

DIS Case Number: 20-0430-GA-AGG

Section A: Application Information

A-1. Provider type:

☒ Retail Natural Gas
Broker

☒ Retail Natural Gas
Aggregator

☐ Retail Natural Gas
Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Breakerbox LLC

Country: United States

Phone: 8332757269 **Extension (if applicable):**

Street: PO Box 810

Website (if any):

City: Newburyport

Province/State: MA

Postal Code: 01950

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Breakerbox, LLC	Official Name	PO Box 810 Newburyport, MA 01950	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
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A-5. Contact person for regulatory matters



Public Utilities Commission

Craig Wilson
P.O. Box 810
Newburyport, MA 01950
US
craig@breakerbox.com
9782395240

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Andy Bokalders
PO Box 810
Newburyport, MA 01950
US
andy@breakerbox.com
3216268941

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: 833-275-7269	Extension (if applicable):	Country: United States
Fax:	Extension (if applicable):	Street: PO Box 810
Email: andy@breakerbox.com		City: Newburyport
		Postal Code: 01950
		Province/State: MA

A-8. Applicant's federal employer identification number

23-2990190

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

Columbia Gas of Ohio
Dominion Energy Ohio



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Duke Energy Ohio
CenterPoint Energy Ohio

Class of customer selection

Industrial
Residential
Small Commercial
Large Commercial

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 04-22-2020

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Craig Wilson	craig@breakerbox.com	President	P.O. Box 810 Newburyport, MA 01950 US
Benjamin Haas	benjamin.haas@exeloncorp.com	Assistant Vice President, Taxes	2301 Market Street Philadelphia, PA 19101 US
Jeremy Guenther	jeremy.guenther@exeloncorp.com	Assistant Vice President, Taxes	10 South Dearborn Street Chicago, IL 60603 US
William Jozaitis	william.jozaitis@exeloncorp.com	Assistant Vice President, Taxes	10 South Dearborn Street Chicago, IL 60603 US
Brian Buck	brian.buck@exeloncorp.com	Assistant Secretary	701 9th Street Northwest Washington, DC 20001 US
David Dardis	david.dardis@exeloncorp.com	Assistant Secretary	1310 Point Street Baltimore, MD 21231 US
Nina Jezic	nina.jezic@exeloncorp.com	Assistant Secretary	1001 Louisiana Street, Suite 2300 Houston, TX 77002 US
Shane Smith	shane.smith@constellation.com	Treasurer	1310 Point Street Baltimore, MD 21231 US



Public Utilities Commission

Harold (Barry) Coulby	harold.coulby@constellation.com	Assistant Treasurer	1310 Point Street Baltimore, MD 21231 US
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A-13. Company history

Breakerbox, LLC was founded in 2019 to give customers a smarter way of buying energy. Breakerbox is staffed by industry experts with experience from retail suppliers, brokers, and IPPs. Breakerbox will offer customers a transparent experience when choosing their energy supplier regarding fees and supplier contracts. Breakerbox's online platform will allow customers to view supplier pricing, review billing statements, and view energy usage of their accounts. Breakerbox plans to operate as a broker in all deregulated markets to assist customers with choosing the product and supplier that best fits their risk portfolio.

A-14. Secretary of State

Secretary of State Link:

A-15. Proof of Ohio Employee and Office

Provide proof of an Ohio Office and Employee in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address

Employee Name: Jessica Wrightsel
355 E. Campus View Blvd, Suite 150
Columbus, OH 43215
US
jessica@breakerbox.com
6144324462

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..



Jurisdiction of Operation: Breakerbox, LLC is licensed in Delaware, District of Columbia, Illinois, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, Ohio, Pennsylvania, and Texas.

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

Application Experience and Plan Description: Breakerbox, LLC is staffed by industry experts from retail suppliers, brokers, and IPPs. Breakerbox plans to offer customers a transparent experience with their energy buying activities through upfront discussion of fees and suppliers product options. Breakerbox also plans to offer customers a digital platform to contract with suppliers, review usage and billing statements, and submit questions. Breakerbox has a toll free number, as well as, plans for chat features on its website to answer customer questions and requests.

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

Liability and Investigations Disclosures: Breakerbox, LLC has not experienced a lawsuit, judgement, revocation of authority or investigation of any kind.

B-4. Disclosure of consumer protection violations

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No



B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Financial Reports Link(s): Breakerbox, LLC does not issue Annual Reports as a stand-alone company. Annual Reports of Breakerbox, LLC's ultimate parent, Constellation Energy Corporation, can be viewed at the following weblink:
<https://investors.constellationenergy.com/financial-information/sec-filings>.

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.



Links to Financial Statement(s): Breakerbox, LLC does not maintain individual audited financial statements. Prior to February 1, 2022, Breakerbox, LLC was an indirect subsidiary of Exelon Corporation, a publicly traded company. The annual reports for Exelon Corporation contain the audited financial statements for all Exelon companies on a consolidated basis. Exelon Corporation's Annual Reports can be viewed at the following weblink:

<http://www.exeloncorp.com/investor-relations/reports-and-sec-filings>. Effective February 1, 2022, Exelon's regulated utility business and competitive generation business (Breakerbox's business) have been separated, and Breakerbox is now part of a new, publicly-traded company, Constellation Energy Corporation (CEC). CEC's SEC filing will be available at <https://investors.constellationenergy.com/financial-information/sec-filings>.

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio.**

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not



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have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

This does not apply.

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

Merger Information: Effective February 1, 2022, Exelon Corporation separated its regulated utility business (Exelon Utilities) from its competitive generation and marketing business (Exelon Generation) through a spin-off in which Exelon Generation became a new, independent, publicly-traded company. Exelon Generation subsidiaries became indirect wholly



Public Utilities Commission

owned subsidiaries of Constellation Energy Corporation (Constellation), with Exelon Corporation remaining as the ultimate upstream owner of the Exelon Utilities. Exelon Generation was renamed Constellation Energy Generation, LLC.

Please refer to Constellation's SEC filings at <https://investors.constellationenergy.com/financial-information/sec-filings> for additional information regarding relevant transaction activities.

C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

File(s) attached

Section D: Applicant Technical Capacity

D-1. Operations

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.



Operations Description: Breakerbox, LLC was founded in 2019 to give customers a smarter way of buying energy. Breakerbox is staffed by industry experts with experience from retail suppliers, brokers, and IPPs. Breakerbox will offer customers a transparent experience when choosing their energy supplier regarding fees and supplier contracts. Breakerbox's online platform will allow customers to view supplier pricing, review billing statements, and view energy usage of their accounts. Breakerbox plans to operate as a broker in all deregulated markets to assist customers with choosing the product and supplier that best fits their risk portfolio.

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

Operations Expertise & Personnel Description: Craig Wilson - President and CEO
craig@breakerbox.com / 978-239-5240

Profile

Craig Wilson is the President and CEO of Breakerbox, LLC. A broker in the energy industry that is founded on the goal of bringing more trust and transparency to energy procurement and driving more value to businesses purchasing power and gas. He has 16 years of experience working in competitive energy markets in New England and across the country.

Professional History

Over the course of his career, Craig has worked in several fields, including e-commerce, technology and energy. Prior to founding Breakerbox in the summer of 2019, Craig worked at Constellation for 16 years and was the vice president of sales at Constellation when he left. Before joining Constellation, Craig was the product marketing manager at GE Access, where he was in charge of managing the Company's top accounts within the enterprise solutions data storage division.

Breakerbox, LLC
President & CEO
June 2019 – Present

Constellation Energy Services
VP Sales



Public Utilities Commission

July 2003 – June 2019

GE Access

Product Marketing Manager

January 2000 – July 2003

Industry Leadership

With entrepreneurial spirit and a passion for energy, Craig offers a unique perspective on the market and is particularly proficient in developing energy procurement strategies. He speaks frequently at industry gatherings, including meetings hosted by DNV GL, The Energy Professional's Association (TEPA) and other utility events.

Education

Craig holds a Bachelor of Science from the University of New Hampshire and a Master of Business Administration from Suffolk University.

Andy Bokalders - Director of Operations

andy@breakerbox.com/ 321-626-8941

Professional History

Andy has worked in the energy industry since 2003 in several different roles with many of the largest energy companies. Andy worked for Nextera Energy Power Marketing's wholesale power business for 9 years in Risk, Mid-Marketing, and Origination roles. Andy worked on the pricing desk for Constellation's retail electricity business and as a sales manager for ENGIE Resources retail electricity company. Prior to joining Breakerbox, Andy was Executive Vice President at Bridge Power Consulting, an energy consulting firm.

Education

Andy holds a Bachelor of Science degree in Industrial Engineering from University of Illinois-Urbana-Champaign and a Bachelor of Science degree in Physics from Illinois State University.



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Application Attachments

A-14 Exhibit A-14 "Secretary of State" provide evidence that the applicant is currently registered with the Ohio Secretary of State.



Ohio Secretary of State Business: x +

https://businesssearch.ohiosos.gov

Skip to Content | Skip to Navigation

An official State of Ohio government website. Here's how you know

Frank LaRose
Ohio Secretary of State

SEARCH BY BUSINESS NAME

General Information
Business Search
Trademark/Service Mark Search
Help

Business Name: Breakerbox

All Active Cancelled Dead

SEARCH CLEAR Name Search Help

SEARCH RESULTS

Showing 1 to 1 of 1 entries

Entity#	Name	Type	Filing Date	Exp. Date	Status	Location	County	State	View Report
4371554	BREAKERBOX, LLC	FOREIGN LIMITED LIABILITY COMPANY	08/21/2019	-	Active	-	-	PENNSYLVANIA	SHOW DETAILS

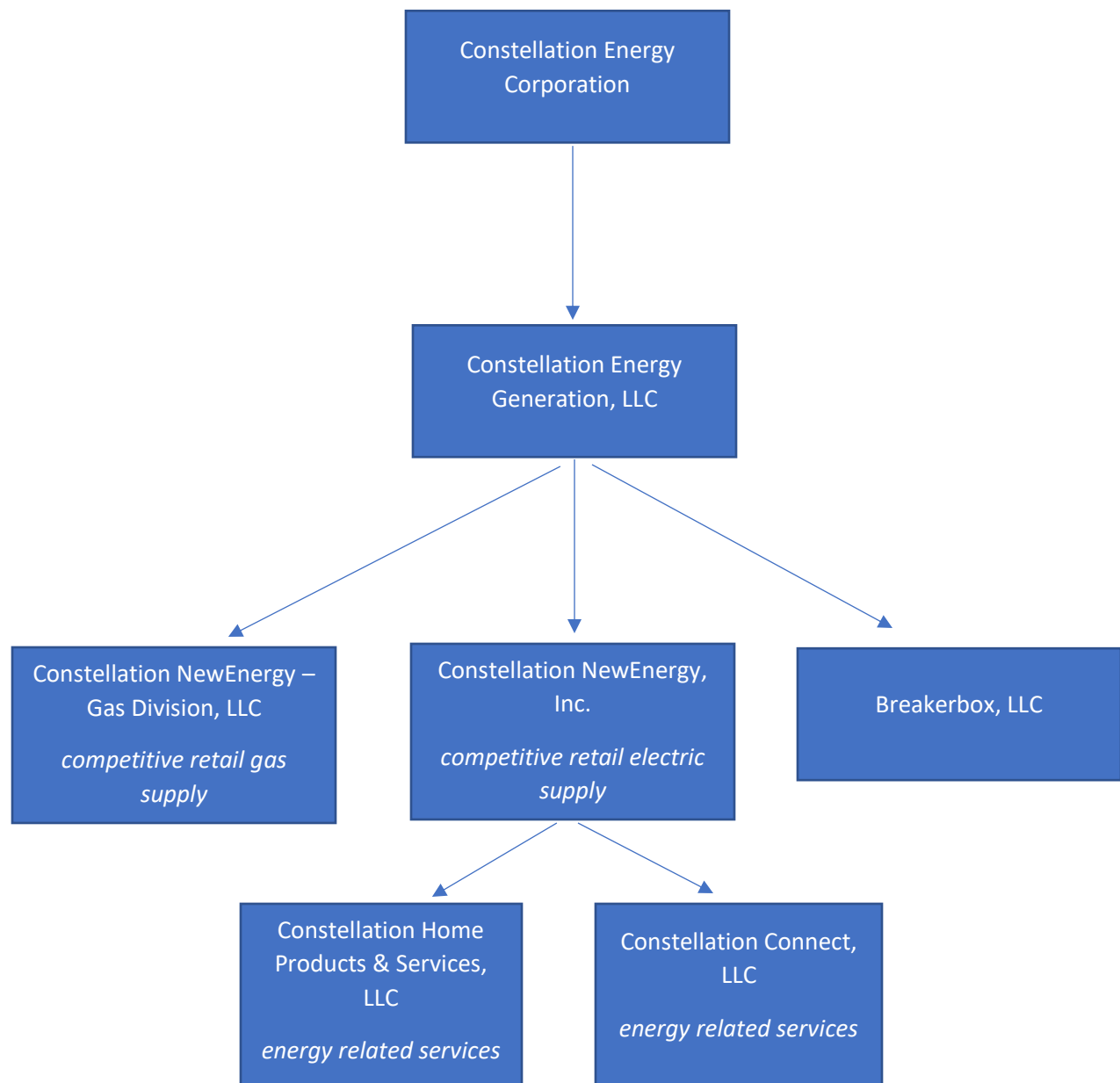
First Previous 1 Next Last

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Exhibit C-8 “Corporate Structure”

Provide a graphical depiction of the applicant’s corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.



Competitive Retail Natural Gas Service Affidavit

County of Brown:

State of Wisconsin:

Craig Wilson, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
10. Affiant further sayeth naught.

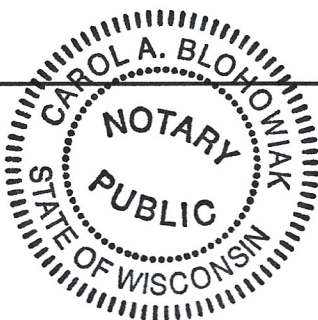
Craig Wilson President
Signature of Affiant & Title

Sworn and subscribed before me this 17th day of March, 2022
Month Year

Carol A. Blohowiak
Signature of official administering oath

Carol A. Blohowiak
Print Name and Title
Executive Admin Coordinator

My commission expires on 1-29-25



**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

3/21/2022 3:00:44 PM

in

Case No(s). 20-0430-GA-AGG

Summary: In the Matter of the Application of Breakerbox LLC