



19-559-6A.AGE RECEIVED-DOCKETING DIV

2019 FEB 28 AM 11: 37

## To whom it may concern:

PUCO

Enclosed please find SKI Brokerage's gas broker license application along with three copies.

Please reach out with any questions:

Hannah Daskal -- Hannah.daskal@skibrokerage.com -- 212.671.2550

Thank you,

## Hannah Daskal

Operations Manager 212.671.2550



This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business. Technician \_\_\_\_\_ Date Processed \_\_\_\_\_\_R Public Utilities Commission

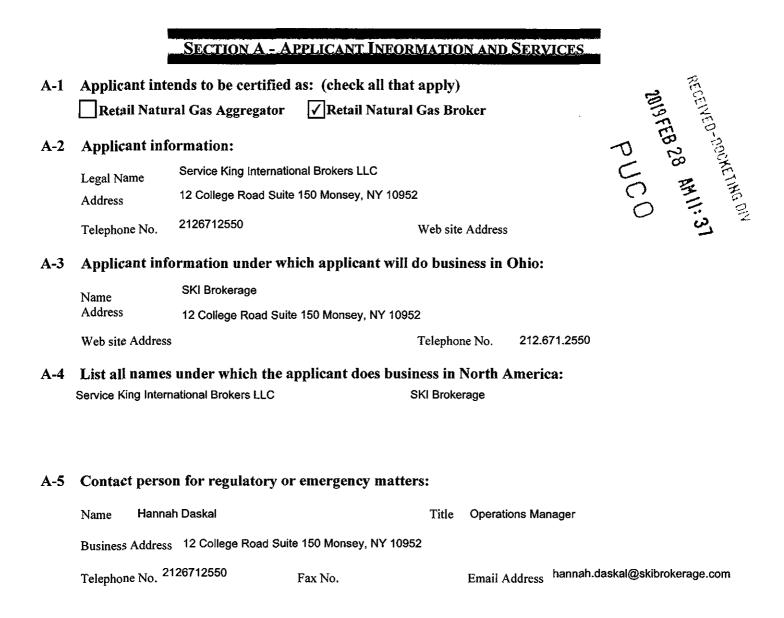
**Ohio** 

PUCO US	E ONLY – Version 1.0	8 May 2016
Date Received	Case Number	Certification Number
	- GA-AGG	

# INITIAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.



A-6	Contact person for Commissio	n Staff use in investiga	iting cu	stomer compl	aints:
	Name Hannah Daskal		Title	Operations Mar	nager
	Business address 12 College Road	Suite 150 Monsey, NY 10952	2		
	Telephone No. 2126712550	Fax No.		Email Address	hannah.daskal@skibrokerage.c
<b>A-</b> 7	Applicant's address and toll-fr	ee number for custom	er servi	ice and compl	aints
	Customer service address 12 Colleg	e Road Suite 150 Monsey, N	NY 10952		
	Toll-Free Telephone No. 2126712550	Fax No.		Email Addro	<sub>ess</sub> hannah.daskal@skibrokera
A-8	Provide "Proof of an Ohio Offi Revised Code, by listing name, designated Ohio Employee	<b></b>			
	Name Vcorp Agen Services, INC		Title	Representative	
	Business address 4400 Easton C	ommons Way, Suite 125 Col	lumbus, C	OH 43219	
	Telephone No.	Fax No.	Ema	il Address custo	merservice@skibrokerage.com
A-9	Applicant's federal employer i	dentification number	83180	)5474	
A-10	Applicant's form of ownership	: (Check one)			
	Sole Proprietorship		Partner	rship	
	Limited Liability Partnership	(LLP)	Limited	d Liability Com	pany (LLC)
	Corporation		Other		

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, more than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than for residential use, more than for residential use, more than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<b>√</b> ©	otomba Cas of Cho	Residences	1	Small Commercial	1	Larze Commercial Information
<b>√</b> D	ominion East Ohio	Residential	✓	Small Commercial	1	Large Commercial / Industrial
	hike Therey Chio	 Remontal	✓	Small Commerciell	1	Large Commerved Universited
✓ v	ectren Energy Delivery of Ohio	Residential	√	Small Commercial	1	Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

Colur	nbia Gas of Ohio			
	Residential	Baginning Date of Astrics	1.00 Duc	
	Small Commercial	Beginning Date of Service	End Date	
	Large Commerced	Beaming Date of Service	IniDae	
	Industrial	Beginning Date of Service	End Date	
Domi	nion East Ohio			
	Residentiell	Regimning Date of Service	in) Dac	
	] Small Commercial	Beginning Date of Service	 End Date	
	്രഞ്ഞും സ്ത്രാംബി	Beginning Date of Service	1 mi Date	

**Beginning Date of Service** 

Duke Energy Ohio

Industrial

Residented	Beginning Date of Service	Lid Dag
Small Commercial	Beginning Date of Service	End Date
liarge Commorenil	Bəginning Date of Service	I ni Dae
Industrial	<b>Beginning Date of Service</b>	End Date

Vectren Energy Delivery of Ohio

	Residential	Beginning Date of Service	IndDac
	Small Commercial	<b>Beginning Date of Service</b>	End Date
	Large Commorrai	Beginning Date of Sorvies	End Date
Γ	Industrial	<b>Beginning Date of Service</b>	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

**End Date** 

🖌 Culumba Cas vi Ohio	Juondeli Senti Date	Asap
Dominion East Ohio	Intended Start Date	ASAP
🖌 Duke Enargy Chio	incented Store Date	ASAP
✓ Vectren Energy Delivery of Ohio	Intended Start Date	ASAP

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.** 

- A-14 <u>Exhibit A-14 "Principal Officers, Directors & Partners,</u>" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 <u>Exhibit A-15 "Company History</u>," provide a concise description of the applicant's company history and principal business interests.
- A-16 <u>Exhibit A-16 "Articles of Incorporation and Bylaws,</u>" if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-17 <u>Exhibit A-17 "Secretary of State,</u>" provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

## SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- **B-1** <u>Exhibit B-1 "Jurisdictions of Operation</u>," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 <u>Exhibit B-2 "Experience & Plans</u>," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- **B-3** <u>Exhibit B-3 "Summary of Experience</u>," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- **B-4** <u>Exhibit B-4 "Disclosure of Liabilities and Investigations</u>," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

**B-5** Exhibit B-5 "Disclosure of Consumer Protection Violations," disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

**Ves** No No

If Yes, provide a separate attachment labeled as <u>Exhibit B-5</u> "Disclosure of Consumer Protection <u>Violations</u>," detailing such violation(s) and providing all relevant documents.

**B-6** Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

🔽 No 🗆 Yes

If Yes, provide a separate attachment, labeled as <u>Exhibit B-6</u> "Disclosure of Certification Denial, <u>Curtailment, Suspension, or Revocation</u>," detailing such action(s) and providing all relevant documents.

## SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED** 

C-1 <u>Exhibit C-1 "Annual Reports,</u>" provide the two most recent Annual Reports to Shareholders. If the applicant does not produce annual reports, the applicant should indicate that Exhibit C-1 is not applicable and why.

(This is generally only applicable to publicly traded companies who publish annual reports.)

- C-2 <u>Exhibit C-2 "SEC Filings,</u>" provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements</u>," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 <u>Exhibit C-4 "Financial Arrangements,</u>" provide copies of the applicant's current financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.

2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).

3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.

4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 <u>Exhibit C-5 "Forecasted Financial Statements</u>," provide two years of forecasted income statements for the applicant's NATURAL GAS related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 <u>Exhibit C-6 "Credit Rating</u>," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 <u>Exhibit C-7 "Credit Report,</u>" provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

## SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations," provide a current written description of the operational nature of the applicant's business functions.
- **D-2** Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and TitleHadassah Klein, President, SKI BrokerageKleinSworn and subscribed before me this $\mathcal{H}^{S+}$  day offebrianyMonth $\mathcal{A}^{O}$  $\mathcal{H}^{S+}$  day offebrianyMonth $\mathcal{A}^{O}$  $\mathcal{H}^{O}$  $\mathcal{H}^{O}$  $\mathcal{H}^{O}$ 

Ronce Leme

Signature of official administering oath

**Print Name and Title** 

RENEE LEVINE Notary Public State of New York No 01LE6127285 Qualified in Rockland County Commission Expires May 23 2021

My commission expires on

THES COMPANY OF THE SECONDARY OF THE SEC	Affid	es Commi al Natural Gas Servic lavit Form rsion 1.07)	¢
In the Matter of the A	pplication of	)	
Service King International B	rokers LLC	) Case No.	-GA-AGG
for a Certificate or Re	newal Certificate to Provide	) Case 110.	
Competitive Retail Na	tural Gas Service in Ohio.	)	
County of Rockland State of New York		_	

(1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.

Hadassah klein

[Affiant], being duly sworn/affirmed, hereby states that:

- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.

(8)	Affiant further sayeth naught.			
	Affiant Signature & Title	joh -		
	Sworn and subscribed before me this	21 St day of Febru	and Month	DO19 Year
	Rence Jenne			
	Signature of Official Administering Oath	Print Name a	and Title	
No	RENEE LEVINE tary Public State of New York No 01LE6127285	My commission expires on		
C Con	Qualified in Rockland County Imission Expires May 23 2021	(CRNGS Broke	r/Aggregator Ver. 1.08, Revis	ed May 2016) Page 8 of 8

# **EXHIBIT A**

### A-14: Principal Officers, Directors, and Partners

- Hadassah Klein, Partner and President
  College Road Suite 150
  Monsey, NY 10952
- Ruthy Orzel, Partner
  College Road Suite 150
  Monsey, NY 10952
- Esther Chana Moeller, Partner 12 College Road Suite 150 Monsey, NY 10952

### A-15: Company History

SKI Brokerage is a new entity. The company is run by professionals with experience in the energy industry.

#### A-16: Articles of Incorporation

See attachment – Exhibit A-16

#### A-17: Secretary of State

See attachment – Exhibit A-17

#### ONLINE FILING RECEIPT Exhibit A-16

ENTITY NAME: SERVICE KING INTERNATIONAL BROKERS LLC

COUNTY: ROCK DOCUMENT TYPE: ARTICLES OF ORGANIZATION (DOM. LLC) 

FILED:09/05/2018 DURATION:\*\*\*\*\*\*\*\* CASH#:180905010133 FILE#:180905010133 DOS ID:5404389

> EXIST DATE 09/05/2018

FILER: \_\_\_\_\_ VCORP SERVICES, LLC 25 ROBERT PITT DRIVE SUITE 204 MONSEY, NY 10952

-----

ADDRESS FOR PROCESS: 

THE LIMITED LIABILTY COMPANY 12 COLLEGE ROAD MONSEY, NY 10952

REGISTERED AGENT:



The limited liability company is required to file a Biennial Statement with Department of State every two years pursuant to Limited Liability Company I Section 301. Notification that the Biennial Statement is due will only be m email. Please go to www.email.ebiennial.dos.ny.gov to provide an email add: receive an email notification when the Biennial Statement is due.

SERVICE COMPANY: \*\* NO SERVICE COMPANY \*\* SERVICE CODE: 00

FEE:	200.00	PAYMENTS 200.00
FILING: TAX: PLAIN COPY: CERT COPY: CERT OF EXIST:	200.00 0.00 0.00 0.00 0.00 0.00	CHARGE 200.00 DRAWDOWN 0.00
~~~~~~~~~~~~~~~~~~~		

DOS-1025 (04/2007)

Authentication Number: 1809050139 To verify the authenticity of this docur may access the Division of Corporation's Document Authentication Website a

# **ACKNOWLEDGEMENT COPY**

# ARTICLES OF ORGANIZATION OF

# Service King International Brokers LLC

Under Section 203 of the Limited Liability Company Law

FIRST: The name of the limited liability company is:

### Service King International Brokers LLC

- **SECOND:** The county, within this state, in which the office of the limited liability company is to be located is ROCKLAND.
- **THIRD:** The Secretary of State is designated as agent of the limited liability company upon whom process against it may be served. The address within or without this state to which the Secretary of State shall mail a copy of any process against the limited liability company served upon him or her is:

The Limited Liability Company 12 College Road Monsey, NY 10952

I certify that I have read the above statements, I am authorized to sign these Articles of Organization, that the above statements are true and correct to the best of my knowledge and belief and that my signature typed below constitutes my signature.

Limary Hewes, Organizer (signature)

Limary Hewes, ORGANIZER 25 Robert Pitt Drive Suite 204 Monsey, NY 10952

Filed by: Vcorp Services, LLC 25 Robert Pitt Drive Suite 204 Monsey, NY 10952





DATE 12/20/2018 DOCUMENT ID 201835401932 DESCRIPTION REGISTRATION OF FOREIGN FOR PROFIT LLC (LFP) FILING EXPED CERT COPY 99.00 0.00 0.00 0.00

**Receipt** This is not a bill. Please do not remit payment.

NSI ATTN: MARINA REEL 145 BAKER STREET MARION, OH 43302

# STATE OF OHIO CERTIFICATE **Ohio Secretary of State, Jon Husted** 4269373 It is hereby certified that the Secretary of State of Ohio has custody of the business records for SERVICE KING INTERNATIONAL BROKERS LLC and, that said business records show the filing and recording of: Document(s) Document No(s): **REGISTRATION OF FOREIGN FOR PROFIT LLC** 201835401932 Effective Date: 12/17/2018 Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 20th day of December, A.D. 2018. Jon Hasted United States of America State of Ohio **Ohio Secretary of State** Office of the Secretary of State

Form 533B Prescribed by:

e



CHECK ONLY ONE (1) BOX



Toli Free: (877) SOS-FILE (877-767-3453) Central Ohio: (614) 466-3910 www.OhioSecretaryo/State.gov w@OhioSecretaryofState.gov

File online or for more information: www.OHBusinessCentrel.com

For screen readers, follow instructions located at this path,

Mail this form to one of the following:

Reguler Filing (non expedite) P.O. Box 670 Columbus, OH 43216

Expedite Filing (Two business day processing tir Requires an additional \$199.00)

NEN.

P.O. Box 1390 Columbus, OH 45215

# **Registration of a Foreign** Limited Liability Company

Filing Fee: \$99

Form Must Be Typed

(1) Registration Liability Co (106-LFA) ORC 1705	of a Foreign For-Profit Limited mpany		on of a Foreign Nonprofit iability Company
Jurisdiction of Formation	New York	Jurisdiction of Formation	n
Date of Formation	09/05/2018	Date of Formation	
Name of Limited Liabil	ity Company in its jurisdiction of for	mation	
Service King Interna	ational Brokers LLC	·····	
fhe address to which it	of the following words or abbreviations: "Iim nterested persons may direct reque other charter documents of the com	ests for copies of the limited liabili	
Service King Interna	tional Brokers LLC		
Service King Interna Name	tional Brokers LLC		
Name			
Name 12 College Road Su			NY 10952

VCOIP Agen	it Servi	ces, Inc			
Name of Ag	ent				
4400 Easto	n Com	nons Way Suite 125			
Mailing Add	ress			<u> </u>	······································
Columbus			] [	Ohio	43219
City				State	ZIP Code

*,* -

.

Required	flegh	
Must be signed by an authorized representative.	Signature	
f authorized representative s an individual, then they	By (if applicable)	
nust sign in the "signature"	MADASSAM KIEIN	
box and print their name n the "Print Name" box.	Print Name	· · · · · · · · · · · · · · · · · · ·
f authorized representative s a business entity, not an		
ndividual, then please print	Signature	
he business name in the signature" box, an		
iuthorized representative If the business entity nust sign in the "By" box	By (if applicable)	
and print their name in the Print Name" box.	Print Name	
	Signature	
	Signature	
	By (if applicable)	
	Print Name	······································

.

# **EXHIBIT B**

#### **B-1:** Jurisdiction of Operation

SKI Brokerage is currently applying for broker licenses in the states of: DE, IL, ME, MD, MA, PA and NJ.

We will operate in those states when our licenses have been approved.

SKI Brokerage will broker energy in CT and NY as well.

#### **B-2:** Experience and Plans

SKI Brokerage will not enter into contract with any customers.

SKI brokers develop trusting relationships with customers using transparency and open communication. We are always available to help our clients through the process, and explain to them fully what it entails. We will bid out customers' accounts and advise customers as to which supplier contract to sign, based on the pricing and contract terms.

SKI Brokerage will not bill customers.

#### **B-3:** Summary of Experience

Hadassah Klein, SKI Brokerage's president, has experience as an energy supplier. As president of HIKO Energy she had the following responsibilities:

- Worked hand-in-hand with the CEO running the company
- Worked with the accounting and purchasing departments
- Liaison to sales team and the company
- Led a team of customer service professionals
- Provided full time support to employees
- Developed training manuals

#### **B-4:** Disclosure of Liabilities and Investigations

SKI Brokerage has no existing, pending, or past rulings, judgements, liabilities or revocations that could adversely impact the financial or operational status or ability to provide services it is seeking to be certified to provide.

#### SKI Brokerage - OH Gas Broker Application

## **EXHIBIT C**

#### C-1: Annual Reports

Applicant is a privately owned company with no annual reports to provide.

#### C-2: SEC Filings

N/A. SKI Brokerage is a new entity with no financial records to provide.

#### **C-3:** Financial Statements

N/A. SKI Brokerage is a new entity with no financial records to provide.

#### **C-4:** Financial Arrangements

N/A. SKI Brokerage will not take title to the energy.

#### C-5: Forecasted Financial Statements

See attachment – Exhibit C-5

#### C-6: Credit Rating

N/A. SKI Brokerage is a new entity with no credit rating to provide.

#### C-7: Credit Report

N/A. SKI Brokerage is a new entity with no credit report to provide.

#### C-8: Bankruptcy Information

N/A.

#### **C-9:** Merger Information

SKI Brokerage has no such dissolutions or acquisitions to list.

#### C-10: Corporate Structure

SKI Brokerage is an independently owned, standalone company.

ц,	
$\epsilon^{i}$	
$\smile$	
+	
õ	
1	
マ	
$ \mathcal{T} $	

				Ň	Servie King Int	g Int	erantio	nal B	rokers i	LC.	- Ohio (	3as f	orecas	ted	erantional Brokers LLC - Ohio Gas Forecasted Revenue 2020.	è 20	50					
	5	lan-20	Feb-20		Mar-20	<b> </b>	Apr-20 May-20	ž	ıy-20		Jun-20		ul-20	∢	Jul-20 Aug-20 Sep-20	Ň	p-20؛	Oct-20 Nov-20	z	00-20	De	Dec-20
Forecasted \$	\$	- \$	,	Ş	1	Ś.	ı	Ş	v) 1	ا مر	• <i>r</i> 1	~		~	v) 1			ı	Ş	\$ -		,
Costs	\$ 10	100.00																				
																						1

asted \$					Ser	vie King	s Inté	erantio	nal Bı	rokers		- Ohio 6	as f	orecas	ted	Servie King Interantional Brokers LLC - Ohio Gas Forecasted Revenue 2021	20	1					
asted \$		Jan-21		-eb-21	Σ	ar-21	<	pr-21	Ma	y-21	1	ın-21	ſ	ul-21	۲	ug-21	Š		Oct-21 Nov-21	21	Nov-2		Dec-21
~	Forecasted \$	1	Ş	1	Ş	-			Ş			1	<u>,</u>		<u>ب</u> ہ	1			1	Ş	ı	Ş	I
۰ ۱	Costs \$	100.00																					

	,	100.00	\$(100.00)
2020	Ŷ	¢ 1	\$(1
20	Income	Expenses	Total

Prepared by			
2021	¢ '	\$100.00	\$(100.00)
5	Income	Expenses	Total

Hannah Daskal 12 College Road, Suite 150 Monsey, NY 10952 212.671.2550

hannah.daskal@skibrokerage.com

SKI Brokerage is not currently doing business in OH. As soon as our license is approved we will begin operations in Ohio. Note:

# EXHIBIT D

### **D-1:** Operations

SKI Brokerage will broker energy for our customers by bidding out their accounts to various suppliers, advising the customers as to which supplier to enter into a contract with, and assisting them with the contract signing process.

#### **D-2:** Operational Expertise

See attached resume.

#### **D-3:** Key Technical Personnel

- Hadassah Klein, President <u>bids@skibrokerage.com</u>
   212.671.2550
   Hadassah was the Executive Vice President for HIKO Energy, working closely with the CEO for 6 years. There she gained extensive knowledge and understanding of the energy industry.
- Leah Pollak, Sales Manager <u>Customersupport@skibrokerage.com</u> 212.671.2550 Leah worked as a broker for energy or

Leah worked as a broker for energy companies for many years providing support to sub-brokers and overseeing the entire process, from bid to contract.

3. Hannah Daskal, Operations Manager

Hannah.daskal@skibrokerage.com

212.671.2550

Hannah worked as an energy broker in a boutique sized commercial energy brokerage in New York. There, she communicated with sub-brokers, developed strong relationships with suppliers and carried out the energy brokering process – analyzing customers' accounts, bidding them out, and procuring contracts to assist customers in saving on their energy.