

FILE



TAURUS
ADVISORY GROUP

33

May 7, 2020

Public Utilities Commission of Ohio
Docketing Division
180 East Broad Street
Columbus, Ohio 43215-3793

PUCO

2020 MAY 12 PM 2:13

**RE: Case Number 18-0990-GA-AGG
Renewal for 18-667G(1)**

Hello,

Enclosed, please find the renewal application submitted on behalf of Taurus Advisory Group LLC – Certificate # 18.667G(1). Note that the financial documentation correlating to sections C-3, C-5, and C-7 have been submitted under seal and marked as confidential.

Please do not hesitate to contact me with any questions, issues, or any other follow-up that may be required.

Thanks so much for the time and efforts in addressing our submission.

Best,

Nathan Burroughs
Executive Manager
Taurus Advisory Group
nburroughs@taurusag.com
908-763-2077

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician LOA Date Processed 5/12/20

27 Lawrence Road
Madison, NJ 07940
info@taurusag.com
www.taurusag.com



PUCO USE ONLY - Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		18 - 0990 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Taurus Advisory Group LLC
Address 27 Lawrence Rd, Madison, NJ 07940

Telephone No. 908-763-2077 Web site Address www.taurusadvisorygroup.com
Current PUCO Certificate No. 18-667G(1) Effective Dates July 6, 2018 - July 6, 2020

A-3 Applicant information under which applicant will do business in Ohio:

Name Taurus Advisory Group LLC
Address 27 Lawrence Rd, Madison, NJ 07940

Web site Address www.taurusadvisorygroup.com Telephone No. 855-557-3440

A-4 List all names under which the applicant does business in North America:

Taurus Advisory Group LLC

A-5 Contact person for regulatory or emergency matters:

Name Nathan Burroughs Title Executive Manager

Business Address 27 Lawrence Rd, Madison, NJ 07940

Telephone No. 855-557-3440 Fax No. 908.934.9384 Email Address nburroughs@taurusag.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Nicholas Gerome Title Managing Director
Business address 15555 North Park Ln, Cleveland Heights Ohio 44118
Telephone No. 855-557-3440 Fax No. 908.934.9384 Email Address ngerome@taurusag.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 27 Lawrence Rd., Madison, NJ 07940
Toll-Free Telephone No. 855-557-3440 Fax No. 908.934.9384 Email Address info@taurusag.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Nicholas Gerome Title Managing Director
Business address 15555 North Park Ln, Cleveland Heights Ohio 44118
Telephone No. 855-557-3440 Fax No. 908.934.9384 Email Address ngerome@taurusag.com

A-9 Applicant's federal employer identification number 822818400

A-10 Applicant's form of ownership: (Check one)

<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Partnership
<input type="checkbox"/> Limited Liability Partnership (LLP)	<input checked="" type="checkbox"/> Limited Liability Company (LLC)
<input type="checkbox"/> Corporation	<input type="checkbox"/> Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Dominion East Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Duke Energy Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ **Columbia Gas of Ohio**

<input checked="" type="checkbox"/>	Residential	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Small Commercial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Large Commercial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Industrial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable

☒ **Dominion East Ohio**

<input checked="" type="checkbox"/>	Residential	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Small Commercial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Large Commercial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Industrial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable

☒ **Duke Energy Ohio**

<input checked="" type="checkbox"/>	Residential	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Small Commercial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Large Commercial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Industrial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable

☒ **Vectren Energy Delivery of Ohio**

<input checked="" type="checkbox"/>	Residential	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Small Commercial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Large Commercial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable
<input checked="" type="checkbox"/>	Industrial	Beginning Date of Service	July 6, 2018	End Date	Not Applicable

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

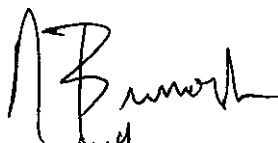
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title


14th day of

- Executive Manager

Sworn and subscribed before me this

April Month 2020 Year



Signature of official administering oath

Print Name and Title

My commission expires on

CHANDRAKANT PATEL
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES JAN. 17, 2021
ID # 2339173



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Taurus Advisory Group LLC)

for a Certificate or Renewal Certificate to Provide)

Competitive Retail Natural Gas Service in Ohio.)

Case No.

18

0990

-GA-AGG

County of Morris

State of New Jersey

Nathan Burroughs

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Nathan Burroughs

- Executive Manager

Sworn and subscribed before me this

14th

day of

April

Month

2020

Year

Chandrakant Patel

Signature of Official Administering Oath

Print Name and Title

My commission expires on

CHANDRAKANT PATEL
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES JAN. 17, 2021
ID # 2339173

EXHIBITS

A-14 → Principal Officers, Directors & Partners

A-15 → Company History

A-16 → Articles of Incorporation

A-17 → Secretary of State

B-1 → Jurisdictions of Operation

B-2 → Experience & Plans

B-3 → Summary of Experience

B-4 → Disclosure of Liabilities and Investigations

C-1 → Annual Reports

C-2 → SEC Filings

C-3 → Financial Statements – (filed under seal)

C-4 → Financial Arrangements

C-5 → Forecasted Financial Statements (filed under seal)

C-6 → Credit Rating (filed under seal)

C-7 → Credit Report

C-8 → Bankruptcy Information

C-9 → Merger Information

C-10 → Corporate Structure

D-1 → Operations

D-2 → Operations Expertise

D-3 → Key Technical Personnel



Exhibit A-14 Principal Officers, Directors & Partners

Owner/CEO – Martin Benante

Address – 5908 Burnham Rd., Naples, FL 34119

Phone – 201-240-4905

Executive Manager – Nathan Burroughs

Address – 27 Lawrence Rd, Madison, NJ 07940

Cell – 908-763-2077

Office - 855.557.3440

Managing Director – Nicholas Gerome

Address – 15555 North Park Ln, Cleveland Heights Ohio 44118

Cell – 216.543.5100

Office – 855.557.3440



Exhibit A-15: Company History

Taurus Advisory Group is a New Jersey LLC, formed in September of 2017. We are an energy procurement advisory firm. Key personnel have a combined 60 years' experience in the energy sector – including industrial support of energy sector and production, in addition to intermediary brokering the sale and purchase of energy supply agreements.

Exhibit A-16: Articles of Incorporation and Bylaws

NA – Contents of originally filed documents have not changed.

Exhibit A-17: Secretary of State

See Attached

Entity#: 4077833
Filing Type: FOREIGN LIMITED LIABILITY COMPANY
Original Filing Date: 09/26/2017
Location: --
Business Name: TAURUS ADVISORY GROUP LLC

Status: Active
Exp. Date: -

Agent/Registrant Information

NICHOLAS GEROME
15555 N PARK BLVD
CLEVELAND HEIGHTS OH 44118
09/26/2017
Active

Filings

Filing Type	Date of Filing	Document ID
REG. OF FOR. PROFIT LIM. LIAB. CO.	09/26/2017	201726900984

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF SECRETARY OF STATE

I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 15th of April, A.D. 2020

Ohio Secretary of State

Frank LaRose

Exhibit B-1: Jurisdictions of Operation

- State of Ohio
- State of New Jersey
- State of Maryland
- State of Pennsylvania
- District of Columbia
- State of New Hampshire
- State of Massachusetts
- State of Maine
- State of Texas
- State of Illinois

Exhibit B-2: Experience & Plans

Applicant's key personnel have over 20 years of combined experience acting as an intermediary in the sale and purchase of energy supply agreements in every deregulated market in the U.S. and Canada (including Ohio). Applicant will continue to leverage this experience to offer more personal attention for the Ohio energy end user at a cheaper cost.

Applicant will continue to be responsible for assisting clients in negotiating prices and contracts as well as providing assistance should any post-contractual issues arise. With dedicated customer service, we will continue to respond timely to customer inquiries and complaints, mainly in their relationship with the suppliers with whom we connect them via our brokering services. Any inquiries and complaints relating directly to our service will similarly be addressed, in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

Assistance with ancillary programs such as state, federal, and utility incentive offerings relating to energy, along with the vetting and execution of energy management and sustainability initiatives will also be offered.

We will continue to build upon existing relationships across the various facets of the energy and industrial sectors, and in some cases work with former clients and networks in the provision of services and the dissemination and marketing thereof.

Exhibit B-3: Summary of Experience

Since initial licensing in July of 2018, Taurus Advisory Group has served as natural gas broker for approximately 525,000 therms, 450 accounts, and 40 clients within all four of Ohio's deregulated utility regions. Since our company's inception in 2017, we have brokered approximately 5.4 million therms for 250 clients across 8 states. All clients have received ongoing post-contractual support and expedient assistance with questions, complaints, and other issues.

Exhibit B-4: Disclosure of Liabilities and Investigations

There are no existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matters that have impacted or could adversely impact our ability to operate or to provide services as we have been doing since our original licensure in the state of Ohio in November of 2017 (as EL broker/aggregator).

Exhibit C-1: Annual Reports

Not Applicable. Applicant is not a publicly traded company and does not have shareholders nor publish an external Annual Report.

Exhibit C-2: SEC Filings

Not applicable. Applicant is not required to file with the SEC because Taurus Advisory Group does not sell securities, publicly or otherwise.

Exhibit C-3: Financial Statements

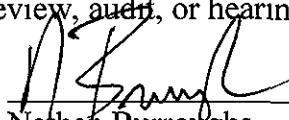
DOCUMENTATION FILED CONFIDENTIALLY UNDER SEAL

**Officer Certification of Financial Documentation
For the Public Utilities Commission of Ohio**

I, Nathan Burroughs - Executive Manager of Taurus Advisory Group LLC (company) – am established by the company's official operating statement as a duly authorized signatory to certify as follows on behalf of the company:

All enclosed financial statements submitted to the Public Utilities Commission of Ohio as part of the renewal of PUCO Certificate No. 18-667G(1) docketed under Case Number 18-0990-GA-AGG are complete, true, and accurate to the best of my present knowledge, information and belief. Further, I expect the company to be willing and able to prove the same at any review, audit, or hearing hereof.

By:


Nathan Burroughs

Date: April 17, 2020

Witnessed:


Meaghan Benante

Date: April 17, 2020

Exhibit C-4: Financial Arrangements

Not applicable. Applicant is not taking title to the commodity and is seeking to be certified as aggregator and broker.

Exhibit C-5: Forecasted Financial Statements

DOCUMENTATION FILED CONFIDENTIALLY UNDER SEAL

Exhibit C-6: Credit Rating

Not applicable, applicant does not yet have a credit rating.

Exhibit C-7: Credit Report

DOCUMENTATION FILED CONFIDENTIALLY UNDER SEAL

Exhibit C-8: Bankruptcy Information

Not applicable

Exhibit C-9: Merger Information

Not Applicable

Exhibit C-10: Corporate Structure

We are a stand-alone entity with no affiliate or subsidiary companies.

Exhibit D-1 – Operations

While Owner/CEO Martin Benante provides oversight of operations at a macro level, the majority of work executed by the company on behalf of its customers is performed by its managing directors, Nicholas Gerome and Nathan Burroughs.

This work includes:

- culling and packaging of market intelligence
- performing intermediary procurement activities for customers acting upon company recommendations
- Ensuring dependable list of suppliers with whom to engage clients and ensuring compliance with supplier procedures
- Tracking and external reporting on contract performance
- Tracking and internal reporting on all contractual consumption and earnings
- Managing client-facing personnel to ensure service requirements of each individual client are being met
- Ensuring compliance with client's complex procurement requirements/regulations

Exhibit D-2 – Operations Expertise

Key personnel have over 20 years' combined experience overseeing the specific type of operations described herein. This includes specific experience acting as an intermediary in the sale and purchase of energy supply and engaging agreements in every deregulated market in the U.S. and Canada (including Ohio). This additionally includes specific experience managing and improving the functionality of said operations for four other companies (including a consultant, an energy supplier, and a brokerage).

Exhibit D-3 – Key Technical Personnel

Managing Director – Nicholas Gerome

Address – 15555 North Park Blvd, Cleveland Heights Ohio 44118

Cell – 216.543.5100

Office – 855.557.3440

At his most recent previous place of employment, Mr. Gerome served as COO and was responsible for internal market intel, internal education on efficient operations, business streamlining, and instituting client-facing and internal protocols that led to growing that company's book of clients from 300 to over 1400 and growing that company's revenue by a similar multiple. And as previously noted, Mr. Gerome oversaw the day-to-day sourcing and operations of two leading energy procurement advisory firms for over 10 years and has executed over 30,000 energy supply agreements.

Executive Manager – Nathan Burroughs

Address – 27 Lawrence Rd, Madison, NJ 07940

Cell – 908-763-2077

Office - 855.557.3440

In all current and previous roles over the course of his career, Mr. Burroughs has ensured that significant items compliance and service were adhered to and that client needs were impeccably served. His job security depended on the management of a variety of procurement, incentive, and grant projects averaging several million dollars per project for a variety of customers with budgets exceeding \$500 million. In his most recent position before coming to Taurus, Mr. Burroughs's operational expertise led to his company gaining over 40 clients whose procurement protocols were previously unattainable for his employer, in addition to garnering significant supplier refunds for said clients when operational guidelines were not met by suppliers.