

*The Public Utilities Commission of Ohio*  
**TELECOMMUNICATIONS FILING FORM**  
(Effective: 04-01-2020)

Per the Commission's 5/29/2019 "Implementation Order" in Case No. 19-0173-TP-ORD

This form is intended to be used with most types of required filings. It provide check boxes with rule references for the most common types of filings. It does not replace or supersede Commission rules in anyway.

In the Matter of the Application of Lumen Technologies, Inc. ) TRF Docket No. 90- -TP-TRF  
and Connect Holding, Inc. to Transfer Control of Authorized ) Case No. 21- 0914TP-  
Telecommunications Carriers ) NOTE: Unless you have reserved a Case #, leave  
 ) the "Case No." field BLANK.

Name of Registrant(s) See Attachment A

DBA(s) of Registrant(s) See Attachment A

Address of Registrant(s) 100 CenturyLink Drive, Monroe LA 71203 \*Applicants will update contact info after closing

Company Web Address [www.lumen.com](http://www.lumen.com)

Regulatory Contact Person(s) Josh Motzer

Phone(614)441-0393

Fax (\_\_\_\_)\_\_\_\_-\_\_\_\_

Regulatory Person's Email Address [Josh.Motzer@lumen.com](mailto:Josh.Motzer@lumen.com)

Contact Person for Annual Report Josh Motzer

Phone (800)201-4099

Consumer Contact Information Scott Belka

Phone (\_\_\_\_)\_\_\_\_-\_\_\_\_ Address (if

different from above) [Scott.belka@lumen.com](mailto:Scott.belka@lumen.com) for commission handled customer complaints

Motion for protective order included with filing? ☐ Yes ☒ No

Motion for waiver(s) filed affecting this case? ☐ Yes ☒ No [Note: Waivers may toll any automatic timeframe.]

**Notes:**

Sections I and II are pursuant to Ohio Administrative Code (OAC) [4901:1-6](#).

Section III – Part I - Carrier to Carrier is pursuant to OAC [4901:1-7](#) and Pole Attachment to OAC [4901:1-3](#)

Section III – Part II - Wireless is pursuant to OAC [4901:1-6-24](#).

Section IV – Attestation.

(1) Indicate the Carrier Type and the reason for submitting this form by checking the boxes below.

(2) For requirements for various applications, see identified section of the Ohio Administrative Code Chapter 4901 and/or the supplemental application form noted.

(3) Information regarding the number of copies required by the PUCO may be obtained from the PUCO's website at [www.PUCO.ohio.gov](http://www.PUCO.ohio.gov) under the docketing information system section (Procedural filing requirements), by calling the Docketing Division at 614-466-4095 or by visiting the Docketing Division at the offices of the PUCO.

(4) An Incumbent Local Exchange Carrier (ILEC) offering basic local exchange service (BLES) outside its traditional service area should choose CLEC designation when proposing to offer BLES outside its traditional service area or when proposing to make changes to that service.

**All filings that result in a change to one or more tariff pages require, at a minimum, the following exhibits:**

Exhibit	Description:
A	The tariff pages subject to the proposed change(s) as they exist before the change(s).
B	The tariff pages subject to the proposed change(s), reflecting the change, with the change(s) marked in the right margin.
C	A short description of the nature of the change(s), the intent of the change(s), and the customers affected.
D	A copy of the notice provided to customers, along with an affidavit that the notice was provided according to the applicable rule(s).

<b>Carrier Type:</b> <input type="checkbox"/> Other (Explain below)	<b>For Profit ILEC</b>	<b>Not for Profit ILEC</b>	<b>CLEC</b>
Change terms & conditions of existing BLES.	<input type="checkbox"/> ATA <a href="#">1-6-14(I)(2)</a> (Auto 30 days)	<input type="checkbox"/> ATA <a href="#">1-6-14(I)</a> (Auto 30 days)	<input type="checkbox"/> ATA <a href="#">1-6-14(I)</a> (Auto 30 days)
Introduce non-recurring charge, surcharge or fee to BLES	<input type="checkbox"/> ATA <a href="#">1-6-14(I)</a> (Auto 30 days)		<input type="checkbox"/> ATA <a href="#">1-6-14(I)</a> (Auto 30 days)
Introduce or Increase Late Payment	<input type="checkbox"/> ATA <a href="#">1-6-14(I)</a> (Auto 30 days)	<input type="checkbox"/> ATA <a href="#">1-6-14(I)</a> (Auto 30 days)	<input type="checkbox"/> ATA <a href="#">1-6-14(I)</a> (Auto 30 days)
Revisions to BLES Cap	<input type="checkbox"/> ZTA <a href="#">1-6-14(E)</a> (0 day notice )		
Introduce BLES or expand local service area (calling area)	<input type="checkbox"/> ZTA <a href="#">1-6-14(E)</a> (0 day notice)	<input type="checkbox"/> ZTA <a href="#">1-6-14(E)</a> (0 day notice )	<input type="checkbox"/> ZTA <a href="#">1-6-14(E)</a> (0 day notice )
Change BLES Rates	<input type="checkbox"/> TRF <a href="#">1-6-14(E) &amp; (G)</a> (0 day notice)	<input type="checkbox"/> TRF <a href="#">1-6-14(E)</a> (0 day notice)	<input type="checkbox"/> TRF <a href="#">1-6-14(H)</a> (0 day notice)
To obtain BLES pricing flexibility	<input type="checkbox"/> BLS <a href="#">1-6-14(C)(1)(c)</a> (Auto 30 days)		
Notice of no obligation to construct facilities and provide BLES	<input type="checkbox"/> ZTA <a href="#">1-6-27(C)</a> (0 day notice)	<input type="checkbox"/> ZTA <a href="#">1-6-27(C)</a> (0 day notice)	
Change in boundary	<input type="checkbox"/> ACB <a href="#">1-6-32</a> (Auto 14 days)	<input type="checkbox"/> ACB <a href="#">1-6-32</a> (Auto 14 days)	
Expand service operation area			<input type="checkbox"/> TRF <a href="#">1-6-08(G)</a> (0 day notice)
BLES withdrawal	<input type="checkbox"/> WBL <a href="#">4927.10</a> (120 day notice)		<input type="checkbox"/> ZTA <a href="#">1-6-25(B)</a> (0 day notice)
<b>Other</b> (explain):			

### Section I – Part I - Common Filings:

\*Other exhibits may be required under the applicable rule, see the 4901:106-14(E) Filing Requirements on the PUCO's webpage for a complete list of exhibits.

### Section I – Part II – Customer Notification Offerings Pursuant to Chapter 4901:1-6-07 OAC

Type of Notice	Direct Mail	Bill Insert	Bill Notation	Electronic Mail
<input type="checkbox"/> 15-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> 30-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Date Notice Sent:</b>				

### Section I – Part III – Inmate Operator Service Pursuant to Chapter 4901:1-6-22 OAC

Introduce New	Tariff Change	Price Change	Withdraw
<input type="checkbox"/> TRF (0 day notice)	<input type="checkbox"/> ATA (Auto 30 days)	<input type="checkbox"/> TRF (0 day notice)	<input type="checkbox"/> UNC (Non-Auto)

**Section II – Part I – Carrier Certification – Pursuant to Chapter 4901:1-6-08 & 10 OAC and Competitive Eligible Telecommunications Carrier Designation (CETC) – Pursuant to Chapter 4901:1-6-09 OAC**

ILEC (Out of territory)	CLEC	Telecommunications Service Provider Not Offering Local Service	CESTC	CETC
<input type="checkbox"/> ACE <a href="#">1-6-08</a> (Auto 30-day)*	<input type="checkbox"/> ACE <a href="#">1-6-08</a> (Auto 30-day)*	<input type="checkbox"/> ACE <a href="#">1-6-08</a> (Auto 30-day)*	<input type="checkbox"/> ACE 1-6-10 (Auto 30-day)	<input type="checkbox"/> UNC 1-6-09 (Non-Auto)*

\*Supplemental forms can be found on the PUCO webpage – [Telecommunications application forms](#).

**Section II – Part II – Change in Operation or Ownership**

Change in Operation or Ownership	ILEC	CLEC	Telecommunications Service Provider Not Offering Local Service
Abandon all services		<input type="checkbox"/> ABN <a href="#">1-6-26</a> (Auto 30 days)	<input type="checkbox"/> ABN <a href="#">1-6-26</a> (Auto 30 days)
Change of official name *	<input type="checkbox"/> ACN <a href="#">1-6-29(B)</a> (Auto 30 days)	<input type="checkbox"/> ACN <a href="#">1-6-29(B)</a> (Auto 30 days)	<input type="checkbox"/> CIO <a href="#">1-6-29(C)</a> (0-day notice)
Change in ownership *	<input type="checkbox"/> ACO <a href="#">1-6-29(E)(1)</a> (Auto 30 days)	<input type="checkbox"/> ACO <a href="#">1-6-29(E)(1)</a> (Auto 30 days)	<input type="checkbox"/> CIO <a href="#">1-6-29(C)</a> (0-day notice)
Merger *	<input type="checkbox"/> AMT <a href="#">1-6-29(E)(1)</a> (Auto 30 days)	<input type="checkbox"/> AMT <a href="#">1-6-29(E)(1)</a> (Auto 30 days)	<input type="checkbox"/> CIO <a href="#">1-6-29(C)</a> (0-day notice)
Transfer certificate *	<input type="checkbox"/> ATC <a href="#">1-6-29(B)</a> (Auto 30 days)	<input type="checkbox"/> ATC <a href="#">1-6-29(B)</a> (Auto 30 days)	<input type="checkbox"/> CIO <a href="#">1-6-29(C)</a> (0-day notice)
Transaction for transfer or lease of property, plant or business *	<input type="checkbox"/> ATR <a href="#">1-6-29(B)</a> (Auto 30 days)	<input type="checkbox"/> ATC <a href="#">1-6-29(B)</a> (Auto 30 days)	<input type="checkbox"/> CIO <a href="#">1-6-29(C)</a> (0-day notice)
FCC Authorized Change in Ownership or Merger	<input checked="" type="checkbox"/> CIO <a href="#">1-6-29 (E)(2)</a> (0-day notice)	<input type="checkbox"/> CIO <a href="#">1-6-29 (E)(2)</a> (0-day notice)	<input type="checkbox"/> CIO <a href="#">1-6-29 (E)(2)</a> (0-day notice)

\*Other exhibits may be required under the applicable rule(s). ACN, ACO, AMT, ATC, ATR, and CIO applications see the [4901:1-6-29 Filing Requirements](#) on the PUCO webpage for a complete list of exhibits.

**Section III – Part I - Carrier to Carrier (Pursuant to 4901:1-7) & Attachments to Utility Equipment or Rights of Way (Pursuant to 4901:1-3)**

Carrier to Carrier	ILEC	CLEC
Interconnection agreement or amendment to an approved agreement	<input type="checkbox"/> NAG <a href="#">1-7-07</a> (Auto 90 days)	<input type="checkbox"/> NAG <a href="#">1-7-07</a> (Auto 90 days)
Request for arbitration	<input type="checkbox"/> ARB <a href="#">1-7-09</a> (Non-Auto)	<input type="checkbox"/> ARB <a href="#">1-7-09</a> (Non-Auto)
Introduce or change carrier to carrier tariffs	<input type="checkbox"/> ATA <a href="#">1-7-14</a> (Auto 30 days)	<input type="checkbox"/> ATA <a href="#">1-7-14</a> (Auto 30 days)
Request rural carrier exemption, rural carrier suspension or modification	<input type="checkbox"/> UNC <a href="#">1-7-04 or 05</a> (Auto 30 days)	
Changes in rates, terms & conditions to pole attachments, conduit occupancy and rights of	<input type="checkbox"/> ATA <a href="#">1-3-04</a> (Auto 60 days)	

**Section III – Part II – Facilities-based Wireless Service Providers (Pursuant to 4901:1-6-24)**

Registration and Change in Operations*	<input type="checkbox"/> RCC <a href="#">1-6-24(B)</a> (0 day notice)
Interconnection Agreement or amendment to an approved Agreement.	<input type="checkbox"/> NAG <a href="#">1-7-07</a> (0 day notice)

\*Change in Operations filing must be filed in the original RCC case designation code established during the registration process.

**Section IV. – Attestation**

Registrant hereby attests to its compliance with the pertinent entries and orders issued by the Commission.

**AFFIDAVIT*****Compliance with Commission Rules***

I am an officer/agent of the applicant corporation, \_\_\_\_\_, and am authorized to make this statement on its behalf.

[Click here to enter text.](#)

(Name)

Please check All that apply:

☐ I attest that these tariffs comply with all applicable rules for the State of Ohio. I understand that tariff notification filings do not imply Commission approval and that the Commission's rules, as modified and clarified from time to time, supersede any contradictory provisions in our tariff. We will fully comply with the rules of the State of Ohio and understand that noncompliance can result in various penalties, including the suspension of our certificate to operate within the State of Ohio.

☐ I attest that customer notices accompanying this filing form were sent to affected customers, as specified in Section II, in accordance with Ohio Adm. Code 4901:1-6-7.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on [Click here to enter text.](#) at [Click here to enter text.](#)

\_\_\_\_\_  
\*Signature and Title

\_\_\_\_\_  
Date

*\*This affidavit is required for every tariff-affecting filing. It may be signed by counsel, an officer of the applicant or an authorized agent of the applicant.*

**VERIFICATION**

I, **Josh S. Motzer**, verify I have utilized the Telecommunications Filing Form for the most proceedings provided by the Commission and that all of the information submitted here and all additional information in connection with this case, is true and correct to the best of my knowledge.



Dir State and Local Government Affairs

September 1, 2021

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\*Signature and Title

Date

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*\*Verification is required for every filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

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**File document electronically as directed in case number 06-900-AU-WVR**

*or*

*Send your completed Filing Form, including all required attachments as well as the required number of copies to:*

**Public Utilities Commission of Ohio  
Attention: Docketing Division  
180 East Broad Street, Columbus, OH 43215-3793**

## **M-1 LIST OF ATTACHMENTS**

<b>Attachment A</b>	List of Lumen Technologies Licensees
<b>Attachment B</b>	Description of Transaction
<b>Attachment C</b>	Certificates of Good Standing
<b>Attachment D</b>	List of Officers and Directors
<b>Attachment E</b>	Verifications
<b>Not Applicable</b>	Superseded Tariff Sheet & Price List Revised Tariff Sheet & Price List Notice Procedures New Title Sheet

## **ATTACHMENT A**

### **List of Lumen Technologies Licensees**

1. CenturyTel of Ohio, Inc. d/b/a CenturyLink
2. United Telephone Company of Indiana, Inc. d/b/a CenturyLink
3. United Telephone Company of Ohio d/b/a CenturyLink

## **ATTACHMENT B**

### **Description of Transaction**

This “CIO” filing with zero-day notice is being made in accordance with Ohio Rev. Code Section 4905.402(H)(1) and Ohio Adm. Code Section 4901:1-6-29(E)(2). Applicants Lumen Technologies, Inc. and Connect Holding, Inc. are filing a similar application with the Federal Communications Commission (“FCC”) on the same day as this filing, which includes a description of the transaction and is available here:

<https://ecfsapi.fcc.gov/file/10901068868912/Connect%20Holding%2C%20LLC%20Application%20and%20Public%20Interest%20Statement.pdf>

Immediately following the consummation of the transaction, the Lumen Technologies Licensees will continue to provide service to their existing customers pursuant to the same rates, terms, and conditions in effect at the time of this filing. Therefore, no new or revised tariffs are required. The transaction will not result in changes to the licensees’ customer-facing systems or operations or in any disruption to service. Because the transaction will be transparent to the licensees’ customers, notice to customers regarding the transaction is not required under Ohio Adm. Code Section 4901:1-6-29(D).



**ATTACHMENT C**

**Certificates of Good Standing**

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show CENTURYTEL OF OHIO, INC., an Ohio corporation, Charter No. 505379, having its principal location in Lorain, County of Lorain, was incorporated on October 7, 1977 and is currently in GOOD STANDING upon the records of this office.*



*Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 23rd day of August, A.D. 2021.*

A handwritten signature in blue ink, appearing to read "Frank LaRose".

**Ohio Secretary of State**

**Validation Number: 202123505102**

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show UNITED TELEPHONE COMPANY OF OHIO, an Ohio corporation, Charter No. 345781, having its principal location in Mansfield, County of Richland, was incorporated on December 1, 1965 and is currently in GOOD STANDING upon the records of this office.*



*Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 23rd day of August, A.D. 2021.*

A handwritten signature in blue ink, appearing to read "Frank LaRose".

**Ohio Secretary of State**

**Validation Number: 202123505116**

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show UNITED TELEPHONE COMPANY OF INDIANA INC, an Indiana corporation, having qualified to do business within the State of Ohio on May 3, 2004 under License No. 1460769 is currently in GOOD STANDING upon the records of this office.*



*Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 23rd day of August, A.D. 2021.*

A handwritten signature in blue ink, reading "Frank LaRose".

**Ohio Secretary of State**

**Validation Number: 202123505112**

## **ATTACHMENT D**

### **List of Officers and Directors**

#### **Lumen Technologies, Inc. Board of Directors**

<b>Name</b>	<b>Title</b>
Jeffrey K. Storey	Director
Quincy L. Allen	Director
Martha H. Bejar	Director
Peter C. Brown	Director
General Kevin P. Chilton (USAF, Retired)	Director
Steven T. Clontz	Director
T. Michael Glenn	Director
W. Bruce Hanks	Director
Hal S. Jones	Director
Michael J. Roberts	Director
Laurie A. Siegel	Director

#### **Lumen Technologies, Inc. Officers**

<b>Name</b>	<b>Title</b>
Jeffrey K. Storey	Chief Executive Officer and President
Stacey W. Goff	Executive Vice President, General Counsel & Secretary
Indraneel Dev	Executive Vice President & Chief Financial Officer
Shaun C. Andrews	Executive Vice President and Chief Marketing Officer
Rafael Martinez-Chapman	Senior Vice President – Treasurer
Douglas A. Richards	Senior Vice President – Global Tax
Andrea L. Genschaw	Senior Vice President & Controller
Robert W. McCarthy	Senior Vice President, Business Development
Scott A. Trezise	Executive Vice President – Human Resources

#### **These officers may be contacted at:**

100 CenturyLink Drive  
Monroe, Louisiana 71203  
Phone: 800-871-9244

Connect Holding, LLC Officers

<b>Name</b>	<b>Title</b>
Aaron Sobel	President
William Sacks	President
Laurie D. Medley	Vice President and Assistant Secretary
James Elworth	Vice President and Assistant Secretary
Katherine G. Newman	Vice President and Assistant Secretary

**These officers may be contacted at:**

1 Manhattanville Road, Suite 201  
Purchase, NY 10577  
Phone: 212-515-3200

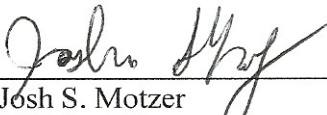
**ATTACHMENT E**

**Verifications**

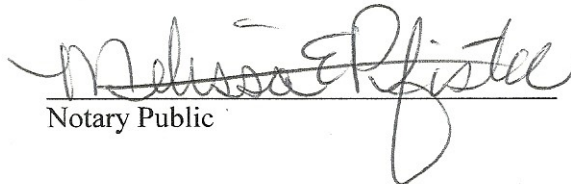
State of Ohio                   §  
                                         §       SS:  
County of Franklin         §

**VERIFICATION**

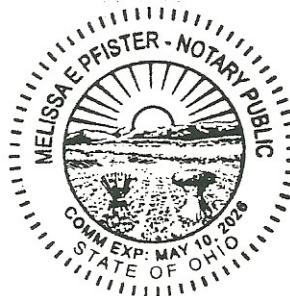
I, Josh Motzer, Director of State and Local Government Affairs, am authorized to make this Verification on behalf of Lumen Technologies, LLC, parent company of CenturyTel of Ohio, Inc. d/b/a CenturyLink; United Telephone Company of Indiana, Inc. d/b/a CenturyLink; and United Telephone Company of Ohio d/b/a CenturyLink; that the foregoing filing was prepared under my direction and supervision; and that the facts stated therein are true and correct to the best of my knowledge, information, and belief.

  
\_\_\_\_\_  
Josh S. Motzer  
Director of State and Local Government  
Affairs  
Lumen Technologies, Inc.

Subscribed and sworn to before me this 27<sup>th</sup> day of August, 2021.

  
\_\_\_\_\_  
Notary Public

My commission expires: 05-10-2026



State of New York

County of New York

§  
§  
§

ss:

### VERIFICATION

I, William Sacks, state that I am President, Connect Holding, LLC; that I am authorized to make this Verification on behalf of Connect Holding, LLC; that the foregoing filing was prepared under my direction and supervision; and that the facts stated therein are true and correct to the best of my knowledge, information, and belief.



William Sacks  
President, Connect Holding, LLC

Subscribed and sworn to before me this 26<sup>th</sup> day of August, 2021.

Notary Public



My commission expires: 06/27/2023





**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**9/1/2021 3:43:38 PM**

**in**

**Case No(s). 21-0914-TP-CIO**

Summary: Application Lumen Technologies, Inc. and Connect Holding, Inc. to Transfer Control of Authorized Telecommunications Carriers electronically filed by Mrs. Sharon Craft on behalf of Sherwood, Pamela Helene and Lumen Technologies, Inc. and Connect Holding, Inc. and Joshua S Motzer