v Spark Energy, LLC | Spark Energy, LLC | | | Spark noticed PURA of it's intent to participate in the reopened Docket No. 18-12-22RE01. Thereafter, PURA suspended its review under Docket No. 10-06-18RE01. | Docket No. 10-06-18RE01 | 01/03/2019 |
| Ohio PUCO v. | Verde Energy | OH | Public Utilities | Allegations of misleading and deceptive practices to market and | 2019 | Completed |



| Matter Name | Entity | State | Agency | Description | Opening Date and Reference No. | Status & Date Completed |
|---|--|-------|--|---|--------------------------------------|-------------------------|
| Verde Energy USA Ohio, LLC | USA Ohio, LLC | | Commission of OHIO (PUCO) | enroll customers, as well as violating several requirements of Ohio Adm. Code Chapter 4901:1-21 and 4901:1-29. Penalty \$675,000; restitution payments – which will result in refunds of approximately \$1,068,000. | Case Number 19-958-GE-COI | 02/26/2020 |
| CT PURA v Spark Energy, LLC | Spark Energy, LLC | CT | Public Utilities Regulatory Authority (PURA) | Subsequent to the Amnesty Program in Docket No. 10-12-22RE01, Spark failed to timely provide correct supply summary information to the utilities; refund provided to customers and paid \$10,000 to Operation fuel. Docket closed. | 2019 Docket No. 10-06-18-RE03 | Closed 05/10/2022 |
| New York State Department v. Verde Energy USA New York, LLC | Verde Energy USA New York, LLC | NY | New York State Department of State | Allegations of violations of New York Business Law 399-z and 19 NYCRR Part 221 having made unsolicited telemarketing sales calls to customers when said customer's telephone numbers were on the national do -not-call registry. Penalty: \$55,250. | 2019 Consent Order 2019-C-042201 | Completed 11/30/2021 |
| Ohio AG v Verde Energy USA Ohio, LLC | Verde Energy USA Ohio, LLC | OH | Attorney General | Allegations of Deceptive Marketing; provided satisfactory response. No further action. | 2019 Docket No. 577403 | Closed 07/15/2020 |
| Ohio PUCO v. Major Energy Electric Services, LLC & Major Energy Services, LLC | Major Energy Electric Services, LLC Major Energy Services, LLC | OH | Public Utilities Commission of OHIO (PUCO) | Allegations of noncompliance with certain Ohio regulations. Penalty \$115,000; restitution payments totaling \$198,385 | 2021 Case Number 21-46-GE-UNC | Completed 02/24/2021 |
| New Jersey BPU v Major Energy Electric Services, LLC, Respond Power, LLC, Spark Energy, LLC and Spark Energy Gas, LLC | Major Energy Electric Services, LLC, Respond Power, LLC, Spark Energy, LLC & Spark Energy Gas, LLC | NJ | New Jersey Board of Public Utilities (BPU) | Failure to timely reapply for License Renewal. Following submittal and review the BPU approved the license renewal. Administrative Penalty \$55,000. | 2019 Docket No. ES 19060756 | Completed 07/27/2019 |
| Texas Public Utility Commission v. Spark Energy, LLC | Spark Energy, LLC | TX | Public Utility Commission (PUC) | Violation of 16 Tex. Admin. Code (TAC) 25.475 by improperly initiating an increase in the price of fixed-rate products during a contract term. No penalty was imposed. | 2021 Investigation No. 2021060003 | Completed 11/01/2021 |



Exhibit B-3: Disclosure of Liabilities and Investigations
Exhibit B-4: Disclosure of Consumer Protection Violations

Spark Energy Gas, LLC does not have any existing, pending, or past rulings, judgments, contingent liabilities, and revocation of authority, regulatory investigations, or any other matters that we believe would adversely impact our financial or operations status or adversely affect our ability to provide retail electrical or natural gas supply services for which we are seeking to provide services as a retail electric and/or retail natural gas service provider.

The Company, from time to time, received and responded to customer inquiries that have been elevated to more formal complaints or investigations with the regulatory bodies of the states in which we do business at the entity level. However, none of these matters have caused the Company in the past, nor are likely in the future in our belief, to prohibit the Company from continuing to comply with its obligations or have otherwise prevented it from performing such activities, except where specifically restricted or prohibited by each jurisdiction.

Provided below are summaries of pending and closed matters that have occurred overtime.

Pending Matters

| Matter Name | Entity | State | Agency | Description | Opening Date and Reference No. | Status & Date Completed |
|---|----------------------------|-------|-----------------------------------|---|--|-------------------------|
| New York Attorney General v. Major Energy Services, LLC | Major Energy Services, LLC | NY | Attorney General | The NYAG filed a complaint on January 19, 2022 ("Complaint"). The Complaint is based on historical acts of the former Major Energy owners. | 2016 AOD 16-206 | Pending |
| Pennsylvania PUC v. Verde Energy USA, LLC | Verde Energy USA, LLC | PA | Public Utilities Commission (PUC) | Allegations of Misleading and Deceptive telemarketing & door-to-door conduct, slamming, and release of private customer information/unauthorized access to accounts, and failure to maintain verification records. Penalty \$1,000,000. | 2020 Docket No. C-2020-3017229 | Pending |
| Texas Public Utility Commission v. Spark Energy, LLC | Spark Energy, LLC | TX | Public Utility Commission (PUC) | Compliance with 16 Tex. Admin. Code (TAC) 25.475, related to General Retail Electric Provider Requirements and Information Disclosures to Residential and Small Commercial Customers. | 2022 Investigation No. SIR2022030007 | Pending |

Closed Matters

| Matter Name | Entity | State | Agency | Description | Opening Date and Reference No. | Status & Date Completed |
|---|-------------------------------------|-------|--|---|--|--|
| IL ICC v Major Energy Electric Services, LLC | Major Energy Electric Services, LLC | IL | Illinois Commerce Commission (ICC) | Alleged violation of Pub. Util. Act., Section 16-115A(b) & 16-115A(e); 83 Ill. Admin. Code, Section 412.110, 412.130. The Order approving the settlement was a voluntary dismissal of an investigation without a finding of guilt with the following settlement provisions, funding a refund pool for \$262,500. | 2014 Docket No. 14-052 | Completed. No Fault. 04/16/2015 (settlement executed) |
| CT PURA v Verde Energy USA, LLC | Verde Energy USA, LLC | CT | Public Utility Regulatory Authority (PURA) | Notice of Violation and Assessment of Civil Penalty (NOV) issued 2-25-2015. Customer Disclosure Notices. Verde volunteered to make a charitable contribution in the amount of \$2,000 to the Operation Fuel, Inc. | 2015 Investigation No. 09-06-08RE02 | Completed 06/17/2015 |
| CT PURA v Major Energy Electric Services, LLC | Major Energy Electric Services, LLC | CT | Public Utilities Regulatory Authority (PURA) | PURA approved settlement -- failed to effectuate renewals for customers who were informed they would auto renew; provided restitution of \$62.50 per customer. | 2020 Docket No. 14-03-03 | Complete 04/27/2022 |
| MD PSC v Major Energy Services, LLC | Major Energy Services, LLC | MD | Public Service Commission (PSC) | Action regarding the alleged violation of any provision of Title 7, subtitles 5 & 6 of PUA; Title 20, subtitles 51-55 and 59 of COMAR, or applicable Commission Order in their customer marketing, advertising, or trade practices. The order approving the PSC findings resulted in the payment of \$300,000 in Civil Penalties for civil penalties | 2016 Case No. 9346(b) | Complete (appeal resolved) (02/26/2016) |
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| IL AG v Major Energy Electric Services, LLC | Major Energy Electric Services, LLC | IL | Circuit Court of Cook County Department, Chancery Div. | Action regarding alleged violations of Illinois Consumer Fraud and Deceptive Business Practices Act, 815 ILCS 505/1 et seq., Illinois Telephone Solicitation Act, 815 ILCS 413/1 et seq., Illinois Automatic Contract Renewal Act, 815 ILCS 605/5 et seq, and the Illinois Prize and Gifts Act, 815 ILCS 525/1 et seq. Penalty, \$2,000,000. | Docket No. 2018-CH-04549 | Completed Settlement 08/2019 |
| CT PURA v Spark Energy, LLC | Spark Energy, LLC | CT | Public Utility Regulatory Authority (PURA) | Action regarding the alleged telephone solicitations which would be a violation of Conn. Ge. Stat. <u>Section 16-245o(h)(1), 16-245o(h)(2)(A), 16-245o(h)(3), 16-245o(h)(4), 16-245o(j), and 42-110b.</u> Penalty \$750,000. | 2018 Docket No. 10-06-18RE02 | Completed 7/17/2019 |
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| Ohio PUCO v. | Verde Energy | OH | Public Utilities | Allegations of misleading and deceptive practices to market and | 2019 | Completed |



| Matter Name | Entity | State | Agency | Description | Opening Date and Reference No. | Status & Date Completed |
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| Verde Energy USA Ohio, LLC | USA Ohio, LLC | | Commission of OHIO (PUCO) | enroll customers, as well as violating several requirements of Ohio Adm. Code Chapter 4901:1-21 and 4901:1-29. Penalty \$675,000; restitution payments – which will result in refunds of approximately \$1,068,000. | Case Number 19-958-GE-COI | 02/26/2020 |
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| Ohio AG v Verde Energy USA Ohio, LLC | Verde Energy USA Ohio, LLC | OH | Attorney General | Allegations of Deceptive Marketing; provided satisfactory response. No further action. | 2019 Docket No. 577403 | Closed 07/15/2020 |
| Ohio PUCO v. Major Energy Electric Services, LLC & Major Energy Services, LLC | Major Energy Electric Services, LLC Major Energy Services, LLC | OH | Public Utilities Commission of OHIO (PUCO) | Allegations of noncompliance with certain Ohio regulations. Penalty \$115,000; restitution payments totaling \$198,385 | 2021 Case Number 21-46-GE-UNC | Completed 02/24/2021 |
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| Texas Public Utility Commission v. Spark Energy, LLC | Spark Energy, LLC | TX | Public Utility Commission (PUC) | Violation of 16 Tex. Admin. Code (TAC) 25.475 by improperly initiating an increase in the price of fixed-rate products during a contract term. No penalty was imposed. | 2021 Investigation No. 2021060003 | Completed 11/01/2021 |

Competitive Retail Natural Gas Service Affidavit

County of Harris :

State of Texas :

Mike Barajas, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
10. Affiant further sayeth naught.

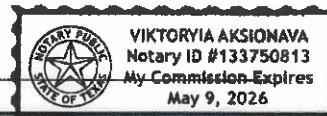
Mike Barajas, Chief Financial Officer
Signature of Affiant & Title

Sworn and subscribed before me this 20th day of July, 2022
Month Year

Signature of official administering oath

VIKTORYIA AKSIONAVA
Print Name and Title Business Support Manager

My commission expires on _____



**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

7/21/2022 11:25:45 AM

in

Case No(s). 08-0638-GA-CRS

Summary: In the Matter of the Application of Spark Energy Gas, LLC