



Public Utilities Commission

PUCO USE ONLY – Version 1.08 May 2016		
Date Received	Case Number	Certification Number
	2057	
	19-2027-GA-AGG	19-740G(1)

INITIAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to be certified as: (check all that apply)

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Lights Out Energy ,LLC
Address 3922 Eileen Drive, Cincinnati, Ohio 45209
Telephone No. 513-800-8058 Web site Address N/A

A-3 Applicant information under which applicant will do business in Ohio:

Name Lights Out Energy, LLC
Address 3922 Eileen Drive, Cincinnati, Ohio 45209
Web site Address N/A Telephone No. 513-800-8058

A-4 List all names under which the applicant does business in North America:

Lights Out Energy, LLC

A-5 Contact person for regulatory or emergency matters:

Name Nick Beck Title President
Business Address 3922 Eileen Drive, Cincinnati, Ohio 45209
Telephone No. 513-800-8058 Fax No. N/A Email Address nickbeck8058@gmail.com

(CRNGS Broker/Aggregator Ver. 1.08, Revised May 2016)

PUCO

2021 SEP 24 PM 3:55

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician NPB Date Processed 9/23/21

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Nick Beck Title President
Business address 3922 Eileen Drive, Cincinnati, Ohio 45209
Telephone No. 513-800-8058 Fax No. N/A Email Address nickbeck8058@gmail.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 3922 Eileen Drive, Cincinnati, Ohio 45209
Toll-Free Telephone No. 513-800-8058 Fax No. N/A Email Address nickbeck8058@gmail.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Nick Beck Title President
Business address 3922 Eileen Drive, Cincinnati, Ohio 45209
Telephone No. 513-800-8058 Fax No. N/A Email Address nickbeck8058@gmail.com

A-9 Applicant's federal employer identification number 46-4904673

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	N/A	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	N/A	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	N/A	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	N/A	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	N/A	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	12/1/2019
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	12/1/2019
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	12/1/2019
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	12/1/2019

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B- APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If the applicant does not produce annual reports, the applicant should indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's current financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Paul Beck President

Sworn and subscribed before me this

17th day of September Month 2021 Year

Signature of official administering oath

Jamie Mutters

Print Name and Title

Jamie Mutters Notary

My commission expires on

September, 19, 21



JAMIE MUTTERS
Notary Public, State of Ohio
My Comm. Expires Sept. 19, 2021
Recorded in Hamilton County



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Lights Out Energy LLC)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. **2057**
19 - **7406(1)** -GA-AGG

County of **Hamilton**

State of **Ohio**

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Rich Beck President

Sworn and subscribed before me this

17

day of

September

Month

2021

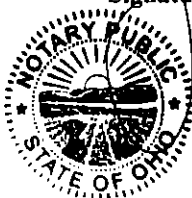
Year

Jamie Mutters

Signature of Official Administering Oath

Jamie Mutters Notary

Print Name and Title



JAMIE MUTTERS
Notary Public, State of Ohio
My Comm. Expires Sept. 19, 2021
Recorded in Hamilton County

My commission expires on

Sept. 19, 21

Exhibit A- 14

Principal Officers, Directors & Partners

Nick Beck
President
3922 Eileen Drive
Cincinnati, Ohio 45209

513-800-8058

No other officers at this time.

Exhibit A-15

Company History

State of Ohio Certificate: Lights Out Energy, LLC

Originated: March 4th 2014

Nick Beck – President

No other principal business interests.



**Public Utilities
Commission**

Sam Randazzo, Chairman

Commissioners

M. Beth Trombold
Lawrence K. Friedeman
Dennis P. Deters
Daniel R. Conway

Competitive Retail Electric Service Provider Certificate

Certified Entity:
Lights Out Energy LLC DBA
3922 Eileen Drive
Cincinnati, OH 45209

Certificate Number: 14-842E

Effective Date: July 23, 2020

Expiration Date: July 23, 2022

Issued Pursuant to Case Number(s):

14-1064-EL-AGG

The above referenced entity is hereby certified to provide competitive retail electric Power Broker services within the State of Ohio.

The certification of competitive retail electric service providers is governed by Chapters 4901:1-24 and 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code. This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

The certified entity is subject to all rules and regulations of the Public Utilities Commission of Ohio now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: 23 day of July, 2020.



By Order of
The Public Utilities Commission of Ohio

Tanowa M. Troupe

Tanowa M. Troupe, Secretary
Debbie Ryan, Acting Secretary
Donielle M. Hunter, Acting Secretary
Susan Patterson, Acting Secretary

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

7/23/2020 12:37:25 PM

in

Case No(s). 14-1064-EL-AGG

Summary: Certificate No 14-842E renewal electronically filed by Ms. Melissa M Scarberry on behalf of PUCO Staff

Exhibit A-16

Articles of Incorporation and Bylaws

State of Ohio Certificate: Lights Out Energy, LLC # 2274578

Originated: March 4th 2014

Copy of Certificate attached.



Form 533A Prescribed by:
Ohio Secretary of State

Date Electronically Filed: 3/4/2014

JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState.gov
Busserv@OhioSecretaryofState.gov

Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$125

CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic
For-Profit Limited Liability Company
(115-LCA)

(2) ☐ Articles of Organization for Domestic
Nonprofit Limited Liability Company
(115-LCA)

Name of Limited Liability Company **LIGHTS OUT ENERGY, LLC**

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "ltd"

Effective Date **3/4/2014**

(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)

This limited liability company shall exist for
(Optional)

Period of Existence

Purpose
(Optional)

**Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of

LIGHTS OUT ENERGY, LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

NICK BECK

Name of Agent

3922 EILEEN DRIVE

Mailing Address

CINCINNATI

City

OH

State

45209

ZIP Code

ACCEPTANCE OF APPOINTMENT

The undersigned, _____ named herein as the statutory agent

NICK BECK

Statutory Agent Name

for

LIGHTS OUT ENERGY, LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Statutory Agent Signature

NICK BECK

Individual Agent's Signature / Signature on Behalf of Corporate Agent

☒ Please check here to confirm that the agent is an Ohio resident, an Ohio corporation, or a foreign corporation licensed under Ohio law.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

LEGALZOOM.COM, INC.

Signature

CHEYENNE MOSELEY, ASSISTANT SECRETARY

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Exhibit A-17

Secretary of State

State of Ohio Certificate: Lights Out Energy, LLC # 2274578

Originated: March 4th 2014

Copy of Certificate attached.



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
03/07/2014	201406300093	ARTICLES OF ORGNZTN/DOM. PROFIT LIM LIAB CO	125.00	0.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment.

LEGALZOOM.COM
101 N. BRAND BLVD
SUITE 1000
GLENDALE, CA 91203

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted
2274578

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
LIGHTS OUT ENERGY, LLC
and, that said business records show the filing and recording of:

Document(s)

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Effective Date: 03/04/2014

Document No(s):

201406300093



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 7th day of March, A.D. 2014.

Jon Husted
Ohio Secretary of State

Exhibit B-1

Jurisdictions of Operation

Below is the list of all jurisdictions in which Lights Out Energy, LLC is seeking to be authorized to provide retail or wholesale gas services including aggregation services:

Columbia Gas of Ohio

Duke Energy Ohio

Dominion East Ohio

Vectren Energy Delivery of Ohio

Exhibit B-2

Summary of Experience

Nick Beck retired from Duke Energy Ohio in 2014 in which he worked with over 6,000 commercial and industrial customers to supply electric, gas and demand response.

Nick Beck started Lights Out Energy and became certified with the PUCO to broker electric in the state of Ohio in July 2014. Lights Out Energy, LLC is affiliated with 20 Electric suppliers to provide supply for clients in Ohio. Lights Out Energy currently has over 2,000 electric accounts being supplied by electric suppliers.

Exhibit B-3

Summary of Experience

Nick Beck retired from Duke Energy Ohio in 2014 in which he worked with over 6,000 commercial and industrial customers to supply electric, gas and demand response.

Nick Beck started Lights Out Energy and became certified with the PUCO to broker electric in the state of Ohio in July 2014. Lights Out Energy, LLC is affiliated with 20 Electric suppliers to provide supply for clients in Ohio. Lights Out Energy currently has over 2,000 electric accounts being supplied by electric suppliers.

Exhibit B-4

Disclosure of Liabilities and Investigations

No issues. No existing, pending or past rulings, judgments, contingent liabilities, nor revocation of authority, regulatory investigations, or any other matter that could adversely impact the financial or operational status or ability to provide services.

Exhibit C-1

Annual Reports

Not applicable. Company is an LLC, disregarded entity, with no shareholders.

Exhibit C-2

SEC Filings

Applicant is not required to file with the SEC as the company is an LLC, disregarded entity with no shareholders.

Exhibit C-3

Financial Statements

See Schedule C attached.

Part III Cost of Goods Sold (see instructions)

33	Method(s) used to value closing inventory: a <input type="checkbox"/> Cost b <input type="checkbox"/> Lower of cost or market c <input type="checkbox"/> Other (attach explanation)
34	Was there any change in determining quantities, costs, or valuations between opening and closing inventory? If "Yes," attach explanation <input type="checkbox"/> Yes <input type="checkbox"/> No
35	Inventory at beginning of year. If different from last year's closing inventory, attach explanation 35
36	Purchases less cost of items withdrawn for personal use 36
37	Cost of labor. Do not include any amounts paid to yourself 37
38	Materials and supplies 38
39	Other costs 39
40	Add lines 35 through 39 40
41	Inventory at end of year 41
42	Cost of goods sold. Subtract line 41 from line 40. Enter the result here and on line 4 42

Part IV Information on Your Vehicle. Complete this part **only** if you are claiming car or truck expenses on line 9 and are not required to file Form 4562 for this business. See the instructions for line 13 to find out if you must file Form 4562.

43	When did you place your vehicle in service for business purposes? (month, day, year) ▶ 01/01/2014
44	Of the total number of miles you drove your vehicle during 2019, enter the number of miles you used your vehicle for:
a	Business 17,292 b Commuting (see instructions) c Other 2,659
45	Was your vehicle available for personal use during off-duty hours? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
46	Do you (or your spouse) have another vehicle available for personal use? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
47a	Do you have evidence to support your deduction? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," is the evidence written? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Part V Other Expenses. List below business expenses not included on lines 8-26 or line 30.

Parking	201.
Telephone and internet	2,105.
miscellaneous meter reader	6,000.
Car rental	186.
Assessments Public Utility Commission	1,213.
Seminar	363.
48 Total other expenses. Enter here and on line 27a	48 10,068.

SCHEDULE C
(Form 1040)Department of the Treasury
Internal Revenue Service (99)**Profit or Loss From Business**

(Sole Proprietorship)

▶ Go to www.irs.gov/ScheduleC for instructions and the latest information.
▶ Attach to Form 1040, 1040-SR, 1040-NR, or 1041; partnerships generally must file Form 1065.

OMB No. 1545-0074

2020
Attachment
Sequence No. **09**

Name of proprietor

NICKY L. BECK

Social security number (SSN)

A Principal business or profession, including product or service (see instructions)**ENERGY CONSULTANT****B** Enter code from instructions**999999****C** Business name. If no separate business name, leave blank.**LIGHTS OUT ENERGY LLC****D** Employer ID number (EIN) (see instr.)**E** Business address (including suite or room no.) ▶ **3922 EILEEN DRIVE**City, town or post office, state, and ZIP code **CINCINNATI, OH 45209****F** Accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) ▶**G** Did you "materially participate" in the operation of this business during 2020? If "No," see instructions for limit on losses ☒ Yes ☐ No**H** If you started or acquired this business during 2020, check here ☐**I** Did you make any payments in 2020 that would require you to file Form(s) 1099? See instructions ☒ Yes ☐ No**J** If "Yes," did you or will you file required Form(s) 1099? ☒ Yes ☐ No**Part I Income**

1 Gross receipts or sales. See instructions for line 1 and check the box if this income was reported to you on Form W-2 and the "Statutory employee" box on that form was checked STATEMENT 14 ▶ <input type="checkbox"/>	1	1,092,897.
2 Returns and allowances	2	
3 Subtract line 2 from line 1	3	1,092,897.
4 Cost of goods sold (from line 42)	4	
5 Gross profit. Subtract line 4 from line 3	5	1,092,897.
6 Other income, including federal and state gasoline or fuel tax credit or refund (see instructions)	6	
7 Gross income. Add lines 5 and 6	7	1,092,897.

Part II Expenses. Enter expenses for business use of your home only on line 30.

8 Advertising	8		18 Office expense	18	2,024.
9 Car and truck expenses (see instructions) STMT 13	9	7,085.	19 Pension and profit-sharing plans	19	
10 Commissions and fees	10		20 Rent or lease (see instructions):		
11 Contract labor (see instructions)	11	189,464.	a Vehicles, machinery, and equipment	20a	
12 Depletion	12		b Other business property	20b	
13 Depreciation and section 179 expense deduction (not included in Part III) (see instructions)	13		21 Repairs and maintenance	21	
14 Employee benefit programs (other than on line 19)	14		22 Supplies (not included in Part III)	22	
15 Insurance (other than health)	15		23 Taxes and licenses	23	
16 Interest (see instructions):			24 Travel and meals:		
a Mortgage (paid to banks, etc.)	16a		a Travel	24a	
b Other	16b		b Deductible meals (see instructions)	24b	569.
17 Legal and professional services	17	2,450.	25 Utilities	25	2,164.
28 Total expenses before expenses for business use of home. Add lines 8 through 27a	28		26 Wages (less employment credits)	26	
29 Tentative profit or (loss). Subtract line 28 from line 7	29		27 a Other expenses (from line 48)	27a	6,920.
30 Expenses for business use of your home. Do not report these expenses elsewhere. Attach Form 8829 unless using the simplified method. See instructions. Simplified method filers only: Enter the total square footage of (a) your home: _____ and (b) the part of your home used for business: _____ Use the Simplified Method Worksheet in the instructions to figure the amount to enter on line 30	30		b Reserved for future use	27b	
31 Net profit or (loss). Subtract line 30 from line 29. • If a profit, enter on both Schedule 1 (Form 1040), line 3, and on Schedule SE, line 2. (If you checked the box on line 1, see Instructions). Estates and trusts, enter on Form 1041, line 3. • If a loss, you must go to line 32.	31	882,221.			
32 If you have a loss, check the box that describes your investment in this activity. See instructions. • If you checked 32a, enter the loss on both Schedule 1 (Form 1040), line 3, and on Schedule SE, line 2. (If you checked the box on line 1, see the line 31 instructions). Estates and trusts, enter on Form 1041, line 3. • If you checked 32b, you must attach Form 6198. Your loss may be limited.			32a <input type="checkbox"/> All investment is at risk.		
			32b <input type="checkbox"/> Some investment is not at risk.		

LHA For Paperwork Reduction Act Notice, see the separate instructions.

020001 11-18-20

Schedule C (Form 1040) 2020

Part III Cost of Goods Sold (see instructions)

33	Method(s) used to value closing inventory:	a <input type="checkbox"/> Cost	b <input type="checkbox"/> Lower of cost or market	c <input type="checkbox"/> Other (attach explanation)
34	Was there any change in determining quantities, costs, or valuations between opening and closing inventory? If "Yes," attach explanation <input type="checkbox"/> Yes <input type="checkbox"/> No			
35	Inventory at beginning of year. If different from last year's closing inventory, attach explanation	35		
36	Purchases less cost of items withdrawn for personal use	36		
37	Cost of labor. Do not include any amounts paid to yourself	37		
38	Materials and supplies	38		
39	Other costs	39		
40	Add lines 35 through 39	40		
41	Inventory at end of year	41		
42	Cost of goods sold. Subtract line 41 from line 40. Enter the result here and on line 4	42		

Part IV Information on Your Vehicle. Complete this part **only** if you are claiming car or truck expenses on line 9 and are not required to file Form 4562 for this business. See the instructions for line 13 to find out if you must file Form 4562.

43	When did you place your vehicle in service for business purposes? (month/day/year)	SEE STATEMENT 15
44	Of the total number of miles you drove your vehicle during 2020, enter the number of miles you used your vehicle for:	
a	Business	b Commuting c Other
45	Was your vehicle available for personal use during off-duty hours?	<input type="checkbox"/> Yes <input type="checkbox"/> No
46	Do you (or your spouse) have another vehicle available for personal use?	<input type="checkbox"/> Yes <input type="checkbox"/> No
47 a	Do you have evidence to support your deduction?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," is the evidence written?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Part V Other Expenses. List below business expenses not included on lines 8-26 or line 30.

CELL PHONE	719.
INTERNET	1,599.
CONFERENCE	418.
OHIO CONSUMERS COUNSEL FEE	197.
ENQUIRER	925.
COMPUTER	707.
CHECKS	34.
POSTAGE	157.
TEXAS PUBLIC UTILITIES FEE	770.
OHIO PUBLIC UTILITIES FEE	1,394.
48 Total other expenses. Enter here and on line 27a	48 6,920.

Exhibit C-4

Financial Arrangements

Not Applicable. The company does not take title to gas or power.

Exhibit C-5

Forecasted Financial Statements

	Projected Commissions Natural Gas	Expenses	Net Income Before Taxes
Dec 2019 – Dec 2020	\$ 60,000	\$20,000	\$40,000
Jan 2021 – Dec 2021	\$125,000	\$45,000	\$80,000
Jan 2022 – Dec 2022	\$135,000	\$50,000	\$85,000

Exhibit C-6

Credit Rating

Not Applicable. The company is an LLC with no shareholders. Solely providing broker services by provide quotes through suppliers to customers.

Exhibit C-7

Credit Report

Not Applicable. Does not have a credit report.

Exhibit C-8

Bankruptcy Information

Not Applicable. Did not have a reorganization or file bankruptcy.

Exhibit C-9

Merger Information

Not Applicable. No merger activity. The company is an LLC with no shareholders.

Exhibit C-10

Corporate Structure

Not Applicable. The company is an LLC and is a stand-alone entity with no affiliate or subsidiary companies.

Exhibit D-1

Operations

The company will solicit bids from natural gas suppliers on the behalf of the company's clients. Clients will submit requested term to Lights Out Energy, LLC and Lights Out Energy, LLC will request agreements from supplier to supply gas to client for the term requested.

Exhibit D-2

Operations Expertise

Nick Beck, President of Lights Out Energy, LLC retired from Duke Energy Retail. Nick Beck performed all operations necessary to facilitate pricing, reporting and agreement preparations for clients while working for Duke Energy Retail.

Exhibit D-3

Key Technical Personnel

Nick Beck

President of Lights Out Energy, LLC

nickbeck8058@gmail.com

513-800-8058

Background – Industrial Engineer and Account Executive with Duke Energy / Duke Energy Retail from 1988 – 2014. Helped start Duke Energy Retail when deregulation for electric was established in Ohio.