1		BEFORE		
2 3		THE OHIO POWER SITING BOARD		
4 5 6 7 8 9	In the Matter of the Application of Republic) Wind, LLC for a Certificate to Site Wind) Powered Electric Generating Facilities in) Seneca and Sandusky Counties, Ohio) Case No. 17-2295-EL-BGN			
10 11 12 13	DIRECT TESTIMONY OF MARK SHIELDCASTLE ON BEHALF OF THE LOCAL RESIDENT INTERVENORS			
14 15 16	Q.1.	Please state your name and work address.		
10 17 18	A.1.	Mark C. Shieldcastle, 13551 West State Route 2, Oak Harbor, Ohio 43449.		
19	Q.2.	On whose behalf are you submitting this testimony?		
20	A.2.	I am offering testimony on behalf of Intervenors Joseph & Diane Anderson, Denise Bell,		
21	Aaron	& Carrie Boes, Richard & Linda Bollenbacher, Rob & Mary Chappell, Thomas &		
22	Kathlee	en Fries, Leslie & Dennis Hackenburg, Jeffrey & DeeAnne Hamilton, Allen & Mary		
23	Hassell	lbach, Duane & Deb Hay, Ethan & Crystal Hoepf, Gary & Dawn Hoepf, Jason &		
24	Michel	le Hoepf, Taylor Hoepf, David P. Hoover, Jeffrey A. Hoover, Kenneth & Debra Hossler,		
25	Greg &	z Laura Jess, Mike & Tiffany Kessler, Leonard & Beverly Kubitz, Gary & Michelle		
26	Miller,	Steven & Kelley Miller, Kim Mitchell, Charles & Linda Morsher, Patricia Motry, Steven		
27	& Lind	a Mulligan, Doug & Jennifer Myers, Linda Niederkohr, Kevin & Jennifer Oney, Nicholas		
28	& Micł	helle Reiter, Tom & Lori Scheele, Elaine Schultz, James & Victoria Seliga, Eugene &		
29	JoAnn	Smith, James & Elaine Steinmetz, Herman & Patricia Studer, Christine Vogt, Mark		
30	Weber	& Cindra Riley, Charles & Rhonda Weyer, Ann Wright, and Chris & Danielle Zeman		
31	(togeth	er, the "Local Residents").		
32	Q.3.	What is the purpose of your testimony?		

A.3 On behalf of the Local Residents, I have evaluated all wildlife information in Republic
Wind's Application to render expert opinions as to whether the Application sets forth
scientifically valid data based on validated methodologies sufficient to determine the probable
environmental impact and the adverse environmental impact of the proposed Republic Wind
project (the "Project") on birds and bats. I also have reviewed the Staff Report and Republic
Wind's pre-filed testimony.

7 Q.4. Please summarize your education background.

A.4. I obtained a Bachelor of Science degree in Wildlife Management from The Ohio State
University in 1974. I participated in various statistical and study design workshops through my
employment with the Ohio Division of Wildlife of the Ohio Department of Natural Resources
("DNR"). My educational background is described in more detail in my resume, which is
attached as Exhibit A.

13 Q.5. Please summarize your professional work experience.

A.5. I began my professional employment as staff at The Ohio State University at the 14 Cooperative Wildlife Research Unit. There I worked on various graduate research projects in 15 wildlife. I accepted a post with the Ohio Division of Wildlife in 1976 at the Crane Creek Wildlife 16 17 Research Unit, the wetland wildlife station. Here I advanced from entry level to Project Leader of Wetland Wildlife Research for the state of Ohio. I had responsibilities for a wide range of 18 projects on birds and furbearers. Most notable for this testimony was study design of multiple 19 20 projects, leader of Ohio's Bald Eagle recovery plan, waterfowl biologist and Tech Representative 21 for Ohio to the Mississippi Flyway Council, and design of recovery plans for the Trumpeter Swan, River Otter, Osprey, Common Tern, and Sandhill Crane. I developed the original Avian 22

Concern Zones for the DNR relating to wind power initiatives and associated risk to wildlife. My
 resume contains a more detailed summary of my professional experience.

3 Q.6. What are your primary findings, conclusions, and opinions in this case?

A.6. My opinion, to a reasonable degree of scientific certainty, is that neither the Application
and its supporting documents nor Republic Wind's Pre-Filed Testimony sets forth scientifically
valid data or identifies validated methodologies sufficient to determine the probable
environmental impact and the adverse environmental impact of the Project on birds and bats. All
of the wildlife studies in the Application are merely attempts to "check off the boxes" to conduct
the minimum amount of study requested by state and federal agencies. These studies do not

10 provide scientifically valid analyses of the Project's environmental impact.

11 Q.7 What studies provided by Republic Wind have you reviewed to prepare your12 opinions?

13 A.7 I have reviewed the narrative and exhibits of Republic Wind's Application pertaining to

14 birds and bats, including but not limited to (1) the wildlife discussions in the Application's

15 narrative, 2) Appendix L, Raptor Nest Survey, (3) Appendix M, Bald Eagle Survey, (4)

16 Appendix N, Passerine Migration Survey, (5) Appendix O, Breeding Bird Survey, (6) Exhibit J,

17 Appendix E, Raptor Nest Survey, Passerine Migration, and Breeding Bird Survey from Emerson

18 West Wind Project, and (7), Exhibit J, Ecological Assessment. I also have reviewed the prefiled

19 testimony by Republic Wind's experts on avian and bat studies as well as technical memos from

20 Western EcoSystems Technology, Inc. to APEX Renewables.

21 Q.8. In general, what are the Application's deficiencies in studying the Projects'

22 probable effects on birds and bats?

1 A.8. Yes, across the board, not a single study was conducted within the entire footprint of the 2 present project. Most studies were completed nearly a decade ago (2011-12) on a vastly different 3 footprint and should represent nothing more than a pilot for a 2019 project. There was additional 4 bat data acquired in 2015-16 but on a different portion of the footprint with no linkage to the older data. According to Ohio Division of Wildlife policy, each project must conduct its own 5 6 field work and not utilize another project's studies in response to trade secret concerns of that 7 facility owner. Republic has submitted four avian studies, one bat study, and two Technical 8 memorandums from Western EcoSystems Technology, Inc.) that were conducted for the Emerson West project. None of the studies recognizes and quantifies the annual risk presented to 9 avian species during the winter, migration, and nesting seasons, thus resulting in a hodge-podge 10 of results without scientific support. 11

In general the surveys fail to support the conclusions made by Republic Wind's consultants included in the Ecological Assessment (Exhibit J). Inadequate sampling, inappropriate design to account for nocturnal migrants, failure of raptor nest surveys to represent all raptors, outdated Bald Eagle surveys over much of the study area, and questionable sampling procedures resulted in inadequate studies that did not evaluate the Project's probable adverse environmental impact.

18 Q.9. What is the greatest risk to wildlife posed by the Project?

A.9. The species of greatest risk from the Project's impacts are nocturnal migrating landbirds.
These birds do most of their flying at night during migration. Not a single study presented for
this project has addressed the risk this Project presents in the air column habitat. Without this
information it is not possible to make accurate determinations of the Project's probable
environmental impact.

Q.10. What criteria must be used when designing studies of bird migration in Ohio?
A.10. Migration is highly variable. No single year of observation can be assumed to be
representative of migration in any given area. At least three years of studies are necessary to
begin quantifying average migratory patterns at any location. Local research along Lake Erie
demonstrates as much as 50% differences in bird volume one year to the next. Any shorter time
frame for studies is substandard and will not provide scientific validity or support for any
conclusions.

8 Migration occurs continuously during each species' migrational time frame. The start and 9 end of migration can vary greatly by species, and for Ohio includes the time frame of February to 10 late May (~110 days) and early August to late November (~120 days) for most species of waterfowl, shorebirds, raptors, and passerines. Daily peaks in migration activity vary by species 11 12 and are dependent on weather. Many diurnal migrating passerines begin moving soon after 13 daybreak. Some raptors are similar to passerines in that they begin moving at dawn, but others are more confined to thermal development during the heat of the day instead of migrating. The 14 15 studies must be conducted during the entire periods of migration.

Migration is generally a north to south movement across the landscape in spring and the reverse in the fall. However, there are other movements that are associated with migration that are contrary to this notion. Adverse weather may reverse the direction of migration flight for a short period where birds retreat only to continue normal movement following a more favorable weather system. The studies must take these movements into consideration.

Migration flight altitude is highly variable with thermal conditions, wind direction and
strength, precipitation, landscape, and species. Recent research has indicated considerable
variance in flight altitude within any given night. The studies must find the birds at all elevations.

Species have various migration strategies related to calendar timing and flock size. Visual
 detection and species identification are greatly affected by species, flight height, flock size,

3 weather, and distance. Study design needs to account for these differences to be representative of4 all birds.

5 To address nocturnal migration risk, studies must be conducted at night when the birds6 are actively moving through the air column habitat.

Q.11. Specifically, what are the deficiencies in the studies of migrating birds included in
Republic Wind's Application?

9 A.11. There were two studies on migratory birds included in the Application. These studies10 utilized different designs to survey the same segment of the avian resource.

I will first address the Passerine Migration Survey which was reported in Appendix N. Its 11 12 stated purpose was to gauge the rate at which nocturnally migrating passerines could be harmed 13 by wind turbines as the birds fly through the study area or stop in the area to rest and feed. The study was conducted nearly a decade ago and is irrelevant to today's conditions The study was 14 15 only conducted weekly for a life cycle phenomenon that exhibits high variability on a daily basis. There were only 15 days of surveys during spring which represents less than 15% of the 16 migration season, while in fall only 13 days of surveys were conducted representing about 10% 17 of the migration season. Therefore, sampling volume was inadequate to address movement 18 during migration within a year and in no way could address the variability from year-to-year that 19 20 can be extreme in avian migration. In addition, the study was not conducted over the present 21 footprint of the Project provided in the Application. The study was purposely conducted only during weather that favors migration (northerly winds in fall, southerly winds in spring), which 22 23 misses many of the variations in migration. Stopover of birds in the study area would be

expected to be greater in unfavorable weather resulting in early shutdown of migration on a
given night. Heavily studied migration along the Lake Erie coast indicates a much more complex
avian reaction to weather. Unfavorable winds have been documented in the spring to cause
reverse migration to escape the colder Lake Erie waters. For example, one documented migrant
banded along the Lake Erie coast was captured a few days later just north of Columbus during a
spring northeast wind event. Republic Wind's study ignores these behaviors and thus
misrepresents risk by virtue of its poor sample design.

8 This study is not related to the present footprint of Republic Wind's facility nor was it the 9 same footprint represented in other surveys for this application. The time frame for migration for 10 this study was adequate but being conducted for only one year is inadequate to address migration questions, avian risk, or environmental impact. This study is not a Passerine Migration Study but 11 12 rather a Diurnal Passerine Migration Survey. The survey was designed to prefer common 13 flocking species such as blackbirds and not nocturnal migrants. This study should have concentrated on documenting migration flight and not just stopover, since the air column is the 14 habitat at risk from wind turbines. In this case, failing to address one of the two goals (migration 15 16 pathway) made the study a scientific failure. The study failed to incorporate nocturnal radar into 17 the study design in order to evaluate nocturnal flight. This survey was 1) designed for blackbird 18 counts and 2) should not sum or average the bird counts over the duration of the survey but rather be stratified to the migrational timing of the individual species for evaluation. This survey 19 20 was not designed to address sensitive species due to its low effort (the rarer the species, the 21 higher the sample effort required), its diurnal time frame, location of points, and seasonal time frame. Actually, 10 species out of the total of 98 reported species are significant and should have 22 23 been addressed in more detail.

Therefore, to state that this monitoring program confirms limited avian migrant use is
 erroneous and misleading. No data was provided on nocturnal movement or air column risk for
 the species that are at the most risk of striking turbines.

4 An additional study was conducted by WEST for the Emerson West Wind Project in 5 2017. It was conducted in the appropriate time frame and was completed similar to the 2011 6 study with all of the same failures listed above. While 18 points were conducted there is no 7 mention of how many of these were on Republic's footprint, and results were summarized and 8 averaged rather than used to demonstrate actual risk. As in the previous study, this was a diurnal 9 survey completely ignoring the target species of nocturnal migration and the habitat of risk, the 10 air column. It is indicated that height of birds were recorded to the nearest 1m at 200 m in distance. This appears to be physically impossible for a human observer. That would equate to a 11 six inch bird (medium sized passerine) to represent an object .01 inch in size at 200 m to the eve. 12 13 The detection probability at this distance is extremely low let alone assigning an altitude. While flocking birds such as blackbirds may be detected a single small passerine would not be seen. 14 From Ohio data on turbine strikes a large percentage of mortality are of species that would not 15 even be seen using this design even if they were to move during diurnal hours. The consultants 16 17 concluded the area is that of low risk with absolutely no data collected to support or refute that claim. Therefore, scientifically the study is useless for assessing environmental impact on the 18 avian resource. 19

The second migration study conducted was labeled the diurnal bird/raptor migration
survey and is included in Appendix K. This study addressed the same birds as the above under a
different design. It utilized a modified Hawk Migration Association of North America
(HMANA) protocol which is for raptors only. Results were stated as averages for the whole

1 study period, when they should be stratified to species migration time frames to not dilute the 2 importance of the area and assess environmental impact.

3

This was a one year migration survey completed eight years ago, and was not conducted 4 daily or over the entire Project area. Therefore, to state that this monitoring study "confirms" limited avian migrant use is erroneous and misleading. This study opines that conclusion, based 5 6 on incomplete data from one partial year of field work. In addition, the Project footprint at the 7 time of the study appears different from the one stated in the Application, which discredits the 8 relationship between the study and the Project.

9 The objectives of this survey were to assess diurnal birds (non-raptors), raptors, and their potential risk from elevated structures. However, this migration study grossly underestimates, or 10 completely fails to address, the potential risk to target birds because: 11

12 - Analysis was conducted based on only one year of data. Migration volume variability 13 can reach as high as 50% between years. Migration surveys should always be at least three years 14 in duration to provide a more realistic analysis of risk.

- Sample design utilized a modified HMANA (Hawk Migration Association of North 15 16 America) design of 9 AM to 4 PM. This time window is reasonable for soaring raptors but fails 17 to address important time of day movements of non-raptors and non-soaring raptors (primarily Accipiters). This design flaw alone turns this study into exclusively a soaring raptor survey. 18

- Only 3 point count sites were used for more than 60 square miles, and were aligned on a 19 20 roughly north-south axis. This could result in count dependency, with large sections of the study 21 area remaining un-sampled. Detectability of the largest migrants is limited to three miles of visibility, and smaller species in tens of meters, so the coverage of this survey was inadequate in 22 23 design to address its purpose and should not be used to make any conclusions on risk. Given my

1	more than 40 years of raptor and diurnal bird migration survey experience, extensive
2	detectability problems are obvious even as little as 50 meters from any observation point. This
3	detection probability, which varies by species, needs to be established if this type of data is to be
4	used for risk assessment.
5	- There was no mention of point count observation radius. We then must assume they
6	used infinite point count distance. This reduces the detection of birds and makes any conclusions
7	with this study design suspect.
8	- A pre-determined sampling criteria was established based on wind direction as the sole
9	environmental/atmospheric variable. This assumes all species react in similar ways to other
10	environmental/atmospheric variables, which they do not. This is a serious assumption failure.
11	- Actual field days represented in the data comprise less than 50% of the specified sample
12	period and roughly 20% of actual migration periods.
13	- By ignoring species' individuality and by combining the entire survey results into one
14	grouping, information about various species in availability or risk is obscured.
15	- The study did not account for detectability or variability of detecting and counting
16	individuals of various species using visual sampling methods.
17	- Making assumptions about the presence or absence of species and risk with limited
18	spring and fall data is scientifically irresponsible.
19	- There was no methodology listed on how altitude was determined at various distances
20	from the point count site. This is exceedingly difficult for the finite heights that were targeted.
21	- For non-raptors this study design favors identification of birds within the blackbird
22	family and does not effectively address the presence of other species. Blackbirds are flocking
23	birds that allow for more distant observation than solitary or small flock species. It would be

much more valuable to first stratify surveys to migrational time period by species where presence
is possible, and second to utilize high count or occupancy as an indicator of risk. This study does
not represent the breadth of bird migration but gives indications on only blackbird migration
because of study design flaws.

5 The study design does not support the conclusion that limited use was indicated. Survey 6 timing does not address most species of concern because of daily timing, seasonal timing, or 7 weather effects. The survey timing did not encompass the entire migration season of many 8 species of raptors, which invalidates species comparisons. There is no mention as to how or 9 whether migrant Red-tailed Hawks and Turkey Vultures were separated from local non-10 migrating breeders. The fact that the Sharp-shinned Hawk was not recorded (possibly the most common migrating raptor) raises considerable suspicion of the observers' level of expertise and 11 12 the study design.

13 The report states that based on the surveys, the area is not important to migrating diurnal birds and raptors. This conclusion is not supported by sound science, given that the study was 14 15 designed to count only blackbirds and large soaring raptors. Further, this is contradictory to the known fact that the area lies directly south of one of the greatest bird concentration areas in the 16 17 Western Hemisphere (i.e., a Globally Important Area as designated by the National Audubon 18 Society). Waterfowl, are most likely observed in diurnal feeding flocks near dawn and dusk. Waterfowl migration would not be expected to be observed with this study design which takes 19 20 place from 9 am to 4 pm only.

Due to excessive flaws in study design, this study cannot be used to address avian risk from wind turbines at the proposed Project site. The study conducted in no way can confirm any conclusion on avian use, as it failed to address too many of the species in question.

Q.12. Does the Application address winter risk to birds for an environmental impact determination?

A.12. There were no studies conducted to assess environmental impact during the winter time
frame of the annual life cycle. This region of Ohio holds considerable winter bird populations.
The Ohio Winter Bird Atlas conducted 2002-2008 indicated at least 40 species seen in each of
the one minute blocks included in this Project footprint. In addition, there are multiple banding
records of Northern Saw-whet Owls in the study area. So the answer would be no.

8 The Ecological Assessment (Exhibit J) reviewed the 2017 Christmas Bird Count. There 9 are over 100 years of data available in this dataset. At least the last decade should have been 10 reviewed to quantify annual variation. This report failed to make a reasonable ecological 11 assessment of the data available to inform risk from this Project.

12 Q.13. Have you reviewed breeding bird surveys conducted for this project?

A.13. Yes, I have reviewed these surveys. There were four categories of studies conducted for
breeding birds. These included the raptor nest survey, breeding bird survey, Bald Eagle nesting
survey, and a special breeding bird survey for sensitive species.

Q.14. What is your assessment of the adequacy of the Breeding Bird Survey design andconclusions?

A.14. This study was conducted in 2011 and was not conducted on the entire footprint of the
present project. These facts raise considerable scientific rigor and validity concerns about any
conclusions rendered by the consultant.

This study was reported in Appendix O. While not constituting a failed study design, it is
interesting that while this study was called a Breeding Bird Survey it did not utilize the long
standing design of the Federal breeding bird survey of 3 minutes per point. Had this standard

been used instead of the 10 minute count chosen, sample size could have been tripled without
increasing the cost of the field work. Generally, good sample design prefers increasing points
where possible and reducing individual point time. Three repetitions of each point is a good
design component. The report is vague on analysis and the methodology used. As a result, it
appears surveys were summed rather than maximum counts used, which would have represented
risk more accurately and would be the primary purpose of multiple repetitions.

The Federal Vickory breeding bird survey route was reviewed but only for listed species.
I conduct that survey route myself and it is of interest that Republic Wind's report does not
mention species such as the Dickcissel for which they designed a special survey. I have annually
recorded that species in my surveys. Instead, Republic Wind's consultant concluded the species
targeted were not present in the area. If they did a literature review as reported, they appear to
have chosen to ignore results unfavorable of a desired answer.

The Ecological Assessment (Exhibit J) used outdated data from the Federal Breeding
Bird Survey. This report was completed in January 2018 and should have included breeding bird
surveys beyond 2011. To ignore 2012-2017 data is to not include the most recent data available,
a responsibility of this environmental assessment.

Utilization of the state Breeding Bird Atlas would have been very useful in assessing the validity of the Project breeding bird survey. However, Republic Wind's report only addresses listed species. This failure raises concern about the validity of this entire report. Why wasn't the Breeding Bird Atlas cross referenced with the Project survey? How did atlas blocks relate to diversity compared to the project survey? Why were only the priority blocks of the Breeding Bird Atlas I used from the Breeding Bird Atlas II? There was an opportunity to compare the entire study area (the one in 2011 would require a new analysis for 2018 application), but this

opportunity was not taken. The result is a failure to provide a meaningful analysis of the
 Project's risk to breeding birds.

3 Additional data from the neighboring Emerson West project was added to this 4 application. This appears to contradict DNR's position that a Project must collect its own data. 5 This survey was conducted on only a portion of the Project area. It was not compared to other 6 work conducted and referenced in this application. The summary indicates incorrectly that it was 7 a survey of 15 points, which gives the impression of a more robust sample design; however, only 8 2 points were conducted in 2011, 11 points in 2012, and 2 points in 2016. None of these points 9 was repeated in any two years. In effect, sample size was at most 11 points for one season and 10 only 2 points in two other years to represent the entire study area. This is contrary to testimony offered by Republic witnesses and a complete fallacy. DNR recommendations were for 15 points 11 12 for the acreage involved as the sample size.

13 The consultant indicates the objectives of the survey were to document the type and number of bird species observed within the Project area during the breeding season. It is not 14 15 scientifically valid to assess the objectives listed based only on a sample size of 2 points in two of the three years studied. As a result, this report was very misleading, to the point of subjugating 16 17 ODNR guidelines in sample design. DNR indicated that the minimum number of points to 18 conduct the survey was 15. This is an annual survey. The actual sample sizes were 2, 11, and 2 over three years, not 15 points run three years as required by the protocol. The study indicates 19 20 the distance to each bird and height was estimated to within 1 meter. There is no description of 21 how that resolution could be accomplished with the naked eye at distances up to 200 meters; this claim seems extremely unlikely if not impossible. Sample size, design, and analysis are 22 23 inadequate to make any conclusions on risk to breeding birds.

Q.15. Does the raptor nesting surveys provide adequate information for environmental impact determinations with any level of certainty?

A15.. No, neither the surveys represent adequate time frame needs or account for the speciesthey purport to conclude no risk.

5 The raptor nest survey was included in Appendix L. It was conducted during one year 6 only and nearly a decade ago. Neither of these criteria is adequate to allow for impact 7 determinations on the objectives of the study concerning the number of nests, where nests are located, the condition of nests, and what species of raptors nested at the Project site. The study 8 9 was conducted once over a nine day period in March 2011. The study area footprint reported 10 does not match any of the other studies and does not include the entire present footprint, which invalidates results for risk assessment. It is stated that nests were in early stages of construction; 11 12 therefore, it is possible that additional nests were yet to be started or existing nests had failed, or 13 possibly fell from the tree. This survey did not address any sensitive species outside of the Bald Eagle. It should be titled Red-tailed Hawk and Bald Eagle Nest Survey as they are the only 14 species likely to be found using this design, since other diurnal and nocturnal species were 15 16 ignored. Standard Ohio DNR protocol recommends nest searches from February 1 to March 31. 17 Stick nests of Bald Eagles and Red-tailed Hawks would be marginally sampled with Republic Wind's design, however, owls, Cooper's Hawk, Northern Harrier, and Red-shouldered Hawks 18 would not be adequately sampled by the survey methods. Their own results indicate some nests 19 20 may have been just beginning and others (which they chose to indicate as not used) had already 21 failed. This survey should be conducted at least twice if not three times during the time frame. Only 3 of 11 nests (27%) could be assigned ownership. This is a glaring sign that the survey was 22 inadequate to address the purpose of the survey and should have been conducted over a larger 23

time frame. Second, it was assumed that the remaining nests were inactive. This is not supported due to inadequate observation and sample design. These may easily be nest failures or pair movements. This study was not designed to assess other listed or sensitive raptor species as they are ground or secretive nesters. The study conducted in no way promotes any conclusion on raptor use as it failed to address many diurnal and nocturnal species in question.

Additional results from Emerson West project were added to the application. The survey
was only conducted between March 25 and April 13, 2016. This time frame is not adequate to
identify all nesting activity in the study area. It was acknowledged that the survey was only for
Buteo sized raptors and larger. The title of this survey is misleading to readers and their
assessment of the Project's risk to raptors. This study only covers a part of the Project area, and
is not comparable to 2011 work.

For multiple reasons in study design, analytical techniques, and timing, neither of these
studies is adequate for the OPSB to assess environmental impact and require new data collection.

14 Q.16. Were Republic Wind's surveys adequate to assess risk to Bald Eagles?

A.16. No. There are many flaws in these reports that eliminate their value for the OPSB to
make any determination of risk with any confidence. The original work was conducted nearly a
decade ago on a species that has doubled its population in the state in that timeframe (Appendix
M). Neither the 2011 study nor the 2016 study was conducted on the entire Project footprint and
therefore cannot make conclusions to the entire Project. The 2016 study was pulled from another
project and is not comparable to the 2011 study.

The 2011-2012 survey states that nests were observed twice a week during the breeding and nesting seasons when in fact only one nest was so monitored in one year. (The other nests were concluded to be inactive or abandoned.) No dates of observation were listed for the two

1 nests stated as abandoned, so I am unable to determine if there were adequate nest checks to 2 justify such a conclusion. For 2012, nests were only checked once a month. My 40 years of eagle 3 nest monitoring experience indicates this is inadequate to assess use. In the study of nearly 1,500 4 nest opportunities from 1980-2009, I had only 10 situations that we concluded the nesting 5 territory was inactive. These all occurred in the early years and may have still represented a 6 nesting attempt. It is extremely rare for a mated pair to be inactive on any given year. They may 7 fail early or a second nest may have been built in the territory. There was no evidence given in 8 the report that any effort was made to locate a possible new nest.

A very precise protocol by the DNR Division of Wildlife was established during the
recovery phase of the Ohio Bald Eagle Restoration Program which I developed and supervised.
Each pair establishes a specific time frame for the nesting sequence and demonstrates little
deviation under normal circumstances. Nest check protocol was at least one check a week from
January to June. The earliest nest initiation has been late January and the latest the first week of
April. It is impossible to conclude activity with one week of nest checks as completed in this
study.

16 Additional data was pulled from surveys for the Emerson West project conducted in 17 2016. Observations were made at three nests during the month of June. This time frame is totally inadequate to make any conclusions on eagle activity on the stated objective of gaining 18 information on directional use from the nests and how they made use of the area. This could give 19 20 insight on use of the early fledging time frame of a pair, but it fails to provide any information on 21 11 months of the life cycle that would be extremely different then the month of June. An inappropriate assumption of unsuccessful or abandoned nests cannot be supported from June 22 only surveys. There is no indication if any effort was made to locate a new nest for this territory. 23

June is an inappropriate time to follow eagle activity due to leaf out. In five years of telemetry
study I conducted on Bald Eagles in Ohio, actual sightings of transmitter birds were few and
hard to obtain due to behavior associated with young rearing. Signals provided considerable
information on individuals that would never have been seen if not for the technical support of the
radio. Any conclusions gleaned from Republic Wind's design would be minimum use and highly
questioned on actual risk to the bird for the purpose of this study.

7 Not a single survey conducted by Republic Wind mentions or addresses the eagle nest that occurs directly in the middle of the footprint of the Project Area near the junction of TR 138 8 9 and SR 18. A buffer zone between turbines and this nest, as well as any other Bald Eagle nests, 10 must be established once a proper study is performed to identify the locations of all Bald Eagle nests in and near the Project Area. For this purpose, a complete survey, with a study design 11 12 accounting for each pair's life cycle, needs to be conducted to determine primary feeding areas 13 and areas of use. There are known multiple sightings of adult eagles about 2-3 miles to the west of the nest site and a potential feeding site to the southeast about 3 miles. It is highly likely these 14 sighting clusters are related to this nest territory. Considerable nest study over 30 years has 15 indicated that inland nesting Bald Eagles in Ohio have a larger territory size then their 16 17 counterparts along Lake Erie. This is likely due to the fact that inland eagles need a larger area to 18 find food. At minimum a 2.5 mile buffer radius or a 25 square mile polygon would be needed to cover most inland breeding pairs. 19

When plotting known nest and eagle activity in the area of the Project an expected pattern
begins to emerge (see Exhibit B hereto; which is an adaptation of Exhibit H of Crystal Hoepf on
which I have added a star to show the location of another Bald Eagle nest of which I am aware).
Nest location, predicted feeding potential and observations indicate considerable risk in the core

of the project footprint. Additional activity in the northeast quadrant of the project area indicates
an as of yet unidentified nesting territory in that area. It was the responsibility of APEX to
identify existing eagle nest and that has failed to be conducted or reported.

Republic Wind's point count survey has little validity due to being nearly a decade old,
inadequate field time, and analysis that diluted results by averaging over the entire year instead
of stratifying to life cycle activities of the eagle.

Bald Eagle observations during the Diurnal Bird/Raptor Migration study that was
conducted in 2011 are irrelevant to 2019 given the vast Bald Eagle population increases in the
past decade. There is no evidence supplied to indicate these sightings are of migrating eagles and
not residents. In addition, 1) this survey effort was inadequate to address this species, 2) no effort
was made to separate migrant from resident eagles, and 3) that means the data from this study are
an inappropriate statistic for this type of survey.

An additional study was pulled from the Emerson West project to assess large bird and eagle use in 2016-17. This study represents only a portion of Republic Wind's Project Area, and its findings cannot be extrapolated to the Project Area. Its objectives were to 1) provide estimates of large bird use throughout the year, and 2) estimate the potential impacts of Emerson West project construction and operations on large birds, federally and state-listed species and eagles. It is important to note that this study was not conducted for the Republic Application but was, in essence, a literature review.

The survey was only conducted once a month at 29 points encompassing only 30% of the Republic Wind Project footprint. Since this footprint is only a fraction of the Project footprint, it is less representative than indicated. The list of species represented as large birds are subjective and in contrast to statements of standardization, and comparisons of this study data are very

different from other WEST analyses in Ohio. It is highly unlikely that the resolution reported for
 bird observations (1 meter) for distance and height is possible by human observers.

2

Species richness should be represented by total species and not a mean. A statistical mean
is inappropriate since it will dilute diversity and underestimate avian value of the study area.
Diversity and richness are the same parameter. Use of means with several iterations will only
serve to dampen values and point to erroneous conclusions about risk. If a statistical mean is to
be used instead of maximum or medium then the confidence interval should always be included
to indicate accuracy and precision of the sample.

9 The Emerson West study utilizes only an initial observation of a bird, regardless of 10 changes in altitude, to infer whether the bird flies in the risk zone (the altitude in which the 11 turbine is present) and this assumption underestimates actual risk. For example, any bird first 12 observed above the risk zone would, in this study, not be counted as an observation of risk. But 13 in fact, we know that the bird has already had one risk encounter by virtue of having passed 14 through the risk zone when rising, and then will certainly encounter the risk zone again on the 15 way down, which should count as two encounters with risk, not "none."

16 The sample size and design for this Project is not robust enough to make risk conclusions for large birds. No statistical confidence has been given, and "unlikely" is not a scientific 17 statement of risk; it is merely an unquantified opinion. The study provided the questionable 18 conclusion that diurnal raptor use is low considering the summer and winter average (confidence 19 20 interval is not provided and could put this as low to high with adequate variability) was in the 21 low/moderate range and the project placed near the medium of sites referenced. These two concerns alone contradict a projection of "low" raptor use. The sample design was not adequate 22 23 to make any conclusions on eagle use or risk, since surveying only one day a month reduces the

opportunity to assess important life cycle events such as fledgling dispersal from nest sites in the
 region.

3 Northern Harriers can be common migrants in this area and potential risk is 4 underestimated because their unique flight behavior is not accounted for in the sample design. 5 Sandhill Cranes can be expected to become more abundant as the eastern population continues to 6 expand. Nowhere does this report list the species considered as sensitive. 7 This study does not have the scientific robustness to make definitive conclusions on risk to large birds or eagles or to be useful in determinations of environmental impact. 8 9 Q.17. Did you review bat studies for design and the level of certainty needed for making determinations of environmental impact? 10 A.17. Yes. 11 12 A Bat Acoustic Monitoring Survey was conducted in 2011, and therefore it is outdated. 13 The survey could not discount Indiana Bat presence. The survey used the Met Tower as a recording site, which was not near notable bat habitat. Although the consultants acknowledged 14 that this could have affected the surveys, they still concluded that the Project area was not an 15 16 important bat activity area. The Acoustic recorder used had a measuring range of 30 meters, 17 while the tower was 670 meters from the closest woods where bats were likely to be observed by the recorder. The study indicated an increase in migratory bat activity but did not address these 18 results. The study indicated it did not detect the Indiana Bat; however, it did detect 44 genus 19 20 Myotis bats without identifying their species, of which the Indiana bat is one species, even

Bat mist-netting was conducted in 2011 and as requested by the USFWS again in 20152016. The 2011 data is severely outdated and of little usefulness for risk assessment. The mist

though it cannot be distinguished from other Myotis species by this method.

21

1 net results do not compare well with acoustic results for many potential reasons. The Indiana Bat 2 was encountered, negating the acoustic monitoring conclusions. Combining results for all mist net sites assumes "similarity," and uses averages when it should address variability between 3 4 individual sites first before combining. This results in statistical problems that make conclusions impossible. Additional data in 2015-2016 was requested for Indiana and Long-eared Bat 5 6 presence support. Studying a 9 day period one year and a 5 day period in completely different 7 areas is insufficient to determine risk or to support the conclusions of Republic Wind's 8 consultant that risk to bats is low. 9 Q.18. Do you have any concerns about the Staff Report and its conditions recommended to the Board? 10 A.18. Yes. My greatest concern is that there is no mention of bird migration, especially 11 12 nocturnal migration which is the source of greatest risk. In addition, the Staff Report does not 13 require Republic Wind to conduct avian and bat studies necessary to evaluate the Project's risks to these species or to identify conditions necessary to protect them. 14 The Staff Report fails to protect the Bald Eagles that live in and use the Project Area. 15 The Staff Report fails to protect other bird species and bat species that live in and use the 16 17 Project Area. The Staff Report fails to require adequate information about and provide protective 18 conditions for Endangered and Threatened species. 19 20 In the provided Table, federal Status is listed for the Bald Eagle but the table fails to 21 include Migratory Bird Treaty Act (MBTA) for the Northern Harrier, Loggerhead Shrike, and 22 Upland Sandpiper. This needs to be corrected.

There are a host of species from the state list that could and should be added for data
 collection and possible conditions.

The study of and protections for Endangered species such as the American Bittern, King
Rail, and Kirtland Warbler should be required. All of these species would be at risk as they
migrate through the Project Area to the Lake Erie shore and points beyond. They are all
nocturnal migrants which have not been addressed by the Staff Report.

Additional Threatened species have been recorded in the study area such as Blackcrowned Night-Heron, Trumpeter Swan, and Sandhill Crane. Special Concern species that
should be covered for impact assessment would include the Sharp-shinned Hawk, Prothonotary
Warbler, Sora, Virginia Rail, Grasshopper Sparrow, Vesper Sparrow, Red-headed Woodpecker,
and Black-billed Cuckoo. All have been reported from the Project area.

A host of Special Interest species that are nocturnal migrants have not been addressed, since Republic Wind has not conducted any nocturnal surveys to adequately assess the Project's environmental impact and risk. This would include species such as the Northern Saw-whet Owl, Golden-winged Warbler, Canada Warbler, Short-eared Owl, and American Black Duck. There have been no studies provided by the applicant to address risk or impact to these and other Special Interest species.

18 Q.19. Does this conclude your testimony?

A.19. Yes it does. However, I reserve the right to submit supplemental testimony as new
information subsequently becomes available or in response to positions taken by other parties.

1 2 3	CERTIFICATE OF SERVICE		
	On October 28, 2019, the docketing division's e-filing system will electronically serve		
4	notice of the filing of this document on the following counsel for the parties: Sally W.		
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12	electronic mail on the above-listed counsel, Dennis Hackenburg at Dennyh7@frontier.com, and		
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14 15 16	<u>/s/ Jack A. Van Kley</u> Jack A. Van Kley		

EXHIBIT A

Mark Shieldcastle 5939 N. Bodi Oak Harbor, OH 43449 419-898-4674 markshieldcastle@bsbo.org

Background Resume

B.S. Wildlife Management, The Ohio State University 1974

Research Director, Black Swamp Bird Observatory, Oak Harbor, Ohio 1992- present Supervises research projects of the Observatory, their technical quality, data analysis, and publication of findings. These studies have included Passerine Migration and Breeding Bird Studies in the Lake Erie Marsh Region, Raptor Migration Studies of the Southwestern Shore of Lake Erie, Shorebird Migration and Habitat Use Studies in the Lake Erie Marsh Region, Habitat Use and Migrational Timing of Rails in the Lake Erie Marshes, Migrational Movements and Homing Tendencies of Purple Martins in East-Central Ohio, Movement and Dispersal of Ring-billed Gulls in the western basin of Lake Erie, and Migrational Movements of Colonial Waders Breeding on West Sister Island NWR, Ohio. Data from these studies have been used in acquiring Regional Shorebird Reserve Status of the western basin of Lake Erie increasing funding potential for management agencies by federal granting, improved management of agency owned and private wetlands for shorebird management, increased recognition of the business community on the contribution of wildlife and wildlife induced recreation to Ohio, and education of the general public, college wildlife classes, and special wildlife interest groups. Has worked extensively in the development of a regional migrational stopover monitoring plan. Data from all studies have been used in the development of the LaMP for Lake Erie under auspices of the Clean Water Act as well as regional avian plans. Has acted as technical lead on Bird/wind power issues along the western basin of Lake Erie for the Observatory.

Wildlife Biologist Supervisor, Ohio Division of Wildlife, DNR, Crane Creek Wildlife Research Station. 2003- 2009.

Acted as project leader for wetland wildlife research for the state of Ohio. Supervises biologist on all above projects, was Technical Representative to the Mississippi Flyway Council (MFC) (sets migratory bird regulations), provided technical advisory efforts for a variety of state operations concerning wildlife research. Represented the MFC on the Black Duck Joint Venture technical board. Represented the Association of Fish and Wildlife Agencies on the North American Banding Council. Was led author on the Mississippi Flyway Avian Influenza Monitoring Plan. Advisory and writer positions with the statewide agency strategic plan. Wildlife Biologist, Ohio Division of Wildlife, DNR, Crane Creek Wildlife Research Station. 1979-2003.

Supervised and implemented various projects from sample design to analysis. Projects included the restoration of the Bald Eagle, Trumpeter Swan, Osprey, common Tern, River Otter, and Sandhill Crane. Also conducted research on colonial waders, waterfowl, and furbearers. Represented the state of Ohio of various regional, national, and international projects concerning wetland wildlife. This includes development of the Lake Erie management plan under the clean water act, advisory capacity of the Maumee River remedial action plan; co-author of the Regional plan of the U.S. Shorebird Plan and The Upper Mississippi – Great Lakes Regional Waterbird Plan.

Research Technician, Ohio Division of Wildlife, DNR, Crane Creek Wildlife Research Station. 1976-1979.

Assisted biologist in various research projects including work on waterfowl and furbearer populations.

Research Technician, Ohio Wildlife Cooperative Wildlife Research Unit, OSU 1974-76 Conducted field and lab research on colonial waterbirds, heavy metal contamination, ecosystem bio magnification of contaminants in a wetland and colonial waders, ecosystem movement of a radioisotope (Tritium) in a wetland environment.

Skills and Positions:

Fluent in various computer programs including SAS, Excel, Word-Perfect, Word, Key Entry III, and Power Point. Working knowledge of Program MARK, Access, and ArcView. Specializes in Sample Design issues.

Has conducted or is conducting research on Bald Eagle, colonial waders, terns, wetland breeding birds, Woodcock, shorebirds, colonial passerines, waterfowl, rails, cranes, migrating raptors, migrating passerines, and wind power/bird issues. Has co-authored the Beneficial Use Impairments for Wildlife and Wildlife Habitat portion of the Lake Erie Management Plan which is part of the Clean Water Act; The Great Lakes- Upper Mississippi River Regional Plan of the National Shorebird Plan; The Great Lakes- Upper Mississippi River Regional Plan of the regional plans of the Partners-in-Flight Flight Plan. Has authored or co-authored a variety of papers on passerines, Bald Eagles, cormorants, and contaminant issues with birds. Has developed recovery plans for the Bald Eagle, Osprey, Common Tern, Black-crowned Night-heron, and Sandhill Crane in Ohio. Was lead author on the Mississippi Flyway Council Avian Influenza Surveillance Plan.

Is a Past President of the Inland Bird Banding Association, presently is Secretary of the North American Banding Council (a two term Chair as well) and sets on Council as At-Large at this time. Have conducted banding operations or been in charge of operations totaling over 950,000 birds banded for over 41 years. Served as Treasurer for the North Central Section TWS for two years.

Conference Presentations

- Shieldcastle, M. C. 1986. Sub-specific determination of Canada goose harvest to estimate hunting mortality of Ohio-raised geese. Ohio Fish and Wildlife Conference. Columbus.
- Shieldcastle, M.C. 1995. Habitat Use of Fledgling Bald Eagles Prior to Migration. Raptor Research Annual Meeting
- Shieldcastle, M.C. 1995. Dispersal Timing of Bald Eagle Fledglings from Natal Territories. Raptor Research Annual Meeting
- Lake Erie LaMP Steering Committee. 2000 Presentation on Beneficial Use Impairments to Wildlife and Wildlife Habitat in Lake Erie.
- First Ohio Avian Conference. 2001 Bank Swallow Longevity and Homing Tendencies of Colonies in Northwest Ohio.

- Comparison of Bird Communities of Various Habitats of the Oak Openings, Lucas Co., Ohio.

- Spring Energetic Condition of Migrating Passerines and its Role as a Possible Indicator of Breeding Success of Boreal and Temperate Nesting Species.

- Ohio Wildlife Diversity Conference. 2005 Key Note Speaker The Bald Eagle, It's Recovery in Ohio.
- Fourth Ohio Avian Conference. 2007 Habitat Use and Migrational Timing of Sora, Virginia Rail, and King Rail in the Lake Erie Marshes, Ohio
- Midwest Bird Conservation and Monitoring Conference. 2011 Midwest Migration Monitoring: A coordinated Approach for Monitoring Landbird Migration.
- Midwest Bird Conservation and Monitoring Conference. 2012 Midwest Migration Monitoring: Current Capacity throughout the Upper Midwest.
- International Bald Eagle Days Conference. 2012 Wind Power Development and Bird Conservation Are They Compatible.
- Michigan Bird Conservation Initiative Conference. 2013 Wind Power, Is it for the Birds? Development of Avian Concern Zones at the State and Local Levels.

- Wisconsin Monitoring our Migratory Birds Workshop. 2013 Migrational Monitoring in the Great Lakes: A regional Protocol.
- Shieldcastle, M.C., August 2015. Migration Monitoring A Coordinated Approach for Monitoring Landbird Migration. *For* Ohio Bird Banding Association
- Shieldcastle, M.C. August 2015. Shorebird Migration Timing, Habitat Use and Management Implications in the Lake Erie Marsh Region. *For* BSBO Shorebird Workshop
- Shieldcastle, M.C. 2015. Long-term Migration Monitoring Supports Declining Population in Golden-winged Warblers. *For* Inland Bird Banding Association
- Shieldcastle, M.C. October 2016. Habitat Use and Migrational Timing of Virginia Rail and Sora *For* Ohio Ornithological Society Rally for Rails Symposium.
- Shieldcastle, M.C. October 2016. Development and implementation of a Midwest Migration Network. *For* The 2016 State of Stopover Symposium, Milwaukee, Wisconsin.
- Kaufman, K. and M.C. Shieldcastle. October 2016. Wind Energy and Birds: Can They Coexist? *For* Black River Audubon.
- Shieldcastle, M.C. October 2017. Wind Energy and Birds: The insufficiencies of pre- and post-construction monitoring. *For* Ohio Avian and research Conference.
- Shieldcastle, M.C. October 2018. Midwest Migration Network Workshop for Banding and Ground Survey Implementation. Port Washington, Wisconsin.

Various other presentations throughout the Midwest.

Professional Societies & Affiliations

The Wildlife Society The Wildlife Society (Ohio Chapter) The Wildlife Society (North Central Section) The American Ornithologists' Union The Wilson Ornithological Society The Cooper Ornithological Society The Association of Field Ornithologists Raptor Research Foundation The Waterbird Society Inland Bird Banding Association; President - 2001 - 2004 North American Banding Council; Chair 2004-2005; 2009-2011; Secretary 2012present

Publications & Conference Proceedings

- Shieldcastle, M.C. and L. Martin. 1997. Colonial Waterbird Nesting on West Sister Island NWR and the Arrival of Double-crested Cormorants. Symposium on Double-crested Cormorants and Population Status and Management Issues in the Midwest. Milwaukee, WI.
- Shieldcastle, M.C., G.M. Tori, J.L. Weeks. 1998. Nuisance Canada Goose Management in Ohio - A Quest for Solutions. In D.H. Rusch, M.D. Samual, D.D. Humburg, and B.D. Sullivan, eds. Biology and Managment of Canada Geese. Proceedings International Canada Goose Symposium, Milwakee, WI.
- Shieldcastle, M.C. and J.A. Shieldcastle. 1998. Evaluation of Selected Natural and Restored . Wetlands in Williams County, Ohio for Bird Use During Migration and Breeding Seasons. USFWS Contract.
- Shieldcastle, M.C. 1999. Wetland Breeding Bird Surveys for Ohio. Proceedings of the Marshbird Monitoring Workshop. Laurel, MD.
- Shieldcastle, M.C. and L. Bode. 1999. Monitoring Avian Productivity and Survivorship on Oak Openings Preserve, Progress Report 1999. Black Swamp Bird Observatory, Oak Harbor, OH.
- Shieldcastle, M.C. and J.A. Shieldcastle. 2001. Avian Conservation Plan, Oak Openings Region, Ohio. The Nature Conservancy.
- Shieldcastle, M.C. and J.A. Shieldcastle. 2001. Evaluation of Selected Natural and Restored Wetlands in Williams County, Ohio for Bird Use During Migration and Breeding Seasons. First Ohio Avian Conference. Columbus, Ohio.
- Shieldcastle, M.C. 2004. Migrational Movements and Habitat Usage of Passerines in the Great Lakes Region and Specifically the Ottawa National Wildlife Refuge, Ohio. Progress Report-2003. Black Swamp Bird Observatory, BSBO-ONWR03-1.
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- Shieldcastle, M.C. and J.A. Shieldcastle. 2007. Migrational Survey and Habitat Usage of Shorebirds in the Lake Erie Marsh Region. Progress Report-2006. Black Swamp Bird Observatory, BSBO-ONWR06-3.
- Shieldcastle, M.C. 2007. Migrational Movements and Habitat Usage of Passerines in the Great Lakes Region and Specifically the Ottawa National Wildlife Refuge, Ohio. Progress Report-2006. Black Swamp Bird Observatory, BSBO-ONWR04-1.
- Shieldcastle, M.C. 2007. Standardized Surveys of Butterflies on Ottawa National Wildlife Refuge and the Surrounding Western Basin of Lake Erie Ohio. Progress Report – 2006. Black Swamp Bird Observatory, BSBO-ONWR06-5.
- Shieldcastle, M.C. 2007. Ohio Winter Bird Atlas Interim Report 2007. Black Swamp Bird Observatory. Ohio Division of Wildlife Contract NGSCW-07-35.
- Shieldcastle, M.C. 2008. Strategic Plan for Managing Ohio's Bald Eagle Population. ODNR, Division of Wildlife.
- Shieldcastle, M.C. 2008. Habitat Use of Fledgling Bald Eagles in the Lake Erie Marsh Region and Management Implications. ODNR, Division of Wildlife.
- Shieldcastle, M. C. 2008. Strategic plan for management of Ohio's Bald Eagle population. Ohio Department of Natural Resources, Division of Wildlife, Columbus, USA.
- Shieldcastle, M.C. 2008. Ohio Winter Bird Atlas Interim Report 2008. Black Swamp Bird Observatory. Ohio Division of Wildlife Contract NGSCW-08-35.
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- Shieldcastle, M.C. and K.A. Mylecraine. 2008. Spring Raptor Migration on Ottawa National Wildlife Refuge and Surrounding Lake Erie Marshes, Ohio. Progress Report- 2008. Black Swamp Bird Observatory, BSBO-ONWR08-2.
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- Shieldcastle, M.C. 2009. Standardized Surveys of Butterflies on Ottawa National Wildlife Refuge and the Surrounding Western Basin of Lake Erie Ohio. Progress Report – 2008. Black Swamp Bird Observatory, BSBO-08-05.

- Shieldcastle, M.C. and J.A. Shieldcastle. 2009. Migrational Survey and Habitat Usage of Shorebirds in the Lake Erie Marsh Region. Progress Report-2008. Black Swamp Bird Observatory, BSBO-08-03.
- Shieldcastle, M.C. 2009. Migrational Movements and Habitat Usage of Passerines in the Great Lakes Region and Specifically the Ottawa National Wildlife Refuge, Ohio. Progress Report-2008. Black Swamp Bird Observatory, BSBO-ONWR 09-1.
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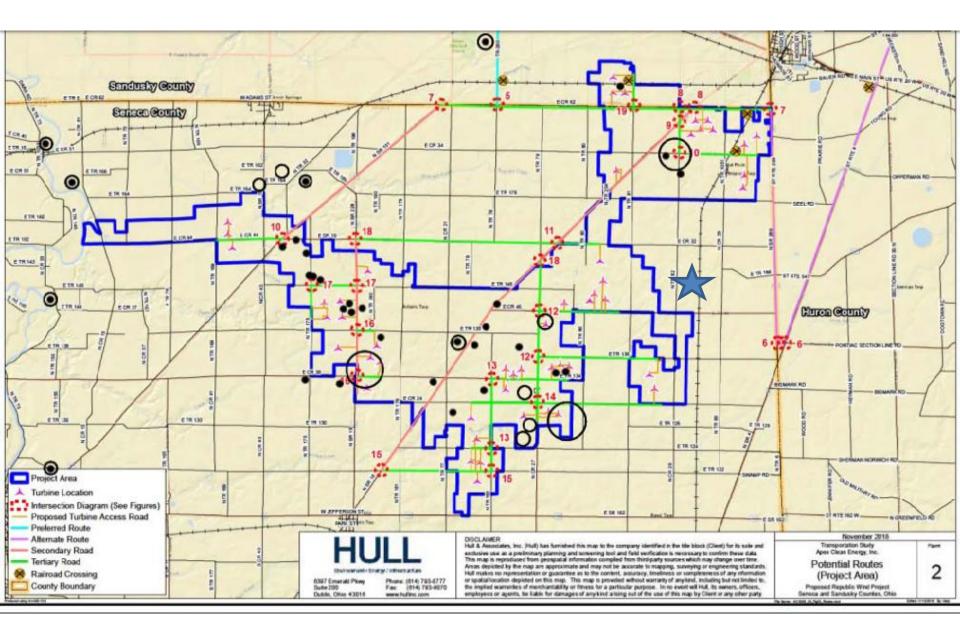
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EXHIBIT B



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Summary: Testimony Of Mark Shieldcastle electronically filed by Mr. Jack A Van Kley on behalf of Local Resident Intervenors