



Section A: Application Information

A-1. Provider type:

- Power Broker
- Aggregator
- Retail Generation Provider
- Power Marketer

A-2. Applicant’s legal name and contact information.

**Legal Name:** Resource Energy Systems, LLC      **Country:** United States  
**Phone:** 201-937-      **Extension (if applicable):**      **Street:** 4 High Ridge Park, Suite 202  
4210  
**Website (if any):** www.resourceenergy.com      **City:** Stamford      **Province/State:** CT  
**Postal Code:** 06905

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Resource Energy Systems LLC	Official Name	4 High Ridge Park, Suite 202 Stamford, CT	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Resource Energy Systems LLC	Official Name	4 High Ridge Park, Suite 202 Stamford, CT	Yes	File

A-5. Contact person for regulatory matters

Richard Plutzer  
4 High Ridge Park, Ste 202



Stamford, CT 06905  
US  
rplutzer@resglobal.com  
2019374210

**A-6. Contact person for PUCO Staff use in investigating consumer complaints**

Richard Plutzer  
4 High Ridge Park, Ste 202  
Stamford, CT 06905  
US  
rplutzer@resglobal.com  
2019374210

**A-7. Applicant's address and toll-free number for customer service and complaints**

<b>Phone:</b> 201-937-44210	<b>Extension (if applicable):</b>	<b>Country:</b> United States
<b>Fax:</b> 203-724-4275	<b>Extension (if applicable):</b>	<b>Street:</b> 4 High Ridge Park, Suite 202
<b>Email:</b> rplutzer@resourceenergy.com	<b>City:</b> Stamford	<b>Province/State:</b> CT
	<b>Postal Code:</b> 06905	

**A-8. Applicant's federal employer identification number**

20-8603536

**A-9. Applicant's form of ownership**

**Form of ownership:** Limited Liability Company (LLC)

**A-10. Identify current or proposed service areas**

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

**Service area selection**

Columbia Gas of Ohio  
Dominion Energy Ohio  
Duke Energy Ohio



Vectren Energy Delivery of Ohio

**Class of customer selection**

Industrial  
Small Commercial  
Large Commercial

**A-11. Start date**

Indicate the approximate start date the applicant began/will begin offering services: 05-01-2020

**A-12. Principal officers, directors, and partners**

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Scott Reinstein	sreinstein@resglobal.com	President	4 High Ridge Park, Ste 202 Stamford, CT 06905 US
Richard Plutzer	rplutzer@resglobal.com	CFO	4 High Ridge Park, Ste 202 Stamford, CT 06905 US

**A-13. Company history**

Resource Energy Systems, LLC is an energy management company serving some of the world's largest real estate companies. Resource Energy Systems, LLC was formed in 2007 and provides consulting services for approximately 750 million square feet of property across the country from our offices in Connecticut and Maryland. Our services include developing and implementing energy procurement strategies, as well as providing utility redistribution and billing services and demand reduction consulting services.

**A-14. Secretary of State**

Secretary of State Link: <https://businesssearch.ohiosos.gov/>

**A-15. Proof of Ohio Employee and Office**

Provide proof of an Ohio Office and Employee in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address

**Employee Name:** CT Corporation System  
4400 Easton Commons Way, Suite 125  
Columbus, OH 43219  
US  
ct@ctmai.wolterskluwer.com  
6142803540

## Section B: Applicant Managerial Capability and Experience

### **B-1. Jurisdiction of operations**

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

Jurisdiction of Operation: Electric: Connecticut, Delaware, District of Columbia, Illinois, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, Ohio, Oregon, Pennsylvania, Rhode Island, Texas, Virginia.

Natural Gas: District of Columbia, Maine, Maryland, Massachusetts, Nebraska, New Hampshire, New Jersey, Ohio, Pennsylvania, Rhode Island, Virginia.

### **B-2. Experience and plans**

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

Application Experience and Plan Description: The company intends to use its large network of relationships within the commercial real estate industry to offer energy procurement services with regard to electricity, without taking title to the power under no circumstances will the company take title to any commodity whatsoever. In addition, the contract for the procurement of power will be between the energy supplier {the energy company providing the gas} and the energy user {the real estate owner}.



The company's role will be that of essentially a broker, and it will attempt to match the needs of the many commercial real estate companies {with a particular emphasis on owners of shopping malls) it knows and has worked with over the last few decades with the largest energy suppliers in the nation.

Our management team's decades of experience with commercial real estate operations, including many years working with the heads of operations for various real estate companies, will enable us to analyze such companies' specific energy needs, help them design plans to meet their needs and objectives and work with them to procure energy {electricity only) for their properties.

Our management team is available 24/7 to meet customers' needs as has been done over the years in other areas of services provided them relative to their properties' operations. The company will be able to personally deal with any questions complaints or other points they raise in connection with the planned services to be offered by the company to them.

Resource Energy Systems, LLC currently provides natural gas aggregator services to three customers. Two of the customers are real estate investment trusts and the other customer is a manufacturer. The properties are located in New York and to date; the total annual volume procured is approximately 12,100 mWh.

### **B-3. Disclosure of liabilities and investigations**

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

Liability and Investigations Disclosures: Neither Resource Energy Systems, LLC nor any principal officer has been the subject of any existing, pending or past rulings, judgments, findings, revocation of authority regulatory investigations, judicial actions or other formal or informal notices of violations in Ohio or in another jurisdiction. It is not currently under any type of governmental investigation nor has it ever been under any type of governmental investigation since inception. Currently, Resource Energy Systems does not have contingent liabilities.

### **B-4. Disclosure of consumer protection violations**

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

**No**

**B-5. Disclosure of certification, denial, curtailment, suspension or revocation**

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

**No**

## Section C: Applicant Financial Capability and Experience

**C-1. Financial reporting**

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

**C-2. Financial statements**

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

### **C-3. Forecasted financial statements**

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio.**

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

### **C-4. Credit rating**

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply



#### C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

Preferred to file this information confidentially

#### C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

#### C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

#### C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.



Stand-alone entity with no affiliate or subsidiary companies

## Section D: Applicant Technical Capacity

### D-1. Operations

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

**Operations Description:** Resource Energy Systems, LLC is an energy management company serving some of the world's largest real estate companies. Resource Energy Systems provides consulting services for approximately 750 million square feet of property across the country from our offices in California, Connecticut, and Maryland. Our services include developing and implementing energy procurement strategies, as well as providing utility redistribution and billing services and demand reduction consulting services.

### D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

**Operations Expertise & Personnel Description:** Resource Energy Systems, LLC serves some of the world's largest real estate companies. Resource Energy Systems services approximately 750 million square feet of property across the country. In 2013, the company earned approximately \$4.6 million dollars in revenue providing consulting services related to energy management.

Steven Schlüssel  
President



## Public Utilities Commission

937-885-5746

SSchlüssel@resourceenergy.com

Steve Schlüssel brings over twenty years of experience working with leading commercial real estate companies. He is the founder and former President of International Environmental Management, Inc., which provides waste and recycling management services nationwide to over five hundred regional shopping centers. Mr. Schlüssel has an undergraduate degree in Management and an MBA in Finance, both from New York University.

Scott Reinstein

Chief Executive Officer

818-577-5251

SReinstein@resourceenergy.com

Scott Reinstein has many years of real estate and energy experience. Mr. Reinstein served in various executive capacities with The Westfield Group, one of the largest and most successful shopping center owners in the world. As Vice President of National Operations, he developed and implemented industry-leading energy procurement and energy cost containment programs which together saved millions of dollars in yearly energy expenses. Mr. Reinstein is a U.S. Navy veteran who served nearly four years with the armed forces.

Richard Plutzer

Chief Financial Officer

201-937-4210

RPlutzer@resourceenergy.com

Richard Plutzer previously served as Chief Financial Officer and General Counsel for International Environmental Management, Inc. Mr. Plutzer reorganized and leveraged the resources of the thirty-five person Finance Department to significantly increase the profitability and value of the company. Mr.

Plutzer served as Chairman of the Energy Committee for the Environmental Business Counsel of the Committee and Industry Association of New Jersey. Mr. Plutzer graduated from Washington University in St. Louis and Boston College Law School.

# Application Attachments

# Competitive Retail Natural Gas Service Affidavit

County of Fairfield :

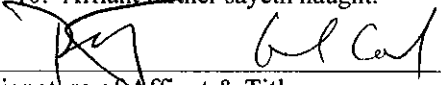
Ss: Stamford

State of Connecticut:

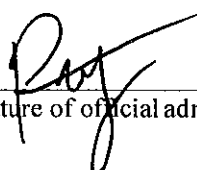
Richard Plutzer, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

10. Affiant further sayeth naught.

  
Signature of Affiant & Title

Sworn and subscribed before me this 29<sup>th</sup> day of July, 2020  
Month Year

  
Signature of official administering oath

Paul Ly, Notary Public  
Print Name and Title

My commission expires on PAUL LY  
NOTARY PUBLIC  
MY COMMISSION EXPIRES OCT. 31, 2023

**Entity#:** 1701229  
**Filing Type:** FOREIGN LIMITED LIABILITY COMPANY  
**Original Filing Date:** 05/18/2007  
**Location:** --  
**Business Name:** RESOURCE ENERGY SYSTEMS, LLC  
  
**Status:** Active  
**Exp. Date:** -

## Agent/Registrant Information

C T CORPORATION SYSTEM  
 4400 EASTON COMMONS WAY, SUITE 125  
 COLUMBUS OH 43219  
 06/08/2017  
 Active

## Filings

Filing Type	Date of Filing	Document ID
REGISTRATION OF FOREIGN LIMITED LIABILITY CO	05/18/2007	200714100256
AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS	02/07/2013	201303900028
AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS	10/03/2014	201427901542
FOREIGN/DESIGNATED APPOINTMENT OF AGENT	06/08/2017	201715904658

**UNITED STATES OF AMERICA  
 STATE OF OHIO  
 OFFICE OF SECRETARY OF STATE**

*I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State.*



*Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 10th of July, A.D. 2020*

**Ohio Secretary of State**



**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**7/30/2020 4:14:12 PM**

**in**

**Case No(s). 14-1538-GA-AGG**

Summary: In the Matter of the Application of Resource Energy Systems LLC