

Original CRS Case Number	Version
13 - 1836 -EL-CRS	May 2016

RENEWAL APPLICATION FOR RETAIL GENERATION PROVIDERS AND POWER MARKETERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. RENEWAL INFORMATION

A-1 Applicant intends to be renewed as: (check all that apply)

- ☐ Retail Generation Provider
 ☐ Power Broker
☒ Power Marketer
 ☐ Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Viridian Energy PA LLC
 Address 6555 Sierra Drive, Irving, Texas 75039
 PUCO Certificate # and Date Certified 13-742E (3) ; October 23, 2017
 Telephone # (866) 663-2508 Web site address (if any) www.viridian.com

A-3 List name, address, telephone number and web site address under which Applicant does business in Ohio

Legal Name Viridian Energy PA LLC
 Address 6555 Sierra Drive, Irving, Texas 75039
 Telephone # (866) 663-2508 Web site address (if any) www.viridian.com

A-4 List all names under which the applicant does business in North America

N/A

A-5 Contact person for regulatory or emergency matters

Name David Ricketts
Title Director of Retail Policy
Business address 1005 Congress Avenue Suite 750 Austin, Texas 78701
Telephone # (512) 349-6441 Fax # (512) 349-6469
E-mail address david.ricketts@vistraenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints

Name Jim Vermeulen
Title Manager, Customer Advocacy Services
Business address 6555 Sierra Drive, Irving, Texas 75039
Telephone # (972) 868-3945 Fax # (877) 304-2608
E-mail address jim.vermeulen@vistraenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 6555 Sierra Drive, Irving, Texas 75039
Toll-free Telephone # (800) 920-5039 Fax # (877) 304-2608
E-mail address jim.vermeulen@vistraenergy.com

A-8 Applicant's federal employer identification number # 27-1089221

A-9 Applicant's form of ownership (check one)

- | | |
|--|---|
| ☐ Sole Proprietorship | ☐ Partnership |
| ☐ Limited Liability Partnership (LLP) | ☒ Limited Liability Company (LLC) |
| ☐ Corporation | ☐ Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-10 Exhibit A-10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 “Jurisdictions of Operation,”** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant’s experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.
- B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant’s financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations”** detailing such violation(s) and providing all relevant documents.

- B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation”** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1** **Exhibit C-1 “Annual Reports,”** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

- C-2 Exhibit C-2 “SEC Filings,”** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C-10 “Corporate Structure,”** provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

D. TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

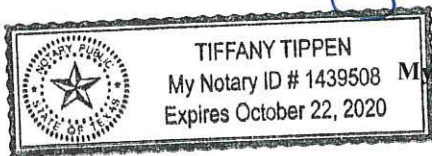
- D-1 Exhibit D-1 "Operations"** provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.
- D-4 Exhibit D-4 "FERC Power Marketer License Number,"** provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)


Signature of Applicant and Title

Sworn and subscribed before me this 23 day of September, 2019


Signature of official administering oath

Print Name and Title



My commission expires on

10/20

AFFIDAVIT

State of Texas _____ :

Irving ss. (Town)

County of Dallas _____ :

Gabe Vazquez, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He is the Vice President (Office of Affiant) of Viridian Energy PA, LLC (Name of Applicant); That he is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
7. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

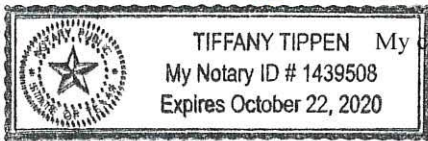
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Gabriel Unguay, VP
Signature of Affiant & Title

Sworn and subscribed before me this 23 day of September, 2019

Tiffany Tippen
Signature of official administering oath

Print Name and Title



10/20

EXHIBIT A-10

"Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Please refer to Attachment A-10.

APPENDIX A: POST-TRANSACTION OFFICER LIST

NAME	TITLE
OFFICERS	
Morgan, Curtis A.	President and Chief Executive Officer
Burke, James A.	Executive Vice President and Chief Operating Officer
Campbell, David A.	Executive Vice President and Chief Financial Officer
Kirby, Carrie L.	Executive Vice President and Chief Administrative Officer
Moore, Stephanie Zapata	Executive Vice President, General Counsel, Chief Compliance Officer, and Corporate Secretary
Howard, Carla A.	Senior Vice President and General Tax Counsel
Moldovan, Kristopher E.	Senior Vice President and Treasurer
Hudson, Scott A.	Senior Vice President
Muscato, Stephen J.	Senior Vice President
Dobry, Christy	Vice President and Controller
Kelly, Daniel J.	Vice President and Associate General Counsel
Vazquez, Gabe	Vice President and Associate General Counsel
Morrow, Claudia	Vice President
Bhate, Darshan	Vice President
Castro, Gabriel R.	Vice President
Duessel, John S.	Vice President
Seiger, Sydney C.	Vice President
Sen, Samudra	Vice President
Chen, Max	Assistant Treasurer
Mihecoby, Allen	Assistant Secretary

The business address and telephone number for the above-listed officers and directors is:
6555 Sierra Drive, Irving, TX 75039; 214-812-4600.

EXHIBIT B-1

“Jurisdictions of Operation,” provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

Please refer to Attachment B-1 for a list of jurisdictions in which applicant and affiliated interests are certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

Attachment B-1

Affiliate	State(s)	License Number	E/G	ISO	Service Territory
Viridian Energy, LLC	Connecticut	Docket #09-04-15	Electric	ISO-NE	Eversource Energy (CL&P) United Illuminating
	Massachusetts	License #CS-076	Electric	ISO-NE	Fitchburg (Unitil) Mass Electric (National Grid-MA) Nantucket Electric (National Grid) NGrid - MA Cambridge (NStar Electric) Boston Edison (NStar Electric) WMECO Commonwealth Electric (NStarCom)
	New Hampshire	License # 15-478	Electric	ISO-NE	Eversource Unitil
	Rhode Island	Division Docket D-96-6 (P6)	Electric	ISO-NE	National Grid RI (NGRID Narragansett)
Viridian Energy NY, LLC	New York	ESCO Code VRID	Electric	NYISO	Con Edison Orange & Rockland Central Hudson Niagara Mohawk (NIMO, National Grid) NYSEG Rochester Gas & Electric
			Gas		Sell under VEPA
Viridian Energy PA, LLC	California	CTA NO: A0003	Gas		PG&E
	Delaware	Order # 8178	Electric	PJM	Delmarva Power DE
	D.C.	Order # 16446	Electric	PJM	Pepco DC
		Order # 16966	Gas		Washington Gas Light Co.
	Illinois	Docket #13-0135	Electric	PJM	ComEd
				MISO	Ameren
		Docket #15-0376	Gas		North Shore Gas Peoples Gas
	Indiana	N/A	Gas		NIPSCO
	Maryland	License No. IR-1840	Electric	PJM	BG&E Pepco MD Delmarva (commercial only)
		License No. IR-2837	Gas		Washington Gas Light Co. BG&E
	Michigan	Case No. U-18001	Gas		
	Massachusetts	License # GS-060	Gas		NGrid (commercial and industrial only)
	New Jersey	License # ESL-0084	Electric	PJM	ACE JCP&L PSE&G Rockland Electric
		License # GSL-0108	Gas		New Jersey Natural Gas PSE&G South Jersey Gas Elizabethtown Gas
	New York	ESCO Code VRPA	Gas		Central Hudson Con Edison KeySpan LI (National Grid- LIG)

Attachment B-1

					KeySpan Energy NY (National Grid) Niagara Mohawk (NIMO, National Grid) National Fuel Gas NYSEG Orange & Rockland RG&E
			Electric		Service under VENY
	Ohio	Certificate #13-742E(2)	Electric	PJM	Duke Toledo Edison (First Energy) Cleveland Electric (First Energy) Ohio Edison (First Energy) Columbus Southern Power (AEP) Ohio Power (AEP) Dayton Power & Light
		Certificate #13-324G(2)	Gas		Duke
	Pennsylvania	Docket #A-2009-2145794	Electric	PJM	Allegheny Power PA Duquesne Light PECO Energy Penelec Pennsylvania Power & Light (PPL) Metropolitan Edison (Met Ed- First Energy) Penn Power West Penn Power
		Docket #A-2010-2203042	Gas		PECO Energy
	Virginia	License # G-33	Gas		Columbia Gas Virginia Washington Gas Light Co.
Viridian Energy (TriEagle Energy LP)	Texas TriEagle Energy LP d/b/a Viridian Energy	Certificate# 10064	Electric	ERCOT	CenterPoint Energy Oncor AEP North AEP Central Texas New Mexico Power Sharyland Utilities Nueces Sharyland McAllen
Viridian Network LLC	Delaware	Order #8215	Electric/Br oker		
	D.C.	Order # 16965	Electric/Br oker		
		Order # 16965	Gas/Broker		
Cincinnati Bell Energy, LLC	Ohio	Certificate #13-630E(2)	Electric	PJM	Duke
		Certificate #11-218G(3)	Gas		Duke
Energy Rewards, LLC	Maine	Docket #2011-264	Electric	ISO-NE	Central Maine Power Bangor Hydro Electric
		Docket# 2012-338	Broker		

Attachment B-1

	New Hampshire	DM 14-204	Electric	ISO-NE	Public Service of NH Unitil (Not serving customers)
Everyday Energy, LLC	California	CTA NO: CTA0002	Gas		PG&E
	Connecticut Everyday Energy, LLC d/b/a Energy Rewards	Docket# 14-06-08	Electric	ISO-NE	
	D.C.	Order#18071	Electric	PJM	
		Order#18071	Gas		
	Delaware d/b/a Energy Rewards	2015601011	Gas/Electric		
	Georgia, Everyday Energy GA, LLC d/b/a Energy Rewards	Interim Certificate No.: GM-43	Gas		
	Illinois Everyday Energy, LLC d/b/a Energy Rewards	Docket # 13-0137	Electric	PJM MISO	ComEd Ameren
		Docket # 12-0396	Gas		Nicor Gas Company Peoples Gas Light and Coke Co. North Shore Gas
	Indiana Everyday Energy, LLC d/b/a Value Power & Gas	N/A	Gas		NIPSCO
	Massachusetts	CS-161	Electric	ISO-NE	National Grid NSTAR Electric WMECo Unitil
	Maryland, Everyday Energy, LCC d/b/a Energy Rewards	IR-3618/ ML 178769	Electric	PJM	BG&E Delmarva Pepco MD Potomac Edison Choptank Electric Cooperative Southern Maryland Electric Cooperative
		IR-3616/ ML 178768	Gas		BG&E Chesapeake Utilities Pivotal Utility Holdings, Inc. Columbia Gas of Maryland, Inc. Washington Gas Light Company
	Michigan, Everyday Energy, LLC d/b/a Energy Rewards	Case No. U-18000	Gas		
	New Hampshire	Docket # DM 15-478	Electric	ISO-NE	Eversource Unitil New Hampshire PUC
	New York	ESCO Code FTRE	Electric	NYISO	Rochester Gas & Electric Niagara Mohawk (National Grid)

Attachment B-1

					Orange & Rockland NYSEG Central Hudson
		ESCO Code FTRE	Gas		Rochester Gas & Electric Orange & Rockland Central Hudson Niagara Mohawk (National Grid) NYSEG National Fuel Gas
	Ohio Everyday Energy, LLC d/b/a Value Power & Gas	Certificate #12- 523E(2)	Electric	PJM	AEP Ohio Power Dayton Power & Light Columbus Southern Power (AEP) Ohio Edison (FirstEnergy) Toledo Edison (FirstEnergy) Cleveland Illuminating Co. (FirstEnergy)
		Certificate #11- 226G(3)	Gas		Columbia Gas of Ohio Dominion East Ohio Vectren Gas
	Pennsylvania Everyday Energy, LLC d/b/a Energy Rewards	Docket# A-2012- 2314724	Electric	PJM	Met Edison (First Energy) Penn Elec (First Energy) Penn Power (First Energy) PPL West Penn (First Energy) UGI Utilities Inc. – Electric Division PECO Duquesne
		Docket# A-2012- 2318216	Gas		UGI Utilities Gas Division UGI Penn Natural Gas, Inc. UGI Central Penn Gas, Inc. Columbia Gas National Fuel Gas
	New Jersey Everyday Energy, LLC d/b/a Energy Rewards	ESL-0174	Electric	PJM	We service customers under Everyday Energy NJ, LLC (see below)
		GSL-0152	Gas		
	Everyday Energy NJ, LLC dba Energy Rewards formerly Public Power & Utility of New Jersey, LLC	License #ESL- 0086	Electric	PJM	ACE JCP&L PSE&G
		License #GSL- 0094	Gas		New Jersey Natural Gas South Jersey Gas PSE&G Elizabethtown Gas
TriEagle / Energy Rewards d/b/A Rewards (TriEagle Energy LP)	Texas TriEagle Energy LP d/b/a Energy Rewards	Certificate# 10064	Electric	ERCOT	CenterPoint Energy Oncor AEP North AEP Central Texas New Mexico Power Sharyland Utilities Nueces Sharyland McAllen

Attachment B-1

TriEagle Energy LP	Illinois	Docket# 14-0302	Electric	PJM	ComEd
	Maryland	License No. IR-3127	Electric	PJM	Delmarva BG&E Pepco MD
	New Jersey	License No. ESL-0134	Electric	PJM	JCP&L ACE PSE&G
	Ohio	License No. 14-806E(2)	Electric	PJM	Columbus Southern Power Ohio (AEP) Ohio Power (AEP) Cleveland Electric Illuminating Dayton Power and Light Duke Ohio Edison Toledo Edison
	Pennsylvania	A-2010-2180376	Electric	PJM	West Penn Power (First Energy) Met Edison (First Energy) Penelec (First Energy) PECO PPL Duquesne Light Company
	Texas	Certificate# 10064	Electric	PJM	AEP Texas Central Company AEP Texas North Company CenterPoint Energy Houston Electric LLC Oncor Electric Delivery Company LLC Sharyland Utilities LP McAllen Sharyland Utilities Texas-New Mexico Power Co
Public Power, LLC	Connecticut	Docket #07-06-13	Electric	ISO-NE	Connecticut Light & Power United Illuminating
	D.C.	Order #16726	Electric	PJM	Pepco DC
	Illinois	Order #12-0167	Electric	PJM	ComEd
				MISO	Ameren
	Massachusetts	CS-160	Electric	ISO-NE	Boston Edison (NStar Electric) Cambridge (Nstar Electric) Commonwealth (NStar Electric) National Grid MA WMECO
	New York	ESCO Code PUPU	Electric	NYISO	Con Edison Orange & Rockland NYSEG Rochester Gas & Electric Central Hudson Niagara Mohawk (NIMO, National Grid)
		ESCO Code PUPU	Gas		Con Edison Orange & Rockland National Fuel Gas KEYSPAN Energy NY (National Grid) Niagara Mohawk (NIMO, National Grid) Central Hudson NYSEG

Attachment B-1

					Rochester Gas & Electric
	Ohio	Certificate #11-418E(2)	Electric	PJM	Duke AEP Ohio Power AEP Columbus Southern Power Dayton Power and Light
	Rhode Island	Docket #D-96-6 (M5)	Electric	ISO-NE	Cleveland Illuminating Company Toledo Edison Ohio Edison
Public Power & Utility of Maryland, LLC	Maryland	License No. IR-1781	Electric	PJM	BG&E Pepco MD Delmarva Power (commercial only)
Public Power, LLC (PA) Entity #3911142	Pennsylvania	Docket #A-2009-2143245	Electric	PJM	Duquesne Light PECO Energy Pennsylvania Power & Light MetEd (First Energy) West Penn Power (First Energy) Penelec (First Energy) Penn Power (First Energy)
U. S. Gas & Electric, Inc.	Illinois d/b/a • Illinois Gas & Electric • USG&E • U.S. Gas & Electric • USGE • ILGE • ILG&E	Docket 16-0201	Gas		Nicor, Peoples, North Shore – residential and small commercial customers; Ameren – small commercial customers
	Indiana	N/A	Gas		NIPSCO
	Maryland d/b/a Maryland Gas & Electric	License No, IR-1744	Gas	PJM	All territories Residential, commercial and industrial customers
	Michigan	Case No. U-15490	Gas		All territories
	New Jersey d/b/a New Jersey Gas & Electric	GSL-0082	Gas		All territories

Attachment B-1

	New York d/b/a New York Gas & Electric	ESCO Code USGE	Gas	NYISO	All territories
	Ohio	Certificate No. 08-139G(5)	Gas	PJM	All territories
	Pennsylvania d/b/a Pennsylvania Gas & Electric	Docket No. A- 2009-2121686	Gas	PJM	UGI-Penn Natural Gas, Columbia Gas, Valley Energy, T. W. Phillips Gas & Oil Co., Carnegie Natural Gas, Philadelphia Gas works, PECO, Equitable Gas Company, Peoples
Connecticut Gas & Electric, Inc.	Connecticut	Docket 11-06- 11	Electric	ISO- NE	Eversource United Illuminating (Residential, commercial and industrial)
Energy Services Providers, Inc.	D. C. d/b/a DC Gas & Electric	Order No. 17300	Electric	PJM	All territories
	Illinois d/b/a Illinois Gas & Electric	Docket No. 11-0275	Electric	PJM	ComEd, Ameren, MidAmerican Energy, Mt. Carmel Public Utility Company, Residential and nonresidential retail customers
				MISO	
	Maryland d/b/a Maryland Gas and Electric	License No. IR- 2110	Electric	PJM	Residential, commercial and industrial customers
	Michigan d/b/a Michigan Gas & Electric	Case No. U- 16912	Electric		All territories
	New Jersey d/b/a New Jersey Gas & Electric	ESL-0095	Electric		All territories
	New York d/b/a New York Gas & Electric	ESCO Code: ESP	Electric	NYISO	All territories

Attachment B-1

	Ohio d/b/a Ohio Gas & Electric d/b/a U. S. Gas & Electric	Certificate No. 12-438E(3)	Electric	PJM	All territories
	Pennsylvania d/b/a Pennsylvania Gas & Electric	Docket No. A-2010-2212421	Electric	PJM	Allegheny Power, Duquesne, PECO, PPL, Pike County, UGI, Citizens', MetEd, Penelec, Pennsylvania Power Co., Wellsboro Electric Co.
Massachusetts Massachusetts Gas & Electric, Inc. f/k/a Energy Services Providers, Inc.	Maine d/b/a Maine Gas & Electric	Docket No. 2017-00075	Electric	ISO-NE	All territories
	Massachusetts	CS-066	Electric	ISO-NE	All territories
Illinois Power Marketing Company d/b/a Homefield Energy	Illinois	Docket# 14-0015	Electric	MISO / PJM	Ameren, ComEd
Dynegy Energy Services, LLC	Illinois: d/b/a Dynegy d/b/a Brighten Energy d/b/a Better Buy Energy d/b/a True Fit Energy d/b/a Honor Energy	Docket# 14-0455	Electric	MISO / PJM	ComEd
Dynegy Energy Services (East), LLC	Ohio: d/b/a Dynegy d/b/a Brighten Energy d/b/a Better Buy Energy d/b/a True Fit Energy d/b/a Honor Energy	Certificate No. 04-124E	Electric	PJM	All territories
	Pennsylvania: d/b/a Dynegy d/b/a Brighten Energy d/b/a Better Buy Energy d/b/a True Fit Energy d/b/a Honor Energy	Docket No. A-2010-22199236	Electric	PJM	Duquesne, PECO, PPL, UGI, MetEd, Penelec, Pennsylvania Power Co., West Penn Power
	Massachusetts: d/b/a Dynegy	CS-166	Electric	ISO-NE	All territories
Dynegy Marketing and Trade	Maine: d/b/a DMT	Docket No. 2010-00091	Electric (station load only)	ISO-NE	Bangor Hydro Electric
TXU Energy Retail Company LLC	Texas: d/b/a TXU	REP ID 10004	Electric	ERCOT	All territories

Attachment B-1

Value Based Brands LLC	Texas: d/b/a Express Energy d/b/a 4Change Energy	REP ID 10041	Electric	ERCOT	All territories
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EXHIBIT B-2

"Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

Experience

The Vistra Energy family of brands connects with energy customers through an innovative family of brands strategy and multi-channel marketing approach. This unique combination creates multiple access points to a broad suite of energy products and services that make it easier for consumers to make informed decisions about their energy needs. Vistra Energy brands market energy products in 19 states and the District of Columbia with plans to continue expanding its geographic reach.

Applicant has the necessary operational and managerial capabilities to serve all customer classes, including residential, commercial and industrial customers. The Vistra Energy management team is comprised of individuals with significant experience in wholesale and retail energy supply.

Contracting with Customers

Applicant markets its products through the traditional channels of partnerships, digital advertising, and some telemarketing for commercial customers. Applicant is not currently engaging in door-to-door sales, however, it has in the past.

Enrollment: There are four ways a potential customer can be enrolled:

- (1) **Paper Enrollment.** Potential customers can use a paper enrollment form that they fill out themselves and it is faxed in to Applicant's headquarters after which the customer receives a welcome package with copies of all the forms;
- (2) **Web Enrollment.** Potential customers can visit https://www.viridian.com/index.asp?CO_LA=US_EN to enroll online. From the web site potential customers may print forms and information, upon receipt of the enrollment at Applicant's headquarters, the customer receives a welcome package with copies of all the forms;
- (3) **Telephonic Enrollment.** Potential commercial customers may be solicited over the phone by Applicant's vendors and may decide to sign up over the telephone and complete a third party verification confirming the decision to enroll; or
- (4) **Customer Care Center.** Potential customers can call Applicant's Customer Care Center. A call center representative will enroll the customer and a third-party verification will be taken after such enrollment and a welcome package sent.
- (5) **Retention Center.** Applicant makes outbound telemarketing calls to existing or former customers only for renewal or re-enrollment.

Providing Contracted Services

Applicant currently provides customers with affordable green electricity and natural gas in Ohio.

Providing Billing Statements

Applicant does not provide its own billing statements. It provides consolidated billing with the utility.

Responding to Customer Inquiries and Complaints

Applicant operates an in-house telephone customer care center to answer any questions that customers may have. When a customer calls in with a question or complaint, the customer service representative will work with them to address any issue or problem. If the customer asks to have their enrollment cancelled, the customer service representative processes it immediately.

Any complaints or questions that are not resolved by the customer care representatives are then escalated to the Compliance Department. The Compliance Investigators serve as the primary point of contact for complaint resolution. Once Applicant's Compliance Department receives a customer complaint, an Investigator contacts the complaining party and gathers all pertinent information. Applicant then works with the customer to reach a mutually agreeable resolution with the goal of achieving customer satisfaction.

EXHIBIT B-3

"Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

Please refer to Attachment B-3.

Public Power LLC – Pennsylvania, Docket #M-2012-2257858 (August 2012)

Prior to Public Power becoming affiliated with Crius Energy, Public Power was investigated by the Bureau of Investigation and Enforcement of the Pennsylvania Public Utility Commission (“PUC”) for alleged unauthorized customer enrollments during July 2011. It was found that a data entry error by a third-party telemarketer acting on behalf of Public Power caused the invalid enrollments. Upon discovery of the mistake, Public Power contacted and worked cooperatively with the utility to rescind the transfers. On December 19, 2013, the PUC unanimously approved a settlement of \$64,450 plus customer refunds totaling \$22,161.68.

Public Power LLC – Connecticut, Docket #13-02-08 (February 2013)

The Public Utilities Regulatory Authority (“PURA”) investigated customer complaints that Public Power received during 2012. The vast majority of these complaints were the result of enrollments that took place before the Crius Energy management team took over management of Public Power. The purpose of the investigation was to determine whether Public Power engaged in any unfair or deceptive trade practices. PURA issued a decision, on January 20, 2016, finding that Public Power had instituted a series of protocols and policies to improve and better monitor operations and had largely complied with all applicable statutes and regulations, but imposed a penalty of \$13,000 for concerns with three customers’ third-party verifications and not keeping records of all incoming call center complaints.

Public Power LLC – Pennsylvania, Docket #M-2015-2439492 (October 2015)

When Crius Energy took over management of Public Power in January 2013, it made the decision to discontinue a legacy Public Power product (“Legacy Product”). The employee responsible for monitoring the remaining customers on the Legacy Product left his position and as a result, Crius Energy was unaware that 50 customers remained on the Legacy Product. The Bureau of Investigation and Enforcement of the Pennsylvania Public Utility Commission (“BIE”) opened an investigation to determine whether customers that had enrolled in the Legacy Product received its full benefit. During the investigation, Public Power discovered that 50 customers had not received the full benefit and proactively issued \$6,558.21 in total customer refunds. In addition, on October 21, 2015, Public Power entered into a settlement agreement of \$72,500, to resolve the matter, which was approved by the Pennsylvania Public Utility Commission on May 19, 2016.

TriEagle Energy LP – Texas, Docket #40241 (March 2012)

Prior to TriEagle becoming affiliated with Crius Energy, TriEagle received a compliance audit from the Oversight and Enforcement Division of the Public Utility Commission of Texas (“PUCT”) which found that TriEagle’s online enrollment website, bill and contract

documents were missing required elements. TriEagle fully cooperated in the audit and self-reported the majority of the noted violations. On April 27, 2012, the PUCT approved a settlement agreement containing an administrative penalty of \$36,000.

TriEagle Energy LP – Texas, Docket #42783 (August 2014)

Prior to TriEagle becoming affiliated with Crius Energy, the Electric Reliability Council of Texas found that TriEagle had not retired 89 renewable energy credits prior to the March 31, 2013 deadline. TriEagle fully cooperated with the Public Utility Commission of Texas's ("PUCT") investigation and on October 3, 2014, the PUCT approved an administrative penalty of \$4,450 to address TriEagle's operational oversight.

Viridian Energy, LLC – Connecticut, Docket #09-04-15RE03 (February 2015)

In February 2015, the Public Utilities Regulatory Authority ("PURA") issued a decision noting that it had received consumer complaints alleging that 1) Viridian Energy automatically renewed customers to a fixed rate contract at the end of a previous contract without customer consent and authorization; and 2) if the consumer cancelled during the renewed contract, an early termination fee was assessed. Viridian Energy provided a response indicating that it complies with Conn. Gen. Stat. §16-245o(h)(8), which allows for auto-renewed fixed rate contracts and the assessment of early termination fees on these contracts. On August 12, 2015, PURA issued a final decision noting that Viridian Energy made a good faith effort to comply with the regulations. PURA requested that Viridian Energy refund all early termination fees where it could not pinpoint the exact date that the customer cancelled, and as a gesture of goodwill, Viridian Energy refunded all early termination fees collected from the 121 customers that had cancelled automatically renewed contracts.

Viridian Energy, LLC – Massachusetts Attorney General's Office – Civil Investigative Demand (June 2015)

On June 2, 2015, the Massachusetts Attorney General's Office ("AGO") served Viridian Energy with a Civil Investigative Demand. In March 2018, the AGO and Viridian Energy reached a settlement which took effect on May 1, 2018. The AGO believed that it had claims against Viridian Energy for the door-to-door marketing efforts it engaged in during a few months in the Fall 2014 – Spring 2015 and for the marketing of its variable rate and 3-year fixed rate product. Viridian denied all wrongdoing but in full settlement of the matter agreed to: reimburse the AGO for its costs during the investigation, make a contribution to the Massachusetts General Fund, and set up a restitution fund for consumers.

Public Power & Utility of New Jersey, LLC, TriEagle Energy LP and Viridian Energy PA LLC – New Jersey, Docket # Q017030252 (April 2017)

Public Power & Utility of New Jersey, LLC, TriEagle Energy LP and Viridian Energy PA,

LLC (collectively the “NJ Suppliers”) submitted its annual RPS compliance report on October 31, 2016 using data that reflected its actual retail electricity sales in New Jersey, instead of the electricity sales data listed in PJM-Environmental Management System Generator Attribute Tracking System (“GATS”). The New Jersey Board of Public Utilities (the “Board”) allows suppliers to use actual retail electricity sales figures but a new process introduced in 2016 required that suppliers explain the discrepancy between the GATS data and its actual retail sales prior to filing the RPS compliance report. Due to a miscommunication, the NJ Suppliers were unaware of this instruction, but communicated with the Board over the next several months to come to an amicable resolution. In an order dated April 21, 2017, the Board directed the NJ Suppliers to pay an Alternative Compliance Payment (“ACP”) in lieu of retiring Renewable Energy Credits (“RECs”). In June 2017, the NJ Suppliers filed a Motion for Reconsideration with the Board requesting that the Board allow the NJ Suppliers to retire RECs to cover its 2016 RPS requirements rather than paying the ACP. NJ Suppliers reached a settlement with Staff that was approved by the Board on October 29, 2018 that allowed the NJ Suppliers to retire the additional RECs and also assessed a \$25,000 administrative penalty against each supplier.

Energy Services Providers, Inc. – Pennsylvania, Docket C-2014-2427656 (June 2014)

Prior to Energy Services Providers, Inc. (“ESPI”) becoming affiliated with Crius Energy, ESPI entered into a settlement with the Pennsylvania Office of Consumer Advocate, the Pennsylvania Office of Attorney General and the Pennsylvania Public Utility Commission’s Bureau of Investigation and Enforcement. On March 9, 2016, the Pennsylvania Public Utility Commission (“PUC”) entered a Tentative Form Opinion and Order tentatively approving the settlement. PUC approval of the settlement was deemed final as of March 16, 2016.

U.S. Gas & Electric, Inc., Energy Services Providers, Inc. – New York (December 2014)

Prior to U.S. Gas & Electric Inc. and Energy Services Providers, Inc. (collectively, the “Company”) becoming affiliated with Crius Energy, the Office of the New York State Attorney General (the “NYAG”) requested information related to marketing efforts in New York State, and information related to customer complaints and pricing. The Company has fully cooperated with the requests and is currently in communication with the NYAG concerning a fair and equitable resolution of the matter.

U.S. Gas & Electric, Inc., Energy Services Providers, Inc. – New Jersey, (February 2016)

Prior to U.S. Gas & Electric Inc. and Energy Services Providers, Inc. (collectively, the “Company”) becoming affiliated with Crius Energy, the Office of the New Jersey Attorney General (the “NJAG”) requested information from the Company regarding customer agreements, pricing and complaints in New Jersey. The Company has fully cooperated with the requests and is awaiting feedback from the NJAG.

U.S. Gas & Electric, Inc., Energy Services Providers, Inc. – Maryland, Case No. 9615

(May 2019)

On May 15, 2019, the Technical Staff of the Maryland Public Service Commission (“PSC”) filed a complaint against Energy Services Providers, Inc. d/b/a Maryland Gas & Electric and U.S. Gas & Electric, Inc. d/b/a Maryland Gas & Electric (collectively, the “Company”) alleging that the Company had violated Maryland law governing retail suppliers’ activities, and specifically citing 33 consumer complaints received in 2018. On June 18, 2019, Company filed an answer and response with the PSC and on July 12, 2019, the PSC delegated the matter to the Public Utility Law Judge for review.

EXHIBIT C-1

***“Annual Reports,”** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.*

SEC Annual Reports by Viridian Energy PA LLC’s ultimate parent company, Vistra Energy Corp., may be found at the following website:

<https://investor.vistraenergy.com/investor-relations/financial-information/financials/default.aspx>

EXHIBIT C-2

***“SEC Filings,”** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.*

SEC 10-K and 10-Q filings by Vistra Energy Corp. may be found at the following website:

<https://investor.vistraenergy.com/investor-relations/financialinformation/financials/default.aspx>

EXHIBIT C-3

“Financial Statements,” provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

Please refer to the latest publicly available information found in Vistra Energy Corp.’s 10-K and 10-Q filings located at the following website:

<https://investor.vistraenergy.com/investor-relations/financial-information/financials/default.aspx>

EXHIBIT C-4

***“Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).*

**Proprietary and Confidential
Information Filed Under Seal**

EXHIBIT C-5

***“Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.*

**Proprietary and Confidential
Information Filed Under Seal**

EXHIBIT C-6

“Credit Rating,” provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.

Please refer to Attachment C-6 for Applicant’s Dun and Bradstreet credit rating.

Disclaimer:

The software and information ("Services") accessed herein were developed exclusively at private expense, and are proprietary to Dun & Bradstreet, Inc., and its affiliates and subsidiaries (collectively, "D&B"), and may include copyrighted works, trade secrets, or other materials created by D&B at great effort and expense.

If the Customer accessing the Services is part of the executive, legislative or judicial branches of the U.S. Federal Government, the Services contained herein are a Commercial Item as that term is defined in FAR 2.101, and are comprised of Technical Data, Computer Software and Computer Software Documentation as those terms are defined in FAR 52.227-14(a) and DFAR 252.227-13.

Customer's rights to use the Services are as described in the government contract signed between D&B and the Government

Under no circumstances will the Customer accessing the Services have greater rights in the Services provided hereunder than "Limited Rights" as that term is defined in FAR 52.227-14 (ALT II) and DFAR 252.227-7013(f) and "Restricted Rights" as that term is defined in FAR 52.227-14 (ALT III) and DFAR 252.227-7014(f), respectively.

LIVE REPORT

Currency: Shown in USD unless otherwise indicated 

VIRIDIAN ENERGY PA LLC

Trade Names: (SUBSIDIARY OF REGIONAL ENERGY HOLDINGS, INC.,
NORWALK, CT)

ACTIVE

SINGLE (SUBSIDIARY)

D-U-N-S 83-250-1584

Number:

Company: VIRIDIAN ENERGY PA LLC

D&B Address

Address: 535 CONNECTICUT AVE STE 110
NORWALK, CT, US - 06854

Location SINGLE (SUBSIDIARY)

Type:

Phone: 866-663-2508

Fax:

Web: www.viridian.com

Endorsement: mprosek@criusenergy.com

Company Summary

SCORE BAR

Commercial Credit Score



605

Low Risk of severe payment
delinquency.

Suit Found

N

Suits (Open) - Number	0	
Years In Business	10	
PAYDEX®	80	Paying on time.
Commercial Credit Score Percentile	97	Low Risk of severe payment delinquency.
Financial Stress Score National Percentile	36	Moderate Risk of severe financial stress.
D&B Viability Rating	3 3 B Z	View More Details
Bankruptcy Found	N	
D&B Rating	--	Unavailable.

D&B VIABILITY RATING SUMMARY

Viability Score Viability Score : 3 Low Risk:1 ; High Risk:9	Portfolio Comparison Portfolio Comparison : 3 Low Risk:1 ; High Risk:9
Data Depth Indicator Data Depth Indicator : B Predictive:A ; Descriptive:G	Company Profile: Z SUBSIDIARY

DETAILED TRADE RISK INSIGHT™

3 months from to

D&B COMPANY OVERVIEW

This is a single (subsidiary) location		
	Chief Executive	
Management Control	MICHAEL FALLQUIST, MNG MBR-CEO	Age (Year Started)
2013		10 years (2009)
Employees	History Status	Financing
28 (Here)	CLEAR	SECURED
	Line of business	
SIC	Electric services, natural gas transmission	NAICS
4911 ,4922		221121

PAYDEX® TREND CHART

PUBLIC FILINGS

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	Number of Records	Most Recent Filing Date
Bankruptcies	0	---
Judgments	0	---
Liens	0	---
Suits	0	---
UCCs	14	08/13/2018

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

CORPORATE LINKAGE

This is a Single (Subsidiary) location	VIRIDIAN ENERGY PA LLC Norwalk, CT D-U-N-S® NUMBER: 83-250-1584
Domestic Ultimate:	VISTRA ENERGY CORP. IRVING , UNITED STATES D-U-N-S® NUMBER: 08-045-7910
Parent Company	REGIONAL ENERGY HOLDINGS, INC. Connecticut D-U-N-S® NUMBER: 83-044-8515

Predictive Scores

D&B VIABILITY RATING SUMMARY

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver

a highly reliable assessment of the probability that a company will go out of business, become dormant/inactive, or file for bankruptcy/insolvency within the next 12 months. The D&B Viability Rating is made up of 4 components:

Viability Score

Compared to All US Businesses within the D&B Database:

- Level of Risk: **Low Risk**
- Businesses ranked **3** have a probability of becoming no longer viable: **3 %**
- Percentage of businesses ranked **3**: **15 %**
- Across all US businesses, the average probability of becoming no longer viable: **14 %**

Portfolio Comparison

Compared to All US Businesses within the same MODEL SEGMENT:

- Model Segment : **Established Trade Payments**
- Level of Risk: **Low Risk**
- Businesses ranked **3** within this model segment have a probability of becoming no longer viable: **3 %**
- Percentage of businesses ranked **3** with this model segment: **11 %**
- Within this model segment, the average probability of becoming no longer viable: **5 %**

Data Depth Indicator

Data Depth Indicator:

- ✓ Rich Firmographics
- ✓ Extensive Commercial Trading Activity
- ✓ Basic Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assessment.

To help improve the current data depth of this company, you can ask D&B to make a personalized request to this company on your behalf to obtain its latest financial information. To make the request, click the link below. Note, the company must be saved to a folder before the request can be made.

Request Financial Statements

Reference the FINANCIALS tab for this company to monitor the status of your request.

Company Profile:

Company Profile Details:

- Financial Data:
- Trade Payments:
- Company Size: **null**
- Years in Business: **null**

Z

Subsidiary

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Disclaimer:

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If the Customer accessing the Services is part of the executive, legislative or judicial branches of the U.S. Federal Government, the Services contained herein are a Commercial Item as that term is defined in FAR 2.101, and are comprised of Technical Data, Computer Software and Computer Software Documentation as those terms are defined in FAR 52.227-14(a) and DFAR 252.227-13.

Customer's rights to use the Services are as described in the government contract signed between D&B and the Government

Under no circumstances will the Customer accessing the Services have greater rights in the Services provided hereunder than "Limited Rights" as that term is defined in FAR 52.227-14 (ALT II) and DFAR 252.227-7013(f) and "Restricted Rights" as that term is defined in FAR 52.227-14 (ALT III) and DFAR 252.227-7014(f), respectively.

EXHIBIT C-7

“Credit Report,” provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.

Please refer to Attachment C-7 for Applicant’s Experion credit report.

Subcode: 197910

Ordered: 09/03/2019 18:08:11 CST



Transaction Number: C017447139

Search Inquiry: VIRIDIAN ENERGY PA LLC/1055 WASHINGTON BLVD FL 7/STAMFORD/CT/06901/US/Phone
203-663-7554/960881171

Model Description: Intelliscore Plus V2

DecisionIQ

Credit Decision: Approve

Decision Date: 09/03/2019 18:08:13 CDT

Decision Action: A-PASS

Business Name

VIRIDIAN ENERGY PA LLC

Business Identification Number

960881171**Primary Address:** 535 CONNECTICUT AVE FL 6
NORWALK, CT 06854-1700**Website:** www.viridian.com**Phone:** (203) 663-5089**Ultimate Parent:** VISTRA ENERGYThis business is a member of a corporate family.
[See the corporate hierarchy by clicking here](#)

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Risk Dashboard

Risk Scores and Credit Limit Recommendation		Days Beyond Terms	Derogatory Legal	Fraud Alerts
Intelliscore Plus	Financial Stability Risk	Company DBT	Original Filings	High Risk Alerts
61 LOW TO MEDIUM RISK	67 LOW RISK	0	0	0
Score range: 1 - 100 percentile				
Credit Limit Recommendation: N/A				

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Business Facts

Years on File:	10 (FILE ESTABLISHED 10/2009)	SIC Code:	ELECTRIC SERVICES - 4911
Contacts:	MICHAEL J FALLQUIST - CEO		DIRECT SELLING ESTABLISHMENTS - 5963
	BARBARA CLAY - OTHER THAN LISTED		SOCIAL SERVICES, NEC - 8390
	ROOP BHULLAR - OTHER THAN LISTED	NAICS Code:	Electric Power Generation, Transmission and Distribution - 221100
			Direct Selling Establishments - 454300
			Other Individual and Family Services - 624190

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Commercial Fraud Shield

Evaluation for: VIRIDIAN ENERGY PA LLC, 535 CONNECTICUT AVE FL 6, NORWALK, CT06854-1700

Business Alerts		Verification Triggers
Active Business Indicator:	Experian shows this business as active	The primary Business Name, Address, and Phone Number on Experian File were reviewed for High Risk indicators, no High Risk indicators were found.
Possible OFAC Match:	No OFAC match found	
Business Victim Statement:	No victim statement on file	

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Credit Risk Score and Credit Limit Recommendation

Credit Risk Score: Intelliscore Plus

Current Intelliscore Plus Score: 61



This score predicts the likelihood of serious credit delinquencies for this business within the next 12 months. Payment history and public record along with other variables are used to predict future risk. Higher scores indicate lower risk.

Factors lowering the score

- ▶ NUMBER OF COMMERCIAL ACCOUNTS WITH NET 1-30 DAYS TERM
- ▶ NBR OF ACTIVE COMMERCIAL ACCTS WITHIN THE LAST 12 MOS
- ▶ NUMBER OF RECENTLY ACTIVE COMMERCIAL ACCOUNTS
- ▶ NUMBER OF COMMERCIAL ACCOUNTS WITH HIGH UTILIZATION

Risk Class: 2

LOW - MEDIUM RISK

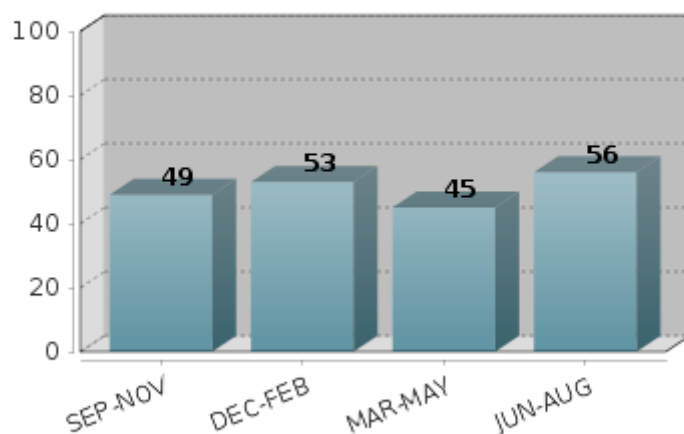
The risk class groups scores by risk into ranges of similar performance. Range 5 is the highest risk, range 1 is the lowest risk.

Industry Risk Comparison

60% of businesses indicate a higher likelihood of severe delinquency.

Intelliscore Plus Quarterly Score Trends

Quarterly Score Trends



The Intelliscore Plus Quarterly Score Trends provide a view of the likelihood of delinquency over the past 12 months for this business. The trends will indicate if the score improved, remained stable, fluctuated or declined over the last 12 months.

Credit Risk Score: Financial Stability Risk

Current Financial Stability Risk Score: 67



This score predicts the likelihood of financial stability risk within the next 12 months. The score uses tradeline and collections information, public filings as well as other variables to predict future risk. Higher scores indicate lower risk.

Factors lowering the score

- ▶ NUMBER OF ACTIVE COMMERCIAL ACCOUNTS
- ▶ BALANCE TO HIGH CREDIT RATIO FOR COMMERCIAL ACCOUNTS
- ▶ RISK ASSOCIATED WITH THE BUSINESS TYPE
- ▶ EMPLOYEE SIZE OF BUSINESS

Risk Class: 1

LOW RISK

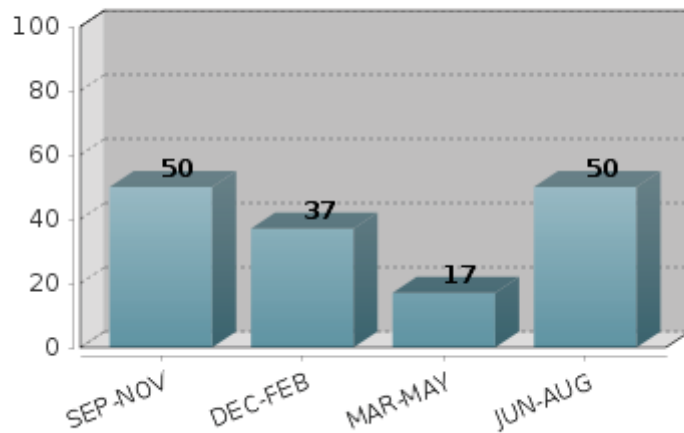
The risk class groups scores by risk into ranges of similar performance. Range 5 is the highest risk, range 1 is the lowest risk.

Industry Risk Comparison

66% of businesses indicate a higher likelihood of financial stability risk.

Financial Stability Risk Quarterly Score Trends

Quarterly Score Trends



The Financial Stability Risk Quarterly Score Trends provide a view of the likelihood of financial stability risk over the past 12 months for this business. The trends will indicate if the score improved, remained stable, fluctuated or declined over the last 12 months.

Credit Limit Recommendation

Credit Limit Recommendation
N/A

See [DecisionIQ decision band](#) for Credit Limit Recommendation.

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Payment and Legal Filings Summary

Payment Performance		Trade and Collection Balance		Legal Filings	
Current DBT:	0	Total trade and collection (3):	\$100	Bankruptcy:	No
Predicted DBT :	N/A	All trades (3):	\$100	Tax Lien filings:	0
Monthly Average DBT:	0	All collections (0):	\$0	Judgment filings:	0
Highest DBT Previous 6 Months:	0	Continuous trade (1):	\$0	Sum of legal filings:	\$0
Highest DBT Previous 5 Quarters:	0	6 month average:	N/A	UCC filings:	11
Payment Trend Indication:		Highest credit amount extended:	\$100	Cautionary UCC filings:	Yes
Payment trend indicator not available		Most frequent industry purchasing terms:			
		Industry purchasing terms not available			

Industry Comparison

Industry DBT Range Comparison

The current DBT of this business is 0. 80% of businesses have a DBT range of 0-5.

DBT for this business: 0



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Trade Payment Summary

Trade Line Type	Lines Reported	DBT	Recent High Credit	Balance	Current	01-30	31-60	61-90	91+
Continuous	1			\$0					
New	1		\$100	\$100	100%				
Combined Trade	2		\$100	\$100	100%				
Additional	1		\$3,600	\$0					
Total Trade	3		\$3,700	\$100	100%				

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Trade Payment - New and Continuously Reported Trade Details											
Payment Experiences (Trade Lines with an (*) after the date are newly reported)						Account Status Days Beyond Terms					
Business Category	Date Reported	Last Sale	Payment Terms	Recent High Credit	Balance	Cur	1-30	31-60	61-90	91+	Comments
BUREAU	08/2019*	08/2019	NET 30	\$100	\$100	100%					
PACKAGING	08/2019		NET 30		\$0						CUST 7 YR

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Trade Payment - Additional Trade Details											
Payment Experiences (Trade Lines with an (*) after the date are newly reported)						Account Status Days Beyond Terms					
Business Category	Date Reported	Last Sale	Payment Terms	Recent High Credit	Balance	Cur	1-30	31-60	61-90	91+	Comments
UTILITY	06/2018		NET 15	\$3,600	\$0						

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Uniform Commercial Code (UCC) Filings						
UCC Filing Summary						
Date Range	Year	Cautionary UCCs **	Total Filed	Released / Termination	Continuous	Amended / Assigned
JUL - PRESENT	2019					
JAN - JUN	2019					
JUL - DEC	2018		1			
JAN - JUN	2018					
JUL - DEC	2017		1			1
PRIOR TO JUL	2017	2	9		6	7
Total		2	11	0	6	8
** Cautionary UCC Filings include one or more of the following collateral: Accounts, Accounts Receivables, Contract Rights, Hereafter Acquired Property, Inventory, Leases, Notes Receivable or Proceeds.						
UCC Details						
UCC CONTINUED Date: 04/04/2019 Filing Number: 2019011793-3 Original Filing Date: 08/26/2014 Original Filing Number: 2014021888 Original Filing State: NV Jurisdiction: SEC OF STATE NV Secured Party:			UCC FILED Date: 08/13/2018 Filing Number: 2018023416-7 Jurisdiction: SEC OF STATE NV Secured Party: MACQUARIE ENERGY LLC, AS COLLATERAL AGEN TX HOUSTON 77002 50			
UCC ASSIGNED Date: 08/13/2018 Filing Number: 2018023420-6 Original Filing Date: 09/24/2010 Original Filing Number: 2010024312 Original Filing State: NV Jurisdiction: SEC OF STATE NV Secured Party: MACQUARIE ENERGY LLC, AS COLLATERAL AGEN TX HOUSTON 77002 ON			UCC CONTINUED Date: 07/13/2018 Filing Number: 2018019770-1 Original Filing Date: 08/14/2013 Original Filing Number: 2013020828 Original Filing State: NV Jurisdiction: SEC OF STATE NV Secured Party:			
UCC CONTINUED Date: 05/10/2018 Filing Number: 2018013144-8 Original Filing Date: 06/18/2013 Original Filing Number: 2013015577 Original Filing State: NV Jurisdiction: SEC OF STATE NV Secured Party:			UCC AMENDED Date: 03/01/2018 Filing Number: 2018005946-8 Original Filing Date: 08/14/2013 Original Filing Number: 2013020828 Original Filing State: NV Jurisdiction: SEC OF STATE NV Secured Party: NORTHERN INDIANA PUBLIC SERVICE COMPANY IN MERRILLVILLE 464			
UCC AMENDED Date: 08/02/2017 Filing Number: 2017021215-7 Original Filing Date: 08/01/2017 Original Filing Number: 2017021181 Original Filing State: NV Jurisdiction: SEC OF STATE NV			UCC FILED Date: 08/01/2017 Filing Number: 2017021181-4 Jurisdiction: SEC OF STATE NV Secured Party:			

Secured Party: COLUMBIA GAS OF PENNSYLVANIA, INC. OH
COLUMBUS 43215 290 WES

UCC AMENDED Date: 07/31/2017
Filing Number: 2017020950-4
Original Filing Date: 09/24/2010
Original Filing Number: 2010024312
Original Filing State: NV
Jurisdiction: SEC OF STATE NV
Secured Party:

UCC AMENDED Date: 07/24/2017
Filing Number: 2017020367-7
Original Filing Date: 09/24/2010
Original Filing Number: 2010024312
Original Filing State: NV
Jurisdiction: SEC OF STATE NV
Secured Party:

[+ View Additional UCC Details](#)

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Corporate Linkage

Business Name	Location	BIN
Ultimate Parent of the inquired upon business and the top entity within the corporate family:		
<u>VISTRA ENERGY</u>	1601 BRYAN ST - DALLAS,TX	425162410
Immediate Parent of the inquired upon business:		
<u>CRIUS ENERGY, LLC</u>	535 CONNECTICUT AVE FL 6 - NORWALK,CT	966631735
Branches of the inquired upon business:		
VIRIDIAN ENERGY PA LLC	2710 GATEWAY OAKS DR STE 150N - SACRAMENTO,CA	404948047
VIRIDIAN ENERGY PA LLC	202 S MINNESOTA ST - CARSON CITY,NV	923523677
VIRIDIAN ENERGY PA LLC	64 N MAIN ST - NORWALK,CT	948237741

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Inquiries

Summary of Inquiries									
Business Category	SEP19	AUG19	JUL19	JUN19	MAY19	APR19	MAR19	FEB19	JAN19
EQUIP LEAS					1				
UTILITY						1			
Totals					1	1			

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End of report

1 of 1 report

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EXHIBIT C-8

“Bankruptcy Information,” provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

Neither Applicant, its parent nor any of its affiliates have filed for reorganization, protection from creditors, or any other form of bankruptcy during the current year or since the applicant last filed for certification.

EXHIBIT C-9

“Merger Information,” provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Effective July 15, 2019, Vistra Energy Corp. (“Vistra”) acquired Crius Energy Corporation (“Crius”), and became the ultimate parent company of Viridian Energy PA, LLC.

EXHIBIT C-10

"Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America.

Applicant is a wholly owned subsidiary of Vistra Energy Corp. ("Vistra"). Vistra is the parent company to various energy service companies across the deregulated energy markets of North America. As a wholly owned subsidiary of Vistra, Applicant has the following affiliates that supply retail electricity or natural gas in North America: Public Power, LLC, a Connecticut limited liability company, Public Power, LLC, a Pennsylvania limited liability company; Everyday Energy NJ, LLC; Public Power & Utility of Maryland, LLC; Viridian Network, LLC; Viridian Energy LLC; Viridian Energy NY, LLC; Cincinnati Bell Energy, LLC; FairPoint Energy, LLC; Everyday Energy, LLC; TriEagle Energy LP; Big Sky Gas, LLC, Energy Services Providers, Inc., U.S. Gas & Electric, Inc., Value Based Brands, LLC, TXU Energy Retail Company, LLC, Dynegy Marketing and Trade, Dynegy Energy Services (East), LLC, Dynegy Energy Services, LLC, and Illinois Power Marketing Company.

Please see Attachment C-10 for a diagram of Applicant's corporate structure.

**Exhibit C-1
Pre-Transactional Simplified Organizational
Structure of Crius Energy Corporation**

* Dash lines signify indirect ownership

** Unless otherwise noted, each subsidiary is 100% owned by its parent entity

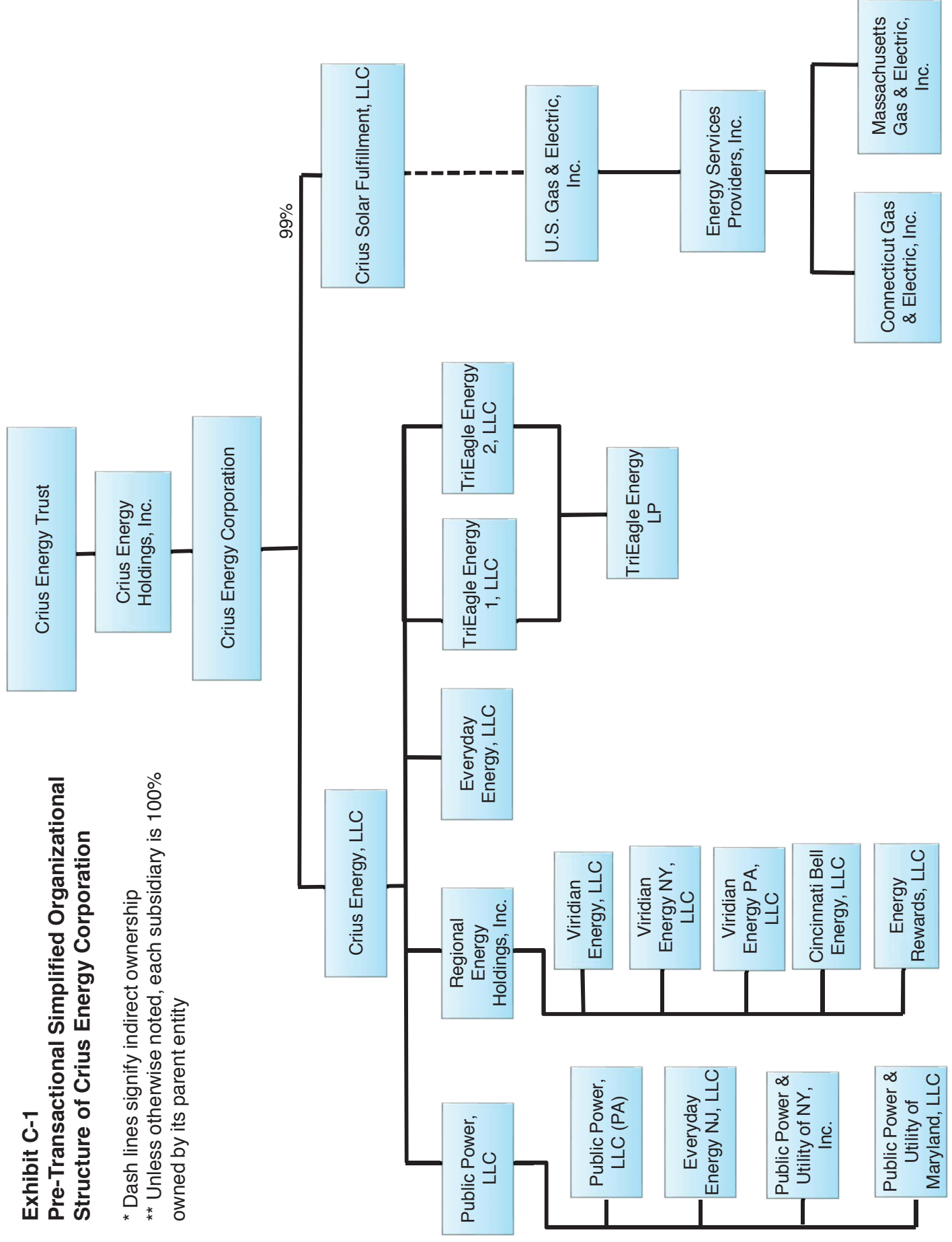


Exhibit C-2
Pre-Transactional Simplified Organizational
Structure of Vistra Energy Corp.

* Dash lines signify indirect ownership
** Unless otherwise noted, each subsidiary is 100% owned by its parent entity

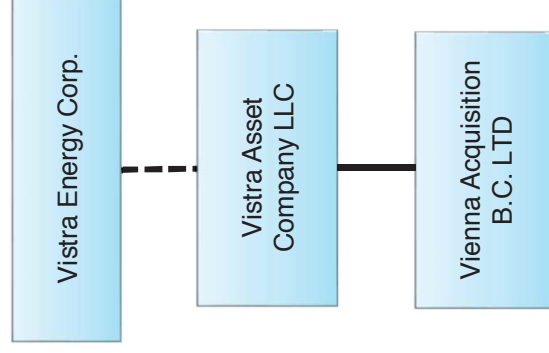


Exhibit C-3 Post-Transactional Simplified Organizational Structure

* Dash lines signify indirect ownership

** Unless otherwise noted, each subsidiary is 100% owned by its parent entity

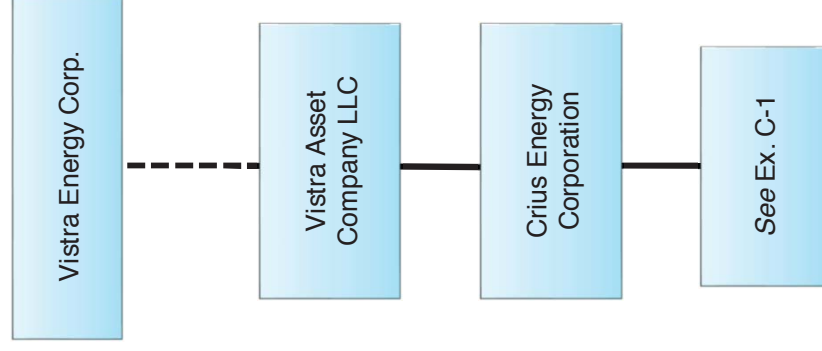


EXHIBIT D-1

“Operations” provide a written description of the operational nature of the applicant’s business. Please include whether the applicant’s operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, and the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

Applicant will market electricity as a licensed competitive electricity supplier in Ohio to residential, commercial and industrial customers.

Applicant does not currently own or operate, nor does it intend to own or operate any generation, transmission, or distribution facilities. Applicant does not currently, nor does it intend to be involved in the provision of retail ancillary services.

EXHIBIT D-2

“Operations Expertise,” given the operational nature of the applicant’s business, provide evidence of the applicant’s experience and technical expertise in performing such operations.

Applicant has the necessary operational and managerial capabilities to serve all customer classes, including residential, commercial and industrial customers. Applicant’s management team is comprised of individuals with significant experience in wholesale and retail energy.

Other Operations Expertise

Additionally, Applicant relies on EC Infosystems (ECI) to provide EDI, billing, and CIS services in all our markets. ECI is a recognized leader in providing these services and already has significant experience, providing service to 150 clients and connections to over 70 utilities.

Outsourcing its EDI and Billing/CIS to ECI allows Applicant to focus resources on sales, marketing, pricing, procurement, regulatory and administrative functions.

EXHIBIT D-3

“Key Technical Personnel,” provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant’s business.

Please see Attachment D-3 for the background summaries for the following individuals.

Name	Title	Address	Phone Number
Margaret Pirtle	Director, Customer Advocacy Services	6555 Sierra Dr. Irving, TX 75039	972-868-8287
John Duessel	Vice President, Chief Customer Officer	6555 Sierra Dr. Irving, TX 75039	972-868-8469
Jeff Ellis	Director, Customer Operations & Support Services	6555 Sierra Dr. Irving, TX 75039	972-868-4689
Claudia Morrow	Vice President, Origination & Pricing	6555 Sierra Dr. Irving, TX 75039	214-875-9249

Claudia J. Morrow
Vistra Energy Corp.
Vice President, Origination & Pricing
6555 Sierra Dr.
Irving, TX 75039
214.875.9249
claudia.morrow@vistraenergy.com

Claudia J. Morrow is Vice President, Origination & Pricing for Vistra Energy Corp. (Vistra). Claudia is responsible for commodity cost forecasting and portfolio management of the commodity price risk associated with Vistra's subsidiaries' retail electricity sales through procurement of power and power related products. The portfolio value is in the range of \$3 billion dollars. She also leads a retail gas sales team with large industrial and commercial customers across the state of Texas.

Claudia joined Vistra's predecessor (TXU Corp.) in July of 2001 with multiple years of experience in commodities markets at JP Morgan Chase and Morgan Stanley.

Claudia has a bachelor's degree from Texas A&M University.

Margaret Pirtle
Vistra Energy Corp.
Director, Customer Advocacy Services
6555 Sierra Dr.
Irving, TX 75039
972.868.8287
margaret.pirtle@vistraenergy.com

Margaret Pirtle is the Director of Customer Advocacy Services for Vistra Energy Corp. (Vistra). Margaret joined Vistra's predecessor (TXU Corp.) in April 2007 and has over 12 years of experience in the competitive electricity industry. When she joined the company, Margaret was responsible for establishing the operations policy and procedures team, which implemented and ensured compliance with operational policies. In her current role, she is responsible for driving improved customer experiences by managing all lines of customer escalations including those to the state commissions, Attorney General's office, and the Better Business Bureau. She also oversees the Energy Assistance program that provides financial support to customers in need. Additionally, Margaret is responsible for managing the relationships with the Transmission and Distribution Utilities, ERCOT, and the state commissions to ensure operational readiness.

Margaret has a bachelor's degree in business administration from Texas Tech University – Rawls College of Business.

John Duessel
Vistra Energy Corp.
Vice President, Chief Customer Officer
6555 Sierra Dr.
Irving, TX 75039
972.868.8469
john.duessel@vistraenergy.com

John Duessel is a Vice President and the Chief Customer Officer for Vistra and has over 9 years of experience in the competitive electricity industry. John joined Vistra's predecessor (Energy Future Holdings Corp. (EFH)) in April 2010 and has been the Chief Customer Officer for Vistra since October 2016. In his current role, John leads the customer experience services organizations that are responsible for delivering exceptional experiences to customers for Vistra's subsidiary, TXU Energy Retail Company LLC.

Prior to his role as the Chief Customer Officer, John was a Senior Director and then a Vice President of the revenue operations division, and, in those roles, he led teams dedicated to delivering seamless customer experiences across all core revenue cycle management functions. John began at the company as a Director in the credit, collections, and bad debt management department, where he was responsible for credit assessment / credit management and collections functions across all lines of the business.

John received a master in business administration in finance degree from Southern Methodist University – Cox School of Business and has a juris doctor degree from Columbus School of Law.

Jeff Ellis
Vistra Energy Corp.
Director, Customer Operations & Support Services
6555 Sierra Dr.
Irving, TX 75039
972.868.4689
jeff.ellis@vistraenergy.com

Jeff Ellis is the Director of Customer Operations & Support Services at Vistra and has 20 years of experience in the competitive electricity industry. In his current role with Vistra, Jeff oversees contact center operations, including customer experience execution as well as contact center support functions such as process optimization, learning and development, quality insights, and compliance.

Jeff began his career with Vistra's predecessor (TXU Corp.) in 1999, supporting customer system enhancements that prepared TXU Corp. to transition into the Texas competitive retail electric market. Jeff subsequently worked for a consulting firm that managed customer operations for several other Texas retail electric providers, before returning to Vistra's predecessor (then EFH) in 2009.

Jeff has a degree in management information systems and business management from University of Oklahoma – Price College of Business.

EXHIBIT D-4

“FERC Power Marketer License Number,” provide a statement disclosing the applicant’s FERC Power Marketer License Number. *(Power Marketers only).*

Applicant received approval from FERC to be a Power Marketer at FERC Docket Number: ER11-03098-001.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

9/23/2019 4:52:13 PM

in

Case No(s). 13-1836-EL-CRS

Summary: Application for Renewal Certification of Viridian Energy PA, LLC as a Competitive Retail Electric Service Provider electronically filed by Mr. David F. Proano on behalf of Viridian Energy PA, LLC