

April 1, 2019

Public Utilities Commission of Ohio  
Docketing Division  
180 East Broad Street  
Columbus, Ohio 43215-3793

Re: Renewal Application for Electric Power Brokers

To Whom It May Concern,

Please find enclosed the Renewal Application for Electric Power Brokers. After speaking with the PUCO, the following financial sections will be submitted via mail and be considered under seal: Exhibit C-3 "Financial Statements," Exhibit C-5 "Forecasted Financial Statements," and Exhibit C-7 "Credit Reports."

Should there be any questions and/or additional information needs, please contact: John Ney, Business Development Director, Regor Energy Corp, at (813) 210-7184 or through email at [john.ney@regorenergycorp.com](mailto:john.ney@regorenergycorp.com).

Sincerely,

John Ney  
Business Development Director  
Regor Energy Corporation  
9604 Cliffview Street NW  
Clinton, OH 44216  
(813) 210-7184 Mobile  
(330) 408-7368 Office  
[www.regorenergycorp.com](http://www.regorenergycorp.com)

Enclosures

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**Submitted to:**

**The Public Utilities Commission of Ohio**

**RENEWAL APPLICATION  
FOR ELECTRIC POWER BROKERS**

**Regor Energy Corporation**

Regor Energy Corporation  
9604 Cliffview St., NW  
Clinton, Ohio 44216



Original AGG Case Number	Version
- -EL-AGG	May 2016

# **RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS**

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

## **A. RENEWAL INFORMATION**

**A-1 Applicant intends to be certified as: (check all that apply)**

☒ Power Broker ☐ Aggregator

**A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address**

Legal Name John Ney  
Address 9604 Cliffview Street NW, Clinton, Ohio 44216  
PUCO Certificate # and Date Certified 17-1212E (1), 4/9/2017  
Telephone # (813) 210-7184 Web site address (if any) www.regorenergycorp.com

**A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio**

Legal Name Regor Energy Corporation  
Address 9604 Cliffview Street NW, Clinton, Ohio 44216  
Telephone # (813) 210-7184 Web site address (if any) www.regorenergycorp.com

**A-4 List all names under which the applicant does business in North America**

Regor Energy Corporation  
\_\_\_\_\_  
\_\_\_\_\_

**A-5 Contact person for regulatory or emergency matters**

Name John Ney  
Title Business Development Director  
Business address 9604 Cliffview Street NW, Clinton, Ohio 44216  
Telephone # (813) 210-7184 Fax # \_\_\_\_\_  
E-mail address john.ney@regorenergycorp.com

**A-6 Contact person for Commission Staff use in investigating customer complaints**

Name John Ney  
Title Business Development Director  
Business address 9604 Cliffview Street NW, Clinton, Ohio 44216  
Telephone # (813) 210-7184 Fax # \_\_\_\_\_  
E-mail address john.ney@regorenergycorp.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 9604 Cliffview Street NW, Clinton, Ohio 44216  
Toll-free Telephone # \_\_\_\_\_ Fax # \_\_\_\_\_  
E-mail address john.ney@regorenergycorp.com

**A-8 Applicant's federal employer identification number # 201509001552**

**A-9 Applicant's form of ownership (check one)**

- |  |  |
|--|--|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                     |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation              | <input type="checkbox"/> Other _____                     |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-10 Exhibit A-10 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.  
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.  
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.



**C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C - 10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

*John Nye, Business Development Director*  
 Signature of Applicant & Title

Sworn and subscribed before me this 1 day of April, 2019  
 Month Year

*Laura M. Miller*  
 Signature of official administering oath

*Laura M. Miller, Notary*  
 Print Name and Title

My commission expires on \_\_\_\_\_



LAURA M MILLER  
 Notary Public State of Ohio  
 My Comm. Expires Dec. 21, 2020

## **AFFIDAVIT**

State of Ohio :

Clinton ss.  
(Town)

County of Stark :

John Ney, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Business Development Office of Affiant) of Regor Energy Corp (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)



11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

John Nye, Business Development Director  
Signature of Affiant & Title

Sworn and subscribed before me this 1 day of April, 2019  
Month Year

Laura M. Miller  
Signature of official administering oath

Laura M. Miller, Notary  
Print Name and Title

My commission expires on \_\_\_\_\_



LAURA M MILLER  
Notary Public State of Ohio  
My Comm. Expires Dec. 21, 2020

**Exhibit A-10:**  
**“Principal Officers, Directors, & Partners”**

Regor Energy Corp has the following officials in its company:

Valerie Lepore-Ney  
Principal Officer  
9604 Cliffview Street NW  
Clinton, OH 44216  
330.408.7368 Office

John Ney  
Business Development Director  
9604 Cliffview Street NW  
Clinton, OH 44216  
330.408.7368 Office

**Exhibit B-1:**  
**“Jurisdictions of Operation”**

Regor Energy Corporation is a certified, licensed, and registered broker to provide retail electric services, including aggregation services in the State of Ohio.



## **Exhibit B-2: “Experience & Plans”**

John Ney, the Business Development Director for Regor Energy Corporation, has eight years of experience working as an energy consultant in some of the largest energy brokerages in the country, including World Energy and EnerNoc. Ney's area of business interest was working with customers to contract with retail electric suppliers. Types of customers served included commercial, industrial, and residential.

Additionally, Ney worked with municipalities to become electric aggregators and to offer reduced electric rates to their residents and small businesses. Services provided to municipalities included preparing ballot initiatives, certification, and retail contracting with electric suppliers. Moreover, Ney has experience offering alternative energy sources to customers, such as solar energy to commercial and municipal customers.

Regor Energy Corporation is focusing on similar areas of business, including assisting customers in contracting with retail electric suppliers, assisting municipalities with aggregation programs, and exploring alternative energy sources to provide energy conserving technologies to its customers. Presently, Regor Energy Corporation has no plans in providing billings statements.

**Exhibit B-3:**  
**“Disclosure of Liabilities and Investigations”**

Regor Energy Corporation has no existing, pending, or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matters that could adversely impact the company's financial or operating status or ability to provide the services it is seeking to become certified to provide.

# **Exhibit C-1: “Annual Reports”**



Regor Energy Corporation is not a publicly traded company that publishes annual reports. The company is privately held and, therefore, the publication of annual reports is not applicable.

## **Exhibit C-2: “SEC Filings”**

Regor Energy Corporation is a privately held company and is not required to file with the SEC. Therefore, this section is not applicable.

## **Exhibit C-3: “Financial Statements”**

Regor Energy Corp will file its financial information under the sealed process.



**Exhibit C-4:**  
**“Financial Arrangements”**

This section is not applicable.

## **Exhibit C-5:**

# **“Forecasted Financial Statements”**

Regor Energy Corporation will file its forecasted financial statements under the sealed process.

## **Exhibit C-6: “Credit Ratings”**



Regor Energy Corporation does not have a credit rating.

## **Exhibit C-7: “Credit Report”**

Regor Energy Corporation will file a credit report under the sealed process.

**Exhibit C-8:**  
**“Bankruptcy Information”**

Regor Energy Corporation has not been involved in any re-organizations, protections from creditors, or any other form of bankruptcy.

# **Exhibit C-9:**

## **“Merger Information”**

Regor Energy Corporation has not been involved in any dissolutions or merger or acquisitions.

# **Exhibit C-10: “Corporate Structure”**



Regor Energy Corporation is a stand-alone entity with no affiliate or subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. Therefore, this section is not applicable.

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**4/3/2019 9:50:50 AM**

**in**

**Case No(s). 17-0705-EL-AGG**

Summary: Application Renewal Application for Electric Brokering electronically filed by Mr. John W Ney on behalf of Ney, John Mr.