



# Public Utilities Commission

PUCO USE ONLY - Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		15 - 0644 - GA-AGG

FILE

## RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

#### A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

#### A-2 Applicant information:

Legal Name Triple "S" Energy Management LLC  
Address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663  
Telephone No. 330-339-6001 Web site Address www.triplesenergy.com  
Current PUCO Certificate No. 15-432G (2) Effective Dates May 2, 2017 - May 2, 2019

#### A-3 Applicant information under which applicant will do business in Ohio:

Name Triple "S" Energy Management LLC  
Address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663  
Web site Address www.triplesenergy.com Telephone No. 330-339-6001

#### A-4 List all names under which the applicant does business in North America:

Triple "S" Energy Management LLC

#### A-5 Contact person for regulatory or emergency matters:

Name Sandy Carpenter Title Owner/President  
Business Address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663  
Telephone No. 330-339-6001 Fax No. 330-576-4141 Email Address scarpenter@triplesenergy.com

Triple "S" Energy  
Management LLC

ORIGINAL

15-0644-GA-AGG

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**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name Sandy Carpenter

Title Owner/President

Business address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663

Telephone No. 330-339-6001

Fax No. 330-576-4141

Email Address scarpenter@triplesenergy.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663

Toll-Free Telephone No. 330-339-6001

Fax No. 330-576-4141

Email Address scarpenter@triplesenergy.co

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name Sandy Carpenter

Title Owner/President

Business address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663

Telephone No. 330-339-6001

Fax No. 330-576-4141

Email Address scarpenter@triplesenergy.com

**A-9 Applicant's federal employer identification number 26-4585783**

**A-10 Applicant's form of ownership: (Check one)**

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers.** (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

**Triple "S" Energy  
Management LLC**

**15-0644-GA-AGG**

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☒ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service June, 2013	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service June, 2013	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service June, 2013	End Date

**Triple "S" Energy Management LLC**

15-0644-GA-AGG

☒ Dominion East Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service July, 2009	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service April, 2010	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service April, 2010	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service April, 2010	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service April, 2018	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	15-0644-GA-AGG
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	May, 2017
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	May, 2017

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

## **SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.  
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**Triple "S" Energy  
Management LLC**

15-0644-GA-AGG

**C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

**C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

**C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.


**C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

## SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

  
Applicant Signature and Title      Owner/President

Sworn and subscribed before me this      28      day of      February      Month      2019      Year

  
Signature of official administering oath

Alan Bambeck, Notary  
Print Name and Title

My commission expires on      12/26/2023



**ALAN BAMBECK**  
Notary Public, State of Ohio  
My Commission Expires  
12/26/2023

**Triple "S" Energy  
Management LLC**

**15-0644-GA-AGG**



# The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service  
Affidavit Form  
(Version 1.07)

**Triple "S" Energy  
Management LLC**

15-0644-GA-AGG

In the Matter of the Application of )

Triple S Energy Management LLC )  
for a Certificate or Renewal Certificate to Provide )  
Competitive Retail Natural Gas Service in Ohio. )

Case No. 15 - 0644 -GA-AGG

County of Tuscarawas

State of OHIO

Triple S Energy Management LLC [Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

[Signature] / Owner President

Sworn and subscribed before me this

28

day of

February

Month

2019

Year

[Signature]

Signature of Official Administering Oath

Alan Bambeck Notary

Print Name and Title



**ALAN BAMBECK**  
Notary Public, State of Ohio  
My Commission Expires  
12/26/2023

My commission expires on

12 / 26 / 2023



**Exhibit A-14 "Principal Officers, Directors & Partners"**

**Company: Triple "S" Energy Management LLC**

**Principal Officer:  
Sandy Carpenter**

**Title:  
Owner/President**

**Address:  
2038 Oldtown Valley Road SE  
New Philadelphia, Ohio 44663**

**Telephone Number:  
330-339-6001**

**Exhibit A-15 "Company History"**

**Company: Triple "S" Energy Management LLC**

**Triple "S" Energy Management LLC was created on April 1, 2009 to provide reliable energy consulting services on many utility systems. With individualized service to create value and stability in a volatile energy market, Triple "S" Energy's goal is to locate energy savings in many aspects of businesses. Triple S" Energy offers Natural Gas Procurement, Electricity Procurement, Energy Efficiency, Energy Intelligence Software, Demand Response, and Renewable Energy.**

**Exhibit A-16 "Articles of Incorporation and Bylaws"**

**Company: Triple "S" Energy Management LLC**

**No Change from previously submitted documents**

**Exhibit A-17 "Secretary of State"**

**Company: Triple "S" Energy Management LLC**

**Articles of Organization for a Domestic Limited Liability  
Company (attached)**

15-0644-GA-AGG



33A Prescribed by the:  
Ohio Secretary of State

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)

www.sos.state.oh.us  
Busserv@sos.state.oh.us

**Expedite this form: (select one)**

Mail form to one of the following:

☐ Expedite PO Box 1390  
Columbus, OH 43216

\*\*\* Requires an additional fee of \$100 \*\*\*

☒ Non Expedite PO Box 670  
Columbus, OH 43216

**ARTICLES OF ORGANIZATION FOR A DOMESTIC  
LIMITED LIABILITY COMPANY**

Filing Fee: \$125.00

**(CHECK ONLY ONE (1) BOX)**

(1) <input checked="" type="checkbox"/> Articles of Organization for Domestic For-Profit Limited Liability Company (115-LCA) ORC 1705	(2) <input type="checkbox"/> Articles of Organization for Domestic Nonprofit Limited Liability Company (115-LCA) ORC 1705
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Name of limited liability company

TRIPLE "S" ENERGY MANAGEMENT, LLC.

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," "or "Ltd"

Effective Date

(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing  
of the articles or on a later date specified that is not more than ninety days  
after filing)

This limited liability company shall exist for

(Optional)

30 years from date of formation

Period of Existence

Purpose

(Optional)

Engage in energy business and carry on any activity for which persons may

lawfully associate themselves.

☐ Check here if additional provisions are attached

12

ORIGINAL APPOINTMENT OF AG 15-0644-GA-AGG

The undersigned authorized member(s), manager(s) or representative(s) of

TRIPLE "S" ENERGY MANAGEMENT, LLC.

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

Sandra K. Carpenter

Name of Agent

2038 Oldtown Valley Road S.E.

Mailing Address

New Philadelphia

City

Ohio

State

44663

Zip Code

☐ If the agent is an individual and using a P.O. Box, check this box to certify the agent is an Ohio resident.

ACCEPTANCE OF APPOINTMENT

The undersigned, named herein as the statutory agent for

TRIPLE "S" ENERGY MANAGEMENT, LLC.

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

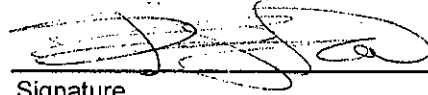


Agent's Signature

By signing and submitting this form to the Ohio Secretary of State, the undersigned certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above.

**REQUIRED**

Articles and original  
appointment of agent must  
be authenticated (**signed**)  
by a member, manager or  
other representative.



Signature

3-16-09  
Date

Sandra K. Carpenter

Print Name

Signature

Date

Print Name

Signature

Date

Print Name

(See Instructions Below)

14

**Exhibit B-1 "Jurisdictions of Operation"**

**Company: Triple "S" Energy Management LLC**

**Ohio**



## **Exhibit B-2 "Experience & Plans"**

**Company: Triple "S" Energy Management LLC**

**Triple "S" Energy was started by Sandy Carpenter with 35 years of natural gas experience. I have worked with local production, interstate gas, managed online natural gas bidding platforms and Direct Sales to small, medium and large commercial and industrial customers.**

**My plans for contracting with customers is to offer a full requirement price for natural gas through Suppliers. As a Broker, Suppliers of these commodities would be willing to contract with Triple "S" Energy as a Broker. Supplier will pay Triple "S" Energy compensation monthly based on cents per Dth for Natural Gas used by the customer.**

**Triple "S" Energy takes an individualized personal approach with its clients and provides a monthly savings report identifying the savings incurred within each month. Any inquiries or problems are addressed immediately with professionalism, knowledge and expertise to solve such inquiry.**

**Exhibit B-3 "Summary of Experience"**

**Company: Triple "S" Energy Management LLC**

**Since 1984, I have moved over 2 BCF of natural gas to small and large commercial accounts, small and large industrial accounts from coast to coast thru a number of supplies throughout my career in the Natural Gas Market. My territory is Ohio at the present time.**

<b>Utility Systems:</b>	<b>Dominion East Ohio Gas Columbia Gas of Ohio</b>
<b>Number of Clients:</b>	<b>45</b>
<b>Volume:</b>	<b>426,000 Dth Annually</b>

**I plan to increase this number to 500,000 Dth within the next several years. My goal in natural gas is to consult 1 BCF of natural gas within the next 10 years and move into other utility systems.**

**Exhibit B-4 “Disclosure of Liabilities and Investigations”**

**Company: Triple “S” Energy Management LLC**

**None**

**Exhibit B-5 “Disclosure of Consumer Protection Violations”**

**Company: Triple “S” Energy Management LLC**

**None**

**Exhibit B-6 “Disclosure of Certification Denial, Curtailment,  
Suspension or Revocation”**

**Company: Triple “S” Energy Management LLC**

**None**

**Exhibit C-1 "Annual Report"**

**Company: Triple "S" Energy Management LLC**

**Triple "S" Energy is a small entity with no employees and one owner strong and growing. I do not have Annual Reports nor do I have Shareholders**

**Exhibit C-2 “SEC Filings”**

**Company: Triple “S” Energy Management LLC**

**Triple “S” Energy is not required to submit SEC filings  
because Triple “S” Energy is not a publically traded company**

**Exhibit C-3 “Financial Statements”**

**Financial Statement prepared by Sandy Carpenter, Owner of  
Triple “S” Energy Management LLC  
Unaudited**

**Year 2017**

**Year 2018**

**Attached as part of Exhibit C-3**



Form **1120S**

## U.S. Income Tax Return for an S Corporation

Do not file this form unless the corporation has filed or is attaching Form 2553 to elect to be an S corporation.

Go to [www.irs.gov/Form1120S](http://www.irs.gov/Form1120S) for instructions and the latest information.**2017**Department of the Treasury  
Internal Revenue Service

For calendar year 2017 or tax year beginning , ending

A S election effective date <b>01/01/17</b>	TYPE OR PRINT	Name <b>TRIPLE S ENERGY MANAGEMENT LLC</b>	D Employer identification number <b>[REDACTED]</b>
B Business activity code number (see instructions) <b>561490</b>		Number, street, and room or suite no. If a P.O. box, see instructions. <b>2038 OLDTOWN VALLEY RD SE</b>	E Date incorporated <b>01/01/2017</b>
C Check if Sch. M-3 attached <input type="checkbox"/>		City or town, state or province, country, and ZIP or foreign postal code <b>NEW PHILADELPHIA OH 44663</b>	F Total assets (see instructions) \$

G Is the corporation electing to be an S corporation beginning with this tax year? ☒ Yes ☐ No If "Yes," attach Form 2553 if not already filedH Check if: (1) ☐ Final return (2) ☐ Name change (3) ☐ Address change (4) ☐ Amended return (5) ☐ S election termination or revocationI Enter the number of shareholders who were shareholders during any part of the tax year **1**

Caution. Include only trade or business income and expenses on lines 1a through 21. See the instructions for more information.

Income	1a Gross receipts or sales	1a	<b>183,507</b>	
	b Returns and allowances	1b		
	c Balance. Subtract line 1b from line 1a	1c		<b>183,507</b>
	2 Cost of goods sold (attach Form 1125-A)	2		
	3 Gross profit. Subtract line 2 from line 1c	3		<b>183,507</b>
	4 Net gain (loss) from Form 4797, line 17 (attach Form 4797)	4		
Deductions (see instructions for limitations)	5 Other income (loss) (see instructions—attach statement)	5		
	6 Total income (loss). Add lines 3 through 5	6		<b>183,507</b>
	7 Compensation of officers (see instructions—attach Form 1125-E)	7		<b>42,000</b>
	8 Salaries and wages (less employment credits)	8		
	9 Repairs and maintenance	9		
	10 Bad debts	10		
	11 Rents	11		
	12 Taxes and licenses	12		<b>3,741</b>
	13 Interest	13		
	14 Depreciation not claimed on Form 1125-A or elsewhere on return (attach Form 4562)	14		
	15 Depletion (Do not deduct oil and gas depletion.)	15		
	16 Advertising	16		<b>8,385</b>
	17 Pension, profit-sharing, etc., plans	17		
	18 Employee benefit programs	18		
	19 Other deductions (attach statement) <b>SEE STMT 1</b>	19		<b>41,376</b>
20 Total deductions. Add lines 7 through 19	20		<b>95,502</b>	
21 Ordinary business income (loss). Subtract line 20 from line 6	21		<b>88,005</b>	
Tax and Payments	22a Excess net passive income or LIFO recapture tax (see instructions)	22a		
	b Tax from Schedule D (Form 1120S)	22b		
	c Add lines 22a and 22b (see instructions for additional taxes)	22c		
	23a 2017 estimated tax payments and 2016 overpayment credited to 2017	23a		
	b Tax deposited with Form 7004	23b		
	c Credit for federal tax paid on fuels (attach Form 4136)	23c		
	d Add lines 23a through 23c	23d		
	24 Estimated tax penalty (see instructions). Check if Form 2220 is attached <input type="checkbox"/>	24		
	25 Amount owed. If line 23d is smaller than the total of lines 22c and 24, enter amount owed	25		
	26 Overpayment. If line 23d is larger than the total of lines 22c and 24, enter amount overpaid	26		
27 Enter amount from line 26 Credited to 2018 estimated tax <b>Refunded</b>	27			

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Sign Here	Signature of officer		Date		Title	
	Print/Type preparer's name		Preparer's signature		Date	
	<b>ALAN J. BAMBECK, CPA</b>		<b>ALAN J. BAMBECK, CPA</b>		<b>03/19/18</b>	
	Firm's name <b>BAMBECK &amp; ASSOCIATES LLC</b>		Firm's EIN <b>51-0659924</b>		Check <input type="checkbox"/> if self-employed PTIN <b>P00005578</b>	
Paid Preparer Use Only	Firm's address <b>830 WEST STATE STREET</b>		Firm's address <b>NEWCOMERSTOWN, OH 43832</b>		Phone no. <b>740-498-6984</b>	

For Paperwork Reduction Act Notice, see separate instructions.

Form **1120S** (2017)

**Schedule B Other Information** (see instructions)

<b>1</b> Check accounting method:	a <input checked="" type="checkbox"/> Cash	b <input type="checkbox"/> Accrual		<b>Yes</b>	<b>No</b>
	c <input type="checkbox"/> Other (specify) ▶				
<b>2</b> See the instructions and enter the:	a Business activity ▶ <b>CONSULTING</b>		b Product or service ▶ <b>ENERGY</b>		
<b>3</b> At any time during the tax year, was any shareholder of the corporation a disregarded entity, a trust, an estate, or a nominee or similar person? If "Yes," attach Schedule B-1, Information on Certain Shareholders of an S Corporation					<b>X</b>
<b>4</b> At the end of the tax year, did the corporation:					
a Own directly 20% or more, or own, directly or indirectly, 50% or more of the total stock issued and outstanding of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below					<b>X</b>

(i) Name of Corporation	(ii) Employer Identification Number	(iii) Country of Incorporation	(iv) Percentage of Stock Owned	(v) If Percentage in (iv) is 100%, Enter the Date (if any) a Qualified Subchapter S Subsidiary Election Was Made
<b>Triple "S" Energy Management LLC</b>	<b>15-0644-GA-AGG</b>			

<b>b</b> Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below		<b>X</b>
--	--	----------

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital

<b>5a</b> At the end of the tax year, did the corporation have any outstanding shares of restricted stock? If "Yes," complete lines (i) and (ii) below.		<b>X</b>
(i) Total shares of restricted stock		
(ii) Total shares of non-restricted stock		
<b>b</b> At the end of the tax year, did the corporation have any outstanding stock options, warrants, or similar instruments? If "Yes," complete lines (i) and (ii) below.		<b>X</b>
(i) Total shares of stock outstanding at the end of the tax year		
(ii) Total shares of stock outstanding if all instruments were executed		
<b>6</b> Has this corporation filed, or is it required to file, <b>Form 8918</b> , Material Advisor Disclosure Statement, to provide information on any reportable transaction?		<b>X</b>
<b>7</b> Check this box if the corporation issued publicly offered debt instruments with original issue discount. If checked, the corporation may have to file <b>Form 8281</b> , Information Return for Publicly Offered Original Issue Discount Instruments.	<input type="checkbox"/>	
<b>8</b> If the corporation: (a) was a C corporation before it elected to be an S corporation or the corporation acquired an asset with a basis determined by reference to the basis of the asset (or the basis of any other property) in the hands of a C corporation and (b) has net unrealized built-in gain in excess of the net recognized built-in gain from prior years, enter the net unrealized built-in gain reduced by net recognized built-in gain from prior years (see instructions)	\$	
<b>9</b> Enter the accumulated earnings and profits of the corporation at the end of the tax year.	\$	
<b>10</b> Does the corporation satisfy both of the following conditions?		
a The corporation's total receipts (see instructions) for the tax year were less than \$250,000		
b The corporation's total assets at the end of the tax year were less than \$250,000		<b>X</b>
If "Yes," the corporation is not required to complete Schedules L and M-1.		
<b>11</b> During the tax year, did the corporation have any non-shareholder debt that was canceled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt? If "Yes," enter the amount of principal reduction	\$	<b>X</b>
<b>12</b> During the tax year, was a qualified subchapter S subsidiary election terminated or revoked? If "Yes," see instructions		<b>X</b>
<b>13a</b> Did the corporation make any payments in 2017 that would require it to file Form(s) 1099?		<b>X</b>
b If "Yes," did the corporation file or will it file required Forms 1099?		<b>X</b>

**Schedule K Shareholders' Pro Rata Share Items**

Total amount

			Total amount
Income (Loss)	1 Ordinary business income (loss) (page 1, line 21)	1	88,005
	2 Net rental real estate income (loss) (attach Form 8825)	2	
	3a Other gross rental income (loss)	3a	
	b Expenses from other rental activities (attach statement)	3b	
	c Other net rental income (loss). Subtract line 3b from line 3a	3c	
	4 Interest income	4	
	5 Dividends: a Ordinary dividends	5a	
	b Qualified dividends	5b	
	6 Royalties	6	
	7 Net short-term capital gain (loss) (attach Schedule D (Form 1120S))	7	
Deductions	8a Net long-term capital gain (loss) (attach Schedule D (Form 1120S))	8a	
	b Collectibles (28%) gain (loss)	8b	
	c Unrecaptured section 1250 gain (attach statement)	8c	
	9 Net section 1231 gain (loss) (attach Form 4797)	9	
	10 Other income (loss) (see instructions) Type ▶	10	
	11 Section 179 deduction (attach Form 4562)	11	
Credits	12a Charitable contributions	12a	
	b Investment interest expense	12b	
	c Section 59(e)(2) expenditures (1) Type ▶ (2) Amount ▶	12c(2)	
	d Other deductions (see instructions) Type ▶	12d	
	13a Low-income housing credit (section 42(j)(5))	13a	
	b Low-income housing credit (other)	13b	
	c Qualified rehabilitation expenditures (rental real estate) (attach Form 3468, if applicable)	13c	
Foreign Transactions	d Other rental real estate credits (see instructions) Type ▶	13d	
	e Other rental credits (see instructions) Type ▶	13e	
	f Biofuel producer credit (attach Form 6478)	13f	
	g Other credits (see instructions) Type ▶	13g	
	14a Name of country or U.S. possession ▶	14a	
	b Gross income from all sources	14b	
	c Gross income sourced at shareholder level	14c	
	Foreign gross income sourced at corporate level		
	d Passive category	14d	
	e General category	14e	
	f Other (attach statement)	14f	
	Deductions allocated and apportioned at shareholder level		
	g Interest expense	14g	
	h Other	14h	
Deductions allocated and apportioned at corporate level to foreign source income			
i Passive category	14i		
j General category	14j		
k Other (attach statement)	14k		
Other information			
l Total foreign taxes (check one): <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	14l		
m Reduction in taxes available for credit (attach statement)	14m		
n Other foreign tax information (attach statement)			
Alternative Minimum Tax (AMT) Items	15a Post-1986 depreciation adjustment	15a	
	b Adjusted gain or loss	15b	
	c Depletion (other than oil and gas)	15c	
	d Oil, gas, and geothermal properties – gross income	15d	
	e Oil, gas, and geothermal properties – deductions	15e	
	f Other AMT items (attach statement)	15f	
Items Affecting Shareholder Basis	16a Tax-exempt interest income	16a	
	b Other tax-exempt income	16b	
	c Nondeductible expenses	16c	592
	d Distributions (attach statement if required) (see instructions)	16d	
	e Repayment of loans from shareholders	16e	

**Triple "S" Energy Management LLC****15-0644-GA-AGG**

**Schedule K** Shareholders' Pro Rata Share Items (continued)

Total amount

Other Information	<b>17a</b> Investment income	<b>17a</b>	
	<b>b</b> Investment expenses	<b>17b</b>	
	<b>c</b> Dividend distributions paid from accumulated earnings and profits	<b>17c</b>	
	<b>d</b> Other items and amounts (attach statement)		
Reconciliation	<b>18</b> Income/loss reconciliation. Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14l	<b>18</b>	

**Schedule L** Balance Sheets per Books

Beginning of tax year

End of tax year

Assets		(a)	(b)	(c)	(d)
<b>1</b>	Cash				
<b>2a</b>	Trade notes and accounts receivable				
<b>b</b>	Less allowance for bad debts	(		(	
<b>3</b>	Inventories				
<b>4</b>	U.S. government obligations				
<b>5</b>	Tax-exempt securities (see instructions)				
<b>6</b>	Other current assets (attach statement)				
<b>7</b>	Loans to shareholders				
<b>8</b>	Mortgage and real estate loans				
<b>9</b>	Other investments (attach statement)				
<b>10a</b>	Buildings and other depreciable assets				
<b>b</b>	Less accumulated depreciation	(		(	
<b>11a</b>	Depletable assets				
<b>b</b>	Less accumulated depletion	(		(	
<b>12</b>	Land (net of any amortization)				
<b>13a</b>	Intangible assets (amortizable only)				
<b>b</b>	Less accumulated amortization	(		(	
<b>14</b>	Other assets (attach statement)				
<b>15</b>	Total assets				
Liabilities and Shareholders' Equity					
<b>16</b>	Accounts payable				
<b>17</b>	Mortgages, notes, bonds payable in less than 1 year				
<b>18</b>	Other current liabilities (attach statement)				
<b>19</b>	Loans from shareholders				
<b>20</b>	Mortgages, notes, bonds payable in 1 year or more				
<b>21</b>	Other liabilities (attach statement)				
<b>22</b>	Capital stock				
<b>23</b>	Additional paid-in capital				
<b>24</b>	Retained earnings				
<b>25</b>	Adjustments to shareholders' equity (attach statement)				
<b>26</b>	Less cost of treasury stock	(		(	
<b>27</b>	Total liabilities and shareholders' equity				

Form **1120S** (2017)**Triple "S" Energy  
Management LLC**

15-0644-GA-AGG

**Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return****Note:** The corporation may be required to file Schedule M-3 (see instructions)

<b>1</b> Net income (loss) per books		<b>5</b> Income recorded on books this year not included on Schedule K, lines 1 through 10 (itemize):	
<b>2</b> Income included on Schedule K, lines 1, 2, 3c, 4, 5a, 6, 7, 8a, 9, and 10, not recorded on books this year (itemize)		<b>a</b> Tax-exempt interest \$	
<b>3</b> Expenses recorded on books this year not included on Schedule K, lines 1 through 12 and 14l (itemize):		<b>6</b> Deductions included on Schedule K, lines 1 through 12 and 14l, not charged against book income this year (itemize):	
<b>a</b> Depreciation \$		<b>a</b> Depreciation \$	
<b>b</b> Travel and entertainment \$		<b>7</b> Add lines 5 and 6	
<b>4</b> Add lines 1 through 3		<b>8</b> Income (loss) (Schedule K, line 18). Line 4 less line 7	

**Schedule M-2 Analysis of Accumulated Adjustments Account, Other Adjustments Account, and Shareholders' Undistributed Taxable Income Previously Taxed (see instructions)**

	(a) Accumulated adjustments account	(b) Other adjustments account	(c) Shareholders' undistributed taxable income previously taxed
<b>1</b> Balance at beginning of tax year			
<b>2</b> Ordinary income from page 1, line 21	88,005		
<b>3</b> Other additions			
<b>4</b> Loss from page 1, line 21			
<b>5</b> Other reductions <b>STMT 2</b>	592		
<b>6</b> Combine lines 1 through 5	87,413		
<b>7</b> Distributions other than dividend distributions			
<b>8</b> Balance at end of tax year. Subtract line 7 from line 6	87,413		

Form 1120S (2017)

**Triple "S" Energy  
Management LLC**

15-0644-GA-AGG

671117

## Schedule K-1

(Form 1120S)

Department of the Treasury  
Internal Revenue Service

2017

For calendar year 2017, or tax year

☐ Final K-1☐ Amended K-1

OMB No. 1545-0123

beginning

ending

Shareholder's Share of Income, Deductions,  
Credits, etc.

▶ See back of form and separate instructions.

## Part I Information About the Corporation

A Corporation's employer identification number

26-4585783

B Corporation's name, address, city, state, and ZIP code

TRIPLE S ENERGY MANAGEMENT LLC

2038 OLDTOWN VALLEY RD SE

NEW PHILADELPHIA OH 44663

C IRS Center where corporation filed return

CINCINNATI, OH 45999

## Part II Information About the Shareholder

E Shareholder's name, address, city, state, and ZIP code

SANDRA K CARPENTER

2038 OLDTOWN VALLEY RD SE

NEW PHILADELPHIA

OH 44663

F Shareholder's percentage of stock  
ownership for tax year

100.000000 %

Triple "S" Energy  
Management LLC

15-0644-GA-AGG

Part III Shareholder's Share of Current Year Income,  
Deductions, Credits, and Other Items

1	Ordinary business income (loss)	13	Credits
	88,005		
2	Net rental real estate income (loss)		
3	Other net rental income (loss)		
4	Interest income		
5a	Ordinary dividends		
5b	Qualified dividends	14	Foreign transactions
6	Royalties		
7	Net short-term capital gain (loss)		
8a	Net long-term capital gain (loss)		
8b	Collectibles (28%) gain (loss)		
8c	Unrecaptured section 1250 gain		
9	Net section 1231 gain (loss)		
10	Other income (loss)	15	Alternative minimum tax (AMT) items
11	Section 179 deduction	16	Items affecting shareholder basis
		C*	592
12	Other deductions		
		17	Other information

\* See attached statement for additional information.

For IRS Use Only

**Federal Statements****Statement 1 - Form 1120S, Page 1, Line 19 - Other Deductions**

<u>Description</u>	<u>Amount</u>
CONTRACT LABOR	\$ 13,960
SUPPLIES	4,372
POSTAGE	583
PHONE	1,792
CELL PHONE	2,601
INTERNET	4,195
DUES AND SUBSCRIPTIONS	6,377
TRAVEL	823
CONTINUING EDUCATION	85
PROFESSIONAL SERVICES	2,248
REFERRALS	150
AUTO	1,649
INSURANCE - GENERAL LIABILITY	1,703
INSURANCE - WORKERS COMP	122
BANK SERVICE CHARGE	23
FEES	100
50% OF MEALS & ENTERTAINMENT	593
TOTAL	\$ <u>41,376</u>

**Triple "S" Energy  
Management LLC****15-0644-GA-AGG****Statement 2 - Form 1120S, Page 5, Schedule M-2, Line 5(a) - Other Reductions**

<u>Description</u>	<u>Amount</u>
MEALS & ENTERTAINMENT	\$ 592
TOTAL	\$ <u>592</u>

**Federal Statements****Sandra K Carpenter**  
**Schedule K-1, Box 16, Code C - Nondeductible Expenses**

<u>Description</u>	<u>Shareholder Amount</u>
PAGE 1 MEALS/ENTERTAINMENT	\$ 592
TOTAL	<u>\$ 592</u>

**Triple "S" Energy  
Management LLC**

15-0644-GA-AGG



Form **1120S**Department of the Treasury  
Internal Revenue Service**U.S. Income Tax Return for an S Corporation**Do not file this form unless the corporation has filed or is  
attaching Form 2553 to elect to be an S corporation.Go to [www.irs.gov/Form1120S](http://www.irs.gov/Form1120S) for instructions and the latest information.

OMB No. 1545-0123

**2018**

For calendar year 2018 or tax year beginning , ending

A Selection effective date <b>01/01/17</b>	TYPE  OR  PRINT	Name <b>TRIPLE S ENERGY MANAGEMENT LLC</b>	D Employer identification number <b>26-4585783</b>
B Business activity code number (see instructions) <b>561490</b>		Number, street, and room or suite no. if a P.O. box, see instructions. <b>2038 OLDTOWN VALLEY RD SE</b>	E Date incorporated <b>01/01/2017</b>
C Check if Sch. M-3 attached <input type="checkbox"/>		City or town, state or province, country, and ZIP or foreign postal code <b>NEW PHILADELPHIA OH 44663</b>	F Total assets (see instructions)  \$

G Is the corporation electing to be an S corporation beginning with this tax year? ☐ Yes ☒ No If "Yes," attach Form 2553 if not already filedH Check if: (1) ☐ Final return (2) ☐ Name change (3) ☐ Address change (4) ☐ Amended return (5) ☐ S election termination or revocationI Enter the number of shareholders who were shareholders during any part of the tax year **1****Caution:** Include only trade or business income and expenses on lines 1a through 21. See the instructions for more information.

Income	1a Gross receipts or sales	1a	<b>236,886</b>	1c	<b>236,886</b>
	b Returns and allowances	1b		2	
	c Balance. Subtract line 1b from line 1a			3	<b>236,886</b>
	2 Cost of goods sold (attach Form 1125-A)			4	
	3 Gross profit. Subtract line 2 from line 1c			5	
	4 Net gain (loss) from Form 4797, line 17 (attach Form 4797)			6	<b>236,886</b>
Deductions (see instructions for limitations)	5 Other income (loss) (see instructions—attach statement)			7	<b>72,000</b>
	6 Total income (loss). Add lines 3 through 5			8	<b>14,625</b>
	7 Compensation of officers (see instructions—attach Form 1125-E)			9	
	8 Salaries and wages (less employment credits)			10	
	9 Repairs and maintenance			11	
	10 Bad debts			12	<b>7,182</b>
	11 Rents			13	
	12 Taxes and licenses			14	
	13 Interest (see instructions)			15	
	14 Depreciation not claimed on Form 1125-A or elsewhere on return (attach Form 4562)			16	<b>4,405</b>
	15 Depletion (Do not deduct oil and gas depletion.)			17	
Tax and Payments	16 Advertising			18	
	17 Pension, profit-sharing, etc., plans			19	<b>33,478</b>
	18 Employee benefit programs			20	<b>131,690</b>
	19 Other deductions (attach statement) <b>SEE STMT 1</b>			21	<b>105,196</b>
	20 Total deductions. Add lines 7 through 19				
	21 Ordinary business income (loss). Subtract line 20 from line 6				
	22a Excess net passive income or LIFO recapture tax (see instructions)	22a		22c	
	b Tax from Schedule D (Form 1120S)	22b			
	c Add lines 22a and 22b (see instructions for additional taxes)				
	23a 2018 estimated tax payments and 2017 overpayment credited to 2018	23a		23e	
	b Tax deposited with Form 7004	23b			
c Credit for federal tax paid on fuels (attach Form 4136)	23c				
d Refundable credit from Form 8827, line 8c	23d				
e Add lines 23a through 23d					
24 Estimated tax penalty (see instructions). Check if Form 2220 is attached <input type="checkbox"/>			24		
25 Amount owed. If line 23e is smaller than the total of lines 22c and 24, enter amount owed			25		
26 Overpayment. If line 23e is larger than the total of lines 22c and 24, enter amount overpaid			26		
27 Enter amount from line 26: Credited to 2019 estimated tax <input checked="" type="checkbox"/> Refunded <input type="checkbox"/>			27		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements,  
and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer)  
is based on all information of which preparer has any knowledge.May the IRS discuss this return with the preparer  
shown below (see instructions)? ☒ Yes ☐ No**Sign Here**Signature of officer **SANDRA K CARPENTER**

Date

**MEMBER**

Title

**Paid Preparer Use Only**

Print/Type preparer's name

**ALAN J. BAMBECK, CPA**

Preparer's signature

**ALAN J. BAMBECK, CPA**

Date

**02/28/19**Check ☐ if

self-employed

PTIN

**P00005578**Firm's name **BAMBECK & ASSOCIATES LLC**Firm's EIN **51-0659924**Firm's address **830 WEST STATE STREET****NEWCOMERSTOWN, OH****43832**Phone no. **740-498-6984**

For Paperwork Reduction Act Notice, see separate instructions.

Form 1120S (2018)

**Schedule B Other Information** (see instructions)

1 Check accounting method:	a <input checked="" type="checkbox"/> Cash    b <input type="checkbox"/> Accrual c <input type="checkbox"/> Other (specify) ▶	Yes	No
2 See the instructions and enter the:	a Business activity ▶ <b>CONSULTING</b> b Product or service ▶ <b>ENERGY</b>		
3 At any time during the tax year, was any shareholder of the corporation a disregarded entity, a trust, an estate, or a nominee or similar person? If "Yes," attach Schedule B-1, Information on Certain Shareholders of an S Corporation			X
4 At the end of the tax year, did the corporation:			
a Own directly 20% or more, or own, directly or indirectly, 50% or more of the total stock issued and outstanding of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below			X

(i) Name of Corporation	(ii) Employer Identification Number	(iii) Country of Incorporation	(iv) Percentage of Stock Owned	(v) If Percentage in (iv) is 100%, Enter the Date (if any) a Qualified Subchapter S Subsidiary Election Was Made
<b>Triple "S" Energy Management LLC</b>	<b>15-0644-GA-AGG</b>			

b Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below		Yes	No
			X

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital

5 a At the end of the tax year, did the corporation have any outstanding shares of restricted stock? If "Yes," complete lines (i) and (ii) below.		Yes	No
(i) Total shares of restricted stock ▶			X
(ii) Total shares of non-restricted stock ▶			
b At the end of the tax year, did the corporation have any outstanding stock options, warrants, or similar instruments? If "Yes," complete lines (i) and (ii) below.			X
(i) Total shares of stock outstanding at the end of the tax year ▶			
(ii) Total shares of stock outstanding if all instruments were executed ▶			
6 Has this corporation filed, or is it required to file, <b>Form 8918</b> , Material Advisor Disclosure Statement, to provide information on any reportable transaction?			X
7 Check this box if the corporation issued publicly offered debt instruments with original issue discount ▶ <input type="checkbox"/> If checked, the corporation may have to file <b>Form 8281</b> , Information Return for Publicly Offered Original Issue Discount Instruments.			
8 If the corporation: (a) was a C corporation before it elected to be an S corporation or the corporation acquired an asset with a basis determined by reference to the basis of the asset (or the basis of any other property) in the hands of a C corporation and (b) has net unrealized built-in gain in excess of the net recognized built-in gain from prior years, enter the net unrealized built-in gain reduced by net recognized built-in gain from prior years (see instructions) ▶ \$			
9 Did the corporation have an election under section 163(j) for any real property trade or business or any farming business in effect during the tax year? See instructions			X
10 Does the corporation satisfy one of the following conditions and the corporation doesn't own a pass-through entity with current year, or prior year carryover, excess business interest expense? See instructions			X
a The corporation's aggregate average annual gross receipts (determined under section 448(c)) for the 3 tax years preceding the current tax year don't exceed \$25 million, and the corporation isn't a tax shelter; or			
b The corporation only has business interest expense from (1) an electing real property trade or business, (2) an electing farming business, or (3) certain utility businesses under section 163(j)(7). If "No," complete and attach Form 8990.			
11 Does the corporation satisfy both of the following conditions?			
a The corporation's total receipts (see instructions) for the tax year were less than \$250,000			
b The corporation's total assets at the end of the tax year were less than \$250,000			X
If "Yes," the corporation is not required to complete Schedules L and M-1.			

**Schedule B Other Information** (see instructions) (continued)

	Yes	No
12 During the tax year, did the corporation have any non-shareholder debt that was canceled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt? .....		X
If "Yes," enter the amount of principal reduction ..... ▶ \$ .....		
13 During the tax year, was a qualified subchapter S subsidiary election terminated or revoked? If "Yes," see instructions .....		X
14a Did the corporation make any payments in 2018 that would require it to file Form(s) 1099? .....	X	
b If "Yes," did the corporation file or will it file required Forms 1099? .....	X	
15 Is the corporation attaching Form 8996 to certify as a Qualified Opportunity Fund? .....		X
If "Yes," enter the amount from Form 8996, line 13 ..... ▶ \$ .....		

**Schedule K Shareholders' Pro Rata Share Items**

		Total amount
Income (Loss)	1 Ordinary business income (loss) (page 1, line 21) .....	1 105,196
	2 Net rental real estate income (loss) (attach Form 8825) .....	2
	3a Other gross rental income (loss) ..... 3a	
	b Expenses from other rental activities (attach statement) ..... 3b	
	c Other net rental income (loss). Subtract line 3b from line 3a .....	3c
	4 Interest income .....	4
	5 Dividends: a Ordinary dividends .....	5a
	b Qualified dividends ..... 5b	
	6 Royalties .....	6
	7 Net short-term capital gain (loss) (attach Schedule D (Form 1120S)) .....	7
Deductions	8a Net long-term capital gain (loss) (attach Schedule D (Form 1120S)) .....	8a
	b Collectibles (28%) gain (loss) ..... 8b	
	c Unrecaptured section 1250 gain (attach statement) ..... 8c	
	9 Net section 1231 gain (loss) (attach Form 4797) .....	9
	10 Other income (loss) (see instructions) ..... Type ▶ .....	10
	11 Section 179 deduction (attach Form 4562) .....	11
	12a Charitable contributions .....	12a
	b Investment interest expense .....	12b
	c Section 59(e)(2) expenditures (1) Type ▶ ..... (2) Amount ▶ .....	12c(2)
	d Other deductions (see instructions) ..... Type ▶ .....	12d
Credits	13a Low-income housing credit (section 42(j)(5)) .....	13a
	b Low-income housing credit (other) .....	13b
	c Qualified rehabilitation expenditures (rental real estate) (attach Form 3468, if applicable) .....	13c
	d Other rental real estate credits (see instructions) ..... Type ▶ .....	13d
	e Other rental credits (see instructions) ..... Type ▶ .....	13e
	f Biofuel producer credit (attach Form 6478) .....	13f
	g Other credits (see instructions) ..... Type ▶ .....	13g
Foreign Transactions	14a Name of country or U.S. possession ▶ .....	
	b Gross income from all sources .....	14b
	c Gross income sourced at shareholder level .....	14c
	Foreign gross income sourced at corporate level .....	
	d Section 951A category .....	14d
	e Foreign branch category .....	14e
	f Passive category .....	14f
	g General category .....	14g
	h Other (attach statement) .....	14h
	Deductions allocated and apportioned at shareholder level .....	
	i Interest expense .....	14i
	j Other .....	14j
	Deductions allocated and apportioned at corporate level to foreign source income .....	
	k Section 951A category .....	14k
	l Foreign branch category .....	14l
	m Passive category .....	14m
	n General category .....	14n
o Other (attach statement) .....	14o	
Other information .....		
p Total foreign taxes (check one): ▶ <input type="checkbox"/> Paid <input type="checkbox"/> Accrued .....	14p	
q Reduction in taxes available for credit (attach statement) .....	14q	
r Other foreign tax information (attach statement) .....		

**Triple "S" Energy Management LLC****15-0644-GA-AGG**

**Schedule K** Shareholders' Pro Rata Share Items (continued)

		Total amount
<b>Alternative Minimum Tax (AMT) Items</b>	<b>15a</b> Post-1986 depreciation adjustment	<b>15a</b>
	<b>b</b> Adjusted gain or loss	<b>15b</b>
	<b>c</b> Depletion (other than oil and gas)	<b>15c</b>
	<b>d</b> Oil, gas, and geothermal properties – gross income	<b>15d</b>
	<b>e</b> Oil, gas, and geothermal properties – deductions	<b>15e</b>
	<b>f</b> Other AMT items (attach statement)	<b>15f</b>
<b>Items Affecting Shareholder Basis</b>	<b>16a</b> Tax-exempt interest income	<b>16a</b>
	<b>b</b> Other tax-exempt income	<b>16b</b>
	<b>c</b> Nondeductible expenses	<b>16c</b> 834
	<b>d</b> Distributions (attach statement if required) (see instructions)	<b>16d</b>
	<b>e</b> Repayment of loans from shareholders	<b>16e</b>
<b>Other Information</b>	<b>17a</b> Investment income	<b>17a</b>
	<b>b</b> Investment expenses	<b>17b</b>
	<b>c</b> Dividend distributions paid from accumulated earnings and profits	<b>17c</b>
	<b>d</b> Other items and amounts (attach statement) <b>SEE STATEMENT 2</b>	
<b>Reconciliation</b>	<b>18</b> Income/loss reconciliation. Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14p	<b>18</b>

**Schedule L** Balance Sheets per Books

	Beginning of tax year		End of tax year	
	(a)	(b)	(c)	(d)
<b>Assets</b>				
<b>1</b> Cash				
<b>2a</b> Trade notes and accounts receivable				
<b>b</b> Less allowance for bad debts	( )		( )	
<b>3</b> Inventories				
<b>4</b> U.S. government obligations				
<b>5</b> Tax-exempt securities (see instructions)				
<b>6</b> Other current assets (attach statement)				
<b>7</b> Loans to shareholders				
<b>8</b> Mortgage and real estate loans				
<b>9</b> Other investments (attach statement)				
<b>10a</b> Buildings and other depreciable assets				
<b>b</b> Less accumulated depreciation	( )		( )	
<b>11a</b> Depletable assets				
<b>b</b> Less accumulated depletion	( )		( )	
<b>12</b> Land (net of any amortization)				
<b>13a</b> Intangible assets (amortizable only)				
<b>b</b> Less accumulated amortization	( )		( )	
<b>14</b> Other assets (attach statement)				
<b>15</b> Total assets				
<b>Liabilities and Shareholders' Equity</b>				
<b>16</b> Accounts payable				
<b>17</b> Mortgages, notes, bonds payable in less than 1 year				
<b>18</b> Other current liabilities (attach statement)				
<b>19</b> Loans from shareholders				
<b>20</b> Mortgages, notes, bonds payable in 1 year or more				
<b>21</b> Other liabilities (attach statement)				
<b>22</b> Capital stock				
<b>23</b> Additional paid-in capital				
<b>24</b> Retained earnings				
<b>25</b> Adjustments to shareholders' equity (attach statement)				
<b>26</b> Less cost of treasury stock	( )		( )	
<b>27</b> Total liabilities and shareholders' equity				

**Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return**

Note: The corporation may be required to file Schedule M-3 (see instructions)

<b>1</b> Net income (loss) per books		<b>5</b> Income recorded on books this year not included on Schedule K, lines 1 through 10 (itemize):	
<b>2</b> Income included on Schedule K, lines 1, 2, 3c, 4, 5a, 6, 7, 8a, 9, and 10, not recorded on books this year (itemize)		<b>a</b> Tax-exempt interest \$	
<b>3</b> Expenses recorded on books this year not included on Schedule K, lines 1 through 12 and 14p (itemize):		<b>6</b> Deductions included on Schedule K, lines 1 through 12 and 14p, not charged against book income this year (itemize):	
<b>a</b> Depreciation \$		<b>a</b> Depreciation \$	
<b>b</b> Travel and entertainment \$		<b>7</b> Add lines 5 and 6	
<b>4</b> Add lines 1 through 3		<b>8</b> Income (loss) (Schedule K, line 18). Line 4 less line 7	

**Schedule M-2 Analysis of Accumulated Adjustments Account, Shareholders' Undistributed Taxable Income Previously Taxed, Accumulated Earnings and Profits, and Other Adjustments Account**  
(see instructions)

	(a) Accumulated adjustments account	(b) Shareholders' undistributed taxable income previously taxed	(c) Accumulated earnings and profits	(d) Other adjustments account
<b>1</b> Balance at beginning of tax year	87,413			
<b>2</b> Ordinary income from page 1, line 21	105,196			
<b>3</b> Other additions				
<b>4</b> Loss from page 1, line 21	( )			
<b>5</b> Other reductions <b>STMT 3</b>	834			
<b>6</b> Combine lines 1 through 5	191,775			
<b>7</b> Distributions				
<b>8</b> Balance at end of tax year. Subtract line 7 from line 6	191,775			

Form 1120S (2018)

**Triple "S" Energy Management LLC**

15-0644-GA-AGG

671118

**Schedule K-1  
(Form 1120S)**Department of the Treasury  
Internal Revenue Service**2018**

For calendar year 2018, or tax year

☐ Final K-1☐ Amended K-1

OMB No. 1545-0123

beginning

ending

**Shareholder's Share of Income, Deductions, Credits, etc.**

▶ See back of form and separate instructions.

**Part I Information About the Corporation****A** Corporation's employer identification number**26-4585783****B** Corporation's name, address, city, state, and ZIP code**TRIPLE S ENERGY MANAGEMENT LLC****2038 OLDTOWN VALLEY RD SE****NEW PHILADELPHIA OH 44663****C** IRS Center where corporation filed return**E-FILE****Part II Information About the Shareholder****D****E****SANDRA K CARPENTER****2038 OLDTOWN VALLEY RD SE****NEW PHILADELPHIA****OH 44663****F** Shareholder's percentage of stock  
ownership for tax year**100.000000 %****Triple "S" Energy  
Management LLC****15-0644-GA-AGG**

For IRS Use Only

**Part III Shareholder's Share of Current Year Income, Deductions, Credits, and Other Items**

<b>1</b>	Ordinary business income (loss) <b>105,196</b>	<b>13</b>	Credits
<b>2</b>	Net rental real estate income (loss)		
<b>3</b>	Other net rental income (loss)		
<b>4</b>	Interest income		
<b>5a</b>	Ordinary dividends		
<b>5b</b>	Qualified dividends	<b>14</b>	Foreign transactions
<b>6</b>	Royalties		
<b>7</b>	Net short-term capital gain (loss)		
<b>8a</b>	Net long-term capital gain (loss)		
<b>8b</b>	Collectibles (28%) gain (loss)		
<b>8c</b>	Unrecaptured section 1250 gain		
<b>9</b>	Net section 1231 gain (loss)		
<b>10</b>	Other income (loss)	<b>15</b>	Alternative minimum tax (AMT) items
<b>11</b>	Section 179 deduction	<b>16</b> <b>C*</b>	Items affecting shareholder basis <b>834</b>
<b>12</b>	Other deductions		
		<b>17</b> <b>V*</b>	Other information <b>105,196</b>
		<b>W*</b>	<b>86,625</b>

\* See attached statement for additional information.

## Section 199A Information Worksheet

Form **1120S****2018**

For calendar year 2018 or tax year beginning , ending

Name

Employer Identification Number

**TRIPLE S ENERGY MANAGEMENT LLC****26-4585783**

## Activity Description

Column A ..... **PAGE 1 ACTIVITY**

Column B .....

Column C .....

Column D .....

Column E .....

	Column A	Column B	Column C	Column D	Column E
Specified service business	NO				
Ordinary business income (loss)	105,196				
Net rental real estate income (loss)					
Other net rental income (loss)					
Royalties					
<b>Section 199A income</b>	<b>105,196</b>				

**Section 199A W-2 wages** 86,625

**Section 199A unadjusted basis**

**Triple "S" Energy  
Management LLC**

**15-0644-GA-AGG**

## Other Information:

QBI allocable to cooperative pmts received

W-2 wages allocable to qualified payments

Cooperative QPAI deduction to patron

26-4585783

**Federal Statements**

FYE: 12/31/2018

**Statement 1 - Form 1120S, Page 1, Line 19 - Other Deductions**

<u>Description</u>	<u>Amount</u>
CONTRACT LABOR	\$ 1,303
SUPPLIES	4,096
POSTAGE	629
PHONE	1,394
CELL PHONE	3,024
INTERNET	4,083
DUES AND SUBSCRIPTIONS	6,765
CONTINUING EDUCATION	3,270
PROFESSIONAL SERVICES	2,515
REFERRALS	200
AUTO	1,908
INSURANCE - GENERAL LIABILITY	1,703
INSURANCE - WORKERS COMP	219
BANK SERVICE CHARGE	17
FEES	323
PRINTING	1,195
50% OF MEALS	834
TOTAL	\$ <u>33,478</u>

**Triple "S" Energy  
Management LLC**

15-0644-GA-AGG

**Statement 2 - Form 1120S, Page 4, Schedule K, Line 17d - Other Items and Amounts**

<u>Description</u>	<u>Amount</u>
SECTION 199A INFORMATION - SEE ATTACHED WRK	

**Statement 3 - Form 1120S, Page 5, Schedule M-2, Line 5(a) - Other Reductions**

<u>Description</u>	<u>Amount</u>
TRAVEL & ENTERTAINMENT	\$ 834
TOTAL	\$ <u>834</u>



**Schedule K-1, Box 16, Code C - Nondeductible Expenses**

<u>Description</u>	<u>Shareholder Amount</u>
PAGE 1 MEALS	\$ 834
TOTAL	<u>\$ 834</u>

**Triple "S" Energy  
Management LLC**

15-0644-GA-AGG

**Exhibit C-4 “Financial Arrangement”**

**Company: Triple “S” Energy Management LLC**

**Triple “S” Energy does not take title to the natural gas and  
does not require Collateral or Financial Arrangements**

**N/A**

**Exhibit C-6 "Credit Rating"**

**Company: Triple "S" Energy Management LLC**

**N/A**

**Exhibit C-7 "Credit Report"**

**Company: Triple "S" Energy Management LLC**

**Attached as Exhibit C-7 "Credit Report"**



[Home](#) | [My companies](#) | [My account](#) | [Products and](#)

For help reading this report, please review our [sample report](#).

Search inquiry: 907942602 / (My company)

## Triple "S" Energy Management LLC

15-0644-GA-AGG

### CreditScore<sup>SM</sup> Report

as of: 02/25/19 13:47 ET

## Triple S Energy Management

**Address:** 2038 Oldtown Valley Rd SE  
New Phila, OH 44663-7833  
United States

**Phone:** 330-339-6001

**Website:** [www.triplesenergy.com](http://www.triplesenergy.com)

**Experian BIN:** 907942602

#### Key Personnel:

#### SIC Code:

#### NAICS Code:

#### Experian File Established:

#### Experian Years on File:

#### Years in Business:

#### Total Employees:

#### Sales:

Owner: Sandra K Carpenter  
8748-Business Consulting  
Services, Nec  
1711-Plumbing, Heating &  
A/C Contractors  
8741-Management Services  
541618-Other Management  
Consulting  
Services  
238220-Plumbing, Heating,  
And Air-  
Conditioning  
Contractors  
236110-Residential Building  
Construction

April 2009

10 Years

10 Years

1

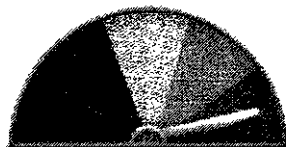
\$80,000

### Experian Business Credit Score

[Back to top](#)

**80**

**Business Credit  
Score**



**Low Risk**

The objective of the Experian Business Credit Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

Key Score Factors:

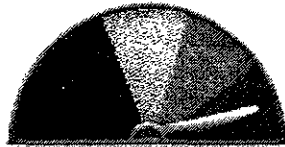
45

- Number of recently active commercial accounts.
- Ratio of total bal to total high bal across all comm accts.
- Length of time on Experian's file.

**Business Credit Scores range from a low of 1 to high of 100 with this company receiving a score of 80.** Higher scores indicate lower risk. This score predicts the likelihood of serious credit delinquencies within the next 12 months. This score uses tradeline and collections information, public filings as well as other variables to predict future risk.

**Triple "S" Energy  
Management LLC**

15-0644-GA-AGG

**1****Financial Stability Risk  
Rating****Low Risk**

**A Financial Stability Risk Rating of 1  
indicates a  
0.55% potential risk of severe  
financial distress  
within the next 12 months.**

**Key Rating Factors:**

- Number of active commercial accounts.
- Risk associated with the company's industry sector.
- Risk associated with the business type.
- Employee size of business.

**Triple "S" Energy  
Management LLC**

**15-0644-GA-AGG**

**Financial Stability Risk Ratings range from a low of 1 to high of 5 with this company receiving a rating of 1.** Lower ratings indicate lower risk. Experian categorizes all businesses to fit within one of the five risk segments. This rating predicts the likelihood of payment default and/or bankruptcy within the next 12 months. This rating uses tradeline and collections information, public filings as well as other variables to predict future risk.

**Credit Summary**[Back to top](#)

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

**Lowest 6 Month Balance:** \$29  
**Highest 6 Month Balance:** \$346  
**Current Total Account Balance:** \$0  
**Highest Credit Amount Extended:** \$0

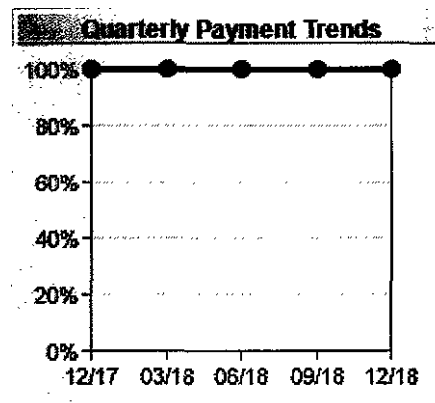
**Payment Tradelines (see [charts](#)):** 2  
**UCC Filings:** 0

✓ **Businesses Scoring Worse:** 79%  
 ✓ **Bankruptcies:** 0  
 ✓ **Liens:** 0  
 ✓ **Judgments Filed:** 0  
 ✓ **Collections:** 0

Insufficient information to produce  
**Monthly Payment Trends**  
chart.

**Triple "S" Energy  
Management LLC**

15-0644-GA-AGG

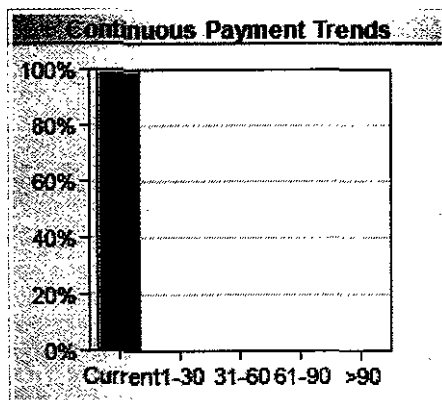


\*Percentage of on-time payments by quarter.

Insufficient information to produce  
**Monthly Payment Trends**  
table.

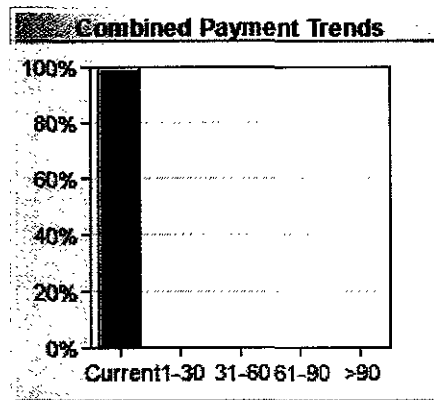
**Quarterly Payment Trends - Recent Activity**

Date	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
12/17	100%	0%	0%	0%	0%
03/18	0%	0%	0%	0%	0%
06/18	0%	0%	0%	0%	0%
09/18	100%	0%	0%	0%	0%
12/18	0%	0%	0%	0%	0%



\*Continuous distribution with DBT.

Insufficient information to produce  
**Newly Reported Payment Trends**  
chart.



\*Combined distribution with DBT.

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**Triple "S" Energy  
Management LLC**

15-0644-GA-AGG

**Exhibit C-8 “Bankruptcy Information”**

**Company: Triple “S” Energy Management LLC**

**N/A**

**Exhibit C-9 “Merger Information”**

**Company: Triple “S” Energy Management LLC**

**N/A**

**Exhibit C-10 “Corporate Structure”**

**Company: Triple “S” Energy Management LLC**

**Triple “S” Energy Management LLC is a stand-alone entity  
with no affiliate or subsidiary companies**

## **Exhibit D-1 "Operations"**

**Company: Triple "S" Energy Management LLC**

**Triple "S" Energy operates from a home office. A lot of my clients come from referrals but I also conduct cold calling to potential clients and ask them numerous questions relating to Energy. My goal is to reduce their overall cost of doing business by lowering their electricity and natural gas bills with 3<sup>rd</sup> party suppliers, offer free solar evaluations, talk to them about how they use their electricity by offering Intelligence Software, generate a revenue by offering a Demand Response Program and offer free Energy Efficiency Audits by using a Certified Engineer I have contracted with.**

**Once a client is interested in any options I offer, I will continually monitor the savings for that client on a month to month basis.**

**Exhibit D-2 “Operations Expertise”**

**Company: Triple “S” Energy Management LLC**

**Customer Case Studies Attached**



## Case Studies

TRIWAY LOCAL Local School System benefits from Deregulation on AEPOhio (Updated July 25, 2017)

Triway Local School System, located in Wooster, Ohio (Wayne County) has worked with Triple "S" Energy Management since 2011 after pursuing them about reducing their overall electric cost on AEPOhio. Triway has multiple meters that continue to enjoy significant benefits from deregulation on AEPOhio by working with Triple "S" Energy to accomplish and capture the best savings to assist with the reduction of their total cost of electricity. Triple "S" Energy has been able to save Triway Local School System a total of \$237,102.80 from Sept 2011 - May 2017 against the default rate on AEPOhio, the local utility company.

PRO FOOTBALL HALL OF FAME SAVES ON ELECTRIC COST (Updated July 25, 2017) The Pro Football Hall of Fame in Canton, Ohio, has been a national historical institution since 1963. The Pro Football Hall of Fame has partnered with Triple "S" Energy for many years to ensure they receive the lowest cost as it relates to purchasing natural gas and electricity. Since electric deregulation began Triple "S" Energy has worked extremely hard to secure significant cost savings. In soliciting proposals, and in turn signing for electricity with another provider, Triple "S" Energy has saved the Pro Football Hall of Fame \$106,564 in electric costs from September 2013 - May 2017.

Non-Profit Organization Benefits from Energy Management (Updated July 25, 2017) The Cultural Center located in Canton, Ohio has partnered with Triple "S" Energy for many years to manage their energy needs including the success of the installation of a 50.76KW Solar System. This system was installed on the roof of the Cultural Center Parking Deck and will supply a small percentage of power to the 330,000 sq. ft. building. Since the system was installed, it has produced 244,923.69 Kwh and has avoided 171,446.58 kg CO2 emissions. The system has provided about 6% of the facilities usage. To see more information on the solar system, please visit [www.artsinstark.com](http://www.artsinstark.com) and click Cultural Center. Triple "S" Energy has saved the Cultural Center a total of \$49,122.45 from July 2012 to May 2017 by reducing their electricity rate on AEP.

Wooster, Ohio Company saves on Electric Deregulation (Updated July 25, 2017)

Through the efforts of Triple "S" Energy Management, a Wooster Company has saved \$1,276,426.48 from October, 2011 - May, 2017 by enrolling with a 3rd party supplier for generation/transmission cost on AEP Ohio. Triple "S" Energy Management also offered a Program called Demand Response which is the reduction of electric usage in response to electric grid emergencies. The Company has agreed to participate in this program on a year to year basis. By agreeing to reduce their usage during a specified critical day, the business is then compensated with an incentive payment. For the period of 2016-2017, this company has received a compensation of \$70,000.00; 2017-2018, they will receive compensation of \$98,500.00 and 2018-2019, the estimated compensation is \$135,000.00.

G&S Titanium in Wooster, Ohio saves on Electric Deregulation and Renewable Energy (Updated July 25, 2017)

G&S Titanium are Metal Wire Drawing Specialists who manufacture most grades of titanium in the form of round and hex bars, fastener wire, spring wire and precision ground medical bars. Through the efforts of Triple "S" Energy Management, G&S Titanium has enrolled the manufacturing facility with a 3rd party supplier for generation and transmission on AEP Ohio. G&S Titanium has saved \$243,707.35 from October 2011 - May, 2017. Triple "S" Energy introduced Carbon Vision to G&S Titanium regarding a Solar System. G&S Titanium successfully installed a 64.86 Ground Solar Array to generate power for his office. Since the system was installed, it has produced 478,556.24 Kwh as of July 25, 2017 and has been reimbursed \$47,855.62. To view more information on G&S Titanium and their Solar array, visit [www.gs-titanium.com](http://www.gs-titanium.com).



## **Additional Testimonials**

"We have worked with Sandy for many years, She has managed our utilities, provided insight to the markets; yearly cost savings and provided data to support utilities."

**– Rick Wooldridge - Wayne Dalton, a division of Overhead Door Corporation**

"Sandy Carpenter has consulted for me since 2011 and I can testify that she has delivered significant utility savings for the firms where I have been employed as a Facility Manager. Sandy is the consummate professional and her knowledge of the utility industry is extensive. In short, she just saves you money on your utilities and looks ahead for changes in the industry and plans accordingly for you; outstanding customer service. In the energy management field, Sandy is the consummate expert: she has detailed knowledge of the utility industry and guides you to the most cost saving company. Sandy will manage your utility accounts with the utmost efficiency. Highly Recommend!"

**– Rob Barrett, Facility Manager, Arts in Stark**

"Sandy takes a "can do" attitude and organized approach to her work. She approaches her work with energy, insightfulness and integrity. She is a good listener, relates well to others and exhibits exceptional customer focus."

**– Terri Rabicoff, MBA, Vice President at TRJB, Inc.**



**Exhibit D-3 “Key Technical Personnel”**

**Company: Triple “S” Energy Management LLC**

**Name: Sandy Carpenter**

**Title: Owner/President**

**Email:**

**Phone: 330-339-6001**

**Background: 35 years of Natural Gas Experience**