

FILE



Public Utilities Commission

PUCO USE ONLY - Version 1.08 May 2016		
Date Received	Renewal Certification Number	ORIGINAL CRS Case Number
		15 -0116 - GA-CRS

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

- Retail Natural Gas Aggregator Retail Natural Gas Broker Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name Agera Energy LLC
 Address 555 Pleasantville Road, S107, Briarcliff Manor, NY 10510
 Telephone No. 914-236-1406 Web site Address www.ageraenergy.com
 Current PUCO Certificate No. 15-0116 Effective Dates Expires 1/22/2019

A-3 Applicant information under which applicant will do business in Ohio:

Name Agera Energy LLC
 Address 555 Pleasantville Road, S107, Briarcliff Manor, NY 10510
 Web site Address www.ageraenergy.com Telephone No. 914-236-1406

A-4 List all names under which the applicant does business in North America:

Agera Energy LLC This is to certify that the images appearing are a accurate and complete reproduction of a case file document delivered in the regular course of business
 Technician mmm Date Processed 12/14/18

A-5 Contact person for regulatory or emergency matters:

Name Raima Jamal Title Corporate Counsel
 Business Address 555 Pleasantville Road, S107, Briarcliff Manor, NY 10510
 Telephone No. 914-205-5838 Fax No. Email Address rjamal@ageraenergy.com

RECEIVED-DOCKETING DIV
 2018 DEC 14 PM 4:16
 PUCO

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Raima Jamal Title Corporate Counsel
Business address 555 Pleasantville Road, S107, Briarcliff Manor, NY 10510
Telephone No. 914-205-6838 Fax No. Email Address rjamal@ageraenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 555 Pleasantville Road, S107, Briarcliff Manor, NY 10510
Toll-Free Telephone No. (844) 692-4372 Fax No. Email Address customercare@ageraenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Title
Business address
Telephone No. Fax No. Email Address

A-9 Applicant's federal employer identification number 465028122

A-10 Applicant's form of ownership: (Check one)

- Sole Proprietorship Partnership
 Limited Liability Partnership (LLP) Limited Liability Company (LLC)
 Corporation Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

Columbia Gas of Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	10/7/2015	End Date	N/A
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	5/4/2015	End Date	N/A
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	5/4/2015	End Date	N/A
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service		End Date	

Dominion East Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	9/30/2015	End Date	N/A
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	5/1/2015	End Date	N/A
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	5/1/2015	End Date	N/A
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service		End Date	

Duke Energy Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	2/28/15	End Date	N/A
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	3/3/15	End Date	N/A
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	3/3/15	End Date	N/A
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service		End Date	

Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date	
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	Unsure

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 **Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 **Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

No Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

No Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate within the two most recent years preceding the application.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title *Gust Duda CEO*

Sworn and subscribed before me this *4th* day of *December* Month *2018* Year

Deanna Rossetti
Signature of official administering oath

Print Name and Title *Deanna Rossetti, Notary Public*

My commission expires on *07/02/2022*





The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of

Agera Energy LLC

for a Certificate or Renewal Certificate to Provide

Competitive Retail Natural Gas Service in Ohio.

Case No. 15-0116-GA-CRS

County of Westchester

State of New York

Geoffrey Dubb

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Geoffrey Dubb CEO

Sworn and subscribed before me this

4th

day of

December

Month

2018

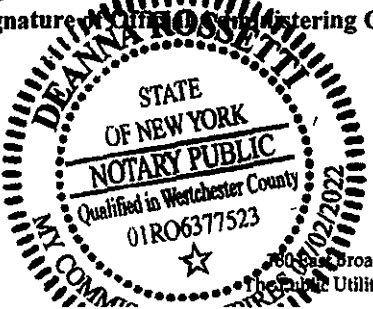
Year

Deanna Rossetti

Signature of Notary Public administering Oath

Deanna Rossetti, Notary Public

Print Name and Title



My commission expires on

02/02/2022

Exhibit A-14 “Principal Officers, Directors & Partners”

Michael Nordlicht, General Counsel
555 Pleasantville Road, S109
Briarcliff Manor, NY 10510
Mnordlicht@ageraenergy.com (914) 236-1406

Geoffrey Duda, Chief Executive Officer
555 Pleasantville Road, S109
Briarcliff Manor, NY 10510
Gduda@ageraenergy.com (929) 222-0070

Todd Sandford, Chief Operating Officer
555 Pleasantville Road, S109
Briarcliff Manor, NY 10510
Tsandford@ageraenergy.com (914) 205-5852

Mark Lizenbold, Chief Financial Officer
555 Pleasantville Road, S109
Briarcliff Manor, NY 10510
Mlinzenbold@ageraenergy.com (914) 205-5831

Sariah Rodriguez, EVP of Gas Supply
555 Pleasantville Road, S109
Briarcliff Manor, NY 10510
Srodriguez@ageraenergy.com (914) 236 1423

Thomas Frederiksen, Chief Technology Officer
555 Pleasantville Road, S109
Briarcliff Manor, NY 10510
Tfrederiksen@ageraenergy.com (914) 236 1424

Lakeisha John, Director of Operations
555 Pleasantville Road, S109
Briarcliff Manor, NY 10510
Ljohn@ageraenergy.com (914) 205 5919

Exhibit A-15 “Company History”

Agera Energy LLC was formed in March 2014 as a Delaware Limited Liability Company. 100% of the membership interests of Agera Energy LLC are owned by Agera Holdings LLC. AGX Holdings LLC is the manager of Agera Holdings LLC. Current officers of Agera Energy are Michael Nordlicht (General Counsel), Geoffrey Duda (CEO), Todd Sandford (COO), Mark Linzenbold (CFO). Other key personnel include Sariah Rodriguez (VP of Gas Supply). Agera operates in most deregulated markets cross the US.

Exhibit A-16 “Articles of Incorporation and Bylaws”

Bylaws not applicable.

Exhibit A-17 “Secretary of State”

Attached.



DATE	DOCUMENT ID	DESCRIPTION	FLING	EXPED	PENALTY	CERT	COPY
03/21/2014	201408000363	REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	125.00	100.00		.00	.00

Receipt

This is not a bill. Please do not remit payment.

VCORP SERVICES LLC
 TAYLOR LOLYA
 25 ROBERT PITT DR - # 204
 MONSEY, NY 10952

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted

2279100

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

GREENLEAF CURRENT LLC

and, that said business records show the filing and recording of:

Document(s):

REG. OF FOR. PROFIT LIM. LIAB. CO.

Document No(s):

201408000363

Effective Date: 03/20/2014



United States of America
 State of Ohio
 Office of the Secretary of State

Witness my hand and the seal of the
 Secretary of State at Columbus,
 Ohio this 21st day of March, A.D.
 2014.

Jon Husted

Ohio Secretary of State



Form 5338 Prescribed by: Ohio Secretary of State JON HUSTED Ohio Secretary of State Central Ohio: (614) 466-3910 Toll Free: (877) SOS-FILE (767-3453) www.OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite) P.O. Box 670 Columbus, OH 43216

Expedite Filing (Two-business day processing time requires an additional \$100.00). P.O. Box 1380 Columbus, OH 43216

Registration of a Foreign Limited Liability Company Filing Fee: \$125

28 MAR 20 PM 4:28

CHECK ONLY ONE (1) BOX

(1) [X] Registration of a Foreign For-Profit Limited Liability Company (108-LFA) ORG 1706

Jurisdiction of Formation DE Date of Formation 03/05/2014

(2) [] Registration of a Foreign Nonprofit Limited Liability Company (108-LFA) ORG 1705

Jurisdiction of Formation Date of Formation

Name of Limited Liability Company in its jurisdiction of formation

GreenLeaf Energy LLC

Name under which the foreign limited liability company desires to transact business in Ohio (if different from its name in its jurisdiction of formation) is:

GreenLeaf Current LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

The address to which interested persons may direct requests for copies of the limited liability company's operating agreement, bylaws, or other charter documents of the company is:

Aaron Sputz Name 889 Crown Street Mailing Address Brooklyn City NY State 11213 ZIP Code

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

Vcorp Agent Services, Inc.
 Name

28802 Yeshiva Lane
 Mailing Address

Wickliffe Ohio 44082
 City State ZIP Code

If the agent is an individual and using a P.O. Box, check this box to confirm that the agent is an Ohio resident.

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if:


- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.


 Signature

By (if applicable)

Taylor Lolya
 Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "GREENLEAF ENERGY LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTEENTH DAY OF MARCH, A.D. 2014.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "GREENLEAF ENERGY LLC" WAS FORMED ON THE FIFTH DAY OF MARCH, A.D. 2014.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE NOT BEEN ASSESSED TO DATE.



5492806 8300

140328705

You may verify this certificate online at corp.delaware.gov/authstat.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 1205879

DATE: 03-13-14

This fax was received by GFI FAXmaker fax server. For more information, visit: <http://www.gfi.com>



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
04/04/2014	201409400475	CORRECT REG./FOREIGN LLC (LFC)	80.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

VCORP SERVICES, LLC
 ATTN:TAYLOR LOLYA
 25 ROBERT PITT DR,STE 204
 MONSEY, NY 10952

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted

2279100

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

AGERA ENERGY LLC

and, that said business records show the filing and recording of:

Document(s)

CORRECT REG./FOREIGN LLC

Document No(s):

201409400475

Effective Date: 04/03/2014



United States of America
 State of Ohio
 Office of the Secretary of State

Witness my hand and the seal of the
 Secretary of State at Columbus, Ohio
 this 4th day of April, A.D. 2014.

Jon Husted

Ohio Secretary of State



Form 543B Prescribed by:
Ohio Secretary of State
JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SGS-FILE (787-3453)
www.OhioSecretaryofState.gov
8usserv@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite)
P.O. Box 1329
Columbus, OH 43216

Expedite Filing (Two-business day processing
time requires an additional \$100.00).
P.O. Box 1390
Columbus, OH 43216

**Foreign Limited Liability Company
Certificate of Correction
Filing Fee: \$50
(135-LFC)**

2014 APR 3 3 48 PM

The undersigned authorized representative of:

GreenLeaf Energy LLC

Name of limited liability company in jurisdiction of formation

GreenLeaf Curran LLC

Name of limited liability company in Ohio, if different than above

2278100

Ohio Registration Number

Delaware

Jurisdiction of Formation

Only complete sections that apply. (sections to be corrected)

Name of limited liability company in jurisdiction of formation

Name of limited liability company in Ohio

Agers Energy LLC

Name of limited liability company in Ohio must include one of the following words or abbreviations: "limited liability company," "limited," "L.L.C.," "llc," or "llc"

The address to which interested persons may direct requests for copies of any operating agreement, or any bylaws, or other charter documents of the company is:

Mailing Address

City

State

ZIP Code

Complete the information in this section if the limited liability company wants to correct the a statutory agent information.

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

[Empty text box for Name]

Name

[Empty text box for Mailing Address]

Mailing Address

[Empty text box for City]

City

Ohio

State

[Empty text box for ZIP Code]

ZIP Code

If the agent is an individual and using a P.O. Box, check this box to confirm that the agent is an Ohio resident.

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if:

- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required Must be signed by an authorized representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

[Handwritten signature]

Signature

Authorized Person

By (if applicable)

Taylor Loya

Print Name

[Empty signature box]

Signature

[Empty by box]

By (if applicable)

[Empty print name box]

Print Name

State of Delaware
Secretary of State
Division of Corporations
Delivered 04:09 PM 04/03/2014
FILED 04:07 PM 04/03/2014
SRV 140426106 - 5492806 FILE

**STATE OF DELAWARE
CERTIFICATE OF AMENDMENT
OF**

GreenLeaf Energy LLC

FIRST: The name of Limited Liability Company is GreenLeaf Energy LLC (the "Company").

SECOND: The first article of Certificate of Formation of the Company relating to the name is hereby amended in its entirety as follows:

**"FIRST: The name of this limited liability company is:
Agera Energy LLC "**

IN WITNESS WHEREOF, the undersigned has executed this Certificate on April 03, 2014.

/s/ Taylor Lolya

Taylor Lolya,
Authorized Person

CERTIFICATE OF FORMATION

OF

GreenLeaf Energy LLC

- FIRST:** The name of the limited liability company is GreenLeaf Energy LLC
- SECOND:** The address of its registered office in the State of Delaware is 1811 Silverside Road in the City of Wilmington, Delaware 19810, in the County of New Castle. The name of its registered agent at such address is Vcorp Services, LLC.
- THIRD:** Members may be admitted in accordance with the terms of the Operating Agreement of the limited liability company.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation, on March 05, 2014.

/s/Aaron Sputz
Aaron Sputz, Authorized Person

Exhibit B-1 “Jurisdictions of Operation”

Agera Energy LLC is licensed to provide retail electric/ gas supply in the following jurisdictions:

State	Commodity	License Numbers
California	Electric	ESP:1394
California	Gas	Approved
Connecticut	Electric	14-10-05
District of Columbia	Electric	EA-2014-25
District of Columbia	Gas	GA-2014-14
Illinois	Electric	Approved
Illinois	Gas	Approved
Maine	Electric	2014-00361
Maine	Gas	Approved
Delaware	Electric	14-0506
Maryland	Electric	IR-3417
Maryland	Gas	IR-3418
Massachusetts	Electric	CS-121
Massachusetts	Gas	GS-056
New Hampshire	Electric	DM-14-298
New Hampshire	Gas	DM 14-299
New Jersey	Electric	ESL-0194
New Jersey	Gas	GSL 0167
New York	Electric	Approved
New York	Gas	Approved
Ohio	Electric	14-881 (E)
Ohio	Gas	15-415(G)
Pennsylvania	Gas	A-2014-2445425
Pennsylvania	Electric	A-2014-2445416
Rhode Island	Gas	2379
Rhode Island	Electric	D-96-6
Texas	Electric	10230
Virginia	Electric	E-31
Virginia	Gas	G- 43
FERC	Approved	
PJM	Approved	
NYISO	Approved	
ISO-NE / NEPOOL	Approved	
MISO	Approved	
CAISO	Approved	

Exhibit B-2 “Experience & Plans”

Agera Energy LLC (“Agera”) has approximately 4 years of experience providing electricity and natural gas supply to residential and commercial customers in the PJM market, including Ohio. Agera also supplies electricity and natural gas in other markets across the US. Agera maintains several different departments that work together to facilitate Agera’s electric and natural gas supply business, including but not limited to Customer Care, Billing & Invoicing, Contracts Administration, and Data Services. Agera’s Customer Care team addresses and responds to all customer inquiries and complaints.

Exhibit B-3 "Summary of Experience"

See D3 and B2.

• Agera Energy LLC PUCO GAS RENEWAL 2018

Exhibit B-4 "Disclosure of Liabilities and Investigations"

Not Applicable.

Exhibit C-1 “Annual Reports”

Not Applicable. Company is not publicly traded.

Exhibit C-2 “SEC Filings”

Company is not required to make these filings because it is not a publicly traded company.

Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.). Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

Enclosed is a letter from an Ohio local distribution utility (LDU) confirming that Agera Energy LLC meets the LDU's collateral requirements.

Tuesday, November 20, 2018

AGERA ENERGY LLC
Attn: Kimberly Rai
555 Pleasant Rd, Suite S-107
Briarcliff Manor, NY 15010

Re: Columbia Gas of Ohio Collateral Requirements

To Whom It May Concern:

AGERA ENERGY LLC has complied with Columbia Gas of Ohio's collateral requirements.

Sincerely,



Michele Caddell
Director, Transportation Programs and Nominations

Exhibit C-6 “Credit Rating”

Not Applicable.

Exhibit C-8 “Bankruptcy Information,” provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

Not Applicable.

Exhibit C-9 “Merger Information,” provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.

Not Applicable.

Exhibit C-10 “Corporate Structure,” provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Agera Energy LLC is wholly owned by Agera Holdings LLC. Agera Energy LLC owns 100% of Energy.me Midwest LLC (a retail electric supplier operating in PJM states including Ohio) and Aequitas Energy Inc. (a retail electric supplier in the state of Connecticut).

D. TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

D-1 Exhibit D-1 “Operations” provide a written description of the operational nature of the applicant’s business. Please include whether the applicant’s operations include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

Agera Energy LLC (“Agera”) provides retail energy supply to residential and commercial customers in Ohio. Agera does have a supply agreement in place.

D-2 Exhibit D-2 “Operations Expertise,” given the operational nature of the applicant’s business, provide evidence of the applicant’s experience and technical expertise in performing such operations.

Agera Energy LLC has served as an electric and natural gas supplier in Ohio since 2015. It has extensive experience dealing with electric commercial and residential customers in many states across the-US. In the past few years, Agera has managed to increase its presence in Ohio.

D-3 Exhibit D-3 “Key Technical Personnel,” provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant’s business.

Key Employee Bios

Michael Nordlicht – General Counsel

Mnordlicht@ageraenergy.com (914) 236 1406

Michael J. Nordlicht is General Counsel of Agera Energy LLC and has held that position since Agera began in 2014. At Agera, Michael oversees regulatory and corporate compliance. Prior to joining Agera, he worked as an Associate Attorney with the Maryland Attorney General – Department of Public Safety and Correctional Services. He is well versed in regulatory, administrative and corporate law.

Geoffrey Duda - Chief Executive Officer

Gduda@ageraenergy.com (929) 222 0070

Geoffrey currently serves as Agera’s CEO. Geoff previously worked at Liberty Energy where he served as President, Mass Markets, Commercial & Industrial since 2017. Prior to Liberty, he spent over 12 years at Direct Energy in various senior management roles including Senior Vice President and General Manager of Direct Energy Home.

Mark Lizenbold - Chief Financial Officer

Mlinzenbold@ageraenergy.com (914) 205 5831

Mark currently serves as Agera’s Chief Financial Officer. Mark has an extensive background in the retail energy industry. Mark recently spent over seven years as Vice President, Finance & Business Development at Direct Energy. He is a dedicated and seasoned executive with experience in all facets of finance, business management and organizational development. Other recent roles have been VP of Business Planning & Corporate Controller Strategic Energy. In addition to his time at Direct Energy, Mark has also served as Senior Manager for KPMG.

Todd Sandford - Chief Operating Officer

tsandford@ageraenergy.com (914) 205 5852

Todd currently serves as Agera’s Chief Operating Officer where he oversees various departments including Billing & Invoicing, Customer Care, Contracts Administration, and Data Services. Todd held multiple roles during his career at Direct Energy including Director, VP of Finance, VP of Small Business and VP of Energy Solutions and Customer Operations. Todd has also previously served as SVP of North America Distributed Energy at Centrica.

Sariah Rodriguez – EVP of Gas Supply

Srodriguez@ageraenergy.com (914) 236 1423

Sariah has over 10 years of experience in the energy industry. She currently heads the gas department at Agera. This includes overseeing the gas scheduling team responsible for pricing, scheduling, monitoring and selling gas. Prior to working at Agera, Sariah has held gas management positions at Guttman Energy, and U.S. Gas & Electric Inc.

Thomas Frederiksen - Chief Technology Officer

Tfrederiksen@ageraenergy.com (914) 236 1424

Thomas has a combined total of over 9 years of experience in architecting, implementing and maintaining desktop and web based applications. He has worked in the energy industry for over 5 years. At Agera, Thomas manages the IT team and works to develop and maintain Agera's software and Networks.

D-3 Exhibit D-3 “Key Technical Personnel” (continued)

Lakeisha John – Director of Operations

Ljohn@ageraenergy.com (914) 205 5919

Lakeisha has over 7 years of experience in billing systems and processes. She currently manages Agera’s billing team and oversees billing and invoicing operations.

David Chong – Manager of Pricing

Dchong@ageraenergy.com

David has years of supply and pricing experience in the electricity and natural gas markets. He currently oversees the supply and pricing team at Agera and is experienced in training and managing teams of employees.

Paula Cronin – Customer Care Manager

PCronin@ageraenergy.com (914) 205 5830

Paula has over 20 years of Customer Care Management experience in a variety of industries. She is experienced in customer service, training, and managing teams of employees.