

# THE PUBLIC UTILITIES COMMISSION OF OHIO

IN THE MATTER OF THE APPLICATION OF  
LEGEND ENERGY ADVISORS, LLC FOR  
CERTIFICATION AS A COMPETITIVE  
RETAIL ELECTRIC AGGREGATOR/BROKER  
IN OHIO.

CASE NO. 14-1092-EL-AGG

## FINDING AND ORDER

Entered in the Journal on November 4, 2020

### I. SUMMARY

{¶ 1} The Commission approves Legend Energy Advisors, LLC's application for renewal of its certification as a competitive retail electric service aggregator/power broker.

### II. DISCUSSION

{¶ 2} R.C. 4928.08 provides that retail electric service suppliers that provide competitive retail electric service (CRES) must be certified by the Commission prior to providing CRES. The Commission reviews applications for certification to determine if the applicant has the managerial, technical, and financial capability to provide CRES and whether there are reasonable financial assurances sufficient to protect customers and electric companies from default. Pursuant to R.C. 4928.08 and Ohio Adm.Code 4901:1-24-10, the application for certification shall be deemed approved 30 days after the filing of the application, unless the Commission suspends such approval. If the case is suspended, R.C. 4928.08 provides that the Commission shall act to approve or deny the application within 90 days after the date of suspension.

{¶ 3} On July 20, 2020, Legend Energy Advisors, LLC (Legend) filed an application for renewal of its certification as a CRES aggregator/power broker, as required by Ohio Adm.Code 4901:1-24-05. In support of its application, Legend provided updated information regarding its managerial, financial, and technical ability to provide CRES.

{¶ 4} By Entry dated August 14, 2020, the attorney examiner suspended the 30-day automatic approval process with respect to Legend's renewal application for certification,

pursuant to Ohio Adm.Code 4901:1-24-10(A), in order to afford the Commission and Staff additional time to review the matter.

{¶ 5} On August 26, 2020, Legend filed additional information in support of its renewal application for certification and requested confidential treatment of the information.

{¶ 6} On October 20, 2020, Staff filed its review and recommendation. Staff indicates that Legend provided the necessary additional information, and, therefore, Staff recommends that Legend's renewal application for certification be approved.

{¶ 7} Upon review of the application, as supplemented, and Staff's recommendation, Legend appears managerially, financially, and technically fit and capable of performing as a CRES aggregator/power broker in compliance with all applicable regulations adopted pursuant to R.C. Chapter 4928. Accordingly, the Commission finds that Legend's renewal application for certification as a CRES aggregator/power broker should be approved for a period of two years.

### III. ORDER

{¶ 8} It is, therefore,

{¶ 9} ORDERED, That Legend's renewal application for certification as a CRES aggregator/power broker be approved. It is, further,

{¶ 10} ORDERED, That a copy of this Finding and Order be served upon all parties of record.

**COMMISSIONERS:**

*Approving:*

M. Beth Trombold  
Lawrence K. Friedeman  
Daniel R. Conway  
Dennis P. Deters

MJS/kck

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**11/4/2020 2:22:35 PM**

**in**

**Case No(s). 14-1092-EL-AGG**

Summary: Finding & Order approving Legend Energy Advisors, LLC's application for renewal of its certification as a competitive retail electric service aggregator/power broker.  
electronically filed by Ms. Mary E Fischer on behalf of Public Utilities Commission of Ohio