



## Public Utilities Commission

Competitive Retail Electric Service (CRES)  
Provider Application

Case Number: 16 .0786 -EL- CRS

Please complete all information. Identify all attachments with a label and title (example: Exhibit C-2 Financial Statements). For paper filing, you can mail the original and two complete copies to the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

### A. Application Information

#### A-1. Provider Type.

Select the competitive retail electric service (CRES) provider type(s) for which the applicant is seeking certification. Please note you can select more than one.

Aggregator

☐

Power Broker

☐

Power Marketer

☒

Retail Electric  
Generation Provider

☒

#### A-2. Applicant's legal name and contact information.

Provide the name and contact information of the business entity.

Legal Name: Clearview Electric, Inc. d/b/a Clearview Energy  
Street Address: 901 Main Street, Suite 4700  
City: Dallas State: TX Zip: 75202  
Telephone: 972-546-9990 Website: www.clearviewenergy.com

#### A-3. Names and contact information under which the applicant will do business in Ohio.

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name: Clearview Electric, Inc. d/b/a Clearview Energy  
Street Address: 901 Main Street, Suite 4700  
City: Dallas State: TX Zip: 75202  
Telephone: 972-546-9990 Website: www.clearviewenergy.com

#### A-4. Names under which the applicant does business in North America.

Provide all business names the applicant uses in North America. You do not need to include the names provided in A-2 and A-3.

Name(s): Clearview Electric, Inc. Clearview Energy  
Brightstar Power

**A-5. Contact person for regulatory matters.**

Name: Molly Davis Title: Manager, Regulatory Affairs  
Street Address: 901 Main Street, Suite 4700  
City: Dallas State: TX Zip: 75202  
Telephone: 214-884-1736 Email: regulatory@clearviewenergy.com

**A-6. Contact person for PUCO Staff use in investigating consumer complaints.**

Name: Yesenia Alvarez Title: Sr. Customer Relations Specialist  
Street Address: 901 Main Street, Suite 4700  
City: Dallas State: TX Zip: 75202  
Telephone: 214-884-1751 Email: regulatory@clearviewenergy.com

**A-7. Applicant's address and toll-free number for customer service and complaints.**

Street Address: PO Box 130659  
City: Dallas State: TX Zip: 75313  
Toll-free Telephone: 800-746-4702 Email: customerservice@clearviewenergy.com

**A-8. Applicant's federal employer identification number.**

FEIN: 20-5552316

**A-9. Applicant's form of ownership (select one).**

|   |   |  |   |
|---|---|--|---|
| Sole Proprietorship<br><input type="checkbox"/>             | Limited Liability Partnership (LLP)<br><input type="checkbox"/> | Corporation<br><input checked="" type="checkbox"/> | Partnership<br><input type="checkbox"/> |
| Limited Liability Company (LLC)<br><input type="checkbox"/> | Other: _____  |  |   |

**A-10. Identify current or proposed service areas.**

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

**Service area selection:**

|   |   |   |  |
|---|---|---|--|
| AES Ohio<br><input checked="" type="checkbox"/> | American Electric Power (AEP Ohio)<br><input checked="" type="checkbox"/> | Duke Energy Ohio<br><input checked="" type="checkbox"/> | FirstEnergy – Cleveland Electric Illuminating<br><input checked="" type="checkbox"/> |
|---|---|---|--|

FirstEnergy – Ohio  
Edison



FirstEnergy – Toledo  
Edison



**Class of customer selection:**

Commercial



Industrial



Mercantile



Residential



**A-11. Start Date.**

Indicate the approximate start date the applicant began/will begin offering services.

Date: 02/13/2012

**A-12. Principal officers, directors and partners.**

Please provide an attachment for all contacts that should be listed as an officer, director or partner.

**A-13. Company history.**

Provide an attachment with a concise description of the applicant's company history and principal business interests.

**A-14. Secretary of State.**

Provide evidence that the applicant is currently registered with the Ohio Secretary of State.

## **B. Managerial Capability**

Provide a response or attachment for each of the sections below.

**B-1. Jurisdiction of operations.**

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application.

**B-2. Experience and plans.**

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

**B-3. Disclosure of liabilities and investigations.**

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

**B-4. Disclosure of consumer protection violations.**

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years? If yes, attach a document detailing the information.

Yes

☐

No

☒

**B-5. Disclosure of certification denial, curtailment, suspension, or revocation.**

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years? If yes, attach a document detailing the information.

Yes

☐

No

☒

**B-6. Environmental disclosure.**

This section is only applicable if power marketer or retail electric generation provider has been selected in A-1.

Provide a detailed description of how the applicant intends to determine its generation resource mix and environmental characteristics, including air emissions and radioactive waste. Include the annual projection methodology and the proposed approach to compiling the quarterly actual environmental disclosure data. See [4901:1-21-09](#) of the Ohio Administrative Code for additional details of this requirement.

## **C. Financial Capability**

Provide a response or attachment for each of the sections below.

**C-1. Financial reporting.**

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or attach a copy of the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

**C-2. Financial statements**

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with social

security numbers and bank account numbers redacted.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

**C-3. Forecasted financial statements.**

Provide two years of forecasted income statements based solely on the applicant's anticipated business activities in the state of Ohio.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in business activities only in the state of Ohio for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

**C-4. Credit rating.**

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "Not Rated".

**C-5. Credit report.**

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. Bank/credit account numbers and highly sensitive identification information must be redacted. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select "This does not apply" and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

**C-6. Bankruptcy information.**

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy? If yes, attach a document detailing the information.

Applicant

Parent company of the applicant

Affiliate company that guarantees the financial obligations of the applicant

Any owner or officer of the applicant

Yes

☐

No

☒

**C-7. Merger information.**

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months? If yes, attach a document detailing the information.

Yes

☐

No

☒

**C-8. Corporate structure.**

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

**C-9. Financial arrangements.**

This section is only applicable if power marketer or retail electric generation provider has been selected in A-1.

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.

2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

## D. Technical Capability

Provide an attachment for each of the sections below.

### D-1. Operations.

Power brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

Power Marketers/Generators: Describe the operational nature of the applicant's business, specifying whether operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services, as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

### D-2. Operations expertise and key technical personnel.

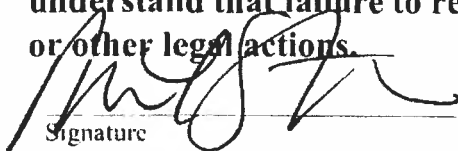
Provide evidence of the applicant's experience and technical expertise in performing the operations described in this application. Include the names, titles, e-mail addresses, telephone numbers and background of key personnel involved in the operational aspects of the applicant's business. If vendors or third parties are or will be utilized for any activities listed in this application, provide the name, contact information for each, and list which activities they will perform. Also, indicate which activities will be performed directly by the company. Please note that this information is required to be updated within 30 days of any changes.

### D-3. FERC power marketer authorization.

This section is only applicable if power marketer or retail electric generation provider has been selected in A-1.

Provide the FERC docket granting the applicant power marketer authority.

**As authorized representative for the above company/organization, I certify that all the information contained in this application is true, accurate and complete. I also understand that failure to report completely and accurately may result in penalties or other legal actions.**

  
Signature

04/29/2022

Date

Vice President

Title

# **Competitive Retail Electric Service Affidavit**

County of Dallas :

State of Texas :

Nicole Steele

, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections [4905.10\(A\)](#), [4911.18\(A\)](#), and [4928.06\(F\)](#), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections [4905.10](#), [4911.18](#), and [4928.06\(F\)](#), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to [Title 49](#), Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section [4928.09](#), Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.



12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

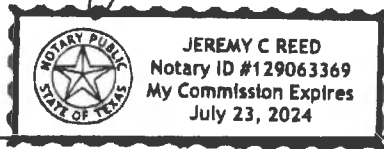
13. Affiant further sayeth naught.

  
Signature of Affiant & Title

Sworn and subscribed before me this 29th day of April, 2022  
Month Year

  
Signature of official administering oath

Jeremy Reed, Notary Public  
Print Name and Title



My commission expires on 07/23/2024





Exhibit A-12  
Principal Officers, Directors, and Partners

- Francis X. McGovern  
Shareholder and President  
901 Main Street, Suite 4700  
Dallas, TX 75202  
972-546-9990 ext. 601
- Nicole Steele  
Vice President  
901 Main Street, Suite 4700  
Dallas, TX 75202  
972-546-9990 ext. 626



## Exhibit A-13 Company History

Clearview Electric, Inc. dba Clearview Energy was founded by Frank McGovern in 2006 with the goal of supplying renewable energy options to residential, commercial, and industrial customers across multiple retail markets in the US. Clearview is headquartered in Dallas, Texas. Clearview currently serves customers in electric choice markets in Delaware, Illinois, DC, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, and Texas. Mr. McGovern remains President of Clearview Energy today.

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show CLEARVIEW ELECTRIC INC., a Texas corporation, having qualified to do business within the State of Ohio on June 8, 2010 under License No. 1942197 is currently in GOOD STANDING upon the records of this office.*



*Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 17th day of May, A.D. 2022.*

A handwritten signature in blue ink that reads "Frank LaRose".

**Ohio Secretary of State**

**Validation Number: 202213702912**



## Exhibit B-1 Jurisdiction of Operations

- Delaware  
Order: 7860
- Illinois  
Order: 11-0479
- Massachusetts  
License: CS-089
- Maryland  
License: IR-2009
- Maine  
Docket: 2012-00376
- New Hampshire  
Docket: DM 15-514
- New Jersey  
License: ESL-0089
- New York  
Case: 98-M-1343
- Ohio  
License: 16-1076E(2)
- Pennsylvania  
License: A-2010-2152506
- Rhode Island  
License: D-96-6(R5)
- Texas  
License: 10129
- Washington D.C.  
License: 15972



## Exhibit B-2 Experience and Plans

Clearview Electric, Inc. (Clearview) has over 14 years of experience serving retail residential, commercial, and industrial customers across multiple markets. Clearview currently serves more than 65,000 customers offering multiple products in the electric choice markets in Delaware, Illinois, the District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, and Texas.

Clearview contracts with customers through a variety of marketing channels including telemarketing, door-to-door, in- bound sales, and Clearview's website. Currently Clearview contracts with multiple external telemarketing and door-to- door marketing firms.

Clearview bill its customers through all commonly utilized methods (i.e., consolidated rate ready, consolidated bill ready, and dual billing) in conjunction with the Local Distribution Companies (LDC) whose territories Clearview is registered to offer electric and gas supply. Clearview chooses to utilize consolidated rate ready billing with the Purchase of Receivables (POR) program offered by the LDC whenever possible.

Clearview has a customer service center that can handle up to 100 call center representatives. Clearview has been expanding its staffing to accommodate the recent rapid growth that it has achieved and will continue to add additional staff as needed to accommodate further growth in the customer base.

Clearview's Regulatory Department is responsible for the timely response and resolution to all customer complaints that are received from a state agency (i.e. Public Utilities Commission, Attorney General's Office). In an effort to continually reduce complaints, Clearview has a Quality Assurance Department responsible for ensuring that all of our external marketing firms adhere to Clearview policies and procedures.



### Exhibit B-3 Disclosures of Liabilities and Investigations

Pennsylvania I&E Investigation (Case No. BP8 Case ID 2615657) - March 14, 2018. Clearview received data sets on March 14<sup>th</sup> and on May 8<sup>th</sup> of 2018. Clearview has responded fully to both data sets. I&E alleged Clearview failed to properly include the PA State Police in its agent background checks that were completed by a third-party background check organization. I&E also alleged a violation regarding 137 customer accounts that were charged a different rate than they initially saw on a third-party energy shopping website because a rate change had not been pushed to that site before the price change was internally implemented. During the scope of the investigation, I&E alleged there were 10 accounts that were enrolled without proper authorization. I&E alleged that Clearview failed to properly notify the Commission and the distribution utilities prior to initiating its sales activities during a month, resulting in 29 violations (one for each working day during that period). Clearview received a formal complaint in relation to the investigation on behalf of the Bureau of Investigation and Enforcement of the Pennsylvania PUC June 1, 2020 (Docket No. C-2020-3020127) Clearview entered into a settlement May 13, 2021 which was approved in its entirety October 28, 2021.

Maine PUC Order to Show Cause (Docket No. 2012-00376) - November 5, 2019. Clearview received the order regarding an inquiry into marketing practices as a result of 10 complaints the Commission received. The Commission provided Clearview details regarding those particular complaints on August 5, 2020. A Settlement was reached on September 22, 2021 between Clearview and Maine PUC.

Illinois (Docket No. 21-0792) - On November 4, 2021 the Illinois Commerce Commission entered an Order initiating a citation against Clearview for failure to comply with annual and quarterly filing requirements. Clearview was assessed financial penalties paid to the State Treasury to credit the Public Utility Fund April 7, 2022.

Connecticut Investigation (Docket No. 07-08-17) - September 20, 2021. PURA issued a Notice of Violation and Assessment of Civil Penalty (NOV) alleging that Clearview failed to convey supply summary information to the electric distribution companies for display on customer bills, marketing requirements, and compliance with continuing licensing requirements. To reducing the uncertainty of litigation, Clearview and PURA entered into a settlement agreement to resolve the alleged violations without admission or concession by either party which was approved by PURA November 17, 2021.





## Exhibit B-6 Environmental Disclosure

Clearview only offers 100% renewable products and purchases renewable energy credits (RECs) in order to complete its quarterly and annual environmental disclosures. Projections are based on prior year usage and REC market prices.



## Exhibit C-1 Financial Reporting

Exhibit C-1 is not applicable as Clearview Electric Inc. is a privately held corporation and is not required to file with the SEC.

# CONFIDENTIAL



EXHIBIT C-2

Documentation submitted in connection with this Exhibit has been filed as confidential.



# CONFIDENTIAL

EXHIBIT C-3

Documentation submitted in connection with this Exhibit has been filed as confidential.



## Exhibit C-4 Credit Rating

Not Rated.



# CONFIDENTIAL

EXHIBIT C-5

Documentation submitted in connection with this Exhibit has been filed as confidential.



## Exhibit C-8 Corporate Structure

Clearview Energy is a stand-alone entity with no affiliate or subsidiary companies.

Exhibit C-9



139 East Fourth  
EX396  
Cincinnati, Ohio 45202

April 25, 2022

Clearview Energy Inc has met the Electric Collateral obligations for Duke Energy Corporation as of April 25, 2022.

*Tom Hunt*

Duke Energy Corp  
Certified Supplier Business Center  
[Tom.Hunt@Duke-Energy.com](mailto:Tom.Hunt@Duke-Energy.com)







## Exhibit D-1 Operations

Clearview Electric, Inc., is engaged in the competitive retail sale of electric power to end-user customers, utilizing jurisdictional transmission and distribution facilities of electric distribution utilities. Clearview is currently licensed to provide electricity service in 12 states and the District of Columbia.

Clearview is a market participant in PJM but owns no generating units or transmission facilities. Clearview contracts with outside vendors for the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase of electricity to retail customers.



## Exhibit D-2 Operations Expertise and Key Technical Personnel

### Operations Expertise:

Clearview Electric, Inc., has over 14 years of experience serving retail commercial, and industrial customers across multiple markets. Clearview currently serves more than 65,000 customers offering multiple products in electric choice markets, and variable rate gas rate products in Delaware, Illinois, the District of Columbia, Maryland, Maine, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, and Texas.

Clearview utilizes the services of POWWR for all scheduling of electricity and natural gas delivery from the commodity's source to the grid/city gate. In the more than fourteen years that Clearview has been in operation it has never failed to acquire or deliver the appropriate amount of commodities to its customers. No registration with a Local Distribution Company (LDC) or a state commission has ever been questioned due to a failure to perform its contracted service.

Clearview has utilized the services of EC Infosystems Data Interchange (EDI) transactions between Clearview and the LD since its inception in 2006. This relationship ensures that all communications of customer sensitive information maintain the highest level of security while being transferred between trading partners. Clearview's information technology staff has successfully integrated the communications requirements between Clearview and 74 individual LDCs into Clearview's customer billing system to date.

### Key Technical Personnel:

**Frank McGovern** –CEO [fmcgovern@clearviewenergy.com](mailto:fmcgovern@clearviewenergy.com) 972-546-990 ext. 601

Mr. McGovern is the President and Chief Executive Officer of Clearview Electric, Inc. Mr. McGovern founded Clearview in 2006 after running a successful competitive local exchange carrier for over 6 years.

Mr. McGovern obtained his Bachelor of Science in Industrial Engineering and Operational Research from the University of Massachusetts at Amherst before earning an MBA in Marketing and a Masters of Science in Mechanical Engineering from University of Texas at Austin.

**Paul Sims** – Sr. Director, Supply and Trading [psims@clearviewenergy.com](mailto:psims@clearviewenergy.com) 214-884-1755

Mr. Sims is the Sr. Director of Supply and Trading at Clearview Electric, Inc. In this role, he directly transacts on Clearview's behalf in multiple ISO territories.



He has 20 years' experience in the energy industry working in accounting roles at Calpine and Reliant before transitioning to a Manager of Commercial Transmission at RRI, Inc. Mr. Sims later worked at BP as a Senior Financial Analyst before working for 5 years as an independent contractor doing ARR nominations, PJM ARR/FTR Auction bids, and FERC filings for competitive suppliers. He has been with Clearview since 2018.

**Martin Flores, Jr.** - Chief Operations Officer    [mflores@clearviewenergy.com](mailto:mflores@clearviewenergy.com)    214-884-1732

In 1977 Martin (Marty) started his business career in Ft. Worth, Texas with Southwestern Bell Telephone Company. Over the course of the next 40 years, he has held highly diversified positions, some of which have taken him to eight different cities in four different states. In 2005 Marty retired from AT&T and moved on to hold positions as Senior Director of Customer Operations for Charter Communications in Dallas/Ft. Worth, Vice President of Operations for Alliance Data of North America and Director of Vendor Management for TXU Energy. He is currently Chief Operations Officer for Clearview Energy in Dallas. Marty graduated from the University of Texas with a Bachelor of Arts in Business Management.

**Regina Logan** – Chief Financial Officer    [rlogan@clearviewenergy.com](mailto:rlogan@clearviewenergy.com)    214-884-1723

Ms. Logan is the Chief Financial Officer of Clearview Electric, Inc. In this role, she has direct oversight of all accounting, financial, tax, treasury management and audits for the company's U.S. and International operations.

Her expertise includes more than 25 years of experience in public accounting and corporate accounting (public and private companies), national and international entities, including joint ventures and start-ups. Her leadership encompassed price modeling, budgeting, strategic planning, financial reporting, auditing, and government regulations and compliance while employed in areas of energy, government, education, healthcare, and manufacturing.

Ms. Logan is a Certified Public Accountant licensed in the state of Texas and remains an active member of the American Institute of CPA's and Texas Society of CPA's. She earned a bachelor's degree in Accounting from East Texas State University and her MBA from Texas A&M University-Commerce.



Exhibit D-3  
FERC Power Marketer Authorization

Clearview's FERC Power Marketer License number is C006558.

**This foregoing document was electronically filed with the Public Utilities  
Commission of Ohio Docketing Information System on**

**5/20/2022 10:31:34 AM**

**in**

**Case No(s). 16-0786-EL-CRS**

Summary: Application Renewal application for Competitive Retail Electric Service (CRES) electronically filed by Mr. Christopher L. Miller on behalf of Clearview Electric Inc. dba Clearview Electric