



## Section A: Application Information

### A-1. Provider type:

☐

Power Broker

☐

Aggregator

☐

Retail Generation  
Provider

☒

Power  
Marketer

### A-2. Applicant's legal name and contact information.

**Legal Name:** Starion Energy NY, Inc.

**Country:** United States

**Phone:** 800-600-3040  
**Extension (if applicable):**

**Street:** P.O. Box 845

**Website (if any):** www.starionenergy.com

**City:** Middlebury

**Province/State:** CT

**Postal Code:** 06762

### A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Starion Energy NY, Inc.	Official Name	P.O. Box 845 Middlebury, CT 06762	Yes	File

### A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Starion Energy NY, Inc.	Official Name	P.O. Box 845 Middlebury, CT 06762	Yes	File

### A-5. Contact person for regulatory matters

Robert Bassett  
P.O. Box 845



Middlebury, CT 06762  
US  
rbassett@starionenergy.com  
2038032732

**A-6. Contact person for PUCO Staff use in investigating consumer complaints**

Kristin Corbett  
P.O. Box 845  
Middlebury, CT 06762  
US  
compliance@starionenergy.com  
2034900017

**A-7. Applicant's address and toll-free number for customer service and complaints**

<b>Phone:</b> 800-600-3040	<b>Extension (if applicable):</b>	<b>Country:</b> United States
<b>Fax:</b> 203-262-6001	<b>Extension (if applicable):</b>	<b>Street:</b> P.O. Box 845
<b>Email:</b> service@starionenergy.com	<b>City:</b> Middlebury	<b>Province/State:</b> CT
	<b>Postal Code:</b> 06762	

**A-8. Applicant's federal employer identification number**

27-2304319

**A-9. Applicant's form of ownership**

**Form of ownership:** Corporation

**A-10. Identify current or proposed service areas**

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

**Service area selection**

Columbia Gas of Ohio  
Dominion Energy Ohio  
Duke Energy Ohio



## Public Utilities Commission

Vectren Energy Delivery of Ohio

### Class of customer selection

Industrial  
Residential  
Small Commercial  
Large Commercial

### A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 10-01-2014

### A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Thomas Stiner	tom.stiner@starionenergy.com	Chief Financial Officer	P.O. Box 845 Middlebury, CT 06762 US
Ruzhdi Dauti	ruzhdi@starionenergy.com	President	P.O. Box 845 Middlebury, CT 06762 US

### A-13. Company history

Starion Energy NY, Inc. has been certified as a retail natural gas provider in Ohio since 2013 and has served Ohio customers since 2014. Starion is also authorized to supply retail natural gas service to customers in New York, Michigan and Indiana. Starion, including its affiliates, is also licensed to provide retail electricity service to consumers in Connecticut, Delaware, District of Columbia, Illinois, Maryland, Massachusetts, New Jersey, New York, Pennsylvania and Rhode Island.

### A-14. Secretary of State

Secretary of State Link:

### A-15. Proof of Ohio Employee and Office



## Public Utilities Commission

Provide proof of an Ohio Office and Employee in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address

**Employee Name:** Incorp Services  
9435 Waterstone Blvd, Suite 140  
Cincinnati, OH 45249  
US  
status@incorp.com  
8002462677

## Section B: Applicant Managerial Capability and Experience

### B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

File Attached

### B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

File(s) attached

### B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

File Attached

**B-4. Disclosure of consumer protection violations**

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

**No**

**B-5. Disclosure of certification, denial, curtailment, suspension or revocation**

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

**No**

## Section C: Applicant Financial Capability and Experience

**C-1. Financial reporting**

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

**C-2. Financial statements**

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial



statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

### **C-3. Forecasted financial statements**

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

### **C-4. Credit rating**

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services,



Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

#### **C-5. Credit report**

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

#### **C-6. Bankruptcy information**

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

Please provide full details of the bankruptcy information.



## Public Utilities Commission

Bankruptcy Information: Starion Energy, Inc., Debtor. – Case No. 18-12608-MFW; Starion Energy PA, Inc., Debtor. – Case No. 18-12609-MFW; Starion Energy NY, Inc., Debtor. – Case No. 18-12611-MFW: As previously reported to the Commission, on November 14, 2018 Starion filed a voluntary petition for relief under chapter 11 of title 11 of the United States Code, 11 U.S.C. §§ 101-1532. In an effort to protect its customers, employees and creditors the company has sought the assistance of the court. While in bankruptcy, Starion continued to serve its Ohio customers and comply with the Commission's licensing requirements and applicable regulations. Starion has since filed a plan to exit bankruptcy. During a confirmation hearing held on May 28, 2020, the judge granted and so ordered Starion's confirmation plan of reorganization to exit bankruptcy.

### **C-7. Merger information**

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

### **C-8. Corporate structure**

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

File(s) attached

### **C-9. Financial arrangements**

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:





1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.
2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal **in the opinion of the Staff reviewer** to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

File(s) attached

## Section D: Applicant Technical Capacity

### D-1. Operations

Gas Marketers: Describe the operational nature of the applicant's business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.



Operations Description: Starion has served natural gas customers in Ohio since 2014. Additionally, Starion is licensed/authorized to provide retail natural gas in New York, Michigan and Indiana. Starion's operations have and will continue to include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers. Starion will continue to utilize Quantum Gas and Power Services Ltd as a consultant to assist the company in managing the natural gas operations listed above.

**D-2. Operations Expertise & Key Technical Personnel**

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

File(s) attached



Public Utilities  
Commission

# Application Attachments

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show STARION ENERGY NY INC., a Delaware corporation, having qualified to do business within the State of Ohio on January 25, 2013 under License No. 2168629 is currently in GOOD STANDING upon the records of this office.*



*Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 20th day of July, A.D. 2020.*

A handwritten signature in blue ink, appearing to read "Frank LaRose", written in a cursive style.

**Ohio Secretary of State**

**Validation Number: 202020204896**

# RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

## STARION ENERGY NY, INC. 14-0008-GA-CRS

### **Exhibit B-2** **“Experience & Plans”**

#### *Experience & Qualifications*

Starion Energy NY, Inc. (“Starion”) has been certified as a retail natural gas provider in Ohio since 2013 and has served Ohio customers since 2014. Starion is also authorized to supply retail natural gas service to customers in New York, Michigan and Indiana. Starion, including its affiliates, is also licensed to provide retail electricity service to consumers in Connecticut, Delaware, District of Columbia, Illinois, Maryland, Massachusetts, New Jersey, New York, Pennsylvania and Rhode Island.

#### *Contracting with Customers & Providing Services*

Starion will continue to utilize established marketing channels to connect with new customers, with a primary focus on marketing through its website and other digital means. When contracting with customers, Starion has established procedures to comply with Ohio law and the PUCO’s regulations. Additionally, Starion implements quality control measures to monitor marketing efforts and customer enrollments.

Starion will also continue to participate in utility consolidated billing. Starion contracts with a leading EDI vendor to facilitate the EDI transactions necessary to provide service to its customers.

#### *Responding to Customer Inquiries & Complaints*

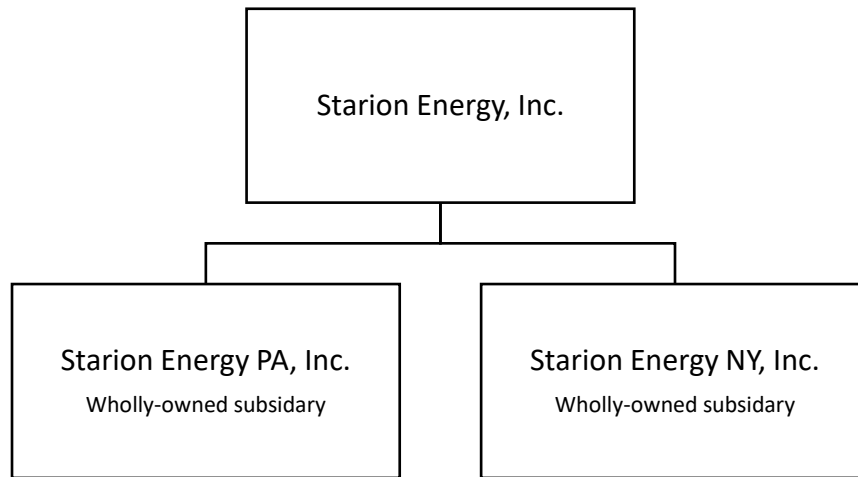
To assist and address customer inquiries, Starion maintains a toll-free customer service number (800-600-3040) where customers can reach its customer service department during normal business hours (Monday through Friday, 9:00 a.m. to 5:00 p.m. Eastern). Customers can also contact Starion through other means, including its website ([www.starionenergy.com](http://www.starionenergy.com)), or by regular mail. Starion’s customer service department makes every effort to address all customer inquiries received by the company in a timely manner and to the customer’s satisfaction.

Customers may also submit a complaint directly to Starion’s compliance department, if they choose, in the event the customer service department is unable to satisfactorily address a dispute. Starion’s dedicated compliance staff are also responsible for the thorough and timely review and resolution of any customer complaints filed with the PUCO.

**RENEWAL CERTIFICATION APPLICATION  
COMPETITIVE RETAIL NATURAL GAS SUPPLIERS**

**STARION ENERGY NY, INC.  
14-0008-GA-CRS**

**Exhibit C-8  
“Corporate Structure”**



**RENEWAL CERTIFICATION APPLICATION  
COMPETITIVE RETAIL NATURAL GAS SUPPLIERS**

**STARION ENERGY NY, INC.  
14-0008-GA-CRS**

**Exhibit B-3**

**“Disclosure of Liabilities and Investigations”**

Maryland Public Service Commission – Case No. 9324

As previously reported to the Commission, the Maryland PSC issued Order No. 86211 on May 7, 2014, which included a requirement that Starion Energy PA, Inc. submit compliance reports every six (6) months until further notice, and issued penalties to the company. A hearing to review Starion's compliance was held in early 2015. The ALJ assigned to the case issued a Report finding that Starion had demonstrated compliance with the Commission's Order. In June, 2020, the Commission issued an order which ended the requirement that Starion submit compliance reports every six (6) months.

Commonwealth of Massachusetts vs. Starion Energy Inc. et al, Case No. 1884CV03199

On October 15, 2018, the Massachusetts Attorney General's Office (“MA AGO”) filed suit against Starion in the Suffolk County Superior Court, asserting various unsubstantiated claims. On December 17, 2019, Starion and the MA AGO entered into a Memorandum of Understanding (“MOU”) to memorialize an agreement in principal to settle the claims set forth in the MA AGO's civil action. The MOU was approved, and so ordered, by the Suffolk County Superior Court on December 18, 2019. Starion anticipates the parties will execute a full and final settlement in 2020.

**RENEWAL CERTIFICATION APPLICATION  
COMPETITIVE RETAIL NATURAL GAS SUPPLIERS**

**STARION ENERGY NY, INC.  
14-0008-GA-CRS**

**Exhibit D-2  
Operations Expertise**

Starion is an active retail provider and has served customers in Ohio since 2014. The Applicant and its Affiliates collectively provide electricity and/or natural gas supply service to customers in ten (10) jurisdictions. Starion and its strategic partners have the requisite technical experience and capabilities to effectively manage its operations in Ohio.

Starion's management team has significant experience in managing the company's current operations and acquiring and serving customers. The team oversees all aspects of customer service, sales and marketing, operations, legal and compliance, finance and information technology.

Additionally, Starion utilizes the consulting services of its strategic partners, including Quantum Gas and Power Services Ltd. to assist with managing its natural gas operations, including the nomination and scheduling of retail natural gas. Starion also contracts with EC Infosystems, a leading EDI vendor, to facilitate the EDI transactions necessary to provide service to its customers as a CRNGS.

**Key Technical Personnel**

Ruzhdi Dauti – President  
800-600-3040 – [ruzhdidi@starionenergy.com](mailto:ruzhdidi@starionenergy.com)

Thomas Stiner – Chief Financial Officer/ Chief Operating Officer  
800-600-3040 – [tom.stiner@starionenergy.com](mailto:tom.stiner@starionenergy.com)

Steven Haigh – Chief Information Officer  
800-600-3040 – [steve@starionenergy.com](mailto:steve@starionenergy.com)

Alexandrea L. Isaac, Esq. – General Counsel  
800-600-3040 – [aisaac@starionenergy.com](mailto:aisaac@starionenergy.com)

Robert Bassett – Director, Compliance & Regulatory Affairs  
800-600-3040 – [rbassett@starionenergy.com](mailto:rbassett@starionenergy.com)

Peter Muzsi – Director, Sales Management & Strategy  
800-600-3040 – [pmuzsi@starionenergy.com](mailto:pmuzsi@starionenergy.com)

Duane Gereski – Director, Marketing  
800-600-3040 – [dgereski@starionenergy.com](mailto:dgereski@starionenergy.com)

Todd Carew – Director, IT  
800-600-3040 – [todd@starionenergy.com](mailto:todd@starionenergy.com)



**Ruzhdi Dauti, MBA – President**

Ruzhdi Dauti is the President of Starion Energy, Inc. (“Starion”) and its affiliates. Mr. Dauti is one of the founders of Starion and is responsible for all aspects of creating and implementing the company’s infrastructure and operations. Mr. Dauti manages or directly oversees all operations including sales and marketing, legal and compliance, energy procurement, hedging and risk management and financial management.

Prior to Starion, Mr. Dauti was the Chief Financial Officer of Public Power & Utility, Inc., an electric supplier headquartered in Connecticut. His responsibilities included the creation of analytical models for managing market and financial risk exposure. This required working closely and advising senior management, sales directors and operations staff in creating and implementing proper business practices and processes. In addition, he managed the technical services relationship between the company and the utility to assure proper reporting and compliance.

Prior to working with Public Power & Utility, Inc., Mr. Dauti was the Senior Financial Analyst at Regeneron Pharmaceuticals Inc., a publicly-traded biotechnology company. He was responsible for the research and development of financial modeling and risk analysis that was required for the board of directors to make key business decisions. He served on the Operations Committee which selected and implemented enterprise software, the Joint Finance Committee tasked to oversee collaboration with international strategic partnership valued at \$600 million, and the Investment Committee, which was responsible for managing and ensuring proper compliance for a \$500 million portfolio. Lastly, he was the Special Projects Coordinator, managing teams for various assigned projects

**Thomas Stiner – Chief Financial Officer / Chief Operating Officer**

Mr. Stiner currently serves as Chief Operating Officer and Chief Financial Officer of Starion Energy, Inc. (“Starion”) and its subsidiaries. He had previously served as Controller since joining the company in September 2010. He is responsible for operational and financial management and oversees the sales, IT, finance, legal and compliance functions. Prior to joining Starion, he had 18 years of increasing responsibilities as controller/CFO of companies in the manufacturing, technology and construction sectors. Mr. Stiner started his career with KPMG in 1977, where he spent 15 years developing experience in finance, tax, accounting, auditing, cost accounting and systems, while working with clients in a wide range of industries.

Mr. Stiner has extensive experience with the fast-paced environment of growth companies. His background includes managing the development of infrastructure to support growth, the establishment of a formal planning and budgeting process, the tracking/reporting of key business metrics, cash forecasting and management, product profitability, and debt and equity financings. He also has extensive experience in general management, mergers and acquisitions, developing professional staff and facilitating teamwork. He has served as a strategic and tactical advisor to CEOs and Boards of Directors.

**Steven Haigh – Chief Information Officer**

Mr. Haigh brings more than 20 years of information processing, systems development and management experience to Starion Energy, Inc. He is responsible for the vision, development, implementation, and management of all information and technology solutions for Starion. This includes total life cycle record management from incubation to termination. In his professional experience, he has created custom solutions for managing customer and sales data. In his work as an energy consultant, Mr. Haigh has worked with utilities, suppliers, and aggregators to

develop the databases and systems necessary to manage their business. He has in-depth knowledge of the processes and systems required within the competitive energy business, having worked with multiple EDI vendors and utilities in numerous markets. Prior to Starion, Mr. Haigh was the Director of IT for Public Power and Utility Inc., an energy supplier based in Connecticut, and Director of IT for Energy Services Group, an independent retail electricity marketer with over 200 salespeople.

**Alexandrea L. Isaac, Esq. – General Counsel**

Attorney Isaac has 18 years of experience in business and the legal profession. She began her career managing nation-wide litigation at Travelers Insurance Company. In 2006, Attorney Isaac went into private practice as a civil litigator representing clients from a wide array of industries in commercial litigation and managing corporate risk. She joined Starion Energy in 2013, with key responsibilities in the areas of federal and state regulatory compliance, risk management, contract management, litigation, general business law, administrative law, and labor and employment law. In this role, Attorney Isaac represents Station Energy's interests in legal, legislative, government affairs and regulatory matters in all states in which the company currently operates. She currently represents Starion at the Retail Energy Supply Association (RESA) where she sits as Secretary and Chair of the Emerging Markets Committee. Since 2015, Attorney Isaac has also held a position on the Board of Directors for the Connecticut Power and Energy Society. In 2019, she was elected to serve on the Board of Directors for Energy Marketing Conferences LLC.

**Robert Bassett – Director, Compliance and Regulatory Affairs**

Mr. Bassett is the Director of Compliance and Regulatory Affairs at Starion Energy and has been with the company since 2013. His responsibilities include leading Starion's regulatory compliance efforts, market expansion and licensing, operational risk management, contract administration, and regulatory relations. Additionally, Mr. Bassett oversees Starion's compliance department, which is responsible for executive response, dispute resolution, internal auditing, and training. Mr. Bassett actively participates on behalf of Starion at the Retail Energy Supply Association (RESA), where he currently chairs the State Regulatory Compliance Committee. Prior to joining Starion, Mr. Bassett was the Compliance Manager at Public Power, LLC, a competitive supplier based in Connecticut.

**Peter Muzsi, MBA – Director, Sales Management & Strategy**

Mr. Muzsi joined Starion Energy in 2018 as Director of Sales Management and Strategy. He brings 20 years of diverse sales, marketing and business experience, with a particular focus within the retail energy market. Prior to joining Starion, Mr. Muzsi was Manager, Mass Market Programs for ConEdison Solutions, where he was responsible for growing revenue and overall profitability, managing customer acquisition and segmentation, defining market strategies, and managing the growth of the company.

**Quantum Gas & Power Services, Ltd. – Consultant**

**Beth Sewell, Managing Partner**

Quantum acts as agent on behalf of its clients and offers a wide variety of outsourced mid-stream operational services that are required to efficiently deliver natural gas to the city gate in deregulated markets. Quantum provides services ranging from gas and transportation acquisition, to nominations and balancing, to storage management and all tasks in between. The Quantum staff is available on a 24/7 basis to ensure timely and precise delivery of natural gas volumes and penalty avoidance.

**EC Infosystems (Vendor)**

Starion utilizes EC Infosystems (ECI) to facilitate the EDI transactions necessary to provide services to its customers as a CRNGS. ECI is an IT consulting, EDI transaction management and EDI professional services firm doing business nationwide. ECI is one of the largest EDI providers in the restructured energy industry, serving more than 300 retail energy providers and processing EDI transactions for more than 5 million meters per month. ECI actively participates in EDI working groups, including the Ohio EDI Working Group (OWEG).

For help reading this report, please review our [sample report](#).

**Search inquiry:** (My company)

## ProfilePlus<sup>SM</sup> Report

as of: 07/08/20 13:59 ET

### Starion Energy Inc

<b>Address:</b>	751 Straits Tpke Ste 2000 Middlebury, CT 06762-2861 United States	<b>Key Personnel:</b>	President: Ruzhdi G Dauti Director: Ronald Gardner
<b>Phone:</b>	203-528-3246	<b>SIC Code:</b>	1711-Plumbing, Heating & A/C Contractors 1791-Structural Steel Erection 1731-Electrical Contractors
<b>Website:</b>	starionenergy.com	<b>NAICS Code:</b>	238220-Plumbing, Heating, And Air-Conditioning Contractors 238190-Other Foundation, Structure, And Building Exterior Contractors 238210-Electrical Contractors And Other Wiring Installation Contractors
<b>Experian BIN:</b>	925011495	<b>Business Type:</b>	Corporation
<b>Agent:</b>	McR&P Service Corporation	<b>Experian File Established:</b>	November 2009
<b>Agent Address:</b>	Murtha Cullina LLP Hartford, CT	<b>Experian Years on File:</b>	11 Years
<b>Also is (or has been) operating as:</b>	Starion Energy Ny Inc	<b>Years in Business:</b>	11 Years
<b>Family Linkage:</b>		<b>Total Employees:</b>	14
Ultimate Parent	Starion Energy Inc 751 Straits Tpke Ste 2000 Middlebury, CT	<b>Sales:</b>	\$2,019,000
Branches / Alternative Locations	Starion Energy Inc 220 Main St S Ste 206 Southbury, CT United States	<b>Filing Data Provided by:</b>	Delaware
		<b>Date of Incorporation:</b>	10/05/2009

### Experian Business Credit Score

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#### Business Credit Score



**Business Credit Scores** range from a low of 1 to high of 100 for scored locations, **but this location is no longer scored due to a bankruptcy filing**. This score predicts the likelihood of serious credit delinquencies within the next 12 months. This score uses tradeline and collections information, public filings as well as other variables to predict future risk.

### Experian Financial Stability Risk Rating

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#### Financial Stability Risk Rating



**Financial Stability Risk Ratings** range from a low of 1 to high of 5, **but this location is no longer rated due to a bankruptcy filing**. Lower ratings indicate lower risk. This rating predicts the likelihood of payment default and/or bankruptcy within the next 12 months. This rating uses tradeline and collections information, public filings as well as other variables to predict future risk.



This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few active Payment Tradelines.

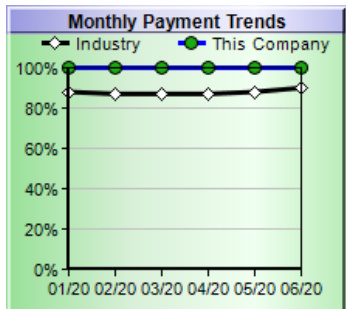
Please refer to Experian's [www.BusinessCreditFacts.com](http://www.BusinessCreditFacts.com) website for more information on establishing Payment Tradelines.

Lowest 6 Month Balance:	\$49
Highest 6 Month Balance:	\$14,078
Current Total Account Balance:	\$49
Highest Credit Amount Extended:	\$133

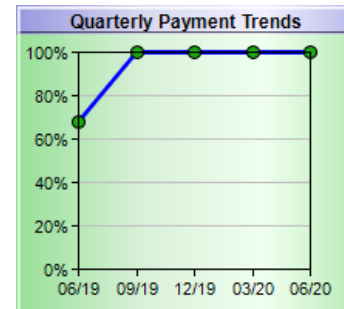
Payment Tradelines (see <a href="#">charts</a> , <a href="#">detail</a> ):	10
Business Inquiries (see <a href="#">summary</a> ):	2
UCC Filings (see <a href="#">detail</a> ) (see <a href="#">summary</a> ):	12

<div>✖ Businesses Scoring Worse:</div>	NOT SCORED
<div>✖ Bankruptcies (see <a href="#">detail</a>):</div>	2
<div>✖ Liens (see <a href="#">detail</a>):</div>	5
<div>✔ Judgments Filed:</div>	0
<div>✔ Collections:</div>	0





\*Percentage of on-time payments by month.



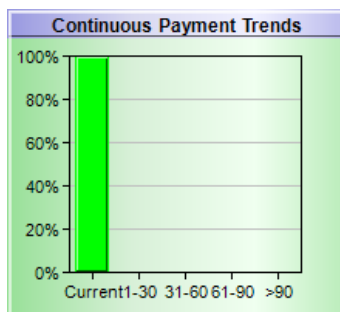
\*Percentage of on-time payments by quarter.

Monthly Payment Trends - Recent Activity

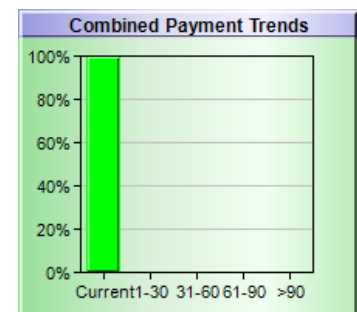
Date	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
01/20	100%	0%	0%	0%	0%
02/20	100%	0%	0%	0%	0%
03/20	100%	0%	0%	0%	0%
04/20	100%	0%	0%	0%	0%
05/20	100%	0%	0%	0%	0%
06/20	100%	0%	0%	0%	0%

Quarterly Payment Trends - Recent Activity

Date	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
06/19	68%	32%	0%	0%	0%
09/19	100%	0%	0%	0%	0%
12/19	100%	0%	0%	0%	0%
03/20	100%	0%	0%	0%	0%
06/20	100%	0%	0%	0%	0%



\*Continuous distribution with DBT.

Insufficient information to produce  
Newly Reported Payment Trends  
chart.

\*Combined distribution with DBT.

## Trade Payment Information

## Payment Experiences (Financial Trades)

Supplier Category	Reported Date	Activity Date	Payment Terms	Recent High Credit	Balance	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT	Comments
Automotive	12/31/17	12/26/17	Mo	\$35,111	\$0						Account CI
Bank	2/28/18		Net 30	\$14,161	\$0						Account CI
Bank Card	6/09/20		Rev	\$50,016	\$3,841						
Fincl Svcs	7/03/17	5/08/17	Mo	\$26,520	\$0						Account CI
Fincl Svcs	8/01/17	7/16/17	Mo	\$26,520	\$0						Account CI
Fincl Svcs	1/03/20	12/08/19	Mo	\$45,329	\$14,078						Satisfacto

## Tradeline Experiences (Continuous Trades)

Supplier Category	Reported Date	Activity Date	Payment Terms	Recent High Credit	Balance	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT	Comments
Communicatn	5/01/20		Varied	\$133	\$49	100%					
Packaging	7/02/20	11/17	Net 30		\$0						Cust 03 Yr
Packaging	7/02/20	11/17	Net 30		\$0						Cust 03 Yr

## Additional Tradeline Experiences (Aged Trades)

Supplier Category	Reported Date	Activity Date	Payment Terms	Recent High Credit	Balance	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT	Comments
Air Trans	3/01/19	9/18/18	Other	\$25	\$25		100%				

## Payment Trend Detail

Date	Industry*	DBT	Industry*	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
------	-----------	-----	-----------	---------	--------------	-----------	-----------	---------



	DBT		Current						
06/20	4	0	90%	100%	0%	0%	0%	0%	0%
05/20	5	0	88%	100%	0%	0%	0%	0%	0%
04/20	5	0	87%	100%	0%	0%	0%	0%	0%
03/20	5	0	87%	100%	0%	0%	0%	0%	0%
02/20	5	0	87%	100%	0%	0%	0%	0%	0%
01/20	5	0	88%	100%	0%	0%	0%	0%	0%

\*Industry: Plumbing, Heating & A/C Contractors

Inquiries

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Summary of Inquiries

Supplier Category	07/20	06/20	05/20	04/20	03/20	02/20	01/20	12/19	11/19
General	0	0	1	0	0	0	0	0	0
Insurance	0	0	0	0	0	1	0	0	0
Totals	0	0	1	0	0	1	0	0	0

Bankruptcies

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File Date: 01/15/2019  
Filing Location: Delaware Federal Bank Court-Wilmington  
Legal Type: Bankruptcy  
Legal Action: Chapter 11 Dismissed  
Document Number: 1812608

File Date: 11/14/2018  
Filing Location: Delaware Federal Bank Court-Wilmington  
Legal Type: Bankruptcy  
Legal Action: Chapter 11  
Document Number: 1812608

File Date: 11/14/2018  
Filing Location: Delaware Federal Bank Court-Wilmington  
Legal Type: Bankruptcy  
Legal Action: Chapter 11  
Document Number: 1812609

File Date: 11/14/2018  
Filing Location: Delaware Federal Bank Court-Wilmington  
Legal Type: Bankruptcy  
Legal Action: Chapter 11  
Document Number: 1812611

Tax Lien Filings

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Date: 05/03/2017  
Owner: State Of New York  
Filing Location: Albany County Clerk  
Legal Type: State Tax  
Legal Action: Released  
Document Number: E037011415W0096  
Liability Amount: \$91,833  
Description: Other Tax

Date: 04/21/2017  
Owner: State Of Ohio  
Filing Location: Franklin County Common Pleas  
Legal Type: State Tax  
Legal Action: Lien  
Document Number: 17JG018481  
Liability Amount: \$3,370  
Description: Other Tax

Date: 02/01/2017  
Owner: State Of New York  
Filing Location: Albany County Clerk  
Legal Type: State Tax  
Legal Action: Lien  
Document Number: E037011415W0096  
Liability Amount: \$91,833  
Description: Other Tax

Date: 08/16/2016  
Owner: State Of Pennsylvania  
Filing Location: Allegheny County CVL CT  
Legal Type: State Tax  
Legal Action: Released  
Document Number: GD16011189

Liability Amount: \$514,632  
Description: Other Tax

Date: 06/23/2016  
Owner: State Of Pennsylvania  
Filing Location: Allegheny County CVL CT  
Legal Type: State Tax  
Legal Action: Lien  
Document Number: GD16011189  
Liability Amount: \$514,632  
Description: Other Tax

Date: 11/13/2015  
Owner: State Of New York  
Filing Location: Albany County Clerk  
Legal Type: State Tax  
Legal Action: Released  
Document Number: E037011415W0082  
Liability Amount: \$18,315  
Description: Other Tax

Date: 06/02/2015  
Owner: State Of New York  
Filing Location: Albany County Clerk  
Legal Type: State Tax  
Legal Action: Lien  
Document Number: E037011415W0082  
Liability Amount: \$18,315  
Description: Other Tax

Date: 05/14/2015  
Owner: State Of Pennsylvania  
Filing Location: Allegheny County CVL CT  
Legal Type: State Tax  
Legal Action: Lien  
Document Number: GD15008598  
Liability Amount: \$600  
Description: Other Tax

UCC Filings

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Date: 12/14/2018  
Filing Number: 2018 8670081  
Jurisdiction: Sec Of State DE  
Secured Party: GF Star IV, LLC FL Tampa 33609 4830 West Kennedy Boulevard,  
Collateral: Hereafter Acquired Property, Equipment, Furnishings and Fixtures, Inventory, Other Assets (undefined)  
Activity: Filed

Date: 09/26/2018  
Filing Number: 201809268439591  
Jurisdiction: Sec Of State NY  
Secured Party: Central Hudson Gas & Electric Corporatio Ny Poughkeepsie 126  
Activity: Continued

Date: 09/07/2018  
Filing Number: 2018 6173559  
Jurisdiction: Sec Of State DE  
Secured Party: GF Star IV, LLC FL Tampa 33609 4830 West Kennedy Boulevard,  
Collateral: Equipment, Hereafter Acquired Property, Other Assets (undefined)  
Activity: Filed

Date: 07/11/2017  
Filing Number: 2017 4544356  
Jurisdiction: Sec Of State DE  
Secured Party: Keybank, N.A. Ny Buffalo 14210 726 Exchange Street  
Collateral: Hereafter Acquired Property, Other Assets (undefined)  
Activity: Filed

Date: 07/11/2017  
Filing Number: 2017 4544463  
Jurisdiction: Sec Of State DE  
Secured Party: Keybank, N.A. Ny Buffalo 14210 726 Exchange Street  
Collateral: Hereafter Acquired Property, Other Assets (undefined)  
Activity: Filed

Date: 07/11/2017  
Filing Number: 2017 4544539  
Jurisdiction: Sec Of State DE  
Secured Party: Keybank, N.A. Ny Buffalo 14210 726 Exchange Street  
Collateral: Hereafter Acquired Property, Other Assets (undefined)  
Activity: Filed

Date: 06/22/2017  
Filing Number: 2017 4110117  
Jurisdiction: Sec Of State DE  
Secured Party: Keybank Na Oh Brooklyn 44144 4910 Tiedeman RD  
Collateral: Equipment, Inventory, Hereafter Acquired Property, Other Assets (undefined)  
Activity: Filed

Date: 03/01/2017

Filing Number: 2017 1369831  
Jurisdiction: Sec Of State DE  
Secured Party: Ameren Services Company, As Designated A Mo Saint Louis 6310  
Collateral: Hereafter Acquired Property, Other Assets (undefined)  
Activity: Filed

Date: 03/01/2017  
Filing Number: 2017 1370052  
Jurisdiction: Sec Of State DE  
Secured Party: Ameren Services Company, As Designated A Mo Saint Louis 6310  
Collateral: Hereafter Acquired Property, Other Assets (undefined)  
Activity: Filed

Date: 01/12/2017  
Filing Number: 2017 0263266  
Jurisdiction: Sec Of State DE  
Secured Party: D/B/A National Grid Ny Syracuse 13202 300 Erie Boulevard Wes  
Collateral: Accounts Receivable, Hereafter Acquired Property, Other Assets (undefined)  
Activity: Filed

Date: 05/17/2016  
Filing Number: 2016 2935599  
Jurisdiction: Sec Of State DE  
Secured Party: Northern Indiana Public Service Company In Merrillville 4641  
Collateral: Hereafter Acquired Property, Other Assets (undefined)  
Activity: Filed

Date: 10/30/2013  
Filing Number: 201310308436321  
Jurisdiction: Sec Of State NY  
Secured Party: Central Hudson Gas & Electric Corporatio Ny Poughkeepsie 126  
Collateral: Hereafter Acquired Property, Other Assets (undefined)  
Activity: Filed

UCC Filings Summary

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Filing Period	Cautionary Filings	Total Filed	Total Released	Total Continued	Amended / Assigned
JUL-Present 2020	0	0	0	0	0
JAN-JUN 2020	0	0	0	0	0
JUL-DEC 2019	0	0	0	0	0
JAN-JUN 2019	0	0	0	0	0
JUL-DEC 2018	2	2	0	0	0
Prior to JUL 2018	9	9	0	1	0

Cautionary UCC Filings include one or more of the following collateral: Accounts, Accounts Receivable, Contracts, Hereafter acquired property, Leases, Notes Receivable, or Proceeds.

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139 East Fourth  
EX396  
Cincinnati, Ohio 45202

July 8, 2020

Starion Energy NY, Inc. has met the Gas Collateral obligations for Duke Energy Corporation as of July 8, 2020.

***Tom Hunt***

Duke Energy Corp  
Certified Supplier Business Center  
[Tom.Hunt@Duke-Energy.com](mailto:Tom.Hunt@Duke-Energy.com)



# Competitive Retail Natural Gas Service Affidavit

County of New Haven :

State of Connecticut :

Thomas Stiner, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
10. Affiant further saith naught.



Thomas Stiner  
Chief Financial Officer  
Chief Operating Officer  
Starion Energy NY, Inc.

Sworn and subscribed before me this 8 day of July, 2020  
Month Year

  
Signature of official administering oath

Ivette Arce Notary Public  
Print Name and Title

My commission expires on \_\_\_\_\_

**IVETTE ARCE**  
**NOTARY PUBLIC**  
**State of Connecticut**  
**My Commission Expires**  
**May 31, 2025**

**RENEWAL CERTIFICATION APPLICATION  
COMPETITIVE RETAIL NATURAL GAS SUPPLIERS**

**STARION ENERGY NY, INC.  
14-0008-GA-CRS**

**Exhibit B-1  
“Jurisdiction of Operations”**

<b>Company</b>	<b>Jurisdiction</b>	<b>Type</b>	<b>License</b>
Starion Energy, Inc. (Parent)	Connecticut	Electricity	09-10-10
	Massachusetts	Electricity	CS-104
	Rhode Island	Electricity	D-96-6-E9
Starion Energy PA, Inc. (Affiliate)	Ohio	Electricity	11-381E
	Pennsylvania	Electricity	A-2010-2210819
	District of Columbia	Electricity	EA-11-32
	Illinois	Electricity	12-0058; 16-0544
	New Jersey	Electricity	ESL-0119
	Delaware	Electricity	12-418
	Maryland	Electricity	IR-2094
Starion Energy NY, Inc. (Applicant)	New York	Electricity/Gas	ESCO Code: STAR
	Ohio	Gas	14-339G
	Michigan	Gas	U-18366
	Indiana	Gas	N/A

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**7/20/2020 4:24:15 PM**

**in**

**Case No(s). 14-0008-GA-CRS**

Summary: In the Matter of the Application of Starion Energy NY Inc