April 2<sup>nd</sup>, 2019

Public Utilities Commission of Ohio Docketing Division 180 East Broad Street Columbus, Ohio 43215-3793

Re: Renewal Application for Natural Gas Power Brokers

To Whom It May Concern,

Please find enclosed the Renewal Application for Natural Gas Brokers. The following financial sections will be submitted via mail and be considered under seal: Exhibit C-3 "Financial Statements," Exhibit C-5 "Forecasted Financial Statements," and Exhibit C-7 "Credit Reports."

Should there be any questions and/or additional information needs, please contact: John Ney, Business Development Director, Regor Energy Corp, at (813) 210-7184 or through email at john.ney@regorenergycorp.com.

Sincerely,

John Ney Business Development Director Regor Energy Corporation 9604 Cliffview Street NW Clinton, OH 44216 (813) 210-7184 Mobile (330) 408-7368 Office www.regorenergycorp.com

Enclosures

Submitted to:

The Public Utilities Commission of Ohio

#### RENEWAL APPLICATION FOR COMPETITIVE RETAIL NATURAL GAS BROKERS

**Regor Energy Corporation** 

Regor Energy Corporation 9604 Cliffview St., NW Clinton, Ohio 44216



Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		GA-AG

#### RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

DILCO I

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)
Retail Natural Gas Aggregator Retail Natural Gas Broker

#### A-2 Applicant information:

Legal Name Address	9604 Cliffriow Street NW Clipton Ohio 44040				
Telephone No.	813-210-7184		Web site A	Address	www.regorenergycorp.com
Current PUCO C	Certificate No.	17-595G (1)	Effective Dates	April 10	0th, 2017

#### A-3 Applicant information under which applicant will do business in Ohio:

Name	Regor Energy Corporation
Address	9604 Cliffview Street NW, Clinton, Ohio 44216

Web site Address www.regorenergycorp.com Telephone No. 813-210-7184

A-4 List all names under which the applicant does business in North America: Regor Energy Corporation

#### A-5 Contact person for regulatory or emergency matters:

Name John Ney		Title	Business Developement Director
Business Address	9604 Cliffview Street NW, Clinton, Ohio 44216	6	
Felephone No. 813	3-210-7184 Fax No.		Email Address john.ney@regorenergycorp.com

(CRNGS Broker/Aggregator Renewal-Revised May 2016) Page 1 of 7

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A-6	Contact person for Commission Staff use in investigating customer complaints:
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	Name John Ney	Title Business Development Director
	Business address 9604 Cliffview Street NW, Clinton, Ohio 44	216
	Telephone No. 813-210-7184 Fax No.	Email Address john.ney@regorenergycorp.com
A-7	Applicant's address and toll-free number for cust	omer service and complaints
	Customer service address 9604 Cliffview Street NW, Clinton,	Ohio 44216
	Toll-Free Telephone No. 813-210-7184 Fax No.	Email Address john.ney@regorenergycorp.c
A-8	Provide "Proof of an Ohio Office and Employee," Revised Code, by listing name, Ohio office address designated Ohio Employee	in accordance with Section 4929.22 of the Ohio s, telephone number, and Web site address of the
	Name John Ney	Title Business Development Director
	Business address 9604 Cliffview Street NW, Clinton Ohio 4421	6
	Telephone No. 813-210-7184 Fax No.	Email Address john.ney@regorenergycorp.com
A-9	Applicant's federal employer identification numbe	r 201509001552
A-10	Applicant's form of ownership: (Check one)	
	Sole Proprietorship	Partnership
	Limited Liability Partnership (LLP)	Limited Liability Company (LLC)
	Corporation	] Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside location within this state that has filed the necessary declaration with the Public Utilities Commission.)

(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016) Page 2 of 7

Columbia Gas of Ohio	Residential Small Commercial Large Commercial / Industrial
	Residential Small Commercial Large Commercial / Industrial
<b>Duke Energy Ohio</b>	Residential Small Commercial Large Commercial / Industrial
Vectren Energy Delivery of Ohio	Residential Small Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

Columbia Gas of	Ohio
-----------------	------

	Residential	Beginning Date of Service	May 1, 2017	End Date	
~	Small Commercial	Beginning Date of Service	May 1, 2017	End Date	
~	Large Commercial	Beginning Date of Service	May 1, 2017	End Date	
~	Industrial	Beginning Date of Service	May 1, 2017	End Date	
Domir	nion East Ohio				
2	Residential	Beginning Date of Service	May 1, 2017	End Date	
~	Small Commercial	Beginning Date of Service	May 1, 2017	End Date	
	Large Commercial	Beginning Date of Service	May 1, 2017	End Date	
V	Industrial	Beginning Date of Service	May 1, 2017	End Date	
Duke I	Energy Ohio Residential	Beginning Date of Service		End Date	
Π	Small Commercial	Beginning Date of Service		End Date	
	Large Commercial	Beginning Date of Service		End Date	
	Industrial	Beginning Date of Service		End Date	
Vectre	en Energy Delivery o	of Ohio			
	Residential	Beginning Date of Service		End Date	
1					

Residential	Beginning Date of Service	End Date
Small Commercial	Beginning Date of Service	End Date
Large Commercial	Beginning Date of Service	End Date
Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016) Page 3 of 7

Columbia Gas of Ohio	Intended Start Date	
Dominion East Ohio	Intended Start Date	
Duke Energy Ohio	Intended Start Date	
Vectren Energy Delivery of Ohio	Intended Start Date	

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 <u>Exhibit A-14 "Principal Officers, Directors & Partners,</u>" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History," provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws," provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, only if the contents of the originally filed documents changed since the initial application.
- A-17 Exhibit A-17 "Secretary of State," provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

#### SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

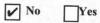
PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- **B-1** <u>Exhibit B-1 "Jurisdictions of Operation</u>," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- **B-2** <u>Exhibit B-2 "Experience & Plans</u>," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- **B-3** <u>Exhibit B-3 "Summary of Experience,</u>" provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- **B-4** <u>Exhibit B-4 "Disclosure of Liabilities and Investigations</u>," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016) Page 4 of 7

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

B-5 <u>Exhibit B-5 "Disclosure of Consumer Protection Violations</u>," disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.



If Yes, provide a separate attachment labeled as <u>Exhibit B-5</u> "Disclosure of Consumer Protection <u>Violations</u>," detailing such violation(s) and providing all relevant documents.

**B-6** Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

~	No	Yes

If Yes, provide a separate attachment, labeled as <u>Exhibit B-6</u> "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 <u>Exhibit C-1 "Annual Reports,</u>" provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 <u>Exhibit C-2 "SEC Filings,</u>" provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016) Page 5 of 7

C-4 <u>Exhibit C-4 "Financial Arrangements</u>," provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.

2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).

3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.

Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A "in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's NATURAL GAS related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 <u>Exhibit C-7 "Credit Report.</u>" provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016) Page 5 of 7

- C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

#### SECTION D - APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- **D-1** <u>Exhibit D-1 "Operations</u>," provide a current written description of the operational nature of the applicant's business functions.
- **D-2** <u>Exhibit D-2 "Operations Expertise</u>," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 <u>Exhibit D-3 "Key Technical Personnel</u>," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

day of april Month 2019 Year Applicant Signature and Title Sworn and subscribed before me this. 1

ana M. Mil

Signature of official administering oath

Print Name and Title

My commission expires on



Lacira M. Miller, Notary

AURA M MILLER Notary Public State of Ohio My Comm. Expires Dec. 21, 2020

(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016) Page 6 of 7

11BU	Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)
for	the Matter of the Application of ) Pedor Enorgy Corporation ) a Certificate or Renewal Certificate to Provide ) pompetitive Retail Natural Gas Service in Ohio. ) Case No. 17 -0706 -GA-AGG
	unty of Stark te of Ohio
	John Ney [Affiant], being duly sworn/affirmed, hereby states that:
(1)	
(2)	The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet o natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
(3)	The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohi Revised Code.
(4)	Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohi pursuant to Title 49, Ohio Revised Code.
(5)	Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of an consumer complaint regarding any service offered or provided by the applicant.
(6)	Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7)	Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating custome complaints.
8)	Affiant further sayeth naught.
	Affiant Signature & Title Jah My Busines Development Derector
	Sworn and subscribed before me this 1 day of april Month 2019 Year
	Laura M miller Laura M. Miller, Notary
	Signature of Official Administering Oath Print Name and Title

#### Exhibit A-14: "Principal Officers, Directors, & Partners"

Regor Energy Corp has the following officials in its company:

Valerie Lepore-Ney Principal Officer 9604 Cliffview Street NW Clinton, OH 44216 330.408.7368 Office

John Ney Business Development Director 9604 Cliffview Street NW Clinton, OH 44216 330.408.7368 Office

### Exhibit A-15: "Company History"

Regor Energy Corporation has the principal business interest in representing its customers in the purchase of retail natural gas.

Additionally, the company engages with municipalities in creating natural gas aggregation programs. Tasks include ballot initiatives, certification, and choice in the selection of a retail electric supplier. Moreover, Regor Energy assists municipalities in certification renewal and reselection of a retail natural gas supplier.

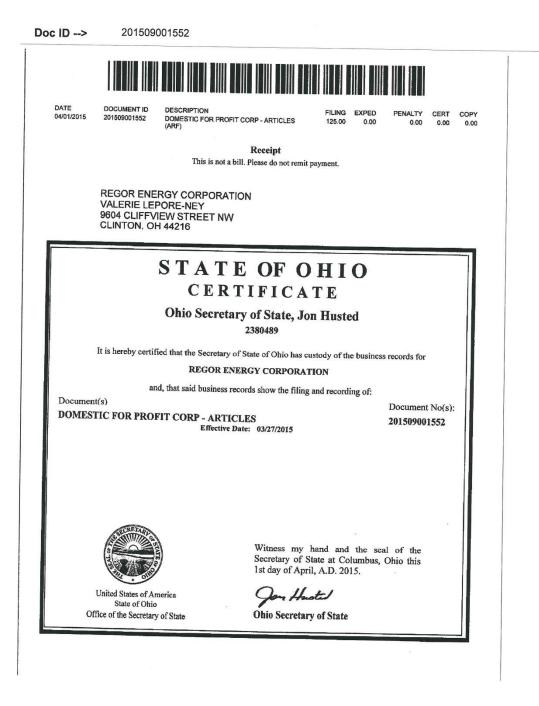
Others services offered include alternative energy services, such as solar power, LED lighting, and other technologies that may reduce a municipalities or company's energy load.

#### Exhibit A-16:

# "Articles of Incorporation and Bylaws"

The contents of the originally filed documents have not changed since the initial application.

#### Exhibit A-17: "Secretary of State"



Page 1

### **Exhibit B-1: "Jurisdictions of Operation"**

Regor Energy Corporation is a certified, licensed, and registered broker to provide retail or wholesale natural gas services, including aggregation services in the State of Ohio.

### Exhibit B-2: "Experience & Plans"

John Ney, the Business Development Director for Regor Energy Corporation, has eight years of experience working as an energy consultant in some of the largest energy brokerages in the country, including World Energy and EnerNoc. Ney's area of business interest was working with customers to contract with retail natural gas suppliers. Types of customers served included commercial, industrial, and residential.

Additionally, Ney worked with municipalities to become natural gas aggregators and to offer reduced natural gas rates to their residents and small businesses. Services provided to municipalities included preparing ballot initiatives, certification, and retail contracting with natural gas suppliers. Moreover, Ney has experience offering alternative energy sources to customers, such as solar energy to commercial and municipal customers.

Regor Energy Corporation is focusing on similar areas of business, including assisting customers in contracting with retail natural gas suppliers, assisting municipalities with aggregation programs, and exploring alternative energy sources to provide energy conserving technologies to its customers. Presently, Regor Energy Corporation has no plans in providing billings statements.

### **Exhibit B-3: "Summary of Experience"**

Over the past eight years, John Ney has worked with customers of all sizes in all utility areas in Ohio to contract with retail natural gas suppliers for their natural gas loads. He has managed a large book of business with hundreds of customers. The industries Ney represents include the commercial, industrial, residential, and municipal.

Additionally, Ney assisted municipalities develop natural gas aggregation programs. He contracted with retail natural gas suppliers to offer their residents and small businesses with natural gas services that reduced costs.

Regor Energy Corporation plans to continue this work with customers of all sizes in all utility areas in Ohio, including commercial, industrial, residential, and municipalities to contract with retail natural gas suppliers for their natural gas loads.

The company places an emphasis on the small to mid-size market that focuses on companies with natural gas loads ranging from 500 Dth to 150,000 Dth.

## **Exhibit B-4: "Disclosure of Liabilities and Investigations"**

Regor Energy Corporation has no existing, pending, or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matters that have adversely impact the company's financial or operating status or ability to provide services.

# Exhibit C-1: "Annual Reports"

Regor Energy Corporation is not a publicly traded company that publishes annual reports. The company is privately held and, therefore, the publication of annual reports is not applicable.

## Exhibit C-2: "SEC Filings"

Regor Energy Corporation is a privately held company and is not required to file with the SEC. Therefore, this section is not applicable.

### Exhibit C-3: "Financial Statements"

Regor Energy Corp will file its financial information under the sealed process.

### Exhibit C-4: "Financial Arrangements"

This section is not applicable.

### **Exhibit C-5: "Forecasted Financial Statements"**

Regor Energy Corporation will file its forecasted financial statements under the sealed process.

## Exhibit C-6: "Credit Rating"

Regor Energy Corporation does not have a credit rating.

## Exhibit C-7: "Credit Report"

Regor Energy Corporation will file a credit report under the sealed process.

## Exhibit C-8: "Bankruptcy Information"

Regor Energy Corporation has not been involved in any reorganizations, protections from creditors, or any other form of bankruptcy.

## Exhibit C-9: "Merger Information"

Regor Energy Corporation has not been involved in any dissolutions or merger or acquisitions.

## Exhibit C-10: "Corporate Structure"

Regor Energy Corporation is a stand-alone entity with no affiliate or subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. Therefore, this section is not applicable.

## Exhibit D-1: "Operations"

This section is not applicable since Regor Energy Corp is a natural gas brokerage and does not have an operational nature to the business functions.

# Exhibit D-2: "Operations Expertise"

This section is not applicable since Regor Energy Corp is a natural gas brokerage and does not have an operational nature to the business functions.

## Exhibit D-3: "Key Technical Personnel"

This section is not applicable since Regor Energy Corp is a natural gas brokerage and does not have an operational nature to the business functions.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

4/3/2019 9:45:34 AM

in

Case No(s). 17-0706-GA-AGG

Summary: Application Renewal Application for Natural Gas Brokering electronically filed by Mr. John W Ney on behalf of Ney, John Mr.