



DIS Case Number: 15-1097-GA-CRS

## Section A: Application Information

### A-1. Provider type:

☐ Retail Natural Gas  
Broker

☒ Retail Natural Gas  
Aggregator

☒ Retail Natural Gas  
Marketer

### A-2. Applicant's legal name and contact information.

**Legal Name:** Ambit Northeast, LLC

**Country:** United States

**Phone:** 1-877-282-6248  
**Extension (if applicable):**

**Street:** 6555 Sierra Drive

**Website (if any):** www.ambitenergy.com

**City:** Irving

**Province/State:** TX

**Postal Code:** 75039

### A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Ambit Energy	DBA	6555 Sierra Drive Irving, TX 75039	Yes	File
Ambit Northeast, LLC	Official Name	6555 Sierra Drive Irving, TX 75039	Yes	File

### A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Ambit Energy	DBA	6555 Sierra Drive Irving, TX 75039	Yes	File



## Public Utilities Commission

Ambit Northeast, LLC	Official Name	6555 Sierra Drive Irving, TX 75039	Yes	File
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### A-5. Contact person for regulatory matters

David Ricketts  
1005 Congress Avenue, Suite 750  
Austin, TX 78701  
US  
david.ricketts@vistraenergy.com  
5123496441

### A-6. Contact person for PUCO Staff use in investigating consumer complaints

Jim Vermeulen  
6555 Sierra Drive  
Irving, TX 75039  
US  
jim.vermeulen@vistracorp.com  
9728683945

### A-7. Applicant's address and toll-free number for customer service and complaints

<b>Phone:</b> 1-877-282-6248	<b>Extension (if applicable):</b>	<b>Country:</b> United States
<b>Fax:</b>	<b>Extension (if applicable):</b>	<b>Street:</b> 6555 Sierra Drive
<b>Email:</b> support@ambitenergy.com		<b>City:</b> Irving
		<b>Province/State:</b> TX
		<b>Postal Code:</b> 75039

### A-8. Applicant's federal employer identification number

27-2766610

### A-9. Applicant's form of ownership

**Form of ownership:** Limited Liability Company (LLC)

### A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

### Service area selection

Columbia Gas of Ohio  
 Dominion Energy Ohio  
 Duke Energy Ohio  
 Vectren Energy Delivery of Ohio

### Class of customer selection

Industrial  
 Residential  
 Small Commercial  
 Large Commercial

### A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 07-13-2015

### A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Curtis Morgan	curtis.morgan@vistracorp.com	Chief Executive Officer	6555 Sierra Drive Irving, TX 75039 US
Scott Hudson	scott.hudson@vistracorp.com	President, Vistra Retail	6555 Sierra Drive Irving, TX 75039 US
Paul Reyes	paul.reyes@vistracorp.com	Vice President	6555 Sierra Drive Irving, TX 75039 US
Carla Howard	carla.howard@vistracorp.com	Senior Vice President and General Tax Counsel	6555 Sierra Drive Irving, TX 75039 US
Tom Farrah	tom.farrah@vistracorp.com	Senior Vice President, Chief Information Officer	6555 Sierra Drive Irving, TX 75039 US



## Public Utilities Commission

Gabriel Castro	<a href="mailto:gabriel.castro@vistracorp.com">gabriel.castro@vistracorp.com</a>	Senior Vice President	6555 Sierra Drive Irving, TX 75039 US
Sydney Seiger	<a href="mailto:sydney.seiger@vistracorp.com">sydney.seiger@vistracorp.com</a>	Senior Vice President	6555 Sierra Drive Irving, TX 75039 US
John Duessel	<a href="mailto:john.duessel@vistracorp.com">john.duessel@vistracorp.com</a>	Senior Vice President	6555 Sierra Drive Irving, TX 75039 US
Yuki Whitmire	<a href="mailto:yuki.whitmire@vistracorp.com">yuki.whitmire@vistracorp.com</a>	Vice President, Associate General Counsel, Corporate Secretary	6555 Sierra Drive Irving, TX 75039 US
Ruben Garcia	<a href="mailto:ruben.garcia@vistracorp.com">ruben.garcia@vistracorp.com</a>	Vice President of Finance and Assistant Treasurer	6555 Sierra Drive Irving, TX 75039 US
Claudia Morrow	<a href="mailto:claudia.morrow@vistracorp.com">claudia.morrow@vistracorp.com</a>	Senior Vice President	6555 Sierra Drive Irving, TX 75039 US
Stephanie Zapata Moore	<a href="mailto:stephanie.moore@vistracorp.com">stephanie.moore@vistracorp.com</a>	Executive Vice President, General Counsel, Chief Compliance Officer	6555 Sierra Drive Irving, TX 75039 US
Christy Dobry	<a href="mailto:christy.dobry@vistracorp.com">christy.dobry@vistracorp.com</a>	Senior Vice President, Controller	6555 Sierra Drive Irving, TX 75039 US
Carrie Kirby	<a href="mailto:carrie.kirby@vistracorp.com">carrie.kirby@vistracorp.com</a>	Executive Vice President, Chief Administrative Officer	6555 Sierra Drive Irving, TX 75039 US
Stephen Muscato	<a href="mailto:stephen.muscato@vistracorp.com">stephen.muscato@vistracorp.com</a>	Executive Vice President, Chief Commercial Officer	6555 Sierra Drive Irving, TX 75039 US
Gabe Vazquez	<a href="mailto:gabe.vazquez@vistracorp.com">gabe.vazquez@vistracorp.com</a>	Vice President, Associate General Counsel	6555 Sierra Drive Irving, TX 75039 US
Samudra Sen	<a href="mailto:samudra.sen@vistracorp.com">samudra.sen@vistracorp.com</a>	Vice President	6555 Sierra Drive Irving, TX 75039 US
James Burke	<a href="mailto:jim.burke@vistracorp.com">jim.burke@vistracorp.com</a>	Chief Financial Officer	6555 Sierra Drive Irving, TX 75039 US
Darshan Bhate	<a href="mailto:darshan.bhate@vistracorp.com">darshan.bhate@vistracorp.com</a>	Senior Vice President	6555 Sierra Drive Irving, TX 75039 US
Kristopher Moldovan	<a href="mailto:kristopher.moldovan@vistracorp.com">kristopher.moldovan@vistracorp.com</a>	Senior Vice President, Treasurer	6555 Sierra Drive Irving, TX 75039 US



## Public Utilities Commission

Daniel Kelly	daniel.kelly@vistracorp.com	Senior Vice President and Deputy General Counsel	6555 Sierra Drive Irving, TX 75039 US
Seth Rasmussen	seth.rasmussen@vistracorp.com	Assistant Secretary	6555 Sierra Drive Irving, TX 75039 US

### A-13. Company history

Effective November 1, 2019, Ambit's parent company Ambit Holdings, LLC was acquired by a wholly owned subsidiary of Vistra Corp., which resulted in changes to Ambit's upstream ownership.

### A-14. Secretary of State

Secretary of State Link:

### A-15. Proof of Ohio Employee and Office

Provide proof of an Ohio Office and Employee in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address

**Employee Name:** Katie Kiefer  
312 Walnut Street  
Cincinnati, OH 45202  
US  
katie.kiefer@vistracorp.com  
5137628201

## Section B: Applicant Managerial Capability and Experience

### B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..



File Attached

**B-2. Experience and plans**

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

File(s) attached

**B-3. Disclosure of liabilities and investigations**

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

File Attached

**B-4. Disclosure of consumer protection violations**

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

**No**

**B-5. Disclosure of certification, denial, curtailment, suspension or revocation**

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

**No**



## Section C: Applicant Financial Capability and Experience

### C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Financial Reports Link(s): <https://investor.vistracorp.com/investor-relations/financial-information/financials/default.aspx>

### C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Links to Financial Statement(s): <https://investor.vistracorp.com/investor-relations/financial-information/financials/default.aspx>

### C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities



only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

#### **C-4. Credit rating**

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

#### **C-5. Credit report**

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.





This does not apply.

#### **C-6. Bankruptcy information**

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

#### **C-7. Merger information**

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

Merger Information: Effective November 1, 2019, Ambit's parent company Ambit Holdings, LLC was acquired by a wholly owned subsidiary of Vistra Corp., which resulted in changes to Ambit's upstream ownership.

#### **C-8. Corporate structure**

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

File(s) attached

#### **C-9. Financial arrangements**

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or



letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.
2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal **in the opinion of the Staff reviewer** to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

Preferred to file confidentially

## Section D: Applicant Technical Capacity

### D-1. Operations

Gas Marketers: Describe the operational nature of the applicant's business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

File(s) attached

### D-2. Operations Expertise & Key Technical Personnel



## Public Utilities Commission

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

File(s) attached



Public Utilities  
Commission

# Application Attachments

BUSINESS DETAILS & FILINGS

Close

Business Details

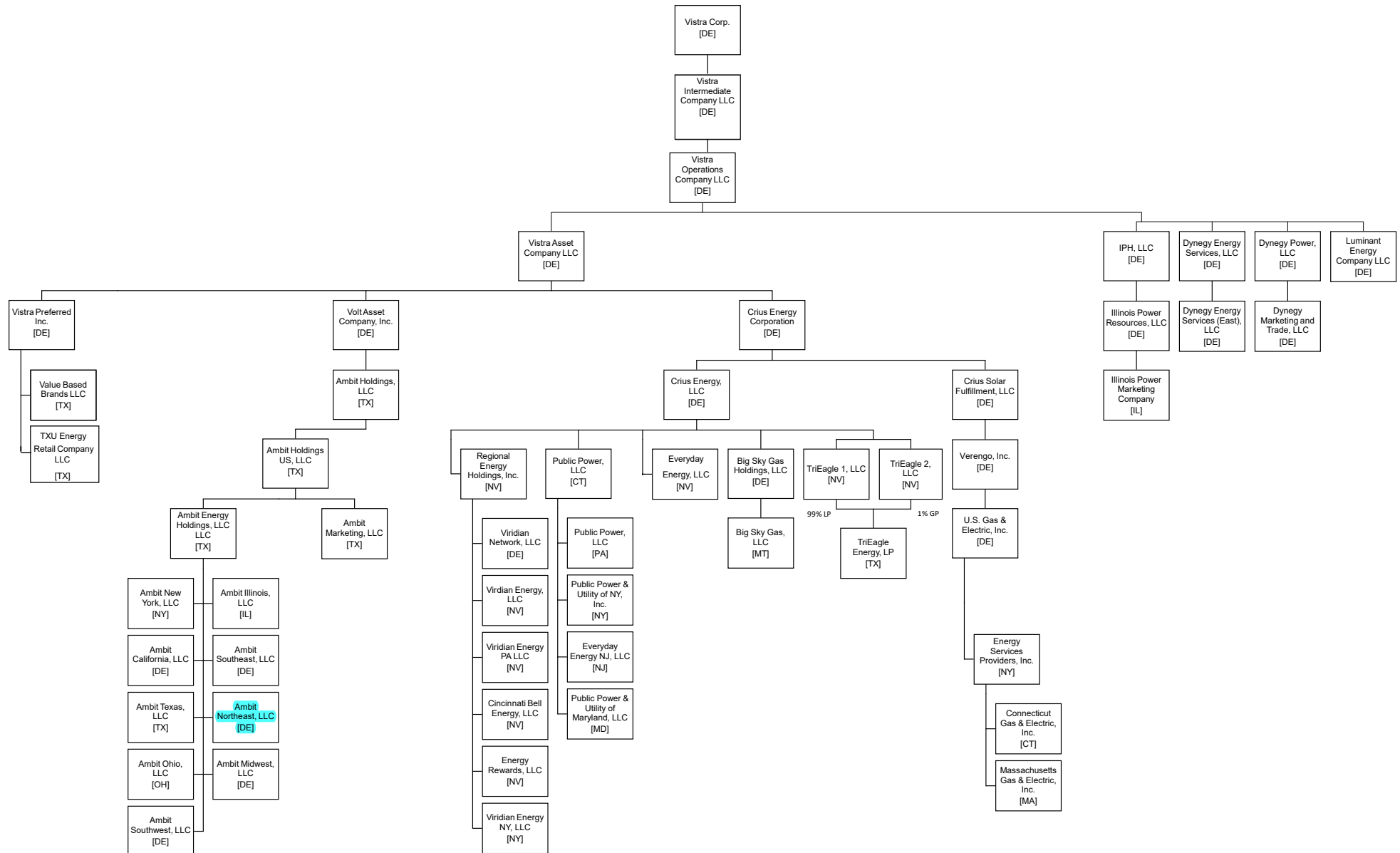
Entity #:	2400631	Business Name:	AMBIT NORTHEAST, LLC
Filing Type:	FOREIGN LIMITED LIABILITY COMPANY	Status:	Active
Original Filing Date:	06/11/2015	Exp. Date:	-

AGENT/REGISTRANT INFORMATION

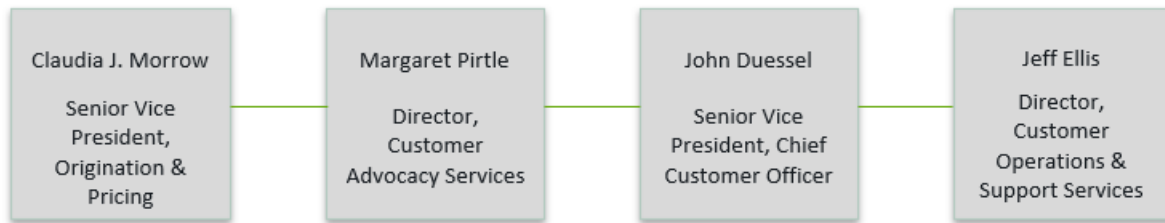
CAPITOL CORPORATE SERVICES, INC.  
4565 MAYFIELD RD.  
STE 204  
CLEVELAND OH 44121  
06/11/2015  
Active

# Vistra Corp. Organizational Structure U. S. Retail Entity Excerpt

\*\*All ownership interest 100% unless otherwise indicated.



## Managerial Leadership:



### **Claudia J. Morrow**

Senior Vice President, Origination & Pricing

Years of Experience 19

Claudia is responsible for commodity cost forecasting and portfolio management of the commodity price risk associated with Vistra's subsidiaries' retail electricity sales through procurement of power and power related products. The portfolio value is in the range of \$3 billion dollars. She also leads a retail gas sales team with large industrial and commercial customers across the state of Texas.

Claudia joined Vistra's predecessor (TXU Corp.) in July of 2001 with multiple years of experience in commodities markets at JP Morgan Chase and Morgan Stanley.

Claudia has a bachelor's degree from Texas A&M University.

### **Margaret Pirtle**

Director, Customer Advocacy Services

Years of Experience 12

Margaret Pirtle is the Director of Customer Advocacy Services for Vistra Energy Corp. (Vistra). Margaret joined Vistra's predecessor (TXU Corp.) in April 2007 and has over 12 years of experience in the competitive electricity industry. When she joined the company, Margaret was responsible for establishing the operations policy and procedures team, which implemented and ensured compliance with operational policies. In her current role, she is responsible for driving improved customer experiences by managing all lines of customer escalations including those to the state commissions, Attorney General's office, and the Better Business Bureau. She also oversees the Energy Assistance program that provides financial support to customers in need. Additionally, Margaret is responsible for managing the relationships with the Transmission and Distribution Utilities, ERCOT, and the state commissions to ensure operational readiness.

Margaret has a bachelor's degree in business administration from Texas Tech University  
– Rawls College of Business.

### **John Duessel**

Senior Vice President, Chief Customer Officer

Years of Experience 10

John Duessel is a Vice President and the Chief Customer Officer for Vistra and has over 10 years of experience in the competitive electricity industry. John joined Vistra's predecessor (Energy Future Holdings Corp. (EFH)) in April 2010 and has been the Chief Customer Officer for Vistra since October 2016. In his current role, John leads the customer experience services organizations that are responsible for delivering exceptional experiences to customers for Vistra's retail subsidiaries.

Prior to his role as the Chief Customer Officer, John was a Senior Director and then a Vice President of the revenue operations division, and, in those roles, he led teams dedicated to delivering seamless customer experiences across all core revenue cycle management functions. John began at the company as a Director in the credit, collections, and bad debt management department, where he was responsible for credit assessment / credit management and collections functions across all lines of the business.

John received a master in business administration in finance degree from Southern Methodist University – Cox School of Business and has a juris doctor degree from Columbus School of Law.

### **Jeff Ellis**

Director, Customer Operations & Support Services

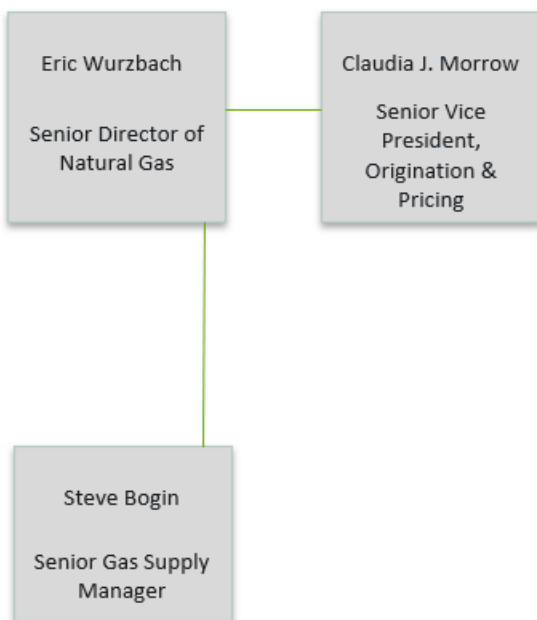
Years of Experience 20

Jeff Ellis is the Director of Customer Operations & Support Services at Vistra and has 20 years of experience in the competitive electricity industry. In his current role with Vistra, Jeff oversees contact center operations, including customer experience execution as well as contact center support functions such as process optimization, learning and development, quality insights, and compliance.

Jeff began his career with Vistra's predecessor (TXU Corp.) in 1999, supporting customer system enhancements that prepared TXU Corp. to transition into the Texas competitive retail electric market. Jeff subsequently worked for a consulting firm that managed customer operations for several other Texas retail electric providers, before returning to Vistra's predecessor (then EFH) in 2009.

Jeff has a degree in management information systems and business management from University of Oklahoma – Price College of Business

### **Technical Leadership:**





**Claudia J. Morrow**

Senior Vice President, Origination & Pricing

Years of Experience 19

Claudia is responsible for commodity cost forecasting and portfolio management of the commodity price risk associated with Vistra's subsidiaries' retail electricity sales through procurement of power and power related products. The portfolio value is in the range of \$3 billion dollars. She also leads a retail gas sales team with large industrial and commercial customers across the state of Texas.

Claudia joined Vistra's predecessor (TXU Corp.) in July of 2001 with multiple years of experience in commodities markets at JP Morgan Chase and Morgan Stanley.

Claudia has a bachelor's degree from Texas A&M University.

**Eric Wurzbach**

Senior Director of Natural Gas

Years of Experience 20

Eric Wurzbach is the Senior Director of Natural Gas at Vistra and has over 20 years of experience in the natural gas industry having worked in the areas risk analyst, gas scheduling, and gas trading.

Eric has a B.B.A., Economics, International Business, and Business from Baylor University – Hankamer School of Business, and an MBA from University of Houston, C.T. Bauer College of Business, Certificates of Energy Risk Management, Energy Investment Analysis, and Energy Accounting & Finance.

**Steve Bogin**

Senior Gas Supply Manager

Years of Experience 11

Steve Bogin is the Senior Gas Supply Manager with proven ability of enhancing portfolio optimization and achievements in the energy trading industry. He has extensive experience in load and capacity forecasting, invoice reconciliation, process reengineering and due diligence activities around new market entry.

In Steve's current and past roles, he has been responsible for the market entry for new gas markets, forecasting customer usage, and procurement and financial hedging. He has also been scheduling pipeline nominations and LDC nominations for 10 different market areas. He maintains the racking of all transactions, confirms deals with third parties ensuring accuracies. Additionally, he has been responsible for financial reporting such as Pnl and Gross Margin reports.

Steve has degree from Rockland Community College, Rockland, NY

# Competitive Retail Natural Gas Service Affidavit

County of Dallas :

State of Texas :

Gabe Vazquez, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
10. Affiant further sayeth naught.

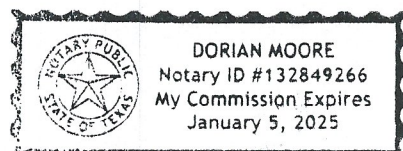
Gabriel Ojeda  
Vice President, Associate General Counsel

Sworn and subscribed before me this 28 day of May, 2021  
Month Year

Dorrian Moore  
Signature of official administering oath

Dorrian Moore, Manager Retail Compliance  
Print Name and Title

My commission expires on January 5, 2025



**Exhibit B-1****Jurisdiction of Operations**

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered, or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of the filing of this application.

<b>State</b>	<b>Entity</b>	<b>d/b/a</b>	<b>Commodity</b>
California	Ambit California, LLC	Ambit	Gas
California	Everyday Energy, LLC d/b/a Energy Rewards	Energy Rewards	Gas
California	Viridian Energy PA, LLC	Viridian Energy	Gas
Connecticut	Ambit Northeast, LLC	Ambit	Electric
Connecticut	Connecticut Gas & Electric, Inc.	Connecticut Gas & Electric, Inc.	Electric
Connecticut	Everyday Energy, LLC d/b/a Energy Rewards	Energy Rewards	Electric
Connecticut	Public Power, LLC	Public Power	Electric
Connecticut	Viridian Energy, LLC	Viridian Energy	Electric
D.C.	Ambit Northeast, LLC	Ambit	Electric
D.C.	Ambit Northeast, LLC	Ambit	Gas
D.C.	Energy Services Providers, Inc.	DC Gas & Electric	Electric
D.C.	Everyday Energy, LLC	Energy Rewards	Electric
D.C.	Everyday Energy, LLC	Energy Rewards	Gas
D.C.	Public Power, LLC	Public Power	Electric
D.C.	Viridian Energy PA LLC	Viridian Energy	Electric
D.C.	Viridian Energy PA LLC	Viridian Energy	Gas
Delaware	Ambit Northeast, LLC	Ambit	Electric
Delaware	Everyday Energy, LLC	Energy Rewards	Electric
Delaware	Viridian Energy PA, LLC	Viridian Energy	Electric
Illinois	Ambit Illinois, LLC	Ambit	Gas
Illinois	Ambit Northeast, LLC	Ambit	Electric
Illinois	Dynegy Energy Services, LLC	Better Buy Energy	Electric
Illinois	Dynegy Energy Services, LLC	Brighten Energy	Electric
Illinois	Dynegy Energy Services, LLC	Dynegy	Electric
Illinois	Dynegy Energy Services, LLC	Honor Energy	Electric
Illinois	Dynegy Energy Services, LLC	True Fit Energy	Electric
Illinois	Dynegy Energy Services, LLC	Dynegy	Gas
Illinois	Dynegy Energy Services, LLC	Brighten Energy	Gas
Illinois	Dynegy Energy Services, LLC	Better Buy Energy	Gas
Illinois	Energy Services Providers, Inc.	Illinois Gas & Electric	Electric
Illinois	Energy Services Providers, Inc.	ILG&E	Electric
Illinois	Everyday Energy, LLC	Energy Rewards	Electric
Illinois	Everyday Energy, LLC	Energy Rewards	Gas

Illinois	Illinois Power Marketing Company	Homefield Energy	Electric
Illinois	Public Power, LLC	Public Power	Electric
Illinois	TriEagle Energy, LP	TriEagle Energy	Electric
Illinois	U.S. Gas & Electric, Inc.	USG&E	Gas
Illinois	U.S. Gas & Electric, Inc.	Illinois G&E	Gas
Illinois	U.S. Gas & Electric, Inc.	IL Electric & Gas	Gas
Illinois	Viridian Energy PA LLC	Viridian Energy	Electric
Illinois	Viridian Energy PA LLC	Viridian Energy	Gas
Indiana	Ambit Midwest, LLC	Ambit	Gas
Indiana	Everyday Energy, LLC	Value Power & Gas	Gas
Indiana	U.S. Gas & Electric, Inc.	Indiana Gas & Electric	Gas
Indiana	Viridian Energy PA, LLC	Viridian Energy	Gas
Kentucky	U.S. Gas & Electric, Inc.	Kentucky Gas & Electric	Gas
Maine	Ambit Northeast, LLC	Ambit	Electric
Maine	Energy Rewards, LLC	Energy Rewards	Electric
Maine	Massachusetts Gas & Electric, Inc.	Maine Gas & Electric	Electric
Maryland	Ambit Northeast, LLC	Ambit	Electric
Maryland	Ambit Northeast, LLC	Ambit	Gas
Maryland	Energy Services Providers, Inc.	Maryland Gas & Electric	Electric
Maryland	Everyday Energy, LLC d/b/a Energy Rewards	Energy Rewards	Electric
Maryland	Everyday Energy, LLC d/b/a Energy Rewards	Energy Rewards	Gas
Maryland	Public Power & Utility of Maryland, LLC	Public Power & Utility of Maryland	Electric
Maryland	TriEagle Energy, LP	TriEagle Energy	Electric
Maryland	U.S. Gas & Electric, Inc.	Maryland Gas & Electric	Gas
Maryland	Viridian Energy PA, LLC	Viridian Energy	Electric
Maryland	Viridian Energy PA, LLC	Viridian Energy	Gas
Massachusetts	Ambit Northeast, LLC	Ambit	Gas
Massachusetts	Ambit Northeast, LLC	Ambit	Electric
Massachusetts	Dynegy Energy Services (East), LLC	Dynegy	Electric
Massachusetts	Everyday Energy, LLC d/b/a Energy Rewards	Energy Rewards	Electric
Massachusetts	Massachusetts Gas & Electric, Inc.	Massachusetts Gas & Electric	Electric
Massachusetts	Public Power, LLC	Public Power	Electric
Massachusetts	Viridian Energy PA, LLC	Viridian Energy	Gas
Massachusetts	Viridian Energy, LLC	Viridian Energy	Electric
Michigan	Ambit Midwest, LLC	Ambit	Gas
Michigan	Energy Services Providers, Inc.	Michigan Gas & Electric	Electric
Michigan	Everyday Energy, LLC d/b/a Energy Rewards	Energy Rewards	Gas
Michigan	U.S. Gas & Electric, Inc.	Michigan Gas & Electric	Gas
Michigan	Viridian Energy PA, LLC	Viridian Energy	Gas
Montana	Big Sky Gas, LLC	Big Sky Gas	Gas
New Hampshire	Ambit Northeast, LLC	Ambit	Electric
New Hampshire	Energy Rewards, LLC	Energy Rewards	Electric
New Hampshire	Everyday Energy, LLC d/b/a Energy Rewards	Everyday Energy	Electric

New Hampshire	Viridian Energy, LLC	Viridian Energy	Electric
New Jersey	Ambit Northeast, LLC	Ambit	Gas
New Jersey	Ambit Northeast, LLC	Ambit	Electric
New Jersey	Energy Services Providers, Inc.	New Jersey Gas & Electric	Electric
New Jersey	Everyday Energy NJ, LLC	Energy Rewards	Electric
New Jersey	Everyday Energy NJ, LLC	Energy Rewards	Gas
New Jersey	TriEagle Energy, LP	TriEagle Energy	Electric
New Jersey	U.S. Gas & Electric, Inc.	New Jersey Gas & Electric	Gas
New Jersey	Viridian Energy PA, LLC	Viridian Energy	Electric
New Jersey	Viridian Energy PA, LLC	Viridian Energy	Gas
New York	Ambit New York, LLC	Ambit	Electric
New York	Energy Services Providers, Inc.	New York Gas & Electric	Electric
New York	Everyday Energy, LLC d/b/a Energy Rewards	Energy Rewards	Electric
New York	Everyday Energy, LLC d/b/a Energy Rewards	Energy Rewards	Gas
New York	Public Power, LLC	Public Power	Electric
New York	Public Power, LLC	Public Power	Gas
New York	U.S. Gas & Electric, Inc.	New York Gas & Electric	Gas
New York	Viridian Energy NY, LLC	Viridian Energy	Electric
New York	Viridian Energy PA, LLC	Viridian Energy	Gas
Ohio	Ambit Northeast, LLC	Ambit	Gas
Ohio	Ambit Northeast, LLC	Ambit	Electric
Ohio	Cincinnati Bell Energy, LLC	Cincinnati Bell Energy	Electric
Ohio	Cincinnati Bell Energy, LLC	Cincinnati Bell Energy	Gas
Ohio	Dynegy Energy Services (East), LLC	Better Buy Energy	Electric
Ohio	Dynegy Energy Services (East), LLC	Brighten Energy	Electric
Ohio	Dynegy Energy Services (East), LLC	Dynegy	Electric
Ohio	Dynegy Energy Services (East), LLC	Honor Energy	Electric
Ohio	Dynegy Energy Services (East), LLC	True Fit Energy	Electric
Ohio	Dynegy Energy Services (East), LLC	Better Buy Energy	Gas
Ohio	Dynegy Energy Services (East), LLC	Brighten Energy	Gas
Ohio	Dynegy Energy Services (East), LLC	Dynegy	Gas
Ohio	Dynegy Energy Services (East), LLC	Honor Energy	Gas
Ohio	Dynegy Energy Services (East), LLC	True Fit Energy	Gas
Ohio	Energy Services Providers, Inc.	Ohio Gas & Electric	Electric
Ohio	Energy Services Providers, Inc.	USG&E	Electric
Ohio	Energy Services Providers, Inc.	US Gas & Electric	Electric
Ohio	Everyday Energy, LLC d/b/a Energy Rewards	Value Power & Gas	Electric
Ohio	Everyday Energy, LLC d/b/a Energy Rewards	Value Power & Gas	Gas
Ohio	Public Power, LLC	Public Power	Electric
Ohio	TriEagle Energy, LP	TriEagle Energy	Electric
Ohio	U.S. Gas & Electric, Inc.	Ohio Gas & Electric	Gas
Ohio	Viridian Energy PA, LLC	Viridian Energy	Electric
Ohio	Viridian Energy PA, LLC	Viridian Energy	Gas

Pennsylvania	Ambit Northeast, LLC	Ambit	Electric
Pennsylvania	Ambit Northeast, LLC	Ambit	Gas
Pennsylvania	Dynegy Energy Services (East), LLC	Better Buy Energy	Electric
Pennsylvania	Dynegy Energy Services (East), LLC	Brighten Energy	Electric
Pennsylvania	Dynegy Energy Services (East), LLC	Dynegy	Electric
Pennsylvania	Dynegy Energy Services (East), LLC	Honor Energy	Electric
Pennsylvania	Dynegy Energy Services (East), LLC	True Fit Energy	Electric
Pennsylvania	Energy Services Providers, Inc.	Pennsylvania Gas & Electric	Electric
Pennsylvania	Everyday Energy, LLC d/b/a Energy Rewards	Energy Rewards	Electric
Pennsylvania	Everyday Energy, LLC d/b/a Energy Rewards	Energy Rewards	Gas
Pennsylvania	Public Power, LLC	Public Power	Electric
Pennsylvania	TriEagle Energy, LP	TriEagle Energy	Electric
Pennsylvania	U.S. Gas & Electric, Inc.	Pennsylvania Gas & Electric	Gas
Pennsylvania	Viridian Energy PA, LLC	Viridian Energy	Electric
Pennsylvania	Viridian Energy PA, LLC	Viridian Energy	Gas
Rhode Island	Ambit Northeast, LLC	Ambit	Electric
Rhode Island	Public Power, LLC	Public Power	Electric
Rhode Island	Viridian Energy, LLC	Viridian Energy	Electric
Texas	Ambit Texas, LLC	Ambit	Electric
Texas	TriEagle Energy, LP	Energy Rewards	Electric
Texas	TriEagle Energy, LP	POWER HOUSE ENERGY	Electric
Texas	TriEagle Energy, LP	EAGLE ENERGY	Electric
Texas	TriEagle Energy, LP	Viridian Energy	Electric
Texas	TriEagle Energy, LP	TRIEAGLE ENERGY SERVICES	Electric
Texas	TXU Energy Retail Company LLC	TXU	Electric
Texas	TXU Energy Retail Company LLC	Assurance Energy	Electric
Texas	Value Based Brands LLC	4Change	Electric
Texas	Value Based Brands LLC	4Change Energy	Electric
Texas	Value Based Brands LLC	Express Energy	Electric
Texas	Value Based Brands LLC	Veteran Energy	Electric
Virginia	Ambit Northeast, LLC	Ambit	Gas
Virginia	Viridian Energy PA, LLC	Viridian Energy	Gas
Virginia	Viridian Energy PA, LLC	Viridian Energy	Gas

## **Exhibit D-1**

### **Operations**

Describe the operational nature of the applicant's business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

Ambit Northeast, LLC does market natural gas as a licensed competitive retail natural gas services supplier in Ohio to residential, commercial, and industrial customers. Ambit Northeast, LLC will contract natural gas purchases for retail sales, as well as nominate and schedule retail natural gas for delivery to residential, commercial, and industrial choice customers.

### **Exhibit B-3**

#### **Liabilities and Investigations**

For the Applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

Company: **U.S. Gas & Electric, Inc. , Energy Services Providers, Inc.**

State: **MD**

On May 15, 2019, the Technical Staff of the Maryland Public Service Commission (“PSC”) filed a complaint against Energy Services Providers, Inc. d/b/a Maryland Gas & Electric and U.S. Gas & Electric, Inc. d/b/a Maryland Gas & Electric (collectively, the “Company”) alleging that the Company had violated Maryland law governing retail suppliers’ activities. On May 14, 2021, the parties requested PSC approval of a partial-settlement agreement. The partial-settlement agreement would resolve all matters at issue except those related to the Maryland Telephone Solicitations Act (“MTSA”). The parties are currently awaiting PSC approval of the partial-settlement agreement.

Company: **Public Power, LLC**

State: **CT**

In December 2019, the Public Utilities Regulatory Authority (“PURA”) requested a review of Public Power’s compliance with billing, marketing and licensing requirements. Public Power has been issued and responded to several interrogatories focused on Public Power’s marketing efforts, which for Public Power is largely limited to online enrollments.

Company: **Ambit Northeast, LLC**

State: **OH**

On June 9, 2021, Technical Staff of the Public Utilities Commission of Ohio (“PUCO”) issued a notice of probable non-compliance to Ambit Northeast LLC (“Ambit”). Ambit is currently reviewing internal records and working with Staff to provide information contained in the notice.



## **Exhibit B-2**

### **Experience and Plans**

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with commission ruled adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

#### **Experience and Contracting with Customers**

Ambit Energy was founded in 2006 providing electricity services in Texas. Today Ambit Energy has grown to providing electricity and natural gas services in 17 deregulated markets across the United States and in Canada and Japan and over 1 million customers served. Ambit Energy offers fixed price and variable products with a wide array of unique attributes, depending on availability, such as renewable energy, bill averaging, and time of use pricing.

Ambit Energy customers enroll themselves online through Ambit Energy's website or over the phone through Ambit Energy's call center. Other than an Ambit Energy call center agent enrolling a customer telephonically, Ambit Energy's enrollments are not agent-assisted. It is policy that customers must always enroll themselves with Ambit Energy directly with the majority of Ambit Energy customers enrolling online through Ambit Energy's website.

Ambit Energy uses traditional marketing methods to acquire customers including advertisements, network marketing, and telesales for the acquisition of residential and small commercial customers. Ambit Energy does not advertise through mass media campaigns – such as billboards or commercials and does not engage in either retail location (kiosk) sales or door-to-door sales. Instead, Ambit Energy will encourage word of mouth by friends, relatives, co-workers, and neighbors.

Ambit Energy adheres to strict quality assurance protocols, utilizing proven procedures and technologies designed to validate each enrollment. Customers with an Ohio service address who enroll online through Ambit Energy's website must complete a digital verification process, which is an authentication method that requires a customer to verify their identify by responding correctly to a minimum of 2 questions pulled from information collected by credit reporting agencies, when enrolling Ohio customers online for Ambit services.

Customers who enroll telephonically will have the terms of service and contract information explained to them at the time of the call. The Ambit Energy customer service agent will confirm the customer's choice to switch to Ambit. Ambit Energy abides by all enrollment requirements established by the Public Utilities Commission of Ohio, including retaining required data associated with each sale per established record retention standards.

Ambit Energy considers customer information of the utmost importance and strictly controls any and all information submitted by customers. A customer who enrolls online for Ambit Energy service will enter a secured and encrypted online enrollment website maintained by Ambit Energy. A customer who enrolls over the phone will have an Ambit Energy customer service agent input their information directly into Ambit Energy's

secured and encrypted billing and records system. Ambit Energy will not provide or make available to anyone outside the organization confidential customer information it receives from the customer.

### **Providing Billing Statements**

Ambit Northeast, LLC does not provide its own billing statements. It will provide consolidated billing with the utility.

### **Responding to Customer Inquiries and Complaints**

Ambit Energy will respond to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code as follows, which will be included in all customer contracts:

In the event of a billing dispute, disagreement, or questions, the customer can contact Ambit Energy's Customer Care Center at 1-877-282-6248 Monday through Sunday 7:00 AM to 10:00 PM Central Standard Time, or customers may send a letter to Ambit Energy at PO BOX 864589, Plano, TX 75086. If the parties involved are unable to come to a resolution regarding disputes or issues, the customer may call the Public Utilities Commission of Ohio ("PUCO") toll free at 1-800-686-7826 or 1-614-466-3292, or for TDD/TYY toll free at 1-800-686-1570 or 1-614-466-8180 from 8:00 AM to 5:00 PM weekdays, or can visit the PUCO website at [www.puco.ohio.gov](http://www.puco.ohio.gov). Residential customers may call the Ohio consumers' counsel (OCC) toll free at 1-877-742-5622 from 8:30 a.m. to 5:30 p.m. weekdays, or may visit the OCC website at [www.pickocc.org](http://www.pickocc.org).

Any complaints of questions that are not resolved by the customer care representatives are then escalated to the Customer Advocacy Department, who will work directly with the customer to investigate and address the issue with the goal of achieving customer satisfaction.

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**6/11/2021 6:34:12 PM**

**in**

**Case No(s). 15-1097-GA-CRS**

Summary: In the Matter of the Application of Ambit Northeast, LLC