



## Public Utilities Commission

### Competitive Retail Natural Gas Service (CRNGS) Provider Application

Case Number: 14 -0546 -GA-AGG

Please complete all information. Identify all attachments with a label and title (example: Exhibit C-2 Financial Statements). For paper filing, you can mail the original and two complete copies to the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

#### A. Application Information

##### A-1. Provider Type.

Select the competitive retail natural gas service (CRNGS) provider type(s) for which the applicant is seeking certification. Please note you can select more than one.

Retail Natural Gas Aggregator

☒

Retail Natural Gas Broker

☒

Retail Natural Gas Marketer

☐

##### A-2. Applicant's legal name and contact information.

Provide the name and contact information of the business entity.

Legal Name: Scioto Energy, LLC  
Street Address: 4041 N. High St., Suite 202  
City: Columbus State: OH Zip: 43214  
Telephone: 614-888-8805 Website: www.sciotoenergy.com

##### A-3. Names and contact information under which the applicant will do business in Ohio.

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name: Scioto Energy  
Street Address: 4041 N. High St., Suite 202  
City: Columbus State: OH Zip: 43214  
Telephone: 614-888-8805 Email: gfbechert@sciotoenergy.com

##### A-4. Names under which the applicant does business in North America.

Provide all business names the applicant uses in North America. You do not need to include the names provided in A-2 and A-3.

Name(s): Scioto Energy

**A-5. Contact person for regulatory matters.**

Name: Greg Bechert Title: Partner  
Street Address: 4041 N. High St., Suite 202  
City: Columbus State: OH Zip: 43214  
Telephone: 614-888-8805 Email: gfbechert@sciotoenergy.com

**A-6. Contact person for PUCO Staff use in investigating consumer complaints.**

Name: Greg Bechert Title: Partner  
Street Address: 4041 N. High St., Suite 202  
City: Columbus State: OH Zip: 43214  
Telephone: 614-888-8805 Email: gfbechert@sciotoenergy.com

**A-7. Applicant's address and toll-free number for customer service and complaints.**

Street Address: 4041 N. High St., Suite 202  
City: Columbus State: OH Zip: 43214  
Toll-free Telephone: 614-888-8810 Email: gfbechert@sciotoenergy.com

**A-8. Applicant's federal employer identification number.**

FEIN: 27-1555988

**A-9. Applicant's form of ownership (select one).**

Sole Proprietorship <input type="checkbox"/>	Limited Liability Partnership (LLP) <input type="checkbox"/>	Corporation <input type="checkbox"/>	Partnership <input type="checkbox"/>
Limited Liability Company (LLC) <input checked="" type="checkbox"/>	Other: _____		

**A-10. Identify current or proposed service areas.**

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

**Service area selection:**

CenterPoint Energy Ohio <input checked="" type="checkbox"/>	Columbis Gas of Ohio <input checked="" type="checkbox"/>	Dominion Energy Ohio <input checked="" type="checkbox"/>	Duke Energy Ohio <input checked="" type="checkbox"/>
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**Class of customer selection:**

Industrial



Residential



Small Commercial



Large Commercial



**A-11. Start Date.**

Indicate the approximate start date the applicant began/will begin offering services.

Date: 06/01/2014

**A-12. Principal officers, directors and partners.**

Please provide an attachment for all contacts that should be listed as an officer, director or partner.

**A-13. Company history.**

Provide an attachment with a concise description of the applicant's company history and principal business interests.

**A-14. Secretary of State.**

Provide evidence that the applicant is currently registered with the Ohio Secretary of State.

**A-15. Proof of Ohio office and employee.**

Provide "Proof of an Ohio Office and Employee" in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address.

Name: Greg Bechert Title: \_\_\_\_\_  
Street Address: 4041 N. High St., Suite 202  
City: Columbus State: OH Zip: 43214  
Telephone: 614-888-8805 Email: gfbechert@sciotoenergy.com

**B. Managerial Capability**

Provide a response or attachment for each of the sections below.

**B-1. Jurisdiction of operations.**

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application.

**B-2. Experience and plans.**

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

**B-3. Disclosure of liabilities and investigations.**

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

**B-4. Disclosure of consumer protection violations.**

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years? If yes, attach a document detailing the information.

Yes

☐

No

☒

**B-5. Disclosure of certification denial, curtailment, suspension, or revocation.**

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years? If yes, attach a document detailing the information.

Yes

☐

No

☒

## **C. Financial Capability**

Provide a response or attachment for each of the sections below.

**C-1. Financial reporting.**

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or attach a copy of the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

**C-2. Financial statements**

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow

statement, the applicant may provide a copy of its two most recent years of tax returns with social security numbers and bank account numbers redacted.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

**C-3. Forecasted financial statements.**

Provide two years of forecasted income statements based solely on the applicant's anticipated business activities in the state of Ohio.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in business activities only in the state of Ohio for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

**C-4. Credit rating.**

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "Not Rated".

**C-5. Credit report.**

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. Bank/credit account numbers and highly sensitive identification information must be redacted. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select "This does not apply" and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

**C-6. Bankruptcy information.**

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy? If yes, attach a document detailing the information.

Applicant

Parent company of the applicant

Affiliate company that guarantees the financial obligations of the applicant

Any owner or officer of the applicant

Yes

☐

No

☒

**C-7. Merger information.**

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months? If yes, attach a document detailing the information.

Yes

☐

No

☒

**C-8. Corporate structure.**

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

**C-9. Financial arrangements.**

This section is only applicable if power marketer or retail electric generation provider has been selected in A-1.

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.

2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

## D. Technical Capability

Provide an attachment for each of the sections below.

### D-1. Operations.

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

Gas Marketers: Describe the operational nature of the applicant's business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

### D-2. Operations expertise and key technical personnel.

Provide evidence of the applicant's experience and technical expertise in performing the operations described in this application. Include the names, titles, e-mail addresses, telephone numbers and background of key personnel involved in the operational aspects of the applicant's business. If vendors or third parties are or will be utilized for any activities listed in this application, provide the name and contact information for each and list which activities they will perform. Also indicate which activities will be performed directly by the company. Please note that this information is required to be updated within 30 days of any changes.

**As authorized representative for the above company/organization, I certify that all the information contained in this application is true, accurate and complete. I also understand that failure to report completely and accurately may result in penalties or other legal actions.**

Signature

Date

Partner

Title

# Competitive Retail Natural Gas Service Affidavit

County of Franklin :

State of Ohio :

Greg Bechert, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
10. Affiant further sayeth naught.

Signature of Affiant & Title

Sworn and subscribed before me this May day of 2022  
Month Year

Signature of official administering oath

Print Name and Title

My commission expires on Nov 13, 2022



**Exhibit A-12 "Principal Officers, Directors & Partners"**

Gregory F. Bechert, Managing Partner  
4041 North High Street, Suite 202  
Columbus, OH 43214-3248  
Phone: 614-888-8805, Ext. 101  
Fax: 614-453-8811

[gfbeschert@sciotoenergy.com](mailto:gfbeschert@sciotoenergy.com)

Susanne Buckley, Managing Partner  
4041 North High Street, Suite 202  
Columbus, OH 43214-3248  
Phone: 614-888-8805, Ext. 104  
Fax: 614-453-8811

[sbuckley@sciotoenergy.com](mailto:sbuckley@sciotoenergy.com)

### **Exhibit A-13 "Company History"**

Scioto Energy is an independent brokerage and consulting firm founded in 2009 to provide energy solutions for clients in the areas of energy procurement, rate analysis, risk management and load response. The managing partners are seasoned energy professionals with over 40 years of experience in both energy trading and energy retail marketing. Scioto Energy is completely independent from any wholesale supplier; our only allegiance is to our customers. Our extensive knowledge of utility structures, tariffs, and wholesale modeling allows us to deliver crucial and unbiased information to our clients, which empowers them to make the best decisions regarding implementation of risk strategies that are in line with corporate goals.

Scioto Energy currently has over 7,500 accounts under management that are utilizing its energy expertise, ranging in size from the small mom and pop type shops, all the way up to the largest users in the State of Ohio. In 2013, the company was recognized as one of the 50 fastest growing companies in Central Ohio (#18), and both partners were included in the "Who's Who of Energy", a publication which recognized the top energy leaders throughout United States.

**Exhibit A-14 "Secretary of State"**

Attached please find evidence that Scioto Energy, LLC is currently registered with the Ohio Secretary of State

Thu May 12 2022

**Entity#:** 1825727  
**Filing Type:** DOMESTIC LIMITED LIABILITY COMPANY  
**Original Filing Date:** 12/22/2008  
**Location:** ---  
**Business Name:** SCIOTO ENERGY LLC  
  
**Status:** Active  
**Exp. Date:** -

## Agent/Registrant Information

SHAMUS B. CASSIDY, ESQ.  
 7650 RIVERS EDGE DRIVE, SUITE 101  
 COLUMBUS OH 43235  
 08/31/2015  
 Active

## Filings

Filing Type	Date of Filing	Document ID
OHIO LLC - ARTICLES OF ORGANIZATION	12/22/2008	200835901724
SUBSEQUENT AGENT APPOINT/LIMITED/LIABILITY/PARTNERS	08/31/2015	201524301390

UNITED STATES OF AMERICA  
 STATE OF OHIO  
 OFFICE OF SECRETARY OF STATE

*I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State.*



*Witness my hand and the seal of the  
 Secretary of State at Columbus,  
 Ohio this 12th of May, A.D. 2022  
 Ohio Secretary of State*

*Frank LaRose*



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
12/26/2008	200835901724	ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	125.00	.00	.00	.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

APPEL & HELLSTEDT LLP  
6641 N. HIGH ST.  
STE. 209  
WORTHINGTON, OH 43085

**STATE OF OHIO  
CERTIFICATE**

**Ohio Secretary of State, Jennifer Brunner**

**1825727**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**SCIOTO ENERGY LLC**

and, that said business records show the filing and recording of:

Document(s)

**ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.**

Document No(s):

**200835901724**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of  
the Secretary of State at Columbus,  
Ohio this 22nd day of December,  
A.D. 2008.

Ohio Secretary of State



**Form 533A Prescribed by the:  
Ohio Secretary of State**

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)

[www.sos.state.oh.us](http://www.sos.state.oh.us)  
[Busserv@sos.state.oh.us](mailto:Busserv@sos.state.oh.us)

**Expedite this form: (select one)**

Mail form to one of the following:

☐ Expedite PO Box 1390  
Columbus, OH 43216

\*\*\* Requires an additional fee of \$100 \*\*\*

☒ Non Expedite PO Box 670  
Columbus, OH 43216

**ARTICLES OF ORGANIZATION FOR A DOMESTIC  
LIMITED LIABILITY COMPANY**

**Filing Fee: \$125.00**

**(CHECK ONLY ONE (1) BOX)**

(1) ☒ Articles of Organization for Domestic  
For-Profit Limited Liability Company  
(115-LCA)  
ORC 1705

(2) ☐ Articles of Organization for Domestic  
Nonprofit Limited Liability Company  
(115-LCA)  
ORC 1705

2008 DEC 22 PM 3:17

Name of limited liability company

Scioto Energy LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd"

Effective Date

(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing  
of the articles or on a later date specified that is not more than ninety days  
after filing)

This limited liability company shall exist for

(Optional)

Period of Existence

Purpose

(Optional)

☐ Check here if additional provisions are attached

**ORIGINAL APPOINTMENT OF AGENT**

The undersigned authorized member(s), manager(s) or representative(s) of

Scioto Energy LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

Gregory F. Bechert

Name of Agent

561 Hallmark Pl.

Mailing Address

Worthington

City

Ohio

State

43085

Zip Code

☐ If the agent is an individual and using a P.O. Box, check this box to certify the agent is an Ohio resident.

**ACCEPTANCE OF APPOINTMENT**

The undersigned, named herein as the statutory agent for

Scioto Energy LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

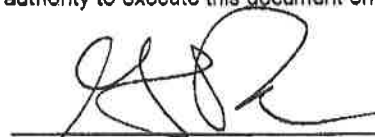


Agent's Signature

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above.

**REQUIRED**

Articles and original appointment of agent must be authenticated (signed) by a member, manager or other representative.

  
\_\_\_\_\_  
Signature

12-17-08  
\_\_\_\_\_  
Date

\_\_\_\_\_  
Gregory F. Bechert  
\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

(See Instructions Below)





Thu May 12 2022

**Entity#:** 1956757  
**Filing Type:** REGISTERED TRADE NAME  
**Original Filing Date:** 08/13/2010  
**Location:** ---  
**Business Name:** SCIOTO ENERGY  
  
**Status:** Active  
**Exp. Date:** 08/13/2025

## Agent/Registrant Information

NEW RIVER GROUP, LLC  
6649 NORTH HIGH STREET  
WORTHINGTON OH 43085  
08/13/2010  
Active

## Filings

Filing Type	Date of Filing	Document ID
TRADE NAME/ORIGINAL FILING	08/13/2010	201022900563
LETTER/RENEWAL NOTICE MAILED	04/13/2015	201510300266
TRADE NAME RENEWAL	07/27/2015	201520901368
LETTER/RENEWAL NOTICE MAILED	04/13/2020	202010400426
TRADE NAME RENEWAL	08/07/2020	202022002620

Thu May 12 2022

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF SECRETARY OF STATE

*I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list  
of all records approved on this business entity and in the custody of the Secretary of State.*



*Witness my hand and the seal of the  
Secretary of State at Columbus,  
Ohio this 12th of May, A.D. 2022*

*Ohio Secretary of State*

*Frank LaRose*



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
08/18/2010	201022900563	TRADE NAME/ORIGINAL FILING (RNO)	50.00	.00		.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

KOHLER & SMITH CO LPA  
7650 RIVERS EDGE DRIVE  
STE 101  
COLUMBUS, OH 43235

# STATE OF OHIO CERTIFICATE

**Ohio Secretary of State, Jennifer Brunner****1956757**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**SCIOTO ENERGY**

and, that said business records show the filing and recording of:

Document(s):

**TRADE NAME/ORIGINAL FILING**

Date of First Use: 01/01/2010  
Expiration Date: 08/13/2015

Document No(s):

**201022900563**

NEW RIVER GROUP, LLC  
6649 NORTH HIGH STREET  
WORTHINGTON, OH 43085



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus,  
Ohio this 13th day of August, A.D.  
2010.

**Ohio Secretary of State**



Form 534A Prescribed by the:  
Ohio Secretary of State

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)

www.sos.state.oh.us  
Busserv@sos.state.oh.us

Expedite this form: (select one)  
Mail form to one of the following:

☐ Expedite

PO Box 1390  
Columbus, OH 43216

\*\*\* Requires an additional fee of \$100 \*\*\*

☒ Non Expedite

PO Box 670  
Columbus, OH 43216

**NAME REGISTRATION**  
**Filing Fee \$50**

**RECEIVED**

AUG 13 2010

(CHECK ONLY ONE (1) BOX)

☒ Trade Name  
(167-RNO)

Date of first use: 01/01/2010

☐ Fictitious Name  
(169-NFO)

**SECRETARY OF STATE**

Name being registered or reported: Scioto Energy

Name of the Registrant: New River Group, LLC

NOTE: If the registrant is a foreign corporation licensed in Ohio under an assumed name, provide the assumed name and the name as registered in its jurisdiction of formation.

The Registrant is a(n): (Check only one (1) box)

☐ Individual

☐ Unincorporated Association

☐ Partnership

Registration #, if any \_\_\_\_\_

☐ Professional Association

☐ Limited Partnership

Registration # \_\_\_\_\_

☐ Other

If foreign, Jurisdiction of Formation \_\_\_\_\_

☐ Limited Liability Partnership

Registration # \_\_\_\_\_

If foreign, Jurisdiction of Formation \_\_\_\_\_

☒ Limited Liability Company

Registration # 1897210

If foreign, Jurisdiction of Formation \_\_\_\_\_

☐ Ohio Corporation

Charter # \_\_\_\_\_

☐ Foreign Corporation

Ohio license # \_\_\_\_\_

Jurisdiction of Formation \_\_\_\_\_

**All registrants must complete the information in this section**

Business address:

6649 North High Street

Mailing Address

Worthington

OH

43085

City

State

Zip Code

The general nature of the business conducted by the registrant:

The provision of energy brokerage services and  
energy management services**Complete the information in this section if registrant is a partnership not registered in Ohio**

Provide the name and address of at least one general partner:

Name

Address

NOTE: Pursuant to OAG 89-081, if a general partner is a foreign corporation, it must be licensed to transact business in Ohio; if a general partner is a foreign corporation licensed in Ohio under an assumed name, please provide the assumed name and the name as registered in its jurisdiction of formation.

**By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.**

**REQUIRED**

Must be authenticated  
(signed) by the registrant or  
an authorized  
representative

  
Signature

Shamus B. Cassidy

Print Name

8.11.2010

Date

Signature

Date

Print Name

**Prescribed by:**

The Ohio Secretary of State  
 Central Ohio: (614) 466-3910  
 Toll Free: 1-877-SOS-FILE (1-877-767-3453)

[www.sos.state.oh.us](http://www.sos.state.oh.us)

e-mail: [busserv@sos.state.oh.us](mailto:busserv@sos.state.oh.us)

**CONSENT FOR USE OF SIMILAR NAME**

(For Domestic / Foreign, Profit or Nonprofit)  
 Must Be Accompanied By Another Form

**RECEIVED**

AUG 13 2010

**SECRETARY OF STATE**

THE UNDERSIGNED DESIRING TO FILE A:

**(CHECK ONLY ONE (1) BOX) This filing does not extend the registration period**

<input type="checkbox"/> Where consenting entity is a corporation  (147-CSC)	Where consenting entity is a registrant of <input type="checkbox"/> Trade Name <input type="checkbox"/> Service Mark <input type="checkbox"/> Trade Mark (149-CSN)	Where consenting entity is a <input checked="" type="checkbox"/> Limited Liability Company <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Partnership Having Limited Liability (148-CSL)
--	--	---

☐ Check here if additional provisions are attached

Charter or Registration No.  
 of Entity Giving Consent

1825727

Name of Entity  
 Giving Consent

Scioto Energy LLC

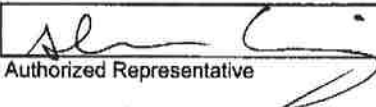
Gives Its Consent To

New River Group, LLC

To Use The Name

Scioto Energy

**REQUIRED**  
 Must be authenticated  
 (signed) by an authorized  
 representative

  
 Authorized Representative

8.11.2010  
 Date

Authorized Representative

Date

If the consenting party is a partnership, all general partners must sign. If only one partner is authorized to sign, a copy of the resolution authorizing the signature must be included.

**Exhibit B-1 “Jurisdictions of Operations”**

As of this filing and in addition to Ohio, Scioto Energy, LLC is licensed as an energy brokerage firm in Illinois, Massachusetts, Pennsylvania, and Virginia.

## **Exhibit B-2 "Experience & Plans"**

Scioto Energy is an independent brokerage and consulting firm founded in 2009 to provide energy solutions for clients in the areas of energy procurement, rate analysis, risk management, and load response. The managing partners of Scioto Energy are seasoned energy professionals with over 40 years of experience in both energy trading and energy retail marketing. Their extensive knowledge of utility structures, tariffs, and wholesale modeling allows Scioto Energy to deliver crucial and unbiased information to clients, which empowers them to make the best decisions regarding implementation of risk strategies that are in line with corporate goals.

Scioto Energy's unique procurement and analysis process ensures our clients the best optimization of structure and strategy for their own risk appetite. Based on each customer's requirements and criteria, we reach out to a diversified group of suppliers we have vetted and determined to be able to fulfill that customer's specific needs and then supply the essential data for the customer to make the informed decision. Scioto Energy does not take title to the electricity and is not party to any agreements between customer and supplier and therefore does not provide any energy related billing statements to customers.

Greg Bechert has been involved in the energy retail industry since 1995, beginning with Enron Capital and Trade, where his initial focus was natural gas end-use customers throughout the Midwest region, specifically in Indiana, Michigan, and Ohio. Over seven years, his role and responsibilities expanded to include marketing electricity in Massachusetts, Illinois and Texas. In 2003, Mr. Bechert joined Constellation NewEnergy (CNE) where his knowledge and background assisted CNE in its marketing campaigns under Cinergy's and FirstEnergy's Market Development Plans. He went on to manage CNE's Midwest sales team, and was instrumental in leading the company's sales efforts behind the Ameren footprint in Southern Illinois, and eventually expanded his responsibilities to include the ComEd market throughout Chicagoland. In 2009, he started Scioto Energy, Ohio's largest energy brokerage and consulting firm. Greg was recognized as a 2013 Who's Who in Energy, and in 2013 help found the Energy Professionals of Ohio association, where he currently serves as Chairman.

Susanne Buckley began her energy career in 1992 when she joined American Electric Power as an environmental engineer, which provided the foundation for her extensive knowledge of utility rate structures developed over the years. Ms. Buckley moved to the deregulated side when she joined American Electric Power Energy Services in 1998 where she gained experience in operations, trading, structuring deals and marketing. In 2005, Ms. Buckley joined Integrys Energy Services where she led the residential mass markets efforts for deregulated natural gas and electricity sales and also directed the commercial and industrial sales efforts for the Midwest region. In 2009, Susanne joined Scioto Energy and assisted in its efforts to become a leading brokerage and consulting firm in the Midwest. In 2013, she was recognized as a Who's Who in Energy, and in 2014, she was recognized as one of the Top 20 Energy Professionals by Business First.



**Exhibit B-3 "Disclosure of Liabilities and Investigations"**

As of this filing, Scioto Energy is not aware of any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact its financial or operational status or ability to provide the services it is seeking to be certified to provide.

**Exhibit C-1 "Financial Reporting"**

Scioto Energy, LLC is not a publicly traded entity and does not have an annual report nor any required filings with the SEC.

**Exhibit C-2 "Financial Statements"**

The response to this request requires that Scioto Energy disclose confidential, proprietary or trade secret information. Scioto Energy has filed this information underseal.

**Exhibit C-3 "Forecasted Financial Statements"**

Scioto Energy, LLC's forecasted financials are confidential, and thus have been filed under seal.

**Exhibit C-4 "Credit Rating"**

N/A. Scioto Energy, LLC does not have a credit rating as reported by any of the organizations listed.

**Exhibit C-5 "Credit Report"**

Scioto Energy, LLC is providing a copy of its credit report as prepared by Dun & Bradstreet.

## Summary

Order Reference: michael.bohner@dandb.com | Report as of: 05-05-2022 | using Currency as USD

### SCIOTO ENERGY

Tradestyle(s): -

ACTIVE

SINGLE LOCATION

**Address:** 4041 N High St Ste 202, Columbus, OH,  
43214, UNITED STATES

**Phone:** (614) 888-8805

**D-U-N-S:** 03-951-9482

**In Portfolio:** No

**Risk of Bad Debt Write-off**

58

10 (in the last month)

**Cash Flow Risk**

93

(No change since last month)

**Age of Business**

13 years

2009 Year Started

**Employees**

-

### Company Profile

**D-U-N-S**

03-951-9482

**Legal Form**

Proprietorship

**History Record**

Incomplete

**Ownership**

Not publicly traded

**Mailing Address**

United States

**Telephone**

(614) 888-8805

**Present Control Succeeded**

2009

**Age (Year Started)**

13 years (2009)

**Named Principal**

Greg Bechert, MNG PTNR

**Line of Business**

Ret lumber/building materials

### Risk Assessment

**Overall Business Risk**



**Maximum Credit Recommendation**

US\$ 15,000

Dun & Bradstreet Thinks...

- Overall assessment of this organization over the next 12 months: **STABILITY CONCERNS**
- Based on the predicted risk of business discontinuation: **AVERAGE-RISK-OF-DISCONTINUED-OPERATIONS-OR-BUSINESS-INACTIVITY**
- Based on the predicted risk of severely delinquent payments: **VERY LOW POTENTIAL FOR SEVERELY DELINQUENT PAYMENTS**

The recommended limit is based on a low probability of severe delinquency.

## D&B Viability Rating

### Portfolio Comparison Score



Company's risk level is: **MODERATE**

Probability that a company will go out of business, become dormant/inactive, or file for bankruptcy/insolvency within the next 12 months: **5.00 %**

## Risk of Bad Debt Write-off (also known as the Failure Score)



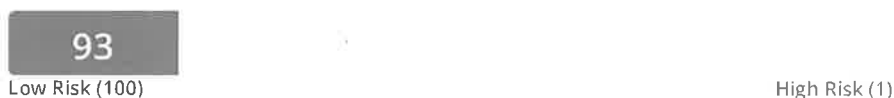
Company's risk level is: **MODERATE**

Based on the D&B Failure Score, the probability of failure for this company in the next 12 months is **0.19 %**, which makes it a **Moderate** risk for you to incur a write-off.

### Past 12 Months



## Cash Flow Risk (also known as the Delinquency Score)



Company's risk level is: **LOW**

Based on the D&B Delinquency Score reporting a payment behavior of **CONSISTENT, TIMELY PAYMENTS**, this company is predicted to have a Low risk of a negative impact to your cash flow.

### Past 12 Months



## Payment Behavior



Company's risk level is: **LOW**

### Pays On Time

Based on a D&B PAYDEX® of 80

According to the D&B PAYDEX® Score, this company paid its vendors on time

### Past 24 Months



## D&B Rating

Current Rating as of 02-12-2013

## Special Rating

-- : Undetermined



### Legal Events

Events	Occurrences	Last Filed
Bankruptcies	0	-
Judgements	0	-
Liens	0	-
Suits	0	-
UCC	0	-

### Trade Payments

#### Highest Past Due

US\$ 0

Highest Now Owing  
**US\$ 100**

Total Trade Experiences  
**5**

Largest High Credit  
**US\$ 10,000**

Average High Credit  
**US\$ 3,383**

### Ownership

This company is a **Single Location**

### Financial Overview

This company does not have a Financial Summary.

### Country/Regional Insight

#### United States



#### Risk Category

LOW

Low Risk

MODERATE

HIGH

High Risk

Stubborn inflation, reduced personal savings, and higher wages push or lure workers back to the workplace; the tight labour market should see some easing in the coming months.

## Risk Assessment

### D&B Guidance

Overall Business Risk

Maximum Credit  
Recommendation



US\$ 15,000

The recommended limit is based on a low probability of severe delinquency.

### Dun & Bradstreet Thinks...

- Overall assessment of this organization over the next 12 months: **STABILITY CONCERNS**
- Based on the predicted risk of business discontinuation: **AVERAGE-RISK-OF-DISCONTINUED-OPERATIONS-OR-BUSINESS-INACTIVITY**
- Based on the predicted risk of severely delinquent payments: **VERY LOW POTENTIAL FOR SEVERELY DELINQUENT PAYMENTS**

### D&B Viability Rating

#### Portfolio Comparison Score



#### Rating Confidence Level



#### Data Depth

- Rich Firmographics
- Extensive Commercial Trading Activity
- No Financial Attributes

Level of Risk  
Moderate

Probability of becoming no longer viable  
**5.00%**

Percentage of businesses ranked with this score  
**11.00%**

Average probability of becoming no longer viable  
**5.00%**

### Risk Of Bad Debt Write-Off (Also Known As The Failure Score)



Based on a D&B Failure Score of 58

- Low proportion of satisfactory payment experiences to total payment experiences
- Higher risk legal structure

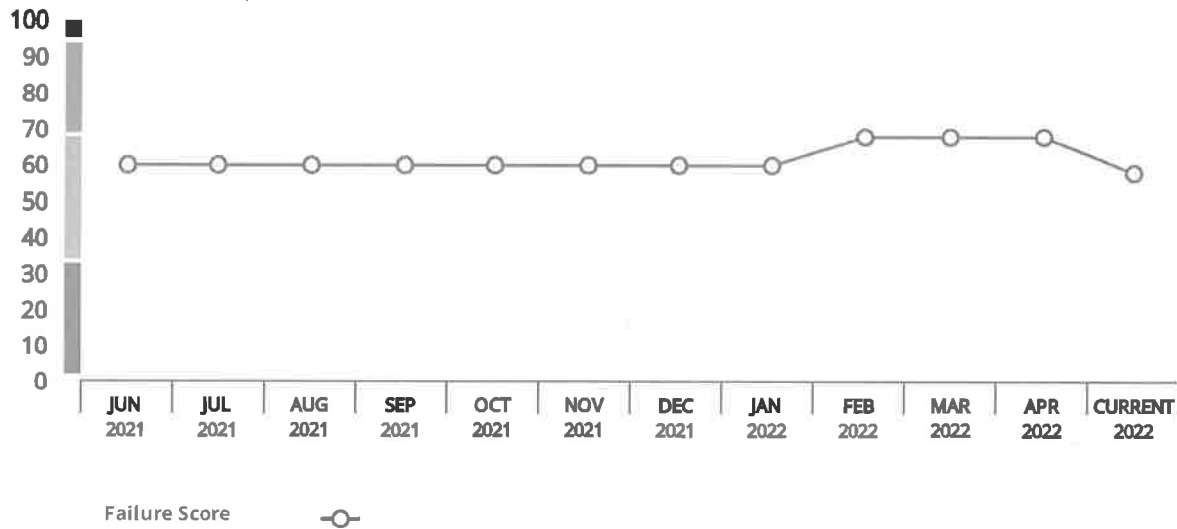
Level of Risk  
**Moderate**

Raw Score  
**1491**

Probability of Failure  
**0.19%**

Average Probability of Failure for  
Businesses in D&B Database  
**0.48%**

#### Business and Industry Trends



#### Cash Flow Risk (Also Known As The Delinquency Score)

**93**

Low Risk (100)

High Risk (1)

Based on a D&B Delinquency Score of 93

- Higher risk industry based on delinquency rates for this industry

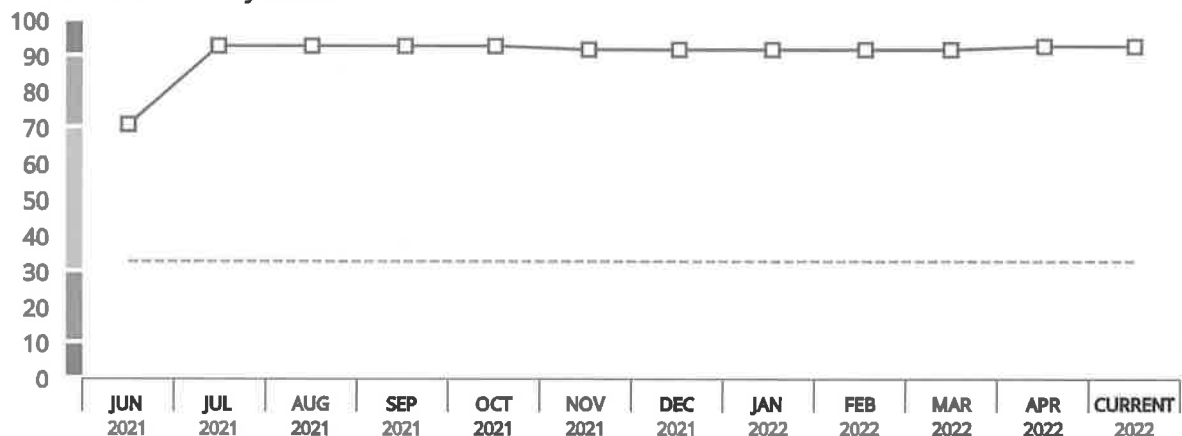
Level of Risk  
**Low**

Raw Score  
**587**

Probability of Delinquency  
**1.35%**

Compared to Businesses in D&B  
Database  
**10.20%**

#### Business and Industry Trends



Delinquency Score 

Industry Median ... 

## Payment Behavior

Based on 24 months of data

**0**

Low Risk

Based on a D&B PAYDEX® of 80

Risk of Slow Pay

**Low**

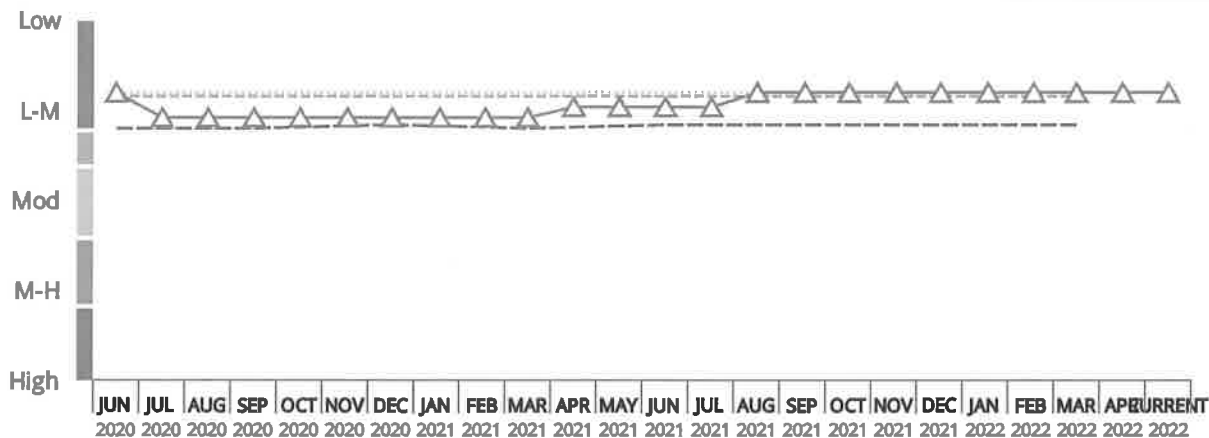
Payment Behavior

Pays on time:

High Risk

## Business and Industry Trends

5211 - Ret lumber/building materials



PAYDEX®



Industry Median ... 

Industry Upper Q... 

Industry Lower Q... 

## D&B Rating

Current Rating as of 02-12-2013

## Special Rating

-- : Undetermined

## Trade Payments

Trade Payments Summary (Based on 24 months of data)

Overall Payment Behavior

**0**

Days Beyond Terms

% of Trade Within Terms

**81%**

Highest Past Due

**US\$ 0**

**Highest Now Owing:**  
US\$ 100

**Total Trade Experiences:**  
5

**Total Unfavorable Comments:**  
0

Largest High Credit:  
US\$ 10,000

Largest High Credit:  
US\$ 0

Average High Credit:  
US\$ 3,383

**Total Placed in Collections:**  
0

Largest High Credit:  
US\$ 0

---

Trade Payments By Credit Extended (Based on 12 months of data)

Range of Credit Extended (US\$)	Number of Payment Experiences	Total Value	% Within Terms
100,000 & over	0	US\$ 0	0
50,000 - 99,999	0	US\$ 0	0
15,000 - 49,999	0	US\$ 0	0
5,000 - 14,999	1	US\$ 10,000	100
1,000 - 4,999	0	US\$ 0	0
Less than 1,000	2	US\$ 150	83

---

Trade Payments By Industry (Based on 24 months of data)

Industry Category	Number of Payment Experiences	Largest High Credit (US\$)	% Within Terms (Expand to View)	1 - 30 Days Late (%)	31 - 60 Days Late (%)	61 - 90 Days Late (%)	91 + Days Late (%)
▼ 59 - Miscellaneous Retail	1	50					
5943 - Ret stationery	1	50	50	0	50	0	0
▼ 61 - Nondepository Credit Institutions	1	100					
6159 - Misc business credit	1	100	100	0	0	0	0
▼ 93 - Public Finance Taxation and Monetary Policy	1	10,000					
9311 - Public finance	1	10,000	100	0	0	0	0

---

Trade Lines

Date of Experience	Payment Status	Selling Terms	High Credit (US\$)	Now Owes (US\$)	Past Due (US\$)	Months Since Last Sale
03/22	Pays Promptly	-	100	100	0	1
03/22	-	Cash account	250	0	0	Between 6 and 12 Months
03/22	-	Cash account	100	0	0	Between 2 and 3 Months
07/21	Pays Promptly	-	10,000	-	-	1
02/21	Pays Prompt to Slow 60+	-	50	0	0	Between 6 and 12 Months

## Legal Events

Judgements	Liens	Suits	UCC Filings
0	0	0	0
Latest Filing: -	Latest Filing: -	Latest Filing: -	Latest Filing: -

D&B has not received any Public Filings for this company

## Special Events

There are no Special Events recorded for this business.

## Company Profile

### Company Overview

#### D-U-N-S

03-951-9482

#### Legal Form

Proprietorship

#### History Record

Incomplete

#### Ownership

Not publicly traded

#### Mailing Address

United States

#### Telephone

(614) 888-8805

#### Present Control Succeeded

2009

#### Age (Year Started)

13 years (2009)

#### Named Principal

Greg Bechert, MNG PTNR

#### Line of Business

Ret lumber/building materials

### Business Registration

Corporate and business registrations reported by the secretary of state or other official source as of: -

This data is for informational purposes only, certification can only be obtained through the Office of the Secretary of State.

#### Registered Name

SCIOTO ENERGY

#### Corporation Type

Proprietorship

#### Business Commenced On

2009

---

## Principals

### Officers

GREG BECHERT, MNG PTNR  
SUSANNE BUCKLEY, MNG PTNR

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## Company Events

The following information was reported on: 03-19-2022

The Ohio Secretary of State's business registrations file showed that Scioto Energy was registered on August 13, 2010.  
Business started 2009.

GREG BECHERT. Antecedents are unknown .

SUSANNE BUCKLEY. Antecedents are unknown .

Business address has changed from 6649 N High St, Worthington, OH, 43085 to 4041 N High St Ste 202, Columbus, OH, 43214.

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## Business Activities And Employees

The following information was reported on: 03-19-2022

### Business Information

Description	Retails lumber or other building materials, specializing in insulation or energy conservation products.
Employees	UNDETERMINED which includes owner.
Financing Status	Unsecured
Facilities	Occupies premises in building.

### SIC/NAICS Information

SIC Codes	SIC Description	Percentage of Business
5211	Ret lumber/building materials	-
52110300	Insulation and energy conservation products	-

NAICS Codes	NAICS Description
444110	Home Centers

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Government Activity

**Activity Summary**

Borrower(Dir/Guar)	No
Administrative Debt	No
Contractor	No
Grantee	No
Party excluded from federal program(s)	No

**Financials**

D&B currently has no financial information on file for this company

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**Exhibit C-8 "Corporate Structure"**

Scioto Energy, LLC is a stand-alone entity with no affiliate or subsidiary companies.

**Exhibit D-1 "Operations"**

Scioto Energy, LLC currently manages the entire "life-cycle" of a client's procurement efforts and involves the following staff team members:

Procurement Manager – individual responsible for managing the daily matrix offers of participating wholesale suppliers

Contract Administration/Pre-enrollment – individual responsible for reviewing all agreements prior to submission to supplier for booking

Contract Administration/Post enrollment – individual responsible for handling any issues after the deal has been confirmed to be hedged and enrolled by the supplier such as late enrollments, early drop notifications, etc.

Analyst – Ongoing analysis validating performance of existing agreement with supplier as well as impact analysis for pending rate cases

#### **Exhibit D-2 "Operations Expertise"**

With over 7,000 accounts under management, Scioto Energy has the staff in place to properly manage its clients through the entire life-cycle of an energy procurement project. In addition, we have invested in building our own management software in which deal specifics are captured to allow the team to assist clients in managing the respective commodity agreements in place, the term contract rate, etc.

**Exhibit D-3 "Key Technical Personnel"**

Gregory F. Bechert, Member  
4041 North High Street, Suite 202  
Columbus, OH 43214-3248  
Phone: 614-888-8805, Ext. 101  
Fax: 614-453-8811  
[gfbrechert@sciotoenergy.com](mailto:gfbrechert@sciotoenergy.com)

Greg Bechert has been involved in the energy retail industry since 1995 beginning with Enron Capital and Trade, where his initial focus was natural gas end-use customers throughout the Midwest region, specifically in Indiana, Michigan, and Ohio. Over seven years, his role and responsibilities expanded to include marketing electricity in Massachusetts, Illinois and Texas. In 2003, Mr. Bechert joined Constellation NewEnergy (CNE) where his knowledge and background assisted CNE in its marketing campaigns under Cinergy's and FirstEnergy's Market Development Plans. He went on to manage CNE's Midwest sales team, and was instrumental in leading the company's sales efforts behind the Ameren footprint in Southern Illinois, and eventually expanded his responsibilities to include the ComEd market throughout Chicagoland. In 2009, he started Scioto Energy, Ohio's largest energy brokerage and consulting firm. Greg was recognized as a 2013 Who's Who in Energy, and in 2013 help found the Energy Professionals of Ohio association, where he currently serves as Chairman.

Susanne Buckley, Managing Partner  
4041 North High Street, Suite 202  
Columbus, OH 43214-3248  
Phone: 614-888-8805, Ext. 104  
Fax: 614-453-8811  
[sbuckley@sciotoenergy.com](mailto:sbuckley@sciotoenergy.com)

Susanne Buckley began her energy career in 1992 when she joined American Electric Power as an environmental engineer, which provided the foundation for her extensive knowledge of utility rate structures developed over the years. Ms. Buckley moved to the deregulated side when she joined American Electric Power Energy Services in 1998 where she gained experience in operations, trading, structuring deals and marketing. In 2005, Ms. Buckley joined Integrys Energy Services where she led the residential mass markets efforts for deregulated natural gas and electricity sales and also directed the commercial and industrial sales efforts for the Midwest region. In 2009, Susanne joined Scioto Energy and assisted in its efforts to become a leading brokerage and consulting firm in the Midwest. In 2013, she was recognized as a Who's Who in Energy, and in 2014, she was recognized as one of the Top 20 Energy Professionals by Business First.

**This foregoing document was electronically filed with the Public Utilities  
Commission of Ohio Docketing Information System on**

**5/13/2022 9:37:49 AM**

**in**

**Case No(s). 14-0546-GA-AGG**

Summary: Application electronically filed by Mr. Shamus B. Cassidy on behalf of  
Scioto Energy LLC