



# Public Utilities Commission

Competitive Retail Natural Gas Service  
(CRNGS) Provider Application

Case Number: 21 - 806 -GA-AGG

Please complete all information. Identify all attachments with a label and title (example: Exhibit C-2 Financial Statements). For paper filing, you can mail the original and two complete copies to the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

## A. Application Information

### A-1. Provider Type.

Select the competitive retail natural gas service (CRNGS) provider type(s) for which the applicant is seeking certification. Please note you can select more than one.

Retail Natural Gas Aggregator

☒

Retail Natural Gas Broker

☒

Retail Natural Gas Marketer

☐

### A-2. Applicant's legal name and contact information.

Provide the name and contact information of the business entity.

Legal Name: Resource Energy Solutions, LLC  
Street Address: 4 High Ridge Park, Suite 202  
City: Stamford State: CT Zip: 06905  
Telephone: 201-937-4210 Website: www.resourceenergy.com

### A-3. Names and contact information under which the applicant will do business in Ohio.

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name: Resource Energy Solutions, LLC  
Street Address: 4 High Ridge Park, Suite 202  
City: Stamford State: CT Zip: 06905  
Telephone: 201-937-4210 Email: www.resourceenergy.com

### A-4. Names under which the applicant does business in North America.

Provide all business names the applicant uses in North America. You do not need to include the names provided in A-2 and A-3.

Name(s): \_\_\_\_\_  
\_\_\_\_\_

**A-5. Contact person for regulatory matters.**

Name: Richard Plutzer Title: CFO  
Street Address: 4 High Ridge Park, Suite 202  
City: Stamford State: CT Zip: 06905  
Telephone: 201-937-4210 Email: rplutzer@resourceenergy.com

**A-6. Contact person for PUCO Staff use in investigating consumer complaints.**

Name: Richard Plutzer Title: CFO  
Street Address: 4 High Ridge Park, Suite 202  
City: Stamford State: CT Zip: 06905  
Telephone: 201-937-4210 Email: rplutzer@resourceenergy.com

**A-7. Applicant's address and toll-free number for customer service and complaints.**

Street Address: 4 High Ridge Park, Suite 202  
City: Stamford State: CT Zip: 06905  
Toll-free Telephone: 800-300-4113 Email: rplutzer@resourceenergy.com

**A-8. Applicant's federal employer identification number.**

FEIN: 82-4710339

**A-9. Applicant's form of ownership (select one).**

Sole Proprietorship	Limited Liability Partnership (LLP)	Corporation	Partnership
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Limited Liability Company (LLC)	Other: _____		
<input checked="" type="checkbox"/>			

**A-10. Identify current or proposed service areas.**

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

**Service area selection:**

Columbia Gas of Ohio	Dominion Energy Ohio	Duke Energy Ohio	Vectren Energy Delivery of Ohio
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

**Class of customer selection:**

Industrial

☐

Residential

☐

Small Commercial

☒

Large Commercial

☒

**A-11. Start Date.**

Indicate the approximate start date the applicant began/will begin offering services.

Date: upon approval

**A-12. Principal officers, directors and partners.**

Please provide an attachment for all contacts that should be listed as an officer, director or partner.

**A-13. Company history.**

Provide an attachment with a concise description of the applicant's company history and principal business interests.

**A-14. Secretary of State.**

Provide evidence that the applicant is currently registered with the Ohio Secretary of State.

**A-15. Proof of Ohio office and employee.**

Provide "Proof of an Ohio Office and Employee" in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address.

Name: -please see the attached- Title: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_ Email: \_\_\_\_\_

**B. Managerial Capability**

Provide a response or attachment for each of the sections below.

**B-1. Jurisdiction of operations.**

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application.

**B-2. Experience and plans.**

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

**B-3. Disclosure of liabilities and investigations.**

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

**B-4. Disclosure of consumer protection violations.**

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years? If yes, attach a document detailing the information.

Yes

☐

No

☒

**B-5. Disclosure of certification denial, curtailment, suspension, or revocation.**

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years? If yes, attach a document detailing the information.

Yes

☐

No

☒

## **C. Financial Capability**

Provide a response or attachment for each of the sections below.

**C-1. Financial reporting.**

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or attach a copy of the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

**C-2. Financial statements**

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow



statement, the applicant may provide a copy of its two most recent years of tax returns with social security numbers and bank account numbers redacted.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

**C-3. Forecasted financial statements.**

Provide two years of forecasted income statements based solely on the applicant's anticipated business activities in the state of Ohio.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in business activities only in the state of Ohio for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

**C-4. Credit rating.**

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "Not Rated".

**C-5. Credit report.**

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. Bank/credit account numbers and highly sensitive identification information must be redacted. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select "This does not apply" and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

**C-6. Bankruptcy information.**

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy? If yes, attach a document detailing the information.

Applicant

Parent company of the applicant

Affiliate company that guarantees the financial obligations of the applicant

Any owner or officer of the applicant

Yes

☐

No

☒

**C-7. Merger information.**

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months? If yes, attach a document detailing the information.

Yes

☐

No

☒

**C-8. Corporate structure.**

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

**C-9. Financial arrangements.**

This section is only applicable if power marketer or retail electric generation provider has been selected in A-1.

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.

2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

## D. Technical Capability

Provide an attachment for each of the sections below.

### D-1. Operations.

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

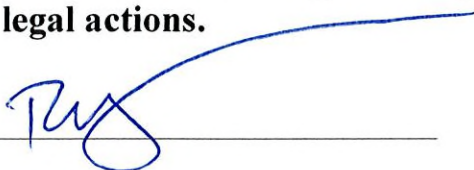
Gas Marketers: Describe the operational nature of the applicant's business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

### D-2. Operations expertise and key technical personnel.

Provide evidence of the applicant's experience and technical expertise in performing the operations described in this application. Include the names, titles, e-mail addresses, telephone numbers and background of key personnel involved in the operational aspects of the applicant's business.

**As authorized representative for the above company/organization, I certify that all the information contained in this application is true, accurate and complete. I also understand that failure to report completely and accurately may result in penalties or other legal actions.**

Signature



Date

6/30/21

Secretary, Treasurer and CFO

Title

# Competitive Retail Natural Gas Service Affidavit

County of Fairfield :

State of Connecticut :

Richard Plutzer, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
10. Affiant further sayeth naught.

Signature of Affiant & Title

Sworn and subscribed before me this 30<sup>th</sup> day of June, 2021  
Month Year

Signature of official administering oath

Paul Ly, Notary Public

Print Name and Title

**PAUL LY**  
**NOTARY PUBLIC**

My commission expires on MY COMMISSION EXPIRES OCT. 31, 2023



AFFIDAVIT

STATE OF CONNECTICUT :

: ss. Stamford

COUNTY OF FAIRFIELD :

I, **RICHARD PLUTZER**, Affiant, being duly sworn/affirmed according to law, depose and say that I am authorized on behalf of **Resource Energy Solutions, LLC** as the holder of the offices of Chief Financial Officer, Secretary and Treasurer of that limited liability company, and that I am authorized to and do make this Affidavit on its behalf and hereby state that the facts above set forth herein are true and correct to the best of my knowledge, information and belief.



\_\_\_\_\_  
Signature of Affiant

Sworn to and Subscribed before me this 30<sup>th</sup> day of June 2021.



\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_  
**PAUL LY**  
**~~NOTARY PUBLIC~~**  
MY COMMISSION EXPIRES OCT. 31, 2023

RESOURCE ENERGY SOLUTIONS, LLC  
INITIAL CERTIFICATION APPLICATION  
COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS  
IN THE STATE OF OHIO

**A. Application Information**

Item A-12 – Principal Officers, Directors, and Partners.

Please provide an attachment for all contacts that should be listed as an officer, director or partner.

- 1.) Steven Schlussel  
President  
4 High Ridge Park, Suite 202  
Stamford, CT 06905  
937-885-5746
- 2.) Scott Reinstein  
Chief Executive Officer  
4 High Ridge Park, Suite 202  
Stamford, CT 06905  
818-206-8162
- 3.) Richard Plutzer  
Secretary, Treasurer and Chief Financial Officer  
4 High Ridge Park, Suite 202  
Stamford, CT 06905  
201-937-4210

Item A-13 – Company History.

Provide an attachment with a concise description of the applicant's company history and principal business interests.

Resource Energy Solutions, LLC is an energy management company serving some of the world's largest real estate companies. Resource Energy Solutions, LLC was formed in 2011 and provides consulting services for approximately 750 million square feet of property across the country from our offices in Connecticut and Maryland. Our services include developing and implementing energy procurement strategies, as well as providing utility redistribution and billing services and demand reduction consulting services.

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INITIAL CERTIFICATION APPLICATION  
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IN THE STATE OF OHIO

**A. Application Information - continued**

Item A-14 – Secretary of State.

Provide evidence that the applicant is currently registered with the Ohio Secretary of State

Resource Energy Solutions, LLC is registered with the Ohio Secretary of State. Please see the attachment.

Item A-15. – Proof of an Ohio Office and Employee.

Provide “Proof of an Ohio Office and Employee” in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio Office Address, telephone number, and Web site address of the designated Ohio Employee

Pursuant to Section 4929.22 of the Ohio Revised Code, a natural gas supplier must maintain an office and an employee in the state. Applicant makes application only as a natural gas broker/aggregator and not as a natural gas supplier. Consequently, compliance with this portion of the Code does not apply to Applicant.

**B. Managerial Capability**

Item B-1 – Jurisdiction of Operations.

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered, or otherwise authorized to provide retail natural gas service or retail/wholesale electric services as of the date of the filing of the application.

Resource Energy Solutions, LLC is currently certified, licensed, registered, and authorized to provide natural gas service and retail/wholesale electric services in the following jurisdictions:

**Electric:**

Delaware  
District of Columbia  
Illinois  
Maine  
Maryland  
Massachusetts

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**B. Managerial Capability - continued**

Item B-1 – Jurisdiction of Operations. - continued

New Hampshire  
New Jersey  
Ohio  
Oregon  
Pennsylvania  
Rhode Island  
Texas  
Virginia

**Natural Gas:**

District of Columbia  
Maine  
Maryland  
Massachusetts  
New Hampshire  
New Jersey  
Oregon  
Rhode Island  
Virginia

Item B-2 – Experience & Plans.

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utilities service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 and/or 4929.22 of the Ohio Revised Code.

The company intends to use its large network of relationships within the commercial real estate industry to offer brokerage and energy procurement services with regard to gas without taking title to the commodity; in fact, under no circumstances will the company take title to any commodity whatsoever. In addition, contracts for the procurement of gas will be between the energy supplier (the energy company providing the gas) and the energy user (the real estate owner).



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Item B-2 – Experience & Plans. - continued

The company's role will be essentially that a broker, and it will attempt to match the needs of the many commercial real estate companies (with a particular emphasis on owners of shopping malls) with which it is familiar and through its other business channel has worked with over the last few decades with the largest energy suppliers in the nation.

The company management team has a combined 60 years of experience working with energy needs and operations of commercial real estate properties. The company will provide renewable energy systems and energy procurement services in an effort to support customers which own large portfolios of commercial real estate in their efforts to contain very high energy costs. The company will also offer demand response energy service, energy management systems and other solutions that will reduce the tremendous cost of energy for real estate companies, many of which spend tens of millions of dollars annually.

Our management team's decades of experience with commercial real estate operations, including many years working with the heads of operations for various real estate companies, will enable us to analyze such companies' specific energy needs, help them to design plans to meet their needs and objectives and work with them to procure energy {gas only} for their properties.

Our management team is available 24/7 to meet customers' needs as has been done over the years in other areas of services provided them relative to their properties' operations. The company will be able to deal personally with any questions, complaints or other points they may raise in connection with the planned services to be offered by the company to them.

While the provisions of Commission rules adopted pursuant to Section 4928.10 and/or 4929.22 of the Ohio Revised Code appear to be directed to compliance by retail natural gas suppliers or governmental aggregators of minimum service requirements for consumer protection, as stated, Applicant does not apply for and will never take title to electricity or natural gas in this or any jurisdiction. However, Applicant has adopted client disclosures and a complaint process which it has attached to this application.

Resource Energy Solutions, LLC currently provides natural gas aggregator services to eight customers. Six of the customers are real estate investment trusts, one is a senior living facility and the other customer is a casino hotel. The properties are located in New York, Illinois, Maryland, New Jersey, Pennsylvania and Virginia and to date; the total annual volume procured is approximately 255,000 dth.

Resource Energy Solutions, LLC plans on providing similar natural gas aggregator services to customers located in Ohio.

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**C. Financial Capability**

C-1. Financial reporting.

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or attach a copy of the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Applicant Resource Energy Solutions, LLC is a privately-held company and is not required to produce SEC filings.

C-2. Financial statements.

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with social security numbers and bank statements redacted.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff review may request additional financial information.

**Applicant's two years of Statements of Operations and two years of Balance Sheets which have been certified as true and correct by the Chief Financial Officer of Applicant have been filed under seal.**

C-3. Forecasted financial statements.

Provide two years of forecasted income statements based solely on the applicant's anticipated business activities in the state of Ohio.

**The forecasted income statements based solely on applicant's anticipated business activities in the State of Ohio have been filed under seal.**

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**C. Financial Capability - continued**

C-4. Credit rating.

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "Not Rated".

Not rated.

C-5. Credit report.

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. Bank/credit account numbers and highly sensitive identification information must be redacted. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select "This does not apply" and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

**A copy of the credit report has been filed under seal.**

C-8. Corporate structure.

Provide a graphical depiction of the applicant's corporate structure.

Applicant is a stand-alone entity with no affiliate or subsidiary companies.

**D. Technical Capability - continued**

Provide an attachment for each of the sections below.

D-1. Operations.

Retail natural gas brokers/aggregators: include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

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D-1. Operations - continued

Resource Energy Solutions, LLC is an energy management company serving some of the world's largest real estate companies. Resource Energy Solutions provides consulting services for approximately 750 million square feet of property across the country from our offices in California, Connecticut, and Maryland. Our services include developing and implementing energy procurement strategies, as well as providing utility redistribution and billing services and demand reduction consulting services.

D-2. Operations expertise and key technical personnel.

Provide evidence of the applicant's experience and technical expertise in performing the operations described in this application. Include the names, titles, e-mail addresses, telephone numbers and background of key personnel involved in the operational aspects of the applicant's business.

Steven Schluszel  
President  
937-885-5746  
SSchluszel@resourceenergy.com

Steven Schluszel brings over twenty years of experience working with leading commercial real estate companies. He is the founder and former President of International Environmental Management, Inc., which provides waste and recycling management services nationwide to over five hundred regional shopping centers. Mr. Schluszel has an undergraduate degree in Management and an MBA in Finance, both from New York University.

Steven Schluszel, President of the company, has extensive knowledge of commercial real estate and retail space having spent 25 years providing services in such areas. He has intricate knowledge of commercial real estate operations and the role energy costs play in such operations. He has advised large retail chains on industry leading energy management solutions in many parts of the country, and he is one of the leading proponents for the deployment of solar energy systems and fuel cell technology in commercial real estate in order to reduce and control energy costs and minimize damage to the environment.



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**D. Technical Capability - continued**

Scott Reinstein  
Chief Executive Officer  
818-206-8162  
SReinstein@resourceenergy.com

Scott Reinstein has many years of real estate and energy experience. Mr. Reinstein served in various executive capacities with The Westfield Group, one of the largest and most successful shopping center owners in the world. As Vice President of National Operations, he developed and implemented industry-leading energy procurement and energy cost containment programs which together saved millions of dollars in yearly energy expenses. Mr. Reinstein is a U.S. Navy veteran who served nearly four years with the armed forces.

Scott Reinstein, Chief Executive Officer of the company, was formerly in charge of energy for all 40,000,000 square feet of commercial space owned by the Westfield Group where he worked for nearly two decades. In his role, he bought energy nationally for years and was responsible for development and implementation of a company-wide energy procurement and management plan that was extremely successful in controlling costs for Westfield Group. Scott has lectured on energy procurement and management in the real estate industry and is one of the leading experts in the nation based on his achievements at Westfield Group and in energy procurement for the shopping center and retail industries. Customers of the company will have Scott's ability to do the same for them as he did for Westfield Group: help them to design and implement energy plans that will save money on procurement and usage

Richard Plutzer  
Chief Financial Officer  
201-937-4210  
RPlutzer@resourceenergy.com

Richard Plutzer previously served as Chief Financial Officer and General Counsel for International Environmental Management, Inc. Mr. Plutzer reorganized and leveraged the resources of the thirty-five person Finance Department to significantly increase the profitability

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**D. Technical Capability - continued**

and value of the company. Mr. Plutzer served as Chairman of the Energy Committee for the Environmental Business Counsel of the Committee and Industry Association of New Jersey. Mr. Plutzer graduated from Washington University in St. Louis and Boston College Law School.

Richard Plutzer, Chief Financial Officer of the company, is a CPA and attorney. He is also the founder and past-Chairman of the Energy Committee of the Commerce and Industry Association of New Jersey, and he has lectured numerous times on energy management and procurement issues and subjects. He has published articles on both demand response and renewable energy and together with Scott Reinstein has worked on articles regarding the design and development of long-term strategic energy procurement for commercial real estate owners. Richard has approximately 25 years of involvement with the commercial real estate industry in various levels of operations.

**Entity#:** 4171955  
**Filing Type:** FOREIGN LIMITED LIABILITY COMPANY  
**Original Filing Date:** 04/17/2018  
**Location:** --  
**Business Name:** RESOURCE ENERGY SOLUTIONS, LLC  
  
**Status:** Active  
**Exp. Date:** -

## Agent/Registrant Information

CT CORPORATION SYSTEM  
4400 EASTON COMMONS WAY, SUITE 125  
COLUMBUS OH 43219  
04/17/2018  
Active

## Filings

Filing Type	Date of Filing	Document ID
REG. OF FOR. PROFIT LIM. LIAB. CO.	04/17/2018	201811702520

**UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF SECRETARY OF STATE**

*I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State.*



*Witness my hand and the seal of the  
Secretary of State at Columbus,  
Ohio this 17th of November, A.D. 2020*

**Ohio Secretary of State**

A handwritten signature in black ink, reading "Frank LaRose".



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	CERT	COPY
04/30/2018	201811702520	REGISTRATION OF FOREIGN FOR PROFIT LLC (LFP)	99.00	0.00	0.00	0.00

**Receipt**

This is not a bill. Please do not remit payment.

RESOURCE ENERGY SOLUTIONS, LLC  
ATTN: RICHARD BREEN, ESQUIRE  
4 HIGH RIDGE PARK, SUITE 202  
STAMFORD, CT 06905

**STATE OF OHIO  
CERTIFICATE**

**Ohio Secretary of State, Jon Husted  
4171955**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**RESOURCE ENERGY SOLUTIONS, LLC**

and, that said business records show the filing and recording of:

Document(s)

**REGISTRATION OF FOREIGN FOR PROFIT LLC**

Effective Date: 04/17/2018

Document No(s):

**201811702520**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
30th day of April, A.D. 2018.

*Jon Husted*

**Ohio Secretary of State**





Form 533B Prescribed by:

**JON HUSTED**  
OHIO SECRETARY OF STATE

Toll Free: (877) SOS-FILE (877-787-3453)  
Central Ohio: (614) 486-3910

[www.OhioSecretaryofState.gov](http://www.OhioSecretaryofState.gov)  
[buserv@OhioSecretaryofState.gov](mailto:buserv@OhioSecretaryofState.gov)

File online or for more information: [www.OHBusinessCentral.com](http://www.OHBusinessCentral.com)

Mail this form to one of the following:

Regular Filing (non expedite)  
P.O. Box 670  
Columbus, OH 43216

Expedite Filing (Two business day processing time.  
Requires an additional \$100.00)

P.O. Box 1390  
Columbus, OH 43216

## Registration of a Foreign Limited Liability Company

**Filing Fee: \$99**  
**Form Must Be Typed**

CHECK ONLY ONE (1) BOX

(1) ☒ Registration of a Foreign For-Profit Limited  
Liability Company  
(106-LFA)  
ORC 1705

Jurisdiction of Formation

Date of Formation

(2) ☐ Registration of a Foreign Nonprofit  
Limited Liability Company  
(106-LFA)  
ORC 1705

Jurisdiction of Formation

Date of Formation

Name of Limited Liability Company in its jurisdiction of formation

Name under which the foreign limited liability company desires to transact business in Ohio (if different from its name in its jurisdiction of formation) is:

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

The address to which interested persons may direct requests for copies of the limited liability company's operating agreement, bylaws, or other charter documents of the company is:

Name

Mailing Address

City

State

ZIP Code

2018 APR 17 AM 9:42  
2018 MAR 16 PM 4:06

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

CT Corporation System

Name

4400 Easton Commons Way, Suite 125

Mailing Address

Columbus

City

Ohio

State

43219

ZIP Code


The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if:

- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

**Required**

Must be signed by an authorized representative.

  
Signature

By (if applicable)

Richard Plutzer

Print Name

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF  
DELAWARE, DO HEREBY CERTIFY "RESOURCE ENERGY SOLUTIONS, LLC" IS  
DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD  
STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS  
OFFICE SHOW, AS OF THE TWENTY-SIXTH DAY OF JANUARY, A.D. 2018.



4938939 8300  
SR# 20180189535

A handwritten signature in black ink, appearing to read "JWB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 202039209

Date: 01-26-18

**RESOURCE ENERGY SOLUTIONS, LLC****OHIO****CLIENT DISCLOSURES**

The following disclosures are responsive to the Public Utility Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

A broker must inform a client of the following prior to the initiation of brokerage services, the renewal of those services, or a material change in the services provided, or the terms and conditions of those services:

- (1) The broker's registered name, business mailing address, and contact information

Resource Energy Solutions, LLC  
 4 High Ridge Park, Suite 202  
 Stamford, CT 06905  
 Richard Plutzer, Chief Financial Officer  
[rplutzer@resourceenergy.com](mailto:rplutzer@resourceenergy.com)  
 Phone: (201) 937-4210  
 Fax: (203) 724-4275  
 Toll Free: (855) 300-4113

- (2) The broker's commission registration numbers:

Resource Energy Solutions, LLC Competitive Retail Natural Gas Provider Number \_\_\_\_\_

- (3) The registered name of any service provider that is an affiliate of the broker.
- (4) A clear description of the services the broker will provide for the client and service pricing.
- (5) The duration of the agreement to provide brokerage services, if applicable:
- (6) A description of how the broker will be compensated for providing brokerage services and by whom.
- (7) How the client can terminate the agreement to provide brokerage services without penalty, if applicable.
- (8) The amount of any fee or other cost the client will incur for terminating the agreement to provide brokerage services, if applicable.
- (9) The commission's telephone number and email address for complaints and inquiries

Call: 1-800-686-7826 | Fax: 1-800-752-8351 |

Contact form: <https://puco.ohio.gov/wps/portal/gov/puco/help-center/contact-us>

For more information visit: <https://puco.ohio.gov/wps/portal/gov/puco/help-center/file-a-complaint>



## RESOURCE ENERGY SOLUTIONS, LLC

### OHIO PUBLIC UTILITY COMMISSION COMPLAINT PROCESS

A broker must inform a client of the Commission's telephone number and email address for complaints prior to the initiation of brokerage services, at renewal of those services, and when a material change occurs in the services provided or to the terms and conditions of the services provided.

Required disclosure information: For complaints related to utility issues, contact the Public Utilities Commission of Ohio: Call: 1-800-686-7826 or at <https://puco.ohio.gov/wps/portal/gov/puco/help-center>

Office of the Ohio Consumers' Counsel: Call: 1-877-742-5622 Monday, Wednesday – Friday 8:00 a.m. – 5:00 p.m. | Columbus: 1-614-466-9467 | email: [occ@occ.ohio.gov](mailto:occ@occ.ohio.gov)

Ohio Attorney General: Call: 1-800-282-0515 Monday – Friday 8:00 a.m. – 7:00 p.m. | inquiry form: <https://www.ohioattorneygeneral.gov/Contact>

- Each broker must ensure that clients have reasonable access to service representatives to make inquiries and complaints, discuss charges on bills or any other aspect of the brokerage services provided to the client by the broker, terminate an agreement to provide services, and transact any other pertinent business.
- A broker must promptly investigate client complaints and advise the complainant of the results.
- A broker must inform the complainant of the Commission's informal complaint resolution.
- Brokers must keep records of the complaint for two years after the complaint is closed.
- Brokers are not permitted, even with written or verbal agreement with a client, to impair the right of a client to file a complaint.
- A broker must establish and maintain records and data that are sufficient to verify its compliance with the requirements of any applicable Commission rules and support any investigation of customer complaints.
- All required records must be retained for no less than two years and must be provided to the Commission within a reasonable period of time of its request, unless otherwise prescribed by the Commission or its authorized representative.
- Any agreements containing a different level of protections from those required by this section must be in writing and provided to the client.
- We are prohibited from initiating collection activities including a report of your delinquency to a credit reporting agency with respect to a disputed portion of a bill during the pendency of an informal complaint.
- You have the right to make a formal or informal complaint to the Public Utility Commission

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**7/16/2021 5:07:41 PM**

**in**

**Case No(s). 21-0806-GA-AGG**

Summary: Application of Resource Energy Solutions, LLC for Certification as a Retail Natural Gas Aggregator/Broker electronically filed by Teresa Orahoud on behalf of Devin D. Parram on behalf of Resource Energy Solutions, LLC