

Ohio | Public Utilities Commission

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INITIAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please type or print all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-15 - Company History). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

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This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES A-1 Applicant intends to be certified as: (check all that apply) Retail Natural Gas Broker |X|Retail Natural Gas Marketer Retail Natural Gas Aggregator . A-2 Applicant information: Clearview Electric, Inc. d/b/a Clearview Energy Legal Name 901 Main Street Suite 4700, Dallas, TX 75202 Address Web site Address www.Clearviewenergy.com 972-546-9990 Telephone No. Applicant information under which applicant will do business in Ohio: Clearview Energy Name Address 901 Main Street Suite 4700, Dallas, TX 75202 Telephone No. 972-546-9990 A-4 List all names under which the applicant does business in North America: Accel RECEIVED Brightstar Power MAY **06** 2019 Clearview Energy DOCKETING DIVISION A-5 Contact person for regulatory or emergency matters: Public Utilities Commission of Ohio Name Kerstin Williams Title Regulatory Analyst Business Address 901 Main Street Suite 4700, Dallas, TX 75202 Telephone No. 972-546-9990 Ext. 674 Fax No. 972-546-9991 Email Address Regulatory@Clearviewenergy.com This is to certify that the images appearing are an

A-6	Contact person for Commissi	on Staff use in in	vestigating c	ustomer complaints:
	Name Yesenia Alvarez		Title	Customer Relations Specialist
	Business address 901 Main Street	Suite 4700, Dallas, T	X 75202	
	Telephone No. 972-546-9990 Ext. 61	9 _{Fax No.} 972-546-	9991	Email Address:Regulatory@ClearviewEnergy.com
A- 7	Applicant's address and toll-f	ree number for c	ustomer serv	rice and complaints
	Customer service address 901 Mai	n Street Suite 4700, [Dallas, TX 75202	
	Toll-Free Telephone No. 1-800-746-	4702 Fax No. 9	72-546-9991	Email Address ^{;Customerservice@ClearviewEnergy.com}
A-8			-	lance with Section 4929.22 of the Ohio ne number, and Web site address of the
	Name Christopher Miller		Title F	Pariner :
	Business address 250 West Street Suite 700 Columbus, OH 43215			
r•	Telephone No. (614)462-5033	Fax No. (614)224-3886	Ema	il Address Christopher Miller@lceMiller.com
A-9	Applicant's federal employer i 20-5552316	dentification nur	nber	
A-10	Applicant's form of ownership	: (Check one)		
	Sole Proprietorship		Partner	ship
	Limited Liability Partnership	(LLP)	Limited	l Liability Company (LLC)
	Corporation		Other	
A-11	currently providing service or class that the applicant is curcommercial, and/or large commin Section 4929.01(L)(1) of the Ohio than 500,000 cubic feet of natural gas residential use, as part of an undertaki Section 4929.01(L)(2) of the Ohio Re other than for residential use, more the	intends to provide reently serving of the recial/industrial Revised Code, means per year at a single may having more than vised Code, "Mercan an 500,000 cubic fee residential use, as pages	te service, incorrintends to (mercantile) a customer that location within three locations within the customer" ext of natural gaset of an undertal	service area in which the applicant is cluding identification of each customer serve, for example: residential, small customers. (A mercantile customer, as defined t consumes, other than for residential use, more the state or consumes natural gas, other than for within or outside of this state. In accordance with accludes a not-for-profit customer that consumes, per year at a single location within this state or king having more than three locations within or ties Commission.)

	Columbia Gas of Ohio	✓ Residential ✓ S	mall Commercial 🗾 Large Commercial / Industrial
	Dominion East Ohi	Residential S	mall Commercial 🗸 Large Commercial / Industrial
	Duke Energy Ohi	Residential 🗸 8	mall Commercial Large Commercial / Industrial
	Vectren Energy Deliver	y of Ohio Residential S	mall Commercial 🗸 Large Commercial / Industrial
A-12	Programs, for each service date(s) that the applicant	e area and customer class	ticipated in any of Ohio's Natural Gas Choic , provide approximate start date(s) and/or end ided services.
	Columbia Gas of Ohio		
	Residential	Beginning Date of Service	End Date
	Small Commercial	Beginning Date of Service	End Date
	Large Commercial	Beginning Date of Service	End Date
	Industrial	Beginning Date of Service	End Date
	Dominion East Ohio		·
	Residential	Beginning Date of Service	End Date
	Small Commercial	Beginning Date of Service	End Date
	Large Commercial	Beginning Date of Service	End Date
	Industrial	Beginning Date of Service	End Date
	Duke Energy Ohio		
	Residential	Beginning Date of Service	End Date
	Small Commercial	Beginning Date of Service	End Date
	Large Commercial	Beginning Date of Service	End Date
	Industrial	Beginning Date of Service	End Date
	Vectren Energy Delivery	of Ohio	
	Residential	Beginning Date of Service	End Date
	Small Commercial	Beginning Date of Service	End Date
	Large Commercial	Beginning Date of Service	End Date
	Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

Columbia Gas of Ohio	Intended Start Date	April 25, 2019
Dominion East Ohio	Intended Start Date	April 25, 2019
✓ Duke Energy Ohio	Intended Start Date	April 25, 2019
Vectren Energy Delivery of Ohio	Intended Start Date	April 25, 2019

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners," provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History," provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-17 Exhibit A-17 "Secretary of State," provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

B-5	Exhibit B-5 "Disclosure of Consumer Protection Violations," disclose whether the applicant,
	affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held
	liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

V	No	☐ Yes
10	110	1 1 2 5

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

V	No	□ Yes
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If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.

 (This is generally only applicable to publicly traded companies who publish annual reports)
- C-2 Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 "Financial Arrangements," provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

- 1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
- 2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
- 3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
- 4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's NATURAL GAS related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report," provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 <u>Exhibit C-9 "Merger Information</u>," provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D - APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- **D-1** Exhibit D-1 "Operations," provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- **D-2** Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- **D-3** <u>Exhibit D-3 "Key Technical Personnel</u>," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

President

Sworn and subscribed before me this 24th

day of April

Month 2019

Year

Signature of official administering oath

Kerstin Williams, Notary Public

Print Name and Title

My commission expires on

July 21, 2021

KERSTIN WILLIAMS
Notary ID #131216744
My Commission Expires
July 21, 2021



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

ln 1	the Matter of the Application of
Clea	arview Electric, Inc. d/b/a Clearview Energy Case No. 17 -601G -GA-CRS
for	a Certificate or Renewal Certificate to Provide
Co	mpetitive Retail Natural Gas Service in Ohio.
	te of Texas
	Francis X. McGovern [Affiant], being duly sworn/affirmed, hereby states that:
(1)	The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
(2)	The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
(3)	The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
(4)	Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
(5)	Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
(6)	Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
(7)	Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
(8)	Affiant further sayeth naught.
	Affiant Signature & Title President
	Sworn and subscribed before me this 24th day of April Month 2019 Year
	Kerstin Williams, Notary Public
	Signature of Official Administering Oath Print Name and Title

My commission expires on

KERSTIN WILLIAMS Notary ID #131216744 My Commission Expires

July 21, 2021

July 21, 2021

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Exhibit A-14 Clearview Electric, Inc. Page 1 of 1

"Exhibit A-14 Principal Officers, Directors & Partners"

Francis X. McGovern President/Chief Executive Officer 901 Main Street Suite 4700 Dallas, TX 75202 (972)546-9990 Ext 601

Nicole Steele Vice President, Regulatory 901 Main Street Suite 4700 Dallas, TX 75202 (972)546-9990 Ext 626



Exhibit A-15 Clearview Electric, Inc. Page 1 of 1

"Exhibit A-15 Company History"

Clearview has been a third-party energy provider since 2006 and now provides electricity supply to thirteen states and the District of Columbia. Clearview is also licensed to supply natural gas in five states.



Exhibit A-16 Clearview Electric, Inc. 1 of 13

Exhibit A-16 Articles of Incorporation and Bylaws

BY-LAWS

OF

Clearview Electric, Inc.

ARTICLE I - OFFICES

The office of the Corporation shall be located in the City and State designated in the Articles of Incorporation. The Corporation may also maintain offices at such other places within or without the United States as the Board of Directors may, from time to time, determine.

ARTICLE II - MEETING OF SHAREHOLDERS

Section 1 - Annual Meetings:

The annual meeting of the shareholders of the Corporation shall be held within five months after the close of the fiscal year of the Corporation, for the purpose of electing directors, and transacting such other business as may properly come before the meeting.

Section 2 - Special Meetings:

Special meetings of the shareholders may be called at any time by the Board of Directors or by the President, and shall be called by the President or the Secretary at the written request of the holders of ten per cent (10%) of the shares then outstanding and entitled to vote thereat, or as otherwise required under the provisions of the Business Corporation Act.

Section 3 - Place of Meetings:

All meetings of shareholders shall be held at the principal office of the Corporation, or at such other places as shall be designated in the notices or waivers of notice of such meetings.

Section 4 - Notice of Meetings:

- (a) Written notice of each meeting of shareholders, whether annual or special, stating the time when and place where it is to be held, shall be served either personally or by mail, not less than ten or more than fifty days before the meeting, upon each shareholder of record entitled to vote at such meeting, and to any other shareholder to whom the giving of notice may be required by law. Notice of a special meeting shall also state the purpose or purposes for which the meeting is called, and shall indicate that it is being issued by, or at the direction of, the person or persons calling the meeting. If, at any meeting, action is proposed to be taken that would, if taken, entitle shareholders to receive payment for their shares pursuant to the Business Corporation Act, the notice of such meeting shall include a statement of that purpose and to that effect. If mailed, such notice shall be directed to each such shareholder at his address, as it appears on the records of the shareholders of the Corporation, unless he shall have previously filed with the Secretary of the Corporation a written request that notices intended for him be mailed to some other address, in which case, it shall be mailed to the address designated in such request.
- (b) Notice of any meeting need not be given to any person who may become a shareholder of record after the mailing of such notice and prior to the meeting, or to any shareholder who attends such meeting, in person or by proxy, or to any shareholder who, in person or by proxy, submits a signed waiver of notice either before or after such meeting. Notice of any adjourned meeting of shareholders need not be given, unless otherwise required by statute.

Section 5 - Quorum:

(a) Except as otherwise provided herein, or by statute, or in the Articles of Incorporation (such Articles and any amendments thereof being hereinafter collectively referred to as the "Articles of Incorporation"), at all meetings of shareholders of the Corporation, the presence at the commencement of such meetings in person or by proxy of shareholders holding of record a majority of the total number of shares of the Corporation then issued and outstanding and entitled to vote, shall be necessary and sufficient to constitute a quorum for the transaction of any business. The withdrawal of any shareholder after the commencement of a meeting shall have no effect on the existence of a quorum, after a quorum has been established at such meeting.

(b) Despite the absence of a quorum at any annual or special meeting of shareholders, the shareholders, by a majority of the votes cast by the holders of shares entitled to vote thereon, may adjourn the meeting. At any such adjourned meeting at which a quorum is present, any business may be transacted which might have been transacted at the meeting as originally called if a quorum had been present.

Section 6 - Voting:

- (a) Except as otherwise provided by statute or by the Articles of Incorporation, any corporate action, other than the election of directors to be taken by vote of the shareholders, shall be authorized by a majority of votes cast at a meeting of shareholders by the holders of shares entitled to vote thereon.
- (b) Except as otherwise provided by statute or by the Articles of Incorporation, at each meeting of shareholders, each holder of record of shares of the Corporation entitled to vote thereat, shall be entitled to one vote for each share registered in his name on the books of the Corporation.
- (c) Each shareholder entitled to vote or to express consent or dissent without a meeting, may do so by proxy; provided, however, that the instrument authorizing such proxy to act shall have been executed in writing by the shareholder himself, or by his attorney-in-fact thereunto duly authorized in writing. No proxy shall be valid after the expiration of eleven months from the date of its execution, unless the persons executing it shall have specified therein the length of time it is to continue in force. Such instrument shall be exhibited to the Secretary at the meeting and shall be filed with the records of the Corporation.
- (d) Any resolution in writing, signed by all of the shareholders entitled to vote thereon, shall be and constitute action by such shareholders to the effect therein expressed, with the same force and effect as if the same had been duly passed by unanimous vote at a duly called meeting of shareholders and such resolution so signed shall be inserted in the Minute Book of the Corporation under its proper date.

ARTICLE III - BOARD OF DIRECTORS

Section 1 - Number, Election and Term of Office:

- (a) The number of the directors of the Corporation shall be (), unless and until otherwise determined by vote of a majority of the entire Board of Directors. The number of Directors shall not be less than three, unless all of the outstanding shares are owned beneficially and of record by less than three shareholders, in which event the number of directors shall not be less than the number of shareholders.
- (b) Except as may otherwise be provided herein or in the Articles of Incorporation, the members of the Board of Directors of the Corporation, who need not be shareholders, shall be elected by a majority of the votes cast at a meeting of shareholders, by the holders of shares entitled to vote in the election.
- (c) Each director shall hold office until the annual meeting of the shareholders next succeeding his election, and until his successor is elected and qualified, or until his prior death, resignation or removal.

Section 2 - Duties and Powers:

The Board of Directors shall be responsible for the control and management of the affairs, property and interests of the Corporation, and may exercise all powers of the Corporation, except as are in the Articles of Incorporation or by statute expressly conferred upon or reserved to the shareholders.

Section 3 - Annual and Regular Meetings: Notice:

- (a) A regular annual meeting of the Board of Directors shall be held immediately following the annual meeting of the shareholders at the place of such annual meeting of shareholders.
- (b) The Board of Directors, from time to time, may provide by resolution for the holding of other regular meetings of the Board of Directors, and may fix the time and place thereof.
- (c) Notice of any regular meeting of the Board of Directors shall not be required to be given and, if given, need not specify the purpose of the meeting; provided, however, that in case the Board of Directors shall fix or change the time or place of any regular meeting, notice of such action shall be given to each director who shall not have been present at the meeting at which such action was taken within the time limited, and in the

manner set forth in paragraph (b) of Section 4 of this Article III, with respect to special meetings, unless such notice shall be waived in the manner set forth in paragraph (c) of such Section 4.

Section 4 - Special Meetings; Notice:

- (a) Special meetings of the Board of Directors shall be held whenever called by the President or by one of the directors, at such time and place as may be specified in the respective notices or waivers of notice thereof.
- (b) Notice of special meetings shall be mailed directly to each director, addressed to him at his residence or usual place of business, at least two (2) days before the day on which the meeting is to be held, or shall be sent to him at such place by telegram, radio or cable, or shall be delivered to him personally or given to him orally, not later than the day before the day on which the meeting is to be held. A notice, or waiver of notice, except as required by Section 8 of this Article III, need not specify the purpose of the meeting.
- (c) Notice of any special meeting shall not be required to be given to any director who shall attend such meeting without protesting prior thereto or at its commencement, the lack of notice to him, or who submits a signed waiver of notice, whether before or after the meeting. Notice of any adjourned meeting shall not be required to be given.

Section 5 - Chairman:

At all meetings of the Board of Directors, the Chairman of the Board, if any and if present, shall preside. If there shall be no Chairman, or he shall be absent, then the President shall preside, and in his absence, a Chairman chosen by the Directors shall preside.

Section 6 - Quorum and Adjournments:

(a) At all meetings of the Board of Directors, the presence of a majority of the entire Board shall be necessary and sufficient to constitute a quorum for the transaction of business, except as otherwise provided by law, by the Articles of Incorporation, or by these By-Laws.

(b) A majority of the directors present at the time and place of any regular or special meeting, although less than a quorum, may adjourn the same from time to time without notice, until a quorum shall be present.

Section 7 - Manner of Acting:

- (a) At all meetings of the Board of Directors, each director present shall have one vote, irrespective of the number of shares of stock, if any, which he may hold.
- (b) Except as otherwise provided by statute, by the Articles of Incorporation, or these By-Laws, the action of a majority of the directors present at any meeting at which a quorum is present shall be the act of the Board of Directors. Any action authorized in writing, by all of the directors entitled to vote thereon and filed with the minutes of the Corporation shall be the act of the Board of Directors with the same force and effect as if the same had been passed by unanimous vote at a duly called meeting of the Board.

Section 8 - Vacancies:

Any vacancy in the Board of Directors occurring by reason of an increase in the number of directors, or by reason of the death, resignation, disqualification, removal (unless a vacancy created by the removal of a director by the shareholders shall be filled by the shareholders at the meeting at which the removal was effected) or inability to act of any director, or otherwise, shall be filled for the unexpired portion of the term by a majority vote of the remaining directors, though less than a quorum, at any regular meeting or special meeting of the Board of Directors called for that purpose.

Section 9 - Resignation:

Any director may resign at any time by giving written notice to the Board of Directors, the President or the Secretary of the Corporation. Unless otherwise specified in such written notice, such resignation shall take effect upon receipt thereof by the Board of Directors or such officer, and the acceptance of such resignation shall not be necessary to make it effective.

Section 10 - Removal:

Any director may be removed with or without cause at any time by the shareholders, at a special meeting of the shareholders called for that purpose, and may be removed for cause by action of the Board.

Section 11 - Salary:

No stated salary shall be paid to directors, as such, for their services, but by resolution of the Board of Directors a fixed sum and expenses of attendance, if any, may be allowed for attendance at each regular or special meeting of the Board; provided, however, that nothing herein contained shall be construed to preclude any director from serving the Corporation in any other capacity and receiving compensation therefor.

Section 12 - Contracts:

- (a) No contract or other transaction between this Corporation and any other Corporation shall be impaired, affected or invalidated nor shall any director be liable in any way by reason of the fact that any one or more of the directors of this Corporation is or are interested in, or is a director or officer, or are directors or officers of such other Corporation, provided that such facts are disclosed or made known to the Board of Directors.
- (b) Any director, personally and individually, may be a party to or may be interested in any contract or transaction of this Corporation, and no director shall be liable in any way by reason of such interest, provided that the fact of such interest be disclosed or made known to the Board of Directors, and provided that the Board of Directors shall authorize, approve or ratify such contract or transaction by the vote (not counting the vote of any such director) of a majority of a quorum, notwithstanding the presence of any such director at the meeting at which such action is taken. Such director or directors may be counted in determining the presence of a quorum at such meeting. This Section shall not be construed to impair or invalidate or in any way affect any contract or other transaction which would otherwise be valid under the law (common, statutory or otherwise) applicable thereto.

Section 13 - Committees:

The Board of Directors, by resolution adopted by a majority of the entire Board, may from time to time designate from among its members an executive committee and such other committees, and alternate members thereof, as they deem desirable, each consisting of three or more members, with such powers and authority (to the extent permitted by law) as may be provided in such resolution.

Each such committee shall serve at the pleasure of the Board.

ARTICLE IV - OFFICERS

<u>Section 1 - Number, Qualifications, Election</u> and <u>Term of Office:</u>

- (a) The officers of the Corporation shall consist of a President, a Secretary, a Treasurer, and such other officers, including a Chairman of the Board of Directors, and one or more Vice Presidents, as the Board of Directors may from time to time deem advisable. Any officer other than the Chairman of the Board of Directors may be, but is not required to be, a director of the Corporation. Any two or more offices may be held by the same person, except the offices of President and Secretary.
- (b) The officers of the Corporation shall be elected by the Board of Directors at the regular annual meeting of the Board following the annual meeting of shareholders.
- (c) Each officer shall hold office until the annual meeting of the Board of Directors next succeeding his election, and until his successor shall have been elected and qualified, or until his death, resignation or removal.

Section 2 - Resignation:

Any officer may resign at any time by giving written notice of such resignation to the Board of Directors, or to the President or the Secretary of the Corporation. Unless otherwise specified in such written notice, such resignation shall take effect upon receipt thereof by the Board of Directors or by such officer, and the acceptance of such resignation shall not be necessary to make it effective.

Section 3 - Removal:

Any officer may be removed, either with or without cause, and a successor elected by the Board at any time.

Section 4 - Vacancies:

A vacancy in any office by reason of death, resignation, inability to act, disqualification, or any other cause, may at any time be filled for the unexpired portion of the term by the Board of Directors.

Section 5 - Duties of Officers:

Officers of the Corporation shall, unless otherwise provided by the Board of Directors, each have such powers and duties as generally pertain to their respective offices as well as such powers and duties as may be set forth in these By-Laws, or may from time to time be specifically conferred or imposed by the Board of Directors. The President shall be the chief executive officer of the Corporation.

Section 6 - Sureties and Bonds:

In case the Board of Directors shall so require, any officer, employee or agent of the Corporation shall execute to the Corporation a bond in such sum, and with such surety or sureties as the Board of Directors may direct, conditioned upon the faithful performance of his duties to the Corporation, including responsibility for negligence and for the accounting for all property, funds or securities of the Corporation which may come into his hands.

Section 7 - Shares of Other Corporations:

Whenever the Corporation is the holder of shares of any other corporation, any right or power of the Corporation as such shareholder (including the attendance, acting and voting at shareholders' meetings and execution of waivers, consents, proxies or other instruments) may be exercised on behalf of the Corporation by the President, any Vice President, or such other person as the Board of Directors may authorize.

ARTICLE V - SHARES OF STOCK

Section 1 - Certificate of Stock:

(a) The certificates representing shares of the Corporation shall be in such form as shall be adopted by the Board of Directors, and shall be numbered and registered in the order issued. They shall bear the holder's name and the number of shares, and shall be signed by (i) the Chairman of the Board or the President or a Vice President, and (ii) the Secretary or any Assistant Secretary, and may bear the corporate seal.

- (b) No certificate representing shares shall be issued until the full amount of consideration therefor has been paid, except as otherwise permitted by law.
- (c) The Board of Directors may authorize the issuance of certificates for fractions of a share which shall entitle the holder to exercise voting rights, receive dividends and participate in liquidating distributions, in proportion to the fractional holdings; or it may authorize the payment in cash of the fair value of fractions of a share as of the time when those entitled to receive such fractions are determined; or it may authorize the issuance, subject to such conditions as may be permitted by law, of scrip in registered or bearer form over the signature of an officer or agent of the Corporation, exchangeable as therein provided for full shares, but such scrip shall not entitle the holder to any rights of a shareholder, except as therein provided.

Section 2 - Lost or Destroyed Certificates:

The holder of any certificate representing shares of the Corporation shall immediately notify the Corporation of any loss or destruction of the certificate representing the same. The Corporation may issue a new certificate in the place of any certificate theretofore issued by it, alleged to have been lost or destroyed. On production of such evidence of loss or destruction as the Board of Directors in its discretion may require, the Board of Directors may, in its discretion, require the owner of the lost or destroyed certificate, or his legal representatives, to give the Corporation a bond in such sum as the Board may direct, and with such surety or sureties as may be satisfactory to the Board, to indemnify the Corporation against any claims, loss, liability or damage it may suffer on account of the issuance of the new certificate. A new certificate may be issued without requiring any such evidence or bond when, in the judgment of the Board of Directors, it is proper so to do.

Section 3 - Transfers of Shares:

(a) Transfers of shares of the Corporation shall be made on the share records of the Corporation only by the holder of record thereof, in person or by his duly authorized attorney, upon surrender for cancellation of the certificate or certificates representing such shares, with an assignment or power of transfer endorsed thereon or delivered therewith, duly executed, with such proof of the authenticity of the signature and of authority to transfer and of payment of transfer taxes as the Corporation or its agents may require.

(b) The Corporation shall be entitled to treat the holder of record of any share or shares as the absolute owner thereof for all purposes and, accordingly, shall not be bound to recognize any legal, equitable or other claim to, or interest in, such share or shares on the part of any other person, whether or not it shall have express or other notice thereof, except as otherwise expressly provided by law.

Section 4 - Record Date:

In lieu of closing the share records of the Corporation, the Board of Directors may fix, in advance, a date not exceeding fifty days, nor less than ten days, as the record date for the determination of shareholders entitled to receive notice of, or to vote at, any meeting of shareholders, or to consent to any proposal without a meeting, or for the purpose of determining shareholders entitled to receive payment of any dividends, or allotment of any rights, or for the purpose of any other action. If no record date is fixed, the record date for the determination of shareholders entitled to notice of or to vote at a meeting of shareholders shall be at the close of business on the day next preceding the day on which notice is given, or, if no notice is given, the day on which the meeting is held; the record date for determining shareholders for any other purpose shall be at the close of business on the day on which the resolution of the directors relating thereto is adopted. When a determination of shareholders of record entitled to notice of or to vote at any meeting of shareholders has been made as provided for herein, such determination shall apply to any adjournment thereof, unless the directors fix a new record date for the adjourned meeting.

ARTICLE VI - DIVIDENDS

Subject to applicable law, dividends may be declared and paid out of any funds available therefor, as often, in such amounts, and at such time or times as the Board of Directors may determine.

ARTICLE VII - FISCAL YEAR

The fiscal year of the Corporation shall be fixed by the Board of Directors from time to time, subject to applicable law.

ARTICLE VIII - CORPORATE SEAL

The corporate seal, if any, shall be in such form as shall be approved from time to time by the Board of Directors.

ARTICLE IX - AMENDMENTS

Section 1 - By Shareholders:

All by-laws of the Corporation shall be subject to alteration or repeal, and new by-laws may be made, by a majority vote of the shareholders at the time entitled to vote in the election of directors.

Section 2 - By Directors:

The Board of Directors shall have power to make, adopt, alter, amend and repeal, from time to time, by-laws of the Corporation; provided, however, that the shareholders entitled to vote with respect thereto as in this Article IX above-provided may alter, amend or repeal by-laws made by the Board of Directors, except that the Board of Directors shall have no power to change the quorum for meetings of shareholders or of the Board of Directors, or to change any provisions of the by-laws with respect to the removal of directors or the filling of vacancies in the Board resulting from the removal by the shareholders. If any by-law regulating an impending election of directors is adopted, amended or repealed by the Board of Directors, there shall be set forth in the notice of the next meeting of shareholders for the election of directors, the by-law so adopted, amended or repealed, together with a concise statement of the changes made.

I hereby certify that the foregoing in a full and correct copy of the first bylaws of the Corporation, as adopted by the Board of Directors in accordance with the requirements of the Business Corporation Act.

Date: 8/31/2006



Exhibit A-17 Clearview Electric, Inc. 1 of 2

"Exhibit A-17 Secretary of State"

DATE 06/10/2010

DOCUMENT ID 201016000355

DESCRIPTION FOREIGN LICENSE/FOR-PROFIT (FLF)

125.00

100.00

PENALTY

CERT

COPY

Clearview Electric, Inc.

Receipt

This is not a bill. Please do not remit payment.

BUSINESS FILINGS INCORPORATED ATTN:JODY SMIEJA 8040 EXCELSIOR DR.STE 200 MADISON, WI 53717

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jennifer Brunner

1942197

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

CLEARVIEW ELECTRIC INC.

and, that said business records show the filing and recording of:

Document(s):

Document No(s):

FOREIGN LICENSE/FOR-PROFIT

201016000355

Authorization to transact business in Ohio is hereby given, until surrender, expiration or cancellation of this license.



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 8th day of June, A.D. 2010.

Ohio Secretary of State

Junifur On



Exhibit B-1 Clearview Electric, Inc. Page 1 of 1

"Exhibit B-1 Jurisdictions of Operation"

State	License Number
Connecticut	License# 07-08-17
Delaware	Order# 7860
Illinois	Order# 11-0479
Massachusetts	License # CS-089
Maryland	License# IR-2009
Maine	Docket # 2012-00376
New Hampshire	Docket# DM 15-514
New Jersey	License# ESL-0089
New York	Case# 98-M-1343
Ohio	License# 17-601G(1)
Pennsylvania	License# A-2010-2152506
Rhode Island	Docket # 2379 W2
Texas	License # 10129
Washington, D.C.	License # 15972



Exhibit B-2 Clearview Electric, Inc. 1 of 1

"Exhibit B-2 Experience and Plans"

Clearview Electric, Inc. ("Clearview") has thirteen years of experience serving retail, commercial, and industrial customers across multiple markets. Clearview currently serves more than 130,000 customers offering multiple products in electric choice markets, and a variable rate gas products in 13 states and the District of Columbia.

Clearview contracts with customers through a variety of marketing channels including: telemarketing, door-to-door, inbound sales and service, and Clearview's website. Currently Clearview contracts with multiple external telemarketing and door-to-door marketing firms.

Clearview owns a proprietary billing system allowing it to bill customers through all commonly utilized methods (e.g. consolidated rate ready, consolidated bill ready, and dual billing) in conjunction with the Local Distribution Companies (LDC) whose territories Clearview is registered to offer electric or gas supply. Clearview chooses to utilize consolidated rate ready billing with the Purchase of Receivables (POR) program offered by the LDC whenever possible.

Clearview has a customer service call center that can handle up to 100 call center representatives. Clearview has been expanding its staffing to accommodate the recent rapid growth it has achieved, and will add additional positions as needed throughout the year to accommodate further growth in the customer base.

Clearview's Regulatory Department is responsible for the timely response and resolution to all customer complaints that are received from a state agency (e.g. Public Utilities Commission, Attorney General's Office). In an effort to continually reduce complaints, Clearview has a quality Assurance Department responsible for ensuring that all of our external marketing firms adhere to Clearview policies and procedures.

STATE	UTILITYNAME	SERVICE	CUSTOMERS	CONSUMPTION
CT	CL&P	E	23,397	167,169,341
CT	United Illuminating	E	12,703	95,629,615
DC	PEPCODC	E	1,105	6,033,485
DE	DelmarvaDE	E	2,275	13,440,739
IL	Ameren	E	17,669	121,675,027
JŁ	ComEd	Ε	18,531	103,365,757
MA	NatGrid	E	7,973	41,776,384
MA	NSTAR	E	6,337	32,111,875
MA	UNITIL	E	1,153	6,209,637
MA	WMECO	Ę	2,226	13,587,921
MD	BGE	E	8,693	48,060,297
MD	BGE_Gas	G	4,272	1,174,355
MD	Delmarva	E	1,2 51	8,810,373
MD	PEPCOMD	E	2,477	1 4,383,313
MD	POTOMAC	E	989	6,341,615
MD	SMECO	Ε	1,385	11,405,768
ME	BHE	E	834	3,398,895
ME	CMP	E	4,011	15,608,143
NH	EversourceNH	Ε	4,922	21,095,384
NH	Liberty	Ε	422	2,280,916
NH	UnitilNH	E	625	2,910,057
NJ	ACE	E	2,423	15,094,205
NJ	JCP L	E	4,323	42,171,484
NJ	NJNG_Gas	G	274	203,748
NJ	ORNJ	E	249	3,656,130
NJ	PSEG	E	3,496	15,110,779
NJ	PSEG_GAS	G	1,450	516,728
NJ	SJG_Gas	G	527	213,663
NY	Central Hudson	Ε	400	2,301,694
NY	ConEd_Gas	G	1,831	345,312
NY	ConEdison - NY	E	8,441	29,697,353
NY	National Grid	E	1,294	8,996,123
NY	NYSEG	Ε	1,756	15,100,773
NY	ORNY	Ę	1,702	12,346,105
NY	ORNY_GAS	G	26	28,079
NY	RGE	E	295	1,838,945
ОН	ClevelandIlluminating	E	34	108,174
ОН	ColumbusPower	E	12	46,705
ОН	DPL	E	952	7,673,053
ОН	Duke	E	709	5,519,518
OН	OhioEdison	E	34	166,533
ОН	OhioPower	E	11	74,345
ОН	ToledoEdison	E	3	162,298
PA	Duquesne	E	3,104	24,561,460
PA	Meted	E	883	6,499,903
PA	PECO	E	8,006	53,810,184
PA	PECO_Gas	G	673	288,300
PA	PENELEC PENELEC	E	480	2,516,919
PA	PennPower	E	32	219,128
PA	PPL	E	4, 51 7	31,109,367
PA	UGI	E	4,317	89,393
PA PA	WestPennPower	Ē	462	
RI	NatGridRI	E		1,629,083
• * * * * * * * * * * * * * * * * * * *	Natonan	C	16,678	60,309,454

188,348 1,078,873,835



Exhibit B-4 Clearview Electric, Inc. Page 1 of 1

"Exhibit B-4 Disclosure of Liabilities and Investigations"

PA I&E Investigation (Case No. Bp8CaseID#2615657), received March 14, 2018. Clearview has received two Data Sets in this case. The first was received on March 14th and the second on May 8th. Clearview has fully responded to both data requests and is awaiting I&E's final determination.

Texas PUC Investigation (Docket No. 2017090001), received October 25, 2017. This investigation was resolved without a formal enforcement proceeding on January 2, 2018.

01/05/2017- DRM 17-002- The Public Utilities Commission of New Hampshire entered into a stipulation and settlement agreement approved by the Commission in Order No. 25,994 (February 28, 2017), under docket DE 17-002, which can be accessed at http://www.puc.nh.gov/Regulatory/Docket/2017/17-002.html.

MA DPU (Informal Request), received on October 31, 2016, MA DPU sent an informal request to have Clearview discontinue door-to-door marketing. On April 15, 2018, Clearview was granted the option to resume door-to-door sales.

PA I&E Investigation began a formal investigation Docket No. C-2016-2543592 received on April 23, 2014. The investigation was resolved on June 30, 2017.



Exhibit C-1 Clearview Electric, Inc. Page 1 of 1

"Exhibit C-1 Annual Reports"

Clearview Electric, Inc. is a privately held corporation that does not have annual reports.



Exhibit C-2 Clearview Electric Inc. Page 1 of 1

"Exhibit C-2 SEC Filings"

Clearview Electric, Inc. is not required to file with the SEC because Clearview is a privately held corporation.





Exhibit C-3 Clearview Electric Inc. Page 1 of 33

"Exhibit C-3 Financial Statements"

Documentation submitted in connection with this exhibit has been filed under seal pursuant to Ohio Admin Code 4901:1-27-08(A).

RECEIVED

MAY 06 2019

DOCKETING DIVISION

Public Utilities Commission of Ohio





Exhibit C-4 Clearview Electric Inc. Page 1 of 8

Documentation submitted in connection with this exhibit has been filed under seal pursuant to Ohio Admin Code 4901:1-27-08(A).

Clearview Electric, Inc. has a financial sleeving arrangement with British Petroleum (BP).

The arrangement between Clearview Electric Inc. and British Petroleum is basically a financial arrangement defined in a Guaranty Agreement. Clearview operates in areas with Purchase of Receivable Program (POR) specifically related to all PJM territories across the Central and Northeastern United States, NY-ISO and in the NE-ISO.

A copy of the Guaranty Agreement follows:





Exhibit C-5 Clearview Electric Inc. Page 1 of 2

Exhibit C-5 "Forecasted Financial Statements"

Documentation submitted in connection with this exhibit has been filed under seal pursuant to Ohio Admin Code 4901:1-27-08(A).



Exhibit C-6 Clearview Electric Inc. Page 1 of 1

Exhibit C-6 "Credit Rating"

Clearview Electric Inc. is a privately held corporation and does not have a credit rating reported from a national credit rating agency such as Dun and Bradstreet or Moody's Investor Services. Clearview internally finances its energy transactions through a credit sleeving arrangement with an organization British Petroleum.



Exhibit C-7 Clearview Electric Inc. Page 1-10

Exhibit C-7 "Credit Report"

Build: 508

1.800.700.2733

Exhibit C-7 Clearview Electric, Inc.

1

Selected Company: CLEARVIEW ELECTRIC INC.

D-U-N-S #: 78-512-9219

Overview

Zip:

Business Summary

Company Name: CLEARVIEW ELECTRIC INC.

D-U-N-S #: 78-512-9219

DBA's: N/A

Address: 901 Main St Ste 4700

TX 75202

City: Dallas

State: TX

Phone: 2148841725

Principal: FRANK MCGOVERN

Year Started: 2006

Employs: 51 which includes officer(s). UNDETERMINED employed here.

SIC Code(s): 49390000 Combination utilities, nec

NAICS Code(s): 221118 Other Electric Power Generation

Legal Structure: DOMESTIC CORPORATION

Special Events

10/15/2018 - A Rating change has occurred on this company.

10/18/2017 -

Business address has changed from 1201 Elm St Ste 3200, Dallas, TX, 75270 to 901 Main St Ste 4700, Dallas, TX, 75202.

History and Operations

Officers and Directors

Current Officers

Name Title
FRANK MCGOVERN CEO-PRES
JEREMY REED ASST DIR
PETER JUCHA V PRES

Current Directors

Name

FRANK MCGOVERN JEREMY REED PETER JUCHA

Company History

Provides utilities combined (electric, gas or other) (100%).

Terms are undetermined. Sells to undetermined.

Operations

Employees

Exhibit C-7 Clearview Electric, Inc.

2

Facilities

Rents premises in a building.

Location

There is currently no location information listed in this company report.

51 which includes officer(s). UNDETERMINED employed here.

There are currently no U.S. branches listed in this company report.

U.S. Subsidiary

D-U-N-S #: Name Location DALLAS, TEXAS 08-459-2236 SOLARVIEW, INC.

International Branch

There are currently no international branches listed in this company report.

International Subsidiary

There are currently no international subsidiaries listed in this company report.

Payments

Payment Summary

	Total Received	Total Dollar Amount	Largest High Credit Payment summary	Wdhin Ferms	43 :	Days 31-60	Slow 61-99	91+
Top Industries								
Nonclassified	1	\$20,000	\$20,000	100%	0	0	0	0
Short-trm busn credit	1	\$20,000	\$20,000	50%	0	0	0	50
Business consulting	1	\$7,500	 \$7,500	50%	50	0	0	0
Public finance	1	\$5,000	\$5,000	100%	0	0	0	0
, Management services	1	\$5,000	\$5,000	100%	0	o	0	o
Whol computers/softwr	1	\$2,500	\$2,500	0%	0	100	0	0
Data processing svcs	1	\$2,500	\$2,500	50%	0	50	0	0
Misc business credit	1	\$250 .	\$250	0%	50	0	0	50
Other Categories			•		,			
Cash experiences	8	\$1,000	\$500			-		
Unknown	0	\$0	\$0					
Unfavorable comments	0	. \$0	\$0					
Placed for collections with D&B:	0	. \$0	\$0					-
Other	0	N/A	\$0					
Total in D&B's file	16	\$63,750	\$20,000					

The highest Now Owes on file is \$15,000

The highest Past Due on file is \$100

There are 16 payment experience(s) in D&Bs file for the most recent 24 months, with 5 experience(s) reported during the last three month period.

Payment Details

Exhibit C-7 Clearview Electric, Inc.

3

Total (Last 24 Months): Paying Record High Credit New Owes Last sale will (Mo.) Past Dun Selling ferms Date ≠ 03/2019 Ppt \$20,000 \$15,000 SO 1 mo 03/2019 \$7,500 \$0 Pat-Slow 30 \$5,000 1 mo Ppt-Slow 60 \$2,500 \$1,000 03/2019 1 mo 03/2019 Slow 30-120 \$250 \$50 \$50 Lease Agreemnt 4-5 mos 03/2019 \$100 \$0 SO Cash account 2-3 mos (005)\$50 12/2018 (006)Cash account 1 mo 11/2018 (007)\$500 Cash account 6-12 mos \$100 11/2018 (008)Cash account Ppt-Slow 120 \$20,000 10/2018 \$0 S0 6-12 mos 09/2018 (010) \$100 Cash account 6-12 mos 07/2018 \$5,000 \$0 \$0 6-12 mos 07/2018 \$50 (012) -- Cash account 6-12 mos \$50 (013) 06/2018 -- Cash account 1 mo 03/2018 Slow 60 \$2,500 \$0 \$0 N30 6-12 mos 02/2018 \$5,000 12/2017 (016) \$50 -- Cash account 1 mo

Payments Detail Key: 30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Finances

Key Financial Comparisons

	2014(\$)		2015(\$)	2016(\$)
This Company's Operating Res	ults Year Over Yea	r		
Net Sales		NA	NA	78,938,936.00
Gross Profit		NA .	NA	28,598,753.00
Net Profit		NA	NA	1,433.510.00
Dividends / Withdrawals		NA	NA	NA
Working Capital		NA	NA .	-21,376.00
This Company's Assets Year O	ver Year			
Cash	•	NA	NA	2,641,397.00
Accounts Receivable		NA :	NA	5,792,249.00
Notes Receivable		NA	NA	ŊA
Inventories	:	NA ,	NA	NA
Other Current		NA ,	NA	6,188,495.00
Total Current		NA	NA	14,622,141.00
Fixed Assets		NA ·	NA	134.709.00
Other Non Current		NA .	NA	1,062,514.00
Total Assets		NA	NA	15,819,364.00

This Company's Liabilities Year Over Year						
Accounts Payable	NA	NA	8,150,944.0	Exhibit C-7		
Bank Loan	NA	NA	_N Clearview	Electric, Inc.		
Notes Payable	NA	NA	NA	4		
Other Current	NA	NA	6,492.573.00			
Total Current	NA :	NA	14.643,517.00			
Long Term Debt	NA	NA	51,813.00			
Defferred Credit	NA ·	NA	NA			
Net Worth	NA	NA	1,124,034.00			
Total Liabilities And Net Worth	NA	NA ,	15,819,364.00			

Balance Sheet

Fiscal Consolidated Statement Dated Fiscal statement dated DEC 31 2016:

Assets(S)		' (abilities(S)	
Cash	2641397	Accts Pay	8150944
Accts Rec	5792249	Customer Deposits	326044
Restricted Cash	465665	Accruals	4093528
Restricted Investmen	55354	Unrealized Loss On P	2073001
Unbilled Revenues	5607508		
Prepaid Exps & Other	59968		
Current Assets	14,622,141.00	Current Liabs	14,643,517.00
Fixt & Equip	134709	Deferred Rent	51813
Deposits	1062514	COMMON STOCK	10
		ADDIT, PDIN CAP	503784
		RETAINED EARNINGS	620240
Total Assets	15,819,364.00	Total Liabilities	15,819,364.00

From JAN 01 2016 to DEC 31 2016 annual sales \$78,938,936; cost of goods sold \$50,340,183. Gross profit \$28,598,753; operating expenses \$23,695,523. Operating income \$4,903,230; other income \$742; other expenses \$3,215,143; net income before taxes \$1,688,829; Federal income tax \$255,319; net income \$1,433,510.

Prepared from statement(s) by Accountant: Saville, Dodgen & Company, PLLC, Dallas, Texas.

"A review of the accountant's opinion as submitted by the subject company indicates the financial statement meets generally accepted accounting principles and the audit contains no qualifications.".

Key Business Ratios

FISCAL Dec.31,2016 (Industry Median is based on this number of firms: 13)

	This Company	Industry Median	Indestry Quartile
Solvency			
Quick Ratio	0.6	1.0	3
Current Ratio	1.0	2.2	3
Current Liabilities to Net Worth	999.9	14.3	1
Current Liabilities to Inventory	NA	622.0	NA
Total Current	999.9	115.3	1
Fixed Assets to Net Worth	12.0	124.7	4

Efficiency

Collection Period	26.8	35.4	4	
Inventory Turn Over	NA	17.0	NA	
Sales to NWC	NA	3.2	NA	
Acct Pay to Sales	10.3	8.8	1	
Profitability				
Return on Sales	1.8	11.6	3	
Return on Assets	9.1	3.3	1	
Return on NetWorth	127.5	7.7	1	

Exhibit C-7 Clearview Electric, Inc.

Public Filings

Summary

This following public filing data includes both open and closed filings found in D&B's database on this company. It is for informational purposes only and is not the official record. Certified copies can be obtained from the official source.

Record Type	# of Records	Most Recent Filing Date
Liens	2	01/17/0019
UCC Filing	15	02/25/0019
Government History	1	N/A

Details

Judgements

No judgments have been reported to D&B on this company

1.:ens

A lien holder can file the same lien in more than one filing. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurance.

 Amount:
 6087

 Status:
 Open

 Case Number:
 2018-13290

 Type State:
 State Tax

Filed By: PENNSYLVANIA BUREAU OF COMPLIANCE

Against: CLEARVIEW ELECTRIC INC

Where Filed: CUMBERLAND COUNTY PROTHONOTARY, CARLISLE, PA

CARLISCE, PA

 Date Status Attained:
 Apr 25, 2019

 Date Filed:
 12/18/18

 Latest Info Received:
 01/17/19

A lien holder can file the same lien in more than one filing. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurance.

 Amount:
 4246

 Status:
 Open

 Case Number:
 18JG023178

 Type State:
 State Tax

 Filed By:
 STATE OF OHIO

Against: CLEARVIEW ELECTRIC INC.

Where Filed: FRANKLIN COUNTY COMMON PLEAS COURT.

COLUMBUS, OH

 Date Status Attained:
 Apr 25, 2019

 Date Filed:
 05/25/18

 Latest Info Received:
 09/26/18

Exhibit C-7 Clearview Electric, Inc.

Suits

No suits have been reported to D&B on this company.

UCC Filings

Collateral:

Negotiable instruments including proceeds and products - Assets including proceeds and products - Account(s) including proceeds and products - Computer equipment including proceeds and products - and OTHERS

Type:

Original

Secured Party:

LUMINANT ENERGY COMPANY LLC, DALLAS, TX

Debtor:

CLEARVIEW ELECTRIC, INC.

Filing Number:

120037642106

Filed With:

Latest Info Received:

12/04/12 12/11/12

Original Filing Number: Original UCC Filed Date:

Collateral:

Type:

Amendment

Secured Party:

LUMINANT ENERGY COMPANY LLC, DALLAS, TX

Debtor:

CLEARVIEW ELECTRIC, INC.

Filing Number:

1300271271

Filed With:

 Date Filed:
 08/23/13

 Latest Info Received:
 11/07/13

 Original Filing Number:
 120037642106

 Original UCC Filed Date:
 12/04/12

Collaterai:

Negotiable instruments and proceeds - Inventory and proceeds - Assets and proceeds - Account(s) and

proceeds - and OTHERS

Type: Original

Secured Party: BP ENERGY COMPANY, HOUSTON, TX

Debtor: CLEARVIEW ELECTRIC INC.

Filing Number: 170007007820

Filed With:

 Date Filed:
 03/01/17

 Latest Info Received:
 03/07/17

Original Filing Number:
Original UCC Filed Date:

Collateral:

Negotiable instruments and proceeds - Accounts receivable and proceeds - Account(s) and proceeds - Oil, gas and minerals and proceeds - and OTHERS

Type: Original

Secured Party: KEYSPAN GAS EAST CORPORATION D/8/A

NATIONAL GRID, HICKSVILLE, NY

Debtor: CLEARVIEW ELECTRIC, INC.

Filing Number: 130002572909

Filed With:

 Date Filed:
 01/23/13

 Latest Info Received:
 04/02/13

Original Filing Number:
Original UCC Filed Date:

Collateral:

Type: Continuation

Company Update - Dun & Bradstreet

Exhibit C-7

Clearview Electric, Inc.

KEYSPAN GAS EAST CORPORATION D/8/A Secured Party:

NATIONAL GRID, HICKSVILLE, NY

CLEARVIEW ELECTRIC, INC. Debtor:

Filing Number: 1700427758

Filed With:

Date Filed: 12/21/17 12/26/17 Latest Info Receivers: Original Filing Number: 130002572909 Original UCC Filed Date: 01/23/13

Collateral: Negotiable instruments and proceeds - Accounts

receivable and proceeds - Account(s) and proceeds Oil, gas and minerals and proceeds - and OTHERS

Type:

Secured Party: THE BROOKLYN UNION GAS COMPANY D/B/A

NATIONAL GRID NY, BROOKLYN, NY

CLEARVIEW ELECTRIC, INC. Debtor:

130002572888 Filing Number:

Filed With:

Date Filed: 01/23/13 Latest Info Received: 04/02/13

Original Filing Number: Original UCC Filed Date:

Collaterai:

Type: Continuation

THE BROOKLYN UNION GAS COMPANY D/B/A Secured Party:

NATIONAL GRID NY, BROOKLYN, NY

Debtor: CLEARVIEW ELECTRIC, INC.

Filing Number: 1700426834

Filed With:

Date Filed: 12/20/17 Latest Info Received: 12/22/17 Original Filing Number: 130002572888 Original UCC Filed Date: 01/23/13

Collateral: Accounts receivable including proceeds and products

Type: Original

NIAGARA MOHAWK POWER CORPORATION D/B/A Secured Party:

NATIONAL GRID, SYRACUSE, NY

CLEARVIEW ELECTRIC INC. Debtor:

Filing Number: 150032407476

Filed With:

Date Filed: 10/07/15 Latest Info Received: 10/13/15

Original Filing Number: Original UCC Filed Date:

Collateral: Accounts receivable including proceeds and products -

Account(s) including proceeds and products - General

intangibles(s) including proceeds and products

Type:

MASSACHUSETTS ELECTRIC COMPANY D/B/A Secured Party:

NATIONAL GRID, WALTHAM, MA

CLEARVIEW ELECTRIC, INC. Debtor:

140023381456 Filing Number:

Filed With:

Date Filed: 07/22/14 Latest info Received: 07/29/14

Original Filing Number: Original UCC Filed Date:

Collateral: Inventory including proceeds and products - Account(s)

including proceeds and products - Assets including proceeds and products - Contract rights including

proceeds and products - and OTHERS

Company Update - Dun & Bradstreet

Type: Origin

Secured Party: SOUTH JERSEY ENERGY COMPANY, HAMMONTON,

ΝJ

CLEARVIEW ELECTRIC, INC.

Exhibit C-7

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Clearview Electric, Inc.

Filing Number: 130014962612

Filed With:

Debtor:

 Date Filed:
 05/10/13

 Latest Info Received:
 05/21/13

Original Filing Number:
Original UCC Filed Date:

Collateral: Inventory including proceeds and products - Account(s)

including proceeds and products - Assets including proceeds and products - Contract rights including proceeds and products - and OTHERS

Type: Amendment

Secured Party: SOUTH JERSEY ENERGY COMPANY, HAMMONTON,

ŊJ

Debtor: CLEARVIEW ELECTRIC, INC.

Filing Number: 1300188840

Filed With:

 Date Filed:
 06/13/13

 Latest Info Received:
 06/20/13

 Original Filing Number:
 130014962612

 Original UCC Filed Date:
 05/10/13

Collateral: Accounts receivable including proceeds and products

Type: Original

Secured Party: NIAGARA MOHAWK POWER CORPORATION D/B/A

NATIONAL GRID, SYRACUSE, NY

Debtor: CLEARVIEW ELECTRIC, INC.

Filing Number: 130002572767

Filed With:

 Date Filed:
 01/23/13

 Latest Info Received:
 04/02/13

Original Filing Number:
Original UCC Filed Date:

Collateral: Account(s) and proceeds

Type: Original

Secured Party: CENTRAL HUDSON GAS & ELECTRIC

CORPORATION, POUGHKEEPSIE, NY

Debtor: CLEARVIEW ELECTRIC INC.

Filing Number: 140005735691

Filed With:

 Date Filed:
 02/24/14

 Latest Info Received:
 02/25/14

Original Filing Number:

Original UCC Filed Date:

Collateral:

Type: Continuation

Secured Party: CENTRAL HUDSON GAS & ELECTRIC

CORPORATION, POUGHKEEPSIE, NY

Debtor: CLEARVIEW ELECTRIC INC.

Filing Number: 1900065170

Filed With:

 Date Filed:
 02/22/19

 Latest Info Received:
 02/25/19

 Original Filing Number:
 140005735691

 Original UCC Filed Date:
 02/24/14

Collateral: Account(s) and proceeds

Type: Original

Secured Party:

Exhibit C-7

9

Clearview Electric, Inc.

AMEREN SERVICES COMPANY, AS DESIGNATED

AGENT, SAINT LOUIS, MO

ebtor: CLEARVIEW ELECTRIC, INC.

Filing Number: 130002765559

Filed With:

 Date Filed:
 01/25/13

 Latest Info Received:
 01/29/13

Original Filing Number:
Original UCC Filed Date:

Bankruntdes

No bankruptcies have been reported to D&B on this company.

Government History

Borrower(Dir/Guar): NO
Administrative Debt: NO
Contractor: NO
Grantee: NO
Party excluded NO
from federal program(s):

Labor Surplus Area: N/A
Small Business: YES (2019)
8(A) Firm: N/A

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Exhibit C-8 Clearview Electric Inc. Page 1-1

Exhibit C-8 "Bankruptcy Information"

Neither Clearview Electric Inc. nor any of its officers have declared any form of bankruptcy in the current year or within the two most recent years preceding this application.



Exhibit C-9 Clearview Electric Inc. Page 1-1

Exhibit C-9 "Merger Information"

Clearview Electric Inc. has not had any dissolution or merger or acquisition within the five most recent years preceding this application.



Exhibit C-10 Clearview Electric Inc. Page 1 of 2

Exhibit C-10 "Corporate Structure"

Clearview Electric, Inc. d/b/a Clearview Energy ("Clearview") is a privately held corporation. Clearview has no parent company and no subsidiaries.

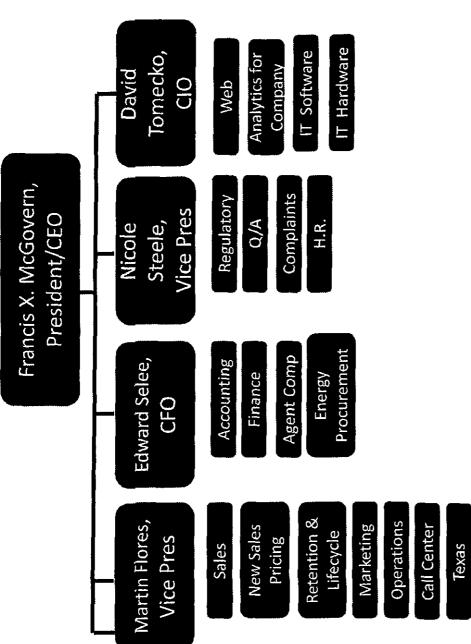




Exhibit D-1 Clearview Electric Inc. Page 1 of 1

Exhibit D-1 "Operations"

Clearview Electric Inc. is engaged in the competitive retail sale of electric power to end user customers, utilizing the jurisdictional transmission and distribution facilities of electric distribution utilities. Clearview is currently licensed to provide retail electricity service in 13 states and the District of Columbia. Clearview also is currently licensed to provide retail natural gas services in 6 states.

Clearview owns no generating units or transmission facilities. Clearview contracts with outside vendors for the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

Clearview Electric, Inc. has 13 years' experience servicing residential, commercial, and industrial customers across a variety of markets. Clearview Electric, Inc. contracts with customers in a variety of ways and has proven to have reliable and affordable retail electricity.



Exhibit D-2 Clearview Electric Inc. Page 1 of 1

Exhibit D-2 "Operations Expertise"

Clearview Electric, Inc. ("Clearview") has over 10 years of experience serving retail, commercial, and industrial customers across multiple markets. Clearview currently serves more than 130,000 customers offering multiple products in electric choice markets, and a variable rate gas product in Maryland, Pennsylvania, New York, and New Jersey.

. In the more than ten years that Clearview has been in operation it has never failed to acquire and deliver the appropriate amount of commodities to its customers. No registration with a Local Distribution Company (LDC) or a state Commission has ever been questioned due to a failure to perform its contracted service.

Clearview has utilized the services of EC Infosystems for all Electronic Data Interchange (EDI) transactions between Clearview and the LDC since its inception in 2006. This relationship ensures that all communications of customer sensitive information maintain the highest level of security while being transferred between trading partners. Clearview's information technology staff has successfully integrated the communications requirements between Clearview and individual LDCs into Clearview's proprietary customer billing system to date.



Exhibit D-3 Clearview Electric, Inc. Page 1 of 1

"Key Technical Personnel"

Francis McGovern, CEO

Fmcgovern@ClearviewEnergy.com

(972)546-990 ext.601

Frank McGovern is Founder and President of Dallas-based, Clearview Energy. Clearview Energy is a leading independent electric retailer in Texas, which is the largest competitive electric market in the United States. Frank founded Clearview Energy in 2006. His vision is to establish Clearview Energy as the leading U.S. retailer of choice for renewable and reliable electricity is supported by its current standings, with customers in 13 states and over 400 dedicated employees and contractors. He is a graduate of the University of Massachusetts at Amherst, earning a Bachelor's degree in Engineering. He holds a Master of Science in Mechanical Engineering and a Master of Business Administration from the University of Texas at Austin.

Nicole Steele, Vice President

Nsteele@ClearviewEnergy.com

(972)546-990 ext.626

Nicole Steele is the Vice President of Human Resources and Regulatory Affairs for Clearview Energy. Her core responsibilities include the day-to-day operations for quality assurance, regulatory affairs and compliance, development and administration of the company's employee pay and benefits program, and the employee-related policies to support its growth. Nicole was originally recruited by Clearview Energy in 2006, supporting

the company in its effort to recruit and build the talent base and human resource practices to fit the high-performance, fast-paced culture.