



DIS Case Number: 19-1490-EL-AGG

Section A: Application Information

Section B: Applicant Managerial Capability and Experience

Section C: Applicant Financial Capability and Experience

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

File(s) attached

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted**. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

Section D: Applicant Technical Capacity



Public Utilities
Commission

Application Attachments

Acclaim Energy Ltd.

Balance Sheet

As of December 31, 2020

	TOTAL
ASSETS	
Current Assets	
Bank Accounts	\$2,435,329.64
Accounts Receivable	
AR-CURRENT	1,719,480.65
Total Accounts Receivable	\$1,719,480.65
Other Current Assets	\$362,795.06
Total Current Assets	\$4,517,605.35
Fixed Assets	\$276,365.78
Other Assets	\$12,215,643.28
TOTAL ASSETS	\$17,009,614.41
LIABILITIES AND EQUITY	
Liabilities	
Current Liabilities	\$3,709,503.89
Long-Term Liabilities	\$5,300,919.18
Total Liabilities	\$9,010,423.07
Equity	
3900 Contributed Capital	1,930,000.00
3950 Retained Earnings	2,921,877.73
Owner Equity	2,992,602.39
Tax Distribution	-221,647.14
Net Income	376,358.36
Total Equity	\$7,999,191.34
TOTAL LIABILITIES AND EQUITY	\$17,009,614.41

Acclaim Energy Ltd.

Profit and Loss

January - December 2020

	TOTAL
Income	
4100 Energy Rate Optimization	
4101 RO-CI	695,637.78
4104 RO-MUD	1,651,874.76
4106 RO - National Accounts	227,988.82
4107 RO - PRC (Axeon) (deleted)	0.00
4108 RO - PRC	648,589.33
4109 RO-OTH	281,751.28
Total 4100 Energy Rate Optimization	3,505,841.97
4204 Energy Reliability Svcs (ERS)	303,701.41
4500 Consulting	
4304 GenMax-Consulting	0.00
4305 National Accounts	370,536.16
4501 Energy Consulting Services - CI	500,000.00
4506 Data Optimization Svcs (DOS)	310,022.00
Total 4500 Consulting	1,180,558.16
Total Income	\$4,990,101.54
Cost of Goods Sold	
50000 Cost of Goods Sold	
5100 Commissions & Bonuses	968,566.18
5350 Direct Costs	
5306 Direct Cost-Other	12,008.72
Total 5350 Direct Costs	12,008.72
Total 50000 Cost of Goods Sold	980,574.90
5300 Direct expenses (deleted)	1,136.02
5401 Discount/Write offs	291,683.93
5610 ERS-CNP Customer Share	240,040.01
Total Cost of Goods Sold	\$1,513,434.86
GROSS PROFIT	\$3,476,666.68
Expenses	\$2,884,653.28
NET OPERATING INCOME	\$592,013.40
Other Income	\$470,963.77
Other Expenses	
6999 Equity Compensation	16,505.67
Depreciation and Amortization	224,538.99
Financing Costs	436,199.12
Taxes	9,375.03
Total Other Expenses	\$686,618.81
NET OTHER INCOME	\$-215,655.04
NET INCOME	\$376,358.36

Acclaim Energy Ltd.

Balance Sheet

As of December 31, 2021

	TOTAL
ASSETS	
Current Assets	
Bank Accounts	
Operating Accounts	42,583.73
Unapplied Cash	
1100 Unapplied Cash-Clearing Accou	-24,712.83
Total Unapplied Cash	-24,712.83
Total Bank Accounts	\$17,870.90
Accounts Receivable	
AR-CURRENT	1,683,912.13
Total Accounts Receivable	\$1,683,912.13
Other Current Assets	\$355,938.66
Total Current Assets	\$2,057,721.69
Fixed Assets	\$142,810.31
Other Assets	\$12,392,423.06
TOTAL ASSETS	\$14,592,955.06
LIABILITIES AND EQUITY	
Liabilities	
Current Liabilities	\$1,650,476.52
Long-Term Liabilities	\$6,978,570.24
Total Liabilities	\$8,629,046.76
Equity	
3900 Contributed Capital	1,930,000.00
3950 Retained Earnings	755,434.20
Owner Equity	3,003,020.30
Tax Distribution	-221,647.14
Net Income	497,100.94
Total Equity	\$5,963,908.30
TOTAL LIABILITIES AND EQUITY	\$14,592,955.06

Acclaim Energy Ltd.

Profit and Loss

January - December 2021

	TOTAL
Income	
4100 Energy Rate Optimization	
4101 RO-CI	230,878.23
4104 RO-MUD	494,027.61
4106 RO - National Accounts	25,127.68
4108 RO - PRC	143,031.06
4109 RO-OTH	243,574.72
Total 4100 Energy Rate Optimization	1,136,639.30
4112 E-telligence	80,000.00
4200 ERS Energy Reliab Services (deleted)	
4203 CNP Revenue	28,952.33
Total 4200 ERS Energy Reliab Services (deleted)	28,952.33
4204 Energy Reliability Svcs (ERS)	129,036.84
4500 Consulting	0.00
4305 National Accounts	244,450.00
4501 Energy Consulting Services - CI	20,000.00
4506 Data Optimization Svcs (DOS)	140,776.00
Total 4500 Consulting	405,226.00
4900 Other Revenue	
Other - Referral Income	205.00
Total 4900 Other Revenue	205.00
Total Income	\$1,780,059.47
Cost of Goods Sold	
50000 Cost of Goods Sold	
5100 Commissions & Bonuses	-79,485.16
5350 Direct Costs	-49,561.82
Total 50000 Cost of Goods Sold	-129,046.98
5300 Direct expenses (deleted)	1,588.50
5401 Discount/Write offs	15,000.05
5610 ERS-CNP Customer Share	-31,701.07
Total Cost of Goods Sold	\$ -144,159.50
GROSS PROFIT	\$1,924,218.97
Expenses	
Corporate Operating Costs	1,682,486.19
Total Expenses	\$1,682,486.19
NET OPERATING INCOME	\$241,732.78
Other Income	
7030 Other Income	503,692.00
Total Other Income	\$503,692.00
Other Expenses	
Depreciation and Amortization	95,134.46
Financing Costs	138,359.64
Taxes	14,829.74
Total Other Expenses	\$248,323.84
NET OTHER INCOME	\$255,368.16
NET INCOME	\$497,100.94

Acclaim Energy, Ltd. DUNS: 15-155-3588

Quick View Report

Company Information

1885 St. James Place Ste 1220
Houston, TX 77056

This is a **single location** location.

Telephone (713) 524-0250

Stock Symbol: NA

Year Started 2003

Employees 25

Financial Statement

Sales NA

Net Worth NA

History: NA

Financial Condition: NA

Financing: NA

SIC: 8748

Line of Business: Business consulting services

Corporate Family:

This business is a single location of the corporate family.

Scores

PAYDEX®

9



Over 120 days beyond terms



Based on up to 24 months of trade.

D&B PAYDEX® Key



High risk of late payment
(average 30 to 120 days beyond terms)



Medium risk of late payment
(average 30 days or less beyond terms)



Low risk of late payment
(average prompt to 30+ days sooner)

Credit Limit Recommendation

Risk Category
High

Conservative Credit Limit
\$0

Aggressive Credit Limit
\$0



Rating
1R3

Number of employees: **1R** indicates 10 or more employees
Composite Credit Appraisal: **3** is fair

The credit rating was assigned based on D&B's assessment of the company's financial ratios and its cash flow. For more information, see the D&B Rating Key.

Below is an overview of the company's rating history since 09/03/2009

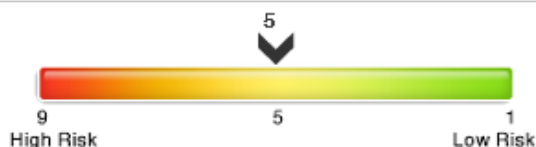
D&B Rating	Date Applied
1R3	2016-10-17
3A3	2015-05-26
1A3	2015-01-08
1R2	2013-11-28
3A1	2012-03-26
3A2	2011-04-14
3A3	2011-04-01
1R3	2009-10-05
1R4	2009-09-03

The Summary Analysis section reflects information in D&B's file as of June 1, 2022

D&B Viability Rating

5

Viability Score

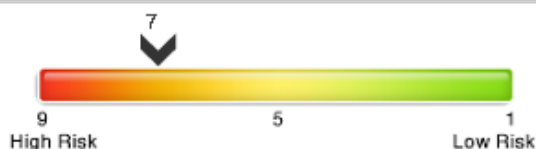


Compared to ALL US Businesses within the D&B Database:

- Level of Risk: **Low Risk**
- Businesses ranked 5 have a probability of becoming no longer viable: **7%**
- Percentage of businesses ranked 5: **14%**
- Across all US businesses, the average probability of becoming no longer viable: **14%**

7

Portfolio Comparison



Compared to ALL US Businesses within the D&B Database:

- Model Segment: **Established Trade Payments**
- Level of Risk: **High to Moderate Risk**
- Businesses ranked 7 within this model segment have a probability of becoming no longer viable: **7%**
- Percentage of businesses ranked 7 within this model segment: **14%**
- Within this model segment, the average probability of becoming no longer viable: **5%**

B

Data Depth Indicator



Data Depth Indicator:

Rich Firmographics
Extensive Commercial Trading Activity
Basic Financial Attributes

I

Company Profile

Financial Data	Trade Payments	Company Size	Years in Business
Not Available	Available (3+Trade)	Medium	Established

Compared to ALL US Businesses within the D&B Database:

- Financial Data: **Not Available**
- Trade Payments: **Available: 3+Trade**
- Company Size: **Medium: Employees: 10-49 or Sales: \$100K-\$499K**
- Years in Business: **Established: 5+**

History & Operations

History

The following information was reported: **12/18/2020**

Officer(s):

JOHN D ELDER III, CEO
RICHARD ZDUNKEWICZ, SEN VP
RYK HOLDEN, SEN VP
PATRICIA COLLINS, SEN VP
SCOTT L FORDHAM, PRES

The Texas Secretary of State's business registrations file showed that Acclaim Energy, Ltd was registered as a limited partnership on April 14, 2003.

Business started 2003.

On January 14, 2015 While this company is a Limited Partnership, the partners have elected to use officer titles to denote the area of responsibility.

EVENTS:

JOHN D ELDER III. 2003-present active here.
RICHARD ZDUNKEWICZ. Antecedents are unknown.
RYK HOLDEN. Antecedents are unknown.
PATRICIA COLLINS. Antecedents are unknown.
SCOTT L FORDHAM. Served as CEO and a member of the board of directors of Guard 1 Services.
Business address has changed from 1221 Lamar St Ste 510, Houston, TX, 77010 to 2 Riverway Fl 8h, Houston, TX, 77056.
Business address has changed from 2 Riverway Fl 8h, Houston, TX, 77056 to 1885 St. James Place Ste 1220 , Houston, TX, 77056.

Operations

12/18/2020

Description:

Provides business consulting services, specializing in energy conservation (100%).

Terms vary. Sells to commercial concerns. Territory : United States.

Employees: 25 which includes partners.

Facilities: Occupies premises in a building.

SIC & NAICS

SIC:
Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations that if we use the standard 4-digit code.The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

8748 9904 Energy conservation consultant

NAICS:
541690 Other Scientific and Technical Consulting Services

Payments

PAYDEX® ®

Score Not Available

You must have three reported payment experiences, from at least two different vendors, to establish a PAYDEX® score. To ensure all of your payments are reflected in your credit file, add trade references to your report. Visit the Action Center to learn more.

Payments Summary

Total (Last 24 Months): 15

	Total Received	Total Dollar Amount	Largest High Credit Payment summary	Within Terms	Days Slow			
					31	30-80	81-90	90
Top Industries								
Public finance	2	\$2,000.00	\$1,000.00	100%	0	0	0	0
Court	1	\$2,500.00	\$2,500.00	100%	0	0	0	0
Misc coml printing	1	\$1,000.00	\$1,000.00	100%	0	0	0	0
Television station	1	\$250.00	\$250.00	0%	0	100	0	0
Air courier service	1	\$100.00	\$100.00	100%	0	0	0	0
Other Categories								
Cash experiences	7	\$550	\$250	--	--	--	--	--
Unknown	0	\$0	\$0	--	--	--	--	--
Unfavorable comments	1	\$35,000	\$35,000	--	--	--	--	--
Placed for collections with D&B:	0	\$0	\$0	--	--	--	--	--
Other	1	N/A	\$0	--	--	--	--	--
Total in D&B's file	15	\$41,400	\$35,000	--	--	--	--	--

The highest Now Owes on file is \$35,000


The highest Past Due on file is \$35,000

There are 15 payment experience(s) in D&Bs file for the most recent 24 months, with 2 experience(s) reported during the last three month period.

Payments Details

Total (Last 24 Months): 15

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo.)
04/2022	(001)	\$35,000	\$35,000	\$35,000	--	--
04/2022	(002)	\$50	--	--	Cash account	1 mo
01/2022	(003)	\$10,000	\$10,000	\$10,000	--	--
12/2021	(004)	\$50	--	--	Cash account	1 mo
11/2021	(005)	\$100	--	--	Cash account	1 mo
09/2021	(006)	--	--	--	Cash account	1 mo
05/2021	(007)	\$50	--	--	Cash account	1 mo
04/2021	Ppt	\$2,500	--	--	--	1 mo
03/2021	(009)	\$250	\$0	\$0	Cash account	6-12 mos
02/2021	Ppt	\$1,000	\$0	\$0	--	6-12 mos
02/2021	Ppt	\$100	\$0	\$0	--	1 mo
11/2020	Slow 60	\$250	\$0	\$0	--	4-5 mos
08/2020	Ppt	\$1,000	--	--	--	1 mo
08/2020	Ppt	\$1,000	--	--	--	1 mo
07/2020	(015)	\$50	--	--	Cash account	2-3 mos

Payments Detail Key:  30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Banking and Finance

Statement Update

Key Business Ratios from D&B

We currently do not have enough information to generate the graphs for the selected Key Business Ratio.

• [This Company](#)

Key Financial Comparisons

	(\$)	(\$)	(\$)
This Company's Operating Results Year Over Year			
Net Sales	NA	NA	NA
Gross Profit	NA	NA	NA
Net Profit	NA	NA	NA
Dividends / Withdrawals	NA	NA	NA
Working Capital	NA	NA	NA
This Company's Assets Year Over Year			
Cash	NA	NA	NA
Accounts Receivable	NA	NA	NA
Notes Receivable	NA	NA	NA
Inventories	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets	NA	NA	NA
Other Non Current	NA	NA	NA
Total Assets	NA	NA	NA
This Company's Liabilities Year Over Year			
Accounts Payable	NA	NA	NA
Bank Loan	NA	NA	NA
Notes Payable	NA	NA	NA
Other Current Liabilities	NA	NA	NA
Total Current Liabilities	NA	NA	NA
Other Long Term and Short Term Liabilities	NA	NA	NA
Deferred Credit	NA	NA	NA
Net Worth	NA	NA	NA
Total Liabilities and Net Worth	NA	NA	NA



We currently do not have any recent financial statements on file for your business. Submitting financial statements can help improve your D&B scores. To submit a financial statement, please call customer service at 800-333-0505.

Key Business Ratios

	This Company	Industry Median	Industry Quartile
Solvency			
Quick Ratio	NA	NA	NA
Current Ratio	NA	NA	NA
Current Liabilities to Net Worth	NA	NA	NA
Current Liabilities to Inventory	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets to Net Worth	NA	NA	NA
Efficiency			
Collection Period	NA	NA	NA
Inventory Turn Over	NA	NA	NA
Sales to NWC	NA	NA	NA
Acct Pay to Sales	NA	NA	NA
Profitability			
Return on Sales	NA	NA	NA
Return on Assets	NA	NA	NA
Return on NetWorth	NA	NA	NA

Public Filings

Summary

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	0	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	8	01/04/21

The following Public Filing data is for information purposes only and is not the official record.
Certified copies can only be obtained from the official source.

Judgments

We currently don't have enough data to display this section

Liens

We currently don't have enough data to display this section

Suits

We currently don't have enough data to display this section

UCC Filings

Collateral: All Assets
Type: Original
Sec.Party: TEXAS GULF BANK, NATIONAL ASSOCIATION, CLUTE, TX
Debtor: ACCLAIM ENERGY, LTD.
Filing No.: 200052049082
Filed With: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX
Date Filed: 10/12/20
Latest Info Received: 10/27/20

Collateral: All Account(s) - All Chattel paper
Type: Original
Sec.Party: TEXAS GULF BANK NA, CLUTE, TX
Debtor: ACCLAIM ENERGY LTD
Filing No.: 160002860952
Filed With: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX
Date Filed: 01/27/16
Latest Info Received: 02/02/16

Type: Continuation
Sec.Party: TEXAS GULF BANK NA, CLUTE, TX
Debtor: ACCLAIM ENERGY LTD
Filing No.: 2100002116
Filed With: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX
Date Filed: 01/04/21
Latest Info Received: 01/05/21
Original Filing No.: 160002860952
Original UCC Filed Date: 01/27/16

Collateral: Equipment
Type: Original
Sec.Party: MOBILEASE, INC., HOUSTON, TX
Debtor: ACCLAIM ENERGY, LTD
Filing No.: 130037903208
Filed With: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX
Date Filed: 12/04/13
Latest Info Received: 12/10/13

Collateral: Leased Equipment and proceeds - Leased Computer equipment and proceeds - Leased Communications equipment and proceeds
Type: Original
Sec.Party: AT&T CAPITAL SERVICES, INC., HOFFMAN ESTATES, IL
Debtor: ACCLAIM ENERGY, LTD.
Filing No.: 130038303263
Filed With: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX
Date Filed: 12/06/13
Latest Info Received: 12/10/13

Collateral: Leased Equipment and proceeds - Leased Computer equipment and proceeds - Leased Communications equipment and proceeds
Type: Original
Sec.Party: AT&T CAPITAL SERVICES, INC., HOFFMAN ESTATES, IL

Debtor: ACCLAIM ENERGY, LTD.
Filing No.: 130002906182
Filed With: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX
Date Filed: 01/28/13
Latest Info Received: 02/05/13

Type: Original
Sec.Party: FIRST FEDERAL LEASING, RICHMOND, IN
Debtor: LEGACY CMS GROUP, LTD.
Filing No.: 070014791201
Filed With: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX
Date Filed: 05/02/07
Latest Info Received: 05/03/07

Type: Original
Sec.Party: INDEPENDENCE BANK, N.A., HOUSTON, TX
Debtor: LEGACY CMS GROUP, LTD
Filing No.: 070008853798
Filed With: SECRETARY OF STATE/UCC DIVISION, AUSTIN, TX
Date Filed: 03/16/07
Latest Info Received: 03/19/07

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

Government Activity

Summary

Borrower (Dir/Guar): NO
Administrative Debt: NO
Contractor: NO
Grantee: NO
Party excluded from federal program(s): NO

Possible Candidate:

Labor Surplus Area: N/A
Small Business: YES (2022)
8(A) Firm: N/A

The public record items contained herein may have been paid, terminated, vacated, or released prior to today's date

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

Special Events

12/18/2020

ACCLAIM ENERGY, LTD was reported by the SBA as a recipient of a loan for \$463,500 from Texas Gulf Bank, National Association on 04/05/2020 under the Paycheck Protection Program as authorized under the CARES Act of 2020.

07/23/2020

On July 6, 2020, the SBA announced that this business was approved for a loan between \$350K - \$1M from Texas Gulf Bank, National Association through the SBA's Paycheck Protection Program, as part of the CARES Act, in response to the COVID-19 pandemic. The amount of the actual loan may vary from the approved amount.

07/26/2019

Business address has changed from 2 Riverway Fl 8h, Houston, TX, 77056 to 1885 St. James Place Ste 1220 , Houston, TX, 77056.

Corporate Linkage

Parent		
Company Name	DUNS #	City, State
ACCLAIM ENERGY, LTD.	15-155-3588	HOUSTON, TEXAS

**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

7/14/2022 11:55:52 AM

in

Case No(s). 19-1490-EL-AGG

Summary: In the Matter of the Application of Acclaim Energy Ltd