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PUCO

14-792-GA-AGG

APRIL 13, 2020

Public Utilities Commission
Docketing Division
180 East Broad Street
Columbus, Ohio 43215-3793

To whom it may concern:

Enclosed please find one original notarized Renewal Certificate Application Competitive Retail Natural Gas Brokers/Aggregators signed by a principal officer and three copies including all exhibits, affidavits, and other attachments. Also, enclosed please find a Motion for Protective Order in accordance with OAC 4901-1-24.

Please contact the undersigned with any questions or concerns

Brooke Bonelli

Brooke Bonelli (0087696)
Attorney at Law
330-603-8375
Brooke.bonelli@gmail.com

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Public Utilities Commission

PUCO USE ONLY – Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		14- 792 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name M3 CONSULTINGS, LLC DBA M3 ENERGY
Address 731 RED ROCK DRIVE, WADSWORTH, OHIO 44281
Telephone No. 330-603-8650 Web site Address
Current PUCO Certificate No. 14-0792(G)3 Effective Dates 5/29/2018-CURRENT

A-3 Applicant information under which applicant will do business in Ohio:

Name M3 CONSULTINGS, LLC DBA M3 ENERGY
Address 731 RED ROCK DRIVE, WADSWORTH, OHIO 44281
Web site Address Telephone No. 330-603-8650

A-4 List all names under which the applicant does business in North America:

M3 CONSULTING, LLC M3 ENERGY

A-5 Contact person for regulatory or emergency matters:

Name DEBRA MEAGER Title PRESIDENT
Business Address 731 RED ROCK DRIVE, WADSWORTH, OHIO 44281
Telephone No. 330-603-8650 Fax No. 888-214-0388 Email Address DEB.MEAGER@GMAIL.COM

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name DEBRA MEAGER Title PRESIDENT
Business address 731 RED ROCK DRIVE, WADSWORTH, OHIO 44281
Telephone No. 330-603-8650 Fax No. Email Address DEB.MEAGER@GMAIL.COM

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 731 RED ROCK DRIVE, WADSWORTH, OHIO 44281
Toll-Free Telephone No. 330-603-8650 Fax No. 888-214-0388 Email Address DEB.MEAGER@GMAIL.CO

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name DEBRA MEAGER Title PRESIDENT
Business address 731 RED ROCK DRIVE, WADSWORTH, OHIO 44281
Telephone No. 330-603-8650 Fax No. 888-214-0388 Email Address DEB.MEAGER@GMAIL.COM

A-9 Applicant's federal employer identification number

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ **Columbia Gas of Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	06/01/2014	End Date

☒ **Dominion East Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	06/01/2014	End Date

☒ **Duke Energy Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	06/01/2014	End Date

☒ **Vectren Energy Delivery of Ohio**

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	06/01/2014	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	06/01/2014	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Debra Meager

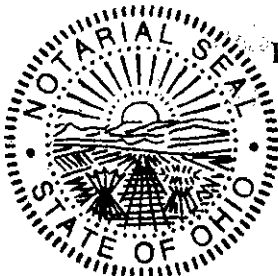
Sworn and subscribed before me this **13** day of **April** Month **2020** Year

Brooke Bonelli

Signature of official administering oath

Brooke Bonelli

Print Name and Title



BROOKE M. BONELLI My commission expires on **n/a**
 Attorney At Law
 NOTARY PUBLIC
 STATE OF OHIO
 My Commission Has
 No Expiration Date
 Section 147.03 O.R.C.



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

M3 Consulting, LLC dba M3 Energy)
for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. 14 - 792 -GA-AGG

County of Medina
State of OHIO

Debra Meager [Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Debra Meager

Sworn and subscribed before me this 13 day of April Month April Year

Signature of Official Administering

BROOKE M. BONELLI
Attorney At Law

Print Name and Title

Brooke Bonelli

NOTARY PUBLIC

STATE OF OHIO

My Commission Has

No Expiration Date
Section 147.03 O.R.C.

My Commission Expires on n/a

Exhibit C-10 “Corporate Structure,” provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

M3 Consulting, LLC was incorporated in the State of Ohio the 25th day of July, 2005. Articles of Organization/Dom. LLC Articles of Incorporation are on file with the State of Ohio. M3 Consulting, LLC filed a fictitious name/original filing as M3 Energy on the 11th of January 2010.

Exhibit A-14 "Principal Officers, Directors & Partners," provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Debra L. Meager, President

- MBA Case Western Reserve University
- Ohio Certified Business Advisor
- Member, Women Business Enterprise National Council (WBENC)
- Women Owned Small Business (WOSB)

M3 Consulting, LLC dba M3 Energy

731 Red Rock Drive

Wadsworth, Ohio 44281

330-603-8650

Exhibit A-15 "Company History," provide a concise description of the applicant's company history and principal business interests.

M3 Consulting, LLC was incorporated in the State of Ohio the 25th day of July, 2005, filed with Articles of Incorporation/Dom. LLC as a business consulting organization by Debra L. Meager.

After earning her Executive MBA from Case Western Reserve University, the Weatherhead School of Management, Debra Meager worked as a business advisor for Ohio Small Business Development centers and alter as a consultant and business advisor at M3 Consulting, LLC.

In 2010, M3 Consulting, LLC filed a fictitious name/original filing as M3 Energy to do business in the gas and electric markets in Ohio. M3 Consulting, LLC and M3 Energy assists clients securing electricity in the open supply market.

Exhibit A-16 "Articles of Incorporation and Bylaws," provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, only if the contents of the originally filed documents changed since the initial application.

There have been no changes to the Articles of Incorporation and Bylaws since the initial application.

Exhibit A-17 "Secretary of State," provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

See attached Addendum A.

Exhibit B-1 “Jurisdictions of Operation,” provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

M3 Consulting, LLC dba M3 Energy is only licensed in the State of Ohio.

Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

M3 Consulting, LLC dba M3 Energy has been working under other aggregators/power brokers helping customers secure rates since 2006.

M3 Energy has developed relationships and conducted market assessments identifying natural gas suppliers to supply potential customers.

M3 Energy will continue to assist customers to secure the best value and rates by querying natural gas suppliers and providing volume, negotiating terms as necessary, and comparing the best of the market at that given time. The customer then chooses the term and company from which to buy their supply depending on market factors and geographical concerns. The final decision to buy and legal responsibility will reside with the customer. They will be billed by the supplier or the utility, not M3 Energy.

Customer inquiries and complaints will be handled by M3 Energy at telephone number 330-603-8650, FAX 888-214-0388, or email to deb.meager@gmail.com.

Exhibit B-3 "Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

M3 Consulting, LLC dba M3 Energy currently manages approximately 200,000 mcf annually to approximately 500 customers in Ohio. Those customers are behind Dominion East Ohio Gas and Columbia Gas of Ohio utilities.

We review bills and usage with customers and provide look back and look forward analysis to help the customer with strategic planning. Since 2006, M3 Consulting, LLC dba M3 Energy has been providing Ohio customers consulting services to help them save on their natural gas costs and provide a level of stability for those costs.

M3 Energy aggregates the client's usage, sometimes over several locations, and puts their volumes to bid with multiple suppliers.

Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

There are no existing, pending or past rulings, judgements, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide services it is seeking to be certified to provide.

Exhibit C-1 “Annual Reports,” provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

M3 Consulting, LLC is a Sole Proprietor Limited Liability Company. Exhibit C-1 is not applicable because M3 Consulting, LLC is privately held.

Exhibit C-2 “SEC Filings,” provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.

M3 Consulting LLC is a Sole Proprietor Limited Liability Company. M3 Energy is a dba under M3 Consulting, LLC. M3 Consulting, LLC and M3 Energy are exempt from filing 10-K/8-k filings with the SEC.

CONFIDENTIAL Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

See below.

Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Not Applicable. M3 Consulting, LLC dba M3 Energy is applying to be a Broker and will not be taking the power. M3 Consulting, LLC dba M3 Energy does not have financial arrangements to conduct retail electric/gas as a business activity.

CONFIDENTIAL Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's NATURAL GAS related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

See below.

Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant’s current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

Not Applicable.

Exhibit C-7 “Credit Report,” provide a copy of the applicant’s current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

See Addendum B for the personal credit report of Debra L. Meager.

Exhibit C-8 “Bankruptcy Information,” provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

None. M3 Consulting, LLC dba M3 Energy has not filed bankruptcy or partnered with anyone known to us to have filed bankruptcy. We have no affiliates or parent company. This excludes companies to which we supply or contract natural gas through, as we have no control over their fiscal responsibility.

Exhibit C-9 “Merger Information,” provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.

None. The applicant has no dissolutions, mergers, or acquisitions within the five most recent years preceding the application.

Exhibit C-10 “Corporate Structure,” provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

M3 Consulting, LLC was incorporated in the State of Ohio the 25th day of July, 2005. Articles of Organization/Dom. LLC Articles of Incorporation are on file with the State of Ohio. M3 Consulting, LLC filed a fictitious name/original filing as M3 Energy on the 11th of January 2010.

Exhibit D-1 “Operations,” provide a current written description of the operational nature of the applicant’s business functions.

M3 Consulting, LLC dba M3 Energy audits customer’s bills and provides the customer a review and explanation of the utility and supply charges. We confirm whether or not the customer is currently in contract. M3 Energy often creates a spreadsheet of month to month usage, depending on total volume used and number of accounts.

Volumes of customer usage is sent to suppliers who provide rates that we communicate to the customer. Once the customer chooses the supplier, based on rate and terms, M3 Energy completes the contract provided by the supplier, gives it to the customer to sign and return to M3 Energy. M3 Energy then sends the contract to the chosen supplier.

M3 Energy keeps records of customers and suppliers with dates of contracts, volumes, etc. Those records are cross-checked to supplier lists monthly, ensuring timely enrollment and correct rate. M3 Energy also provides customer service to customers if there are inconsistency issues, questions or concerns.

Exhibit D-2 “Operations Expertise,” given the operational nature of the applicant’s business, provide evidence of the applicant’s current experience and technical expertise in performing such operations.

M3 Consulting, LLC dba M3 Energy has been working behind other brokers/aggregators since 2006 providing services described in Exhibit D-1.

Debra Meager, President, completed an Executive MBA at Case Western Reserve University, Weatherhead School of Management in 2005 and started working in the deregulated natural gas market in 2006.

Working for six years with certified and registered broker/aggregators allowed us to learn the technical expertise necessary to become an effective broker/aggregator and provide customer with exceptional customer service.

Customer service sets M3 Energy apart from others in the industry. We help our customers with any and all questions and concerns, directing them to the correct person, company or agency for issues for which we do not have the answers.

Exhibit D-3 “Key Technical Personnel,” provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant’s current business.

M3 Consulting, LLC dba M3 Energy is licensed with the State of Ohio.

Debra L. Meager, MBA, Ohio Certified Business Advisor
President of M3 Consulting, LLC dba M3 Energy
Email: Deb.meager@gmail.com
Telephone: 330-603-8650, FAX: 888-214-0388

Debra holds an Executive MBA from Case Western Reserve University, Weatherhead School of Management, and has been an entrepreneur for more than 20 years. She has the expertise to manage a business, pay taxes, and stay informed of the changing business environment in Ohio.

Addendum A



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
09/29/2019	201927200054	FICTITIOUS NAME RENEWAL (NFR)	25.00	0			0

Receipt

This is not a bill. Please do not remit payment.

DEBRA MEAGER MEAGER
731 RED ROCK DR
WADSWORTH, OH, 44281

STATE OF OHIO
CERTIFICATE

Ohio Secretary of State, Frank LaRose
2361381

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
M3 ENERGY
and, that said business records show the filing and recording of:

Document(s)
FICTITIOUS NAME RENEWAL

Document No(s):
201927200054

Effective Date: 09/29/2019



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 29th day of September, A.D.
2019.

Frank LaRose
Ohio Secretary of State

Form 523A Prescribed by:



Date Electronically Filed: 9/29/2019

Toll Free: 877.767.3453 | Central Ohio: 614.466.3910

OhioSoS.gov | business@OhioSoS.gov

File online or for more information: OhioBusinessCentral.gov

Renewal of Trade Name or Fictitious Name Registration

Filing Fee: \$25

Form Must Be Typed

(CHECK ONLY ONE (1) BOX)

☐ Renewal of Trade Name (172-RNR)

Reg. No.

☒ Renewal of Fictitious Name (159-NFR)

Reg. No.

2361381

Trade Name or Fictitious Name to be Renewed

M3 ENERGY

Name of Registrant Renewing Name

M3 CONSULTING, LLC

Registrant's Entity Number (if registered with Ohio Secretary of State):

1558530

Complete if the registrant is a general partnership and has not provided an entity number above. Registration numbers are assigned to partnerships that have filed a statement under Ohio Revised Code Chapter 1776 OR complete if a partner was listed on the original application and that person/entity is no longer a partner.

Provide the name and address of at least one general partner.

Name

Address

NOTE: Pursuant to OAG 89-081, if a general partner is a foreign corporation/limited liability company, it must be licensed to transact business in Ohio; if a general partner is a foreign corporation/limited liability company licensed in Ohio under an assumed name, please provide the assumed name and the name as registered in its jurisdiction of formation.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Renewal must be signed by the registrant or authorized representative of the registrant.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

M3 ENERGY

Signature

DEBRA L MEAGER

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Addendum B



Credit Report Prepared For:

DEBRA L MEAGER

Experian Report As Of: Mar 24, 2020


Personal & Confidential

Account Summary

My Accounts Summary

Open Credit Cards	2
Open Retail Cards	1
Open Real Estate Loans	1
Open Installment Loans	2
Total Open Accounts	6
Accounts Ever Late	0
Collections Accounts	0
Average Account Age	10 yrs 9 mos
Oldest Account	17 yrs 5 mos

My Overall Credit Usage

	Credit Debt
4%	\$1,650
	Total Credit
	\$47,100

My Debt Summary

Credit and Retail Card Debt	\$1,650
Real Estate Debt	\$129,774
Installment Loans Debt	\$18,546
Collections Debt	\$0
Total Debt	\$149,970

My Hard Credit Inquiries

0

My Public Records

0

Summary

Accounts

Collections

Inquiries

Public Records

Credit Score

Account Summary

My Personal Information

Name

DEBRA L MEAGER

Also Known As

MEAGER DEBRA

DEB L MEAGER

Birth Year

1954

Addresses

731 RED ROCK DR
WADSWORTH, OH 44281-2289

3649 SCHAUB RD
SEVILLE, OH 44273-9749

10963 BERGGREN LN
NEVADA CITY, CA 95959-9502

Personal Statement(s)

No Statement(s) present at this time

Employer(s)

FRISCO LAND

Summary

Accounts

Collections

Inquiries

Public Records

Credit Score