

**INITIAL CERTIFICATION APPLICATION  
COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS**

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

**SECTION A - APPLICANT INFORMATION AND SERVICES**

**A-1 Applicant intends to be certified as: (check all that apply)**

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

**A-2 Applicant information:**

Legal Name Century Energy Solutions, LLC  
Address 1815 N. Highland Ave. Arlington Heights, IL 60004  
Telephone No. 847-421-0386 Web site Address Centuryenergy Solutions.com

**A-3 Applicant information under which applicant will do business in Ohio:**

Name Century Energy Solutions, LLC  
Address 1815 N. Highland Ave. Arlington Heights, IL 60004  
Web site Address Centuryenergy Solutions.com Telephone No. 847-421-0386

**A-4 List all names under which the applicant does business in North America:**

Only as in A-3 Above

**A-5 Contact person for regulatory or emergency matters:**

Name Steve Snelson Title Owner/Partner  
Business Address 1815 N. Highland Ave. Arlington Heights, IL 60004  
Telephone No. 847-421-0386 Fax No. N/A Email Address Steve@Centuryenergy Solutions.com

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name Steve Snelson Title Owner/Partner  
Business address 1815 N. Highland Ave. Arlington Heights, IL 60004  
Telephone No. 847-421-0386 Fax No. N/A Email Address Steve@centuryenergy.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address 1815 N. Highland Ave. Arlington Heights, IL 60004  
Toll-Free Telephone No. N/A Fax No. N/A Email Address Steve@centuryenergy.com

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name Harbor Compliance Title Agency  
Business address 6545 Market Ave. North Suite 100 North Canton, OH 44721  
Telephone No. 888-995-5895 Fax No. N/A Email Address Support@registeredagentsinc.com  
STARK COUNTY  
46-4428177

**A-9 Applicant's federal employer identification number**

**A-10 Applicant's form of ownership: (Check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other                                      |

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)**

<input type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**

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<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	December 2019
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	December 2019
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	December 2019
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	December 2019

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 **Exhibit A-16 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-17 **Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

## **SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

### **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If the applicant does not produce annual reports, the applicant should indicate that Exhibit C-1 is not applicable and why.  
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's current financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

**C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

**C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

**C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

## **SECTION D – APPLICANT TECHNICAL CAPABILITY**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.**

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

**Applicant Signature and Title**

*[Handwritten Signature]*

**Sworn and subscribed before me this**

*19<sup>th</sup>*

**day of**

*November*

**Month**

*2019*

**Year**

*[Handwritten Signature]*

**Signature of official administering oath**

*Katie L Cravens / Notary*

**Print Name and Title**

**My commission expires on**

*8-30-21*



# Paid Invoice

## Bill To:

Century Energy Solutions, LLC

## Invoice Details:

Invoice #: 71734

Purchase Date: 8/1/2019

Due date: PAID

Notes: -

# HARBOR COMPLIANCE.

1830 Colonial Village Lane  
Lancaster, PA 17601

## DESCRIPTION

## AMOUNT

### Registered Agent

Century Energy Solutions, LLC

10/29/2019 to 10/28/2020

Ohio

Service Fees \$99.00

Filing Fees \$0.00

Late Fees \$0.00

Discount -\$10.00

**\$89.00**

Service Fees Paid \$89.00

Filing Fees Paid \$0.00

**Total \$89.00**

\* Filing fees depend on your individual situation. We do our best to calculate your filing fees upfront and collect those fees today so we can get started. Your specialist will determine your exact filing fees and invoice additional fees if required. When processing government applications or disbursing filing fees, we may add an order processing fee to cover our administrative expenses.

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**Exhibit A-14 "Principal Officers, Directors & Partners"**

**Century Energy Solutions, LLC is comprised of four equal Partners. The Partners are:**

**Brad Cesario      1836 N. Robincrest Lane   Glenview, IL 60025  
847-486-1057**

**Ken Watson      485 Edgewater Drive      Morris, IL 60450  
815-474-2223**

**Mike Hyman      5800 Washington Downers Grove, IL 60516  
630-817-3164**

**Steve Snelson      1815 N. Highland Ave. Arlington Heights, IL  
60004 847-421-0386**

### Exhibit A-15 "Company History"

Century Energy Solutions, LLC is an Illinois Limited Liability Company. Ownership of the company is equally shared by four Managing Principals. Century Energy Solutions, LLC was formed and received an ABC (Agent, Broker and Consultant) license in Illinois in April of 2014. We still operate in Illinois. We also applied and received a license in Ohio in 2015/2016 for electric. We still operate in Ohio for electric.

**Exhibit A-16 "Articles of Incorporation and Bylaws"**

**Century Energy Solutions, LLC is an Illinois Limited Liability Company. A screen shot of our corporate standing with the State of Illinois is included.**

Exhibit A-17 "Secretary of State"

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show CENTURY ENERGY SOLUTIONS, LLC, an Illinois For Profit Limited Liability Company, Registration Number 2340812, filed on November 5, 2014, is currently in FULL FORCE AND EFFECT upon the records of this office.*



*Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 16th day of October, A.D. 2019.*

A handwritten signature in cursive script, appearing to read "Frank LaRose".

**Ohio Secretary of State**

**Validation Number: 201928902566**

## **Exhibit B-1 "Jurisdictions of Operations"**

Century Energy Solutions, LLC has a retail ABC (Agent, Broker, Consultant) license with the State of Illinois for electric and gas. We received our license on May 7, 2014. We are also licensed in the State of Ohio for electricity and are requesting a license for gas in Ohio.

## Exhibit B-2 "Experience and Plans"

Century Energy Solutions, LLC is equally owned by four individuals. The business will entail soliciting potential customers through any or all of the following: telephone, email, referrals and personal visits. All four individuals have at least thirteen years of experience in the electric and gas retail industry in Illinois. Other backgrounds may include power trading and utility distribution.

For services that are invoiced to the customer directly, statements would be provided by an accountant/CPA firm located in Morris, IL.

Customer Inquiries should be made via email or telephone

[Mike@centuryenergysolutions.com](mailto:Mike@centuryenergysolutions.com)

630-817-3064

[Ken@centuryenergysolutions.com](mailto:Ken@centuryenergysolutions.com)

815-474-2223

[Brad@centuryenergysolutions.com](mailto:Brad@centuryenergysolutions.com)

847-486-1057

[Steve@centuryenergysolutions.com](mailto:Steve@centuryenergysolutions.com)

847-421-0386

### Exhibit B-3 "Summary of Experience"

Century Energy Solutions, LLC has four Managing Principals with extensive energy experience in the Illinois retail market with customers in both Illinois for electric and gas and have customers in the State of Ohio for electricity. Now we would like to provide gas consulting/brokerage services to the Commercial, Mercantile and Industrial retail customers in the all territories in the state of Ohio.

**Exhibit B-4 "Disclosure of Liabilities and Investigations"**

**Century Energy Solutions, LLC. We do not have any rulings, judgments, investigations or any other matter that would impact our financial or operational ability to provide services.**



**Exhibit C- 1 “Annual Reports”**

**Century Energy Solutions, LLC is a privately held company**

**Exhibit C- 2 "SEC filings"**

**Century Energy Solutions, LLC is a privately held company and therefore would not file with the SEC**

**Exhibit C-4 "Financial Arrangements"**

**N/A**

**Century Energy Solutions, LLC is not taking title to the electric or natural gas.**

Exhibit C-6 "Credit Rating"

N/A

**Exhibit C-7 "Credit Report"**

**Century Energy Solutions, LLC is a privately held limited liability company. There is not a rating on the company. Duns # is 07-963-1881**

**Exhibit C-8 "Bankruptcy Information"**

**Century Energy Solutions, LLC has not filed for bankruptcy.**

**Exhibit C-9 “Merger Information”**

**Century Energy Solutions, LLC has no history of any merger, acquisition or dissolution”.**

**Exhibit C- 10 “Corporate Structure”**

**Century Energy Solutions, LLC is a standalone entity without any affiliates or subsidiaries**



#### Exhibit D- 1 "Operations"

Century Energy Solutions, LLC is engaged in providing Broker and Consulting services to Commercial and industrial customers. We have experience and knowledge with natural gas products as well as electric products for each member of the company. Every individual has at least twelve years of related experience with natural gas, electric and other energy products. As a Broker/Consultant company, we never take ownership of the commodity so we never are responsible for gas nominations or scheduling.

### Exhibit D-3 "Key Technical Personnel"

Century Energy Solutions, LLC is equally owned by four individuals/Partners. All four individuals have at least twelve years of experience in the electric and gas retail industry in Illinois and have been licensed over 4 years in the State of Ohio for electric. Other backgrounds include power trading and utility distribution.

The four equal Partners are:

Mike Hyman

[Mike@centuryenergysolutions.com](mailto:Mike@centuryenergysolutions.com)

630-817-3064

Ken Watson

[Ken@centuryenergysolutions.com](mailto:Ken@centuryenergysolutions.com)

815-474-2223

Brad Cesario

[Brad@centuryenergysolutions.com](mailto:Brad@centuryenergysolutions.com)

847-486-1057

Steve Snelson

[Steve@centuryenergysolutions.com](mailto:Steve@centuryenergysolutions.com)

847-421-0386



# The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service  
Affidavit Form  
(Version 1.07)

In the Matter of the Application of )

Century Energy Solutions, LLC )  
for a Certificate or Renewal Certificate to Provide )  
Competitive Retail Natural Gas Service in Ohio. )

Case No.

-

-GA-AGG

County of  
State of

Grundy

IL

Kenneth Watson

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Kenneth Watson Partner / Owner

Sworn and subscribed before me this

19<sup>th</sup>

day of

November

Month

2019

Year

Katie L Cravens

Signature of Official Administering Oath

Katie L Cravens / Notary

Print Name and Title

OFFICIAL SEAL  
KATIE L. CRAVENS  
Notary Public - State of Illinois  
My Commission Expires 8/30/2021

My commission expires on

8-30-21