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20

March 25th, 2019

Ohio Public Utilities Commission
183 East Broad St
Columbus, OH, 43215

RE: Titan Energy NE Renewal Applications for:

Electricity Case #: 13-0535-EL-AGG
Natural Gas Case #: 13-0534-GA-AGG ✓

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PUCO

To whom it may concern,

Please find enclosed 2019 renewal applications for Titan Energy New England Inc's ("Titan") electricity and natural gas broker/aggregator certifications. The enclosed two packages correspond to a Case Numbers of 13-0535-EL-AGG (in connection to Certificate No. 16-665E(2)) and 13-0534-GA-AGG (in connection to Certificate No. 13-293G(1)), respectively—both due to expire on May 5th, 2019.

Please note, as outlined herein, certain pages of the enclosed documents are to be filed confidentially, under seal of protective order with the Ohio PUCO.

Please refer any questions in regard to the attached documents to Justin Kearney, Vice President of Market Development, who can be reached by phone at 860-436-2768 ext. 105 or by email at justinkearney@titanenergyne.com.

Respectfully,

Justin Kearney, CEP
Vice President, Market Development
Titan Energy New England
2275 Silas Deane Highway
Rocky Hill, CT, 06067
Direct: 860-436-2768 ext. 105
Fax: 860-436-3459
Mobile: 860-324-8289
Email: justinkearney@titanenergyne.com



This is to certify that the images appearing are accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician A Date Processed 3/28/19

Boston, MA 02127
88 Black Falcon Ave, Suite 342
P.617.338.6300; F.617.542.4487

Rocky Hill, CT 06067
2275 Silas Deane Highway
P.860.436.3433; F.860.436.3459

Kingston, NH 03848
8 Williams Path
P.603.642.9591; F.603.642.6477



Public Utilities Commission

PUCO USE ONLY – Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		13 - 0534 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Titan Energy New England, Inc.
 Address 2275 Silas Deane Hwy, Rocky Hill, CT 06067
 Telephone No. 860-436-2768 Web site Address www.titanenergyne.com
 Current PUCO Certificate No. 13-293G(1) Effective Dates 3/29/13

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A-3 Applicant information under which applicant will do business in Ohio:

Name Titan Energy - New England, Inc.
 Address 2275 Silas Deane Hwy, Rocky Hill, CT 06067
 Web site Address www.titanenergyne.com Telephone No. 860-436-2768

A-4 List all names under which the applicant does business in North America:

Titan Energy - New England, Inc.

A-5 Contact person for regulatory or emergency matters:

Name Justin Kearney Title Vice President, Market Development
 Business Address 2275 Silas Deane Hwy, Rocky Hill, CT 06067
 Telephone No. 860-436-2768 Fax No. 860-436-3459 Email Address www.titanenergyne.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Brendan Kearney Title Manager, Customer Support
Business address 2275 Silas Deane Hwy, Rocky Hill, CT 06067
Telephone No. 860-436-2768 Fax No. 860-436-3459 Email Address bkearney@titanenergyne.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 2275 Silas Deane Hwy, Rocky Hill, CT 06067
Toll-Free Telephone No. 877-285-8590 Fax No. 860-436-3459 Email Address bkearney@titanenergyne.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Jason Salzgeber Title Senior Energy Consultant
Business address 4778 Glendon Rd Columbus, OH 43229
Telephone No. 860-436-2768 Fax No. 860-436-3459 Email Address jsalzgeber@titanenergyne.com

A-9 Applicant's federal employer identification number 11-3651046

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship ☐ Partnership
☐ Limited Liability Partnership (LLP) ☐ Limited Liability Company (LLC)
☒ Corporation ☐ Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service 4/2013	End Date Ongoing
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service 4/2013	End Date Ongoing
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service 4/2013	End Date Ongoing

☒ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service 4/2013	End Date Ongoing
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service 4/2013	End Date Ongoing
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service 4/2013	End Date Ongoing

☒ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service 4/2013	End Date Ongoing
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service 4/2013	End Date Ongoing
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service 4/2013	End Date Ongoing

☒ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service 4/2013	End Date Ongoing
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service 4/2013	End Date Ongoing
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service 4/2013	End Date Ongoing

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Justin Kearney - Vice President

Sworn and subscribed before me this

26th

day of

March

Month

2019

Year

Samile Keeler

Signature of official administering oath

Print Name and Title

SAMILE KEELER
NOTARY PUBLIC
MY COMMISSION EXPIRES MAY 31, 2022

My commission expires on



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In the Matter of the Application of)

Titan Energy - New England, Inc.)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No.

13

0534

-GA-AGG

County of Hartford

State of Connecticut

Titan Energy New England, Inc. [Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Justin Kearney - Vice President

Sworn and subscribed before me this

26th

day of

March

Month

2019

Year

Samile Keeler

Signature of Official Administering Oath

Print Name and Title

SAMILE KEELER
NOTARY PUBLIC

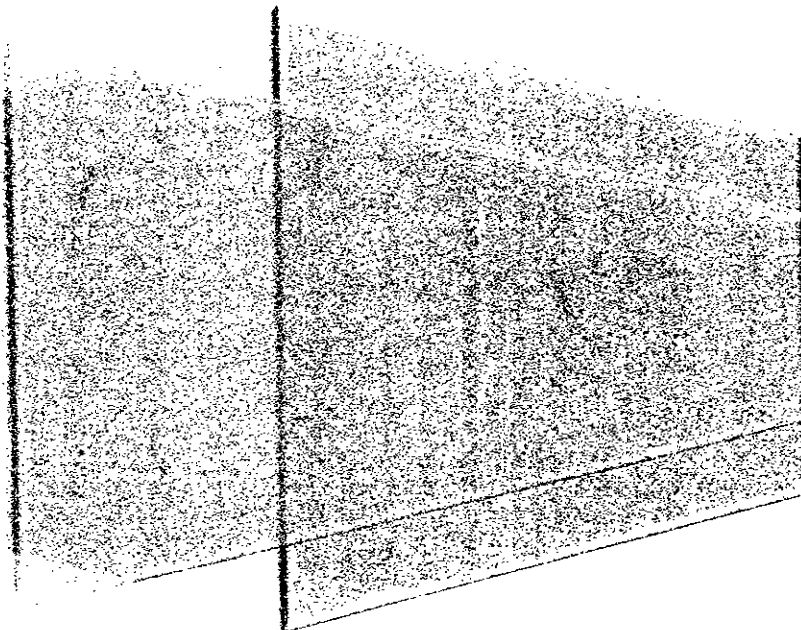
MY COMMISSION EXPIRES MAY 31, 2022

My commission expires on

Titan Energy - New England, Inc.

Ohio Natural Gas Renewal Application

Exhibits & Addendums



2019

SECTION A – APPLICANT INFORMATION & SERVICES

Exhibit A-14 “Principle Officers, Directors & Partners”

Principle Officers:

- Christopher Ramm, Vice President & General Manager – 2275 Silas Deane Hwy, Rocky Hill, CT, 06067 – (860)-436-2768 x 104.
- Jerry Hawkins, President – 8 Williams Path, Kingston, NH, 03848 – (603)-642-9591
- Michael Horton, Executive Vice President – 224 Seymour Rd, Woodbridge, CT, 06525 – (203)-464-3163
- Justin Kearney, Vice President, Market Development – 2275 Silas Deane Hwy, Rocky Hill, CT, 06067 – (860)-436-2768 x 105

Exhibit A-15 “Company History”

Titan Energy New England, Inc was founded in Rocky Hill, Connecticut in 2001, with the goal of becoming a premier consulting company, providing quality energy products at the lowest possible cost and highest possible value. We have put together a highly experienced team of energy professionals with over one hundred years of industry knowledge. Since 2001, the Titan Energy team has a proven track record of delivering customer value in a complex and ever-changing energy industry. Today, Titan Energy New England serves thousands of customers in deregulated markets throughout the United States.

Titan Energy currently provides energy management services to the commercial, industrial, manufacturing, residential and municipal markets in New England, New York, New Jersey, Michigan, Maryland, Pennsylvania, Indiana, Illinois, California, Washington DC, Delaware and Colorado. Titan Energy utilizes numerous suppliers including Dominion Retail, Hess, UGI/Gasmark, Constellation New Energy, TransCanada Power Marketing, Integrys Energy Services, Nextera Energy Services, Spark Energy, First Energy Solutions, AEP Retail, Direct Energy, Reliant Energy,

Liberty Power, Sprague Energy, Vista Energy, Tiger Energy Retail, amongst others. All natural gas and electricity customer agreements are customized based upon specific customer energy requirements, and we provide numerous product options. Titan Energy New England has a longstanding reputation of providing savings for customers on their electricity and natural gas contracts, as well as managing and maintaining an eye on a customer's long term energy spend.

Exhibit A-16 "Articles of Incorporation and Bylaws"

The contents of by-laws have not changed since the initial application.

Exhibit A-17 "Secretary of State"

Please see attached report of active, good standing of Titan Energy – New England, Inc, a foreign corporation with the Ohio Secretary of State on page 18.

SECTION B – APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

Exhibit B-1 “Jurisdictions of Operation”

For natural gas, Titan Energy – New England, Inc. operates under all deregulated utilities in the following states and commonwealths: Connecticut, Massachusetts, Rhode Island, New Hampshire, Maine, Illinois, Michigan, California, New Jersey, New York, Texas, and Washington DC, Florida, Colorado, Indiana, Georgia and Arkansas.

Exhibit B-2 “Experience & Plans”

Titan Energy - New England Inc. acts as a broker between the customer and the retail energy supplier. The customer contracts directly with the supplier, with Titan Energy as the agent of record under the specific contract. The supplier delivers the energy, bills the customer and handles customer service. Titan Energy – New England, Inc. collects a commission from the supplier as compensation.

Exhibit B-3 “Summary of Experience”

Titan Energy - New England, Inc. has over 13 years of experience working within the broker/aggregator field, and has served thousands of customers within the commercial, industrial, manufacturing, municipal and residential designations. Titan Energy – New England, Inc works under all utilities in the following areas: Connecticut, Massachusetts, Rhode Island, New Hampshire, Maine, Delaware, Illinois, Maryland, Michigan, California, New Jersey, New York, Oregon, Pennsylvania, Texas, and Washington DC.

Titan Energy – New England Inc. has run aggregations as low as 500 dth up to as much as 200,000 annual dth annual load.

Exhibit B-4 "Disclosure of Liabilities and Investigations"

Titan Energy - New England, Inc. has no past or present judgments, liabilities, revocation of authority, regulatory investigations or other matters that would impair its operation in any way.

SECTION C – APPLICATION FINANCIAL CAPABILITY AND EXPERIENCE

Exhibit C-1 “Annual Reports”

Titan Energy – New England, Inc is a closely held business with no publicly traded shares. Titan Energy does not file annual reports.

Exhibit C-2 “SEC Filings”

Titan Energy – New England, Inc is not required to file with the SEC

Exhibit C-3 “Financial Statements”

As a privately held corporation, Titan Energy New England’s financial statements are proprietary, and will hereby be filed separately under seal and protective order to the Ohio PUCO.

Exhibit C-4 “Financial Arrangements”

Titan Energy – New England, Inc does not have a credit rating granted by any of the credit agencies listed. Titan Energy New England is not taking title to the power.

Exhibit C-5 “Forecasted Financial Statements”

As a privately held corporation, Titan Energy New England’s financial statements are proprietary, and will hereby be filed separately under seal and protective order to the Ohio PUCO.

Exhibit C-6 "Credit Rating"

Titan Energy – New England, Inc does have any financial arrangements to conduct CRES as a business activity, nor any credit agreements or bank commitments as it relates to such activities.

Exhibit C-7 "Credit Report"

Titan Energy – New England, Inc does not have a credit report filed with Experion, Dunn & Bradstreet or any other credit reporting agency at this time.

Exhibit C-8 "Bankruptcy Information"

Titan Energy – New England, Inc has no prior reorganizations, protections from creditors or any other form of bankruptcy filings.

Exhibit C-9 "Merger Information"

Titan Energy – New England, Inc. has no prior dissolution, merger of acquisition over the past five years.

Exhibit C-10 "Corporate Structure"

Titan Energy – New England, Inc. is a standalone S-Corp with no affiliates or subsidiaries, and is domiciled at 2275 Silas Deane Hwy, Rocky Hill, CT, 06067.

SECTION D – APPLICATION TECHNICAL CAPABILITY

Exhibit D-1 “Operations”

Titan Energy – New England’s operations are domiciled in Rocky Hill, CT, where the company runs all pricing and aggregating functions. As a result, all of the sales consultant’s work will flow through the Rocky Hill headquarters. Essentially, the sales consultant will first obtain the appropriate information and authorization from the customer to price. At this point, materials are sent to Rocky Hill, where the account will be priced according to the specific qualifications of the customer. Pricing and products are presented to customer, and decision making on procuring a contract comes to fruition.

Exhibit D-2 “Operations Expertise”

Titan Energy – New England, Inc and its management has over 100 years of natural gas and electricity expertise, derived from experience within various sectors of the energy industry – including natural gas and electricity utility companies, aerospace, engineering and public policy institutions. Titan Energy has been providing broker/aggregator services for over 13 years as an organization, which was, in large part, made possible by such technical expertise.

Exhibit D-3 “Key Technical Personnel”

- Christopher Ramm, Vice President & General Manager –
cramm@titanenergyne.com – (860)-436-2768 x 104.
 - Taking on the daily operations and growth needs of Titan Energy, Chris comes to us with over twenty-five years of solid managerial and customer interface experience across a variety of manufacturing and energy industry businesses. Chris has a solid managerial and technical background, coupled with both entrepreneurial and process-improvement skills. He held ownership and

senior management positions at Windham Automated Machines, Fuss & O'Neill, and Pratt & Whitney. Chris was graduated from University of Connecticut's School of Business with a B.S. in Operations Management, and went on to earn his M.S. in Management from Rensselaer Polytechnic Institute.

- Jerry Hawkins, President – jhawkins@titanenergyne.com - (603)-642-9591
 - A senior partner and co-founder of Titan Energy New England, Jerry works out of the Kingston, NH office, and heads up analysis, economic modeling, and commodity pricing for Titan Energy's large client base. He has an extensive energy background, having held both customer-focused and technical positions at Connecticut Natural Gas Corporation, AllEnergy Marketing Company, Stone & Webster Engineering, and United Engineers and Constructors, Inc. Jerry received his B.S. in Mechanical Engineering from Rensselaer Polytechnic Institute.
- Michael Horton, Executive Vice President – mhorton@titanenergyne.com (203)-464-3163
 - Michael joined the Titan Energy team in 2003 and became a partner in 2008. He has over 30 years experience in the oil, natural gas, and electric businesses. Michael is responsible for the growth of Titan's commercial, industrial, and municipal sectors. His own entrepreneurial experience gives him a strong understanding of the customer's perspective. Some of Michael's day-to-day responsibilities include managing the mid-size and larger accounts for Titan Energy throughout the country such as "big box" store chains, large car dealership organizations and real estate firms. Michael was graduated from Quinnipiac University with a major in International Business and Marketing.
- Justin Kearney, Vice President, Market Development
justinkearney@titanenergyne.com – (860)-436-2768 extension 105
 - Justin directs the day-to-day sales and marketing efforts for all of Titan Energy's client commodity procurements. With clients in mind, he supports our wide-ranging sales force by developing presentations of energy cost reduction solutions and sustainability practices. In addition, Justin monitors ongoing Titan Energy market initiatives based on feedback from clients, Titan's sales force, and internal and external energy market analysis. Justin received his B.S. in Communication Sciences from the University of Connecticut, and then went on to receive Master of Public Administration from the University of Connecticut's Department of Public Policy.



Mon Mar 25 2019

Entity#: 2185347
Filing Type: FOREIGN CORPORATION
Original Filing Date: 03/25/2013
Location: --
Business Name: TITAN ENERGY - NEW ENGLAND, INC.

Status: Active
Exp. Date: -

Agent/Registrant Information

NATIONAL REGISTERED AGENTS, INC.
4400 EASTON COMMONS WAY
SUITE 125
COLUMBUS OH 43219
04/17/2017
Active

Filings

Filing Type	Date of Filing	Document ID
FOREIGN LICENSE/FOR-PROFIT	03/25/2013	201308501585
FOREIGN/AGENT CHANGE OF ADDRESS	06/17/2014	201416800267
FOREIGN/AGENT CHANGE OF ADDRESS	03/31/2017	201710204270
FOREIGN/AGENT CHANGE OF ADDRESS	04/17/2017	201710702856



Mon Mar 25 2019

**UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF SECRETARY OF STATE**

I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State.



*Witness my hand and the seal of the
Secretary of State at Columbus,
Ohio this 25th of March, A.D. 2019*

Ohio Secretary of State

A handwritten signature in cursive script, reading "Frank LaRose".