

ENERGY ADVISORY SERVICE

Currently Manage Annually:

- ⇒ More than 1,040 gWh of Electricity
- ⇒ Nearly 5 MMDth of Natural Gas

Helping Businesses Partners Since 2003



September 12, 2018

Public Utilities Commission of Ohio

Docketing Division

180 East Broad Street

Columbus, OH 43215-3793

PUCO

2018 SEP 24 PM 1:13

RECEIVED-DOCKETING DIV

To Whom it may concern,

Enclosed please find the renewal certification application, competitive retail natural gas brokers/aggregators. Original AGG Case Number 12-2872-GA-AGG.

If you have any additional questions or concerns, please do not hesitate to contact me. Thank you in advance for your assistance.

Sincerely,


Rhonda Kreitz

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician C.B. Date Processed 9/24/18



Public Utilities Commission

PUCO USE ONLY - Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		12 - 2872 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Energy Advisory Service

Address 9300 John Hickman Pkwy Suite 902, Frisco, TX 75035

Telephone No. 972-791-8357

Web site Address www.energyeas.com

Current PUCO Certificate No. 12-287G

Effective Dates November 30, 2016 - November 30, 2018

A-3 Applicant information under which applicant will do business in Ohio:

Name Energy Advisory Service

Address 9300 John Hickman Pkwy Suite 902, Frisco, TX 75035

Web site Address www.energyeas.com

Telephone No. 972-791-8357

A-4 List all names under which the applicant does business in North America:

Energy Advisory Service

A-5 Contact person for regulatory or emergency matters:

Name Rhonda Kreitz

Title President

Business Address 9300 John Hickman PKWY Suite 902, Frisco, TX 75035

Telephone No. 972-791-8357

Fax No.

Email Address Rkreitz@energyeas.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Rhonda Kreitz

Title President

Business address 9200 John Hickman Pkwy Suite 902, Frisco, TX 75035

Telephone No. 972-791-8357

Fax No.

Email Address Rkreitz@energyeas.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 9300 John Hickman Pkwy Suite 902, Frisco, TX 75035

Toll-Free Telephone No. 866-219-2450

Fax No. 972-695-4024

Email Address Rkreitz@energyeas.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Corporation Service Company

Title

Business address 50 West Broad Street, Suite 1800, Columbus, OH 43215

Telephone No. 800-927-9801

Fax No.

Email Address

A-9 Applicant's federal employer identification number

74. 3123918

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Shonda Kreily - President

Sworn and subscribed before me this 12th day of September Month 2018 Year

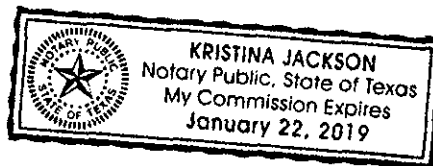
Signature of official administering oath

[Signature]

Print Name and Title

Kristina Jackson, VP

My commission expires on





The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Energy Advisory Service)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. 12 - 2872 -GA-AGG

County of Collin

State of Texas

Rhonda Kreitz

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

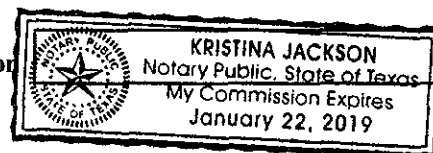
Affiant Signature & Title

Sworn and subscribed before me this 12th day of September Month 2018 Year

Signature of Official Administering Oath

Print Name and Title

My commission expires on



(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016)

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Exhibit A-14

Rhonda Kreitz

President

9300 John Hickman Pkwy #902

Frisco, TX 75035

972-791-8357

Kristy Jackson

Vice President

9300 John Hickman Pkwy #902

Frisco, TX 75035

972-791-8357

Exhibit A-15

“Company History”, provide a concise description of the applicant’s company history and principal business interests.

Energy Advisory Service was founded in 2003 in Atlanta, Georgia to assist the multifamily industry with the daunting challenge of finding the best electricity and natural gas providers at the best price and contract terms to meet their specific needs. Since that time, we have become a national presence working with clients in 20 states to assist their apartment communities in navigating the maze of choice.

We work directly with our clients to create RFP’s that are sent to suppliers, we then analysis the bids and ensure our clients are selecting the energy supplier that is best for them.

Exhibit A-16

"Articles of Incorporation and Bylaws," provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, only if the contents of the originally filed documents changed since the initial application

No Changes have been made

Exhibit A-17

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show ENERGY ADVISORY SERVICE LLC, a Georgia For Profit Limited Liability Company, Registration Number 1955377, filed on August 9, 2010, is currently in FULL FORCE AND EFFECT upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 12th day of September, A.D. 2018.

Jon Husted

Ohio Secretary of State

Validation Number: 201825503234

Exhibit B-1

Jurisdictions of Operation

provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

Pennsylvania – Licensed as of Feb. 2015 through the Public Utility Commission as a “License for natural gas supplier” License A-2014-2451694

District of Columbia - Licenses as Natural gas supplier since August 2009 License Number GA-09-1-10

Maryland – Licenses to supply Natural gas since July 2009. License Number IR-1485

Illinois - Granted the certificate of service authority to operate as an agent, broker, or consultant since August 2010

Exhibit B-2

Experience & Plans

provide a current description of the applicant’s experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

Energy Advisory Service is an Energy management partner for the multifamily industry since 2003. We assist our clients with finding the best electricity supplier for their specific needs. We accomplish this by ~~working with our clients to understand their risk tolerance, plans for their sites, and contract terms that~~ are important to them, then the work begins. We create a request for proposal that is sent out to the electricity suppliers so they may bid. When all bids are returned, EAS runs them through our pricing model which removes all the noise, and delivers a clear picture of the pricing in an apples to apples comparison so that our owners can make an informed decision on the right supplier.

EAS is not a supplier so we do not provider electricity, take ownership of electricity or bill for electricity. We guide our clients and educate them on selecting the right supplier that does that. Our fees are then paid by the supplier to EAS. Once the contract is executed between the supplier and the client, EAS does stay out here working with the client in the event there are any issues with the supplier. We become the advocate in working with the supplier to resolve our client’s issues.

Exhibit B-3

"Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

Energy Advisory Service has been helping the multifamily industry with selecting the best electric and natural gas since 2004. We currently represent over 30 of the largest multifamily owners which consist of over 2,000 apartment communities nationally. Our clients combined natural gas load is approximately 25 million therms annually, 1 million in Ohio. Although we never take title to that gas or supply it. We help our clients with selecting the best energy suppliers. We are a national company, assisting clients in 23 states where there is choice. Our CEO is a Certified Procurement Professional, having earned that certification from the Association of Energy Engineers.

Exhibit B-4

"Disclosure of Liabilities and Investigations,"

provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

EAS has had no judgements, contingent liabilities, revocation of authority, regulatory investigations or any other matter that adversely impact EAS's financial or operational status or ability to provide our services.

C. FINANCIAL CAPABILITY AND EXPERIENCE

Exhibit C-1

"Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

Exhibit C-1 is not applicable as EAS is not a public traded company

Exhibit C-2

"SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

Energy Advisory Service is not required to file with the SEC as we are not an Electricity Supplier, we do not take sell electricity nor do we ever take possession of electricity.

Exhibit C-3

"Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

See Attached

Exhibit C-3

E Services Inc. - Energy Advisory Service LLC

BALANCE SHEET

As of December 31, 2016

	TOTAL
ASSETS	
Current Assets	
Bank Accounts	
Petty Cash	0.00
Wells Fargo EAS Operations 4335	47,994.21
Wells Fargo EAS Saving 0129	60,021.57
Wells Fargo EServices Inc 4285	0.00
WF Payroll EServices 5960	863.63
Total Bank Accounts	\$108,879.41
Accounts Receivable	
Accounts Receivable	0.00
Total Accounts Receivable	\$0.00
Other Current Assets	
Uncategorized Asset	0.00
Undeposited Funds	0.00
Total Other Current Assets	\$0.00
Total Current Assets	\$108,879.41
Fixed Assets	
Fixed Assets	
Accumulated Depreciation	-64,272.24
Computer Equipment	30,171.68
Computer Software	0.00
Furniture and Equipment	32,075.79
Leasehold Improvements	0.00
Office Equipment	2,024.77
Total Fixed Assets	0.00
Total Fixed Assets	\$0.00
Other Assets	
Accumulated Amortization of Other Assets	-32,115.23
Deposits Office Lease	5,672.33
Non-Compete -S.G.	289,037.03
Total Other Assets	\$262,594.13
TOTAL ASSETS	\$371,473.54
LIABILITIES AND EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Other Payables	0.00
Unearned Income	2,997.95
Total Other Current Liabilities	\$2,997.95
Total Current Liabilities	\$2,997.95
Long-Term Liabilities	

Exhibit C-3

	TOTAL
Notes Payable-S. Gunther	520,316.77
Total Long-Term Liabilities	\$520,316.77
Total Liabilities	\$523,314.72
Equity	
Additonal Paid In Capital	107,820.03
Opening Balance Equity	0.00
Retained Earnings	899,822.40
Shareholder Distributions	
Gunther, Stephen	0.00
Hovind, Nancy	-125,000.00
Kreitz, Rhonda	-125,000.00
Total Shareholder Distributions	-250,000.00
Treasury Stock	
3401 Treasury Stock-Steve Gunther	-481,728.38
Treasury Stock - R. Woodward	-795,519.25
Total Treasury Stock	-1,277,247.63
Net Income	367,764.02
Total Equity	\$-151,841.18
TOTAL LIABILITIES AND EQUITY	\$371,473.54

Exhibit C-3

E Services Inc. - Energy Advisory Service LLC

BALANCE SHEET

As of December 31, 2017

	TOTAL
ASSETS	
Current Assets	
Bank Accounts	
Petty Cash	0.00
Wells Fargo EAS Operations 4335	68,813.72
Wells Fargo EAS Saving 0129	60,057.59
Wells Fargo EServices Inc 4285	0.00
WF Payroll EServices 5960	894.40
Total Bank Accounts	\$129,765.71
Accounts Receivable	
Accounts Receivable	0.00
Total Accounts Receivable	\$0.00
Other Current Assets	
Uncategorized Asset	0.00
Undeposited Funds	0.00
Total Other Current Assets	\$0.00
Total Current Assets	\$129,765.71
Fixed Assets	
Fixed Assets	
Accumulated Depreciation	-66,012.24
Computer Equipment	33,071.68
Computer Software	0.00
Furniture and Equipment	32,075.79
Leasehold Improvements	0.00
Office Equipment	2,024.77
Total Fixed Assets	1,160.00
Total Fixed Assets	\$1,160.00
Other Assets	
Accumulated Amortization of Other Assets	-51,384.37
Deposits Office Lease	5,672.33
Non-Compete -S.G.	289,037.03
Total Other Assets	\$243,324.99
TOTAL ASSETS	\$374,250.70
LIABILITIES AND EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Other Payables	0.00
Payroll Liabilities	0.00
Unearned Income	2,719.94
Total Other Current Liabilities	\$2,719.94
Total Current Liabilities	\$2,719.94

Exhibit C-3

	TOTAL
Long-Term Liabilities	
Notes Payable-S. Gunther	367,570.43
Total Long-Term Liabilities	\$367,570.43
Total Liabilities	\$370,290.37
Equity	
Additonal Paid In Capital	107,820.03
Opening Balance Equity	0.00
Retained Earnings	1,017,586.42
Shareholder Distributions	
Gunther, Stephen	0.00
Hovind, Nancy	0.00
Kreitz, Rhonda	0.00
Total Shareholder Distributions	0.00
Treasury Stock	
3401 Treasury Stock-Steve Gunther	-481,728.38
Treasury Stock - R. Woodward	-795,519.25
Total Treasury Stock	-1,277,247.63
Net Income	155,801.51
Total Equity	\$3,960.33
TOTAL LIABILITIES AND EQUITY	\$374,250.70

Exhibit C-3

E Services Inc. - Energy Advisory Service LLC

STATEMENT OF CASH FLOWS

January - December 2016

	TOTAL
OPERATING ACTIVITIES	
Net Income	352,088.99
Adjustments to reconcile Net Income to	
Net Cash provided by operations:	
Accounts Receivable	21,110.61
Accumulated Amortization of Other	19,269.14
Assets	
Accounts Payable	-5,435.58
Unearned Income	2,997.95
Total Adjustments to reconcile Net	37,942.12
Income to Net Cash provided by	
operations:	
Net cash provided by operating activities	\$390,031.11
INVESTING ACTIVITIES	
Fixed Assets: Accumulated Depreciation	88.86
Net cash provided by investing activities	\$88.86
FINANCING ACTIVITIES	
Notes Payable-S. Gunther	-150,441.56
Shareholder Distributions: Hovind, Nancy	-125,000.00
Shareholder Distributions: Kreitz, Rhonda	-125,000.00
Net cash provided by financing activities	\$-400,441.56
NET CASH INCREASE FOR PERIOD	\$-10,321.59
Cash at beginning of period	119,201.00
CASH AT END OF PERIOD	\$108,879.41

Exhibit C-3

E Services Inc. - Energy Advisory Service LLC

STATEMENT OF CASH FLOWS

January - December 2017

	TOTAL
OPERATING ACTIVITIES	
Net Income	152,203.28
Adjustments to reconcile Net Income to	
Net Cash provided by operations:	
Accounts Receivable	6,285.57
Accumulated Amortization of Other	19,269.14
Assets	
Accounts Payable	-2,687.34
Payroll Liabilities	0.00
Unearned Income	-278.01
Total Adjustments to reconcile Net	22,589.36
Income to Net Cash provided by	
operations:	
Net cash provided by operating activities	\$174,792.64
INVESTING ACTIVITIES	
Fixed Assets:Accumulated Depreciation	1,740.00
Fixed Assets:Computer Equipment	-2,900.00
Net cash provided by investing activities	\$ -1,160.00
FINANCING ACTIVITIES	
Notes Payable-S. Gunther	-152,746.34
Retained Earnings	-250,000.00
Shareholder Distributions:Hovind, Nancy	125,000.00
Shareholder Distributions:Kreitz, Rhonda	125,000.00
Net cash provided by financing activities	\$ -152,746.34
NET CASH INCREASE FOR PERIOD	\$20,886.30
Cash at beginning of period	108,879.41
CASH AT END OF PERIOD	\$129,765.71

Exhibit C-4

"Financial Arrangements," provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.). Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods: 1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies. 2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s). 3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option. 4. Posting a Letter of Credit with the LDU(s) as the beneficiary. If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response

N/A

Exhibit C-5

"Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's ELECTRIC related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

[REDACTED]

Exhibit C-6

"Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

N/A

Exhibit C-5

Energy Advisory Service LLC

Profit and Loss

January - Deceber 2019

OH Revenue

OH Cincinnati Electric	\$ 2,400.00
OH Cincinnati Gas	\$ 2,300.00
Oh Columbus Electric	\$ 1,600.00
Oh Columbus Gas	\$ 5,500.00
OH Dayton Electric	\$ 3,400.00
OH Dublin Gas	\$ 50.00
OH Electric	\$ 1,100.00
OH Gas	\$ 2,000.00
OH Reynoldsburg Electric	\$ 2,800.00
OH Springfield Electric	\$ 350.00
OH Toledo Gas	\$ 1,800.00
OH Westerville Electric	\$ 400.00

Total OH Revenue	\$ 23,700.00
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Expenses

Licenses and permits

Office supplies	\$ 1,000.00
Employee salary	\$ 10,320.00
Employee Fringe Benefits	\$ 260.00
Registered Fees	\$ 100.00
Rent	\$ 1,500.00
Software	\$ 100.00
Taxes	\$ 440.00
Utilities	\$ 25.00
PUC/ Permits	\$ 450.00
Telephone/internet	\$ 500.00
Travel	\$ 200.00

Total Ohio Expense	\$ 14,895.00
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Net Income	\$ 8,805.00
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Prepared by: Rhonda Kreitz, President

Energy Advisory Service

9300 John Hickman, Suite 902, Frisco, TX 75024

Rkreitz@energyeas.com

(971)791-8357

Exhibit C-5

Energy Advisory Service LLC

Profit and Loss

January - December 2019

OH Revenue

OH Cincinnati Electric	\$ 2,400.00
OH Cincinnati Gas	\$ 2,300.00
Oh Columbus Electric	\$ 1,600.00
Oh Columbus Gas	\$ 5,500.00
OH Dayon Electric	\$ 3,400.00
OH Dublin Gas	\$ 50.00
OH Electric	\$ 1,100.00
OH Gas	\$ 2,000.00
OH Reynoldsburg Electric	\$ 2,800.00
OH Springfield Electric	\$ 350.00
OH Toledo Gas	\$ 1,800.00
OH Westerville Electric	\$ 400.00

Total OH Revenue	\$ 23,700.00
------------------	--------------

Expenses

Licenses and permits

Office supplies	\$ 1,000.00
Employee salary	\$ 10,320.00
Employee Fringe Benefits	\$ 260.00
Registered Fees	\$ 100.00
Rent	\$ 1,500.00
Software	\$ 100.00
Taxes	\$ 440.00
Utilities	\$ 25.00
PUC/ Permits	\$ 450.00
Telephone/internet	\$ 500.00
Travel	\$ 200.00

Total Ohio Expense	\$ 14,895.00
--------------------	--------------

Net Income	\$ 8,805.00
------------	-------------

Prepared by: Rhonda Kreitz, President

Energy Advisory Service

9300 John Hickman, Suite 902, Frisco, TX 75024

Rkreitz@energyeas.com

(971)791-8357

Exhibit C-7

"Credit Report," provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

Exhibit C-8

"Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

N/A

Exhibit C-9

"Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.

N/A

Exhibit C – 10

"Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Energy Advisory Service is a stand-alone entity with no affiliate or subsidiary companies.

D-1 Exhibit D-1 "Operations," provide a current written description of the operational nature of the applicant's business functions.

Operationally, Energy Advisory Service has 2 Client Relations Managers, they function as our client advocates, they work with their individual clients to create Request for proposals that our sent out to

the suppliers requesting pricing, contract terms, etc. Once the RFP's are returned our pricing desk will analysis the pricing and put it into a case study that the client relations manager will present to the client. This allows the clients to view the pricing in an "Apples to apples" format and select the best provider for them. Once a supplier is selected we have an administrative assist to complete the contracts and send to the client for execution. The Administrators will follow up with the supplier to get fully executed contracts back and saved at our office.

D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.

Our Client relations experts have a combined 25 years of electricity and natural gas experience. They are certified Energy Experts by The Energy Professional Association.

D-3 Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Rhonda Kreitz – CEO

Rkreitz@energyeas.com

972-791-8357

Rhonda is President and CEO of Energy Advisory Service. Rhonda has been with Energy Advisory Service for 10 years. Before EAS, Rhonda worked with USI, where she assisted clients with selecting the right supplier for their apartment communities. She is a CEP (Certified Energy Procurement Professional) with the Association of Energy Engineers.

Kristy Jackson – Director of Client Relations

Kjackson@energyeas.com

972-791-8357

Kristy joined Energy Advisory Service in March of 2011 and since then has helped over 10 clients consisting of over 500 apartment communities nationally.

Matt Elliot – Client Relations

Melliot@energyeas.com

972-791-8357

Matt has been in the energy industry since 2008. He has worked with Spark Energy, and Kona Energy before joining Energy Advisory Service in 2015. Matt currently has a portfolio of 10 clients from Florida to New York where he assists them with finding the best energy provider for their portfolios.

Exhibit C-107

1.800.700.2733



✕ Sign Out

Selected Company: ENERGY ADVISORY SERVICE, LLC
D-U-N-S #: 00-809-5711

Overview

Business Summary

Company Name: ENERGY ADVISORY SERVICE, LLC
D-U-N-S #: 00-809-5711
DBA's: N/A
Address: 5151 Headquarters Dr Ste 145
City: Plano
State: TX
Zip: 75024
Phone: 8662192450
Principal: RHONDA KREITZ
Year Started: 2003
Employs: 9.
SIC Code(s): 87489904 Energy conservation consultant
NAICS Code(s): 541690 Other Scientific and Technical Consulting Services
Legal Structure: DOMESTIC CORPORATION

Special Events

There have been no special events reported to D&B for this company.

History and Operations

Officers and Directors

Current Officers

Name	Title
RHONDA KREITZ	PRES

Current Directors

No directors have been listed in this company report.

Company History

Provides business consulting services, specializing in energy conservation (100%).

Terms are on a fee basis. Sells to general public. Territory : Local.

Operations**Employees**

9.

Facilities

Rents premises in a building.

Location

There is currently no location information listed in this company report.

U.S. Branch

There are currently no U.S. branches listed in this company report.

U.S. Subsidiary

There are currently no U.S. subsidiaries listed in this company report.

International Branch

There are currently no international branches listed in this company report.

International Subsidiary

There are currently no international subsidiaries listed in this company report.

Payments**Payment Summary**

	Total Received	Total Dollar Amount	Largest High Credit Payment summary	Within Terms	Days Slow			
					<5	31-60	61-90	91+
Top Industries								
Short-term busn credit	2	\$1,050	\$1,000	47%	5	48	0	0
Public finance	1	\$750	\$750	100%	0	0	0	0
Telephone communications	1	\$500	\$500	100%	0	0	0	0
Misc equipment rental	1	\$250	\$250	100%	0	0	0	0
Other Categories								
Cash experiences	4	\$850	\$500					
Unknown	1	\$100	\$100					
Unfavorable comments	0	\$0	\$0					
Placed for collections with D&B:	0	\$0	\$0					
Other	0	N/A	\$0					
Total in D&B's file	10	\$3,500	\$1,000					

The highest Now Owes on file is \$1,000

The highest Past Due on file is \$0

There are 10 payment experience(s) in D&B's file for the most recent 24 months, with 2 experience(s) reported during the last three month period.

Payment Details

Total (Last 24 Months): 10

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/ (Mo.)
07/2018	(001)	\$50	\$0	\$0	Cash account	6-12 mos
07/2018	(002)	\$50	\$0	\$0	Cash account	2-3 mos
08/2017	(003)	\$500	--	--	Cash account	1 mo
07/2017	(004)	\$100	\$0	\$0		6-12 mos
04/2017	Ppt	\$250	\$0	\$0		6-12 mos
04/2017	Ppt-Slow 60	\$1,000	\$1,000	\$0		1 mo
04/2017	Slow 30	\$50	\$0	\$0		2-3 mos
01/2017	Ppt	\$500	\$0	\$0		6-12 mos
10/2016	Ppt	\$750	--	--		1 mo
10/2016	(010)	\$250	--	--	Cash account	1 mo

Payments Detail Key: ■ 30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Finances

Key Financial Comparisons

	(\$)	(\$)	(\$)
This Company's Operating Results Year Over Year			
Net Sales	NA	NA	NA
Gross Profit	NA	NA	NA
Net Profit	NA	NA	NA
Dividends / Withdrawals	NA	NA	NA
Working Capital	NA	NA	NA
This Company's Assets Year Over Year			
Cash	NA	NA	NA
Accounts Receivable	NA	NA	NA
Notes Receivable	NA	NA	NA
Inventories	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA

Fixed Assets	NA	NA	NA
Other Non Current	NA	NA	NA
Total Assets	NA	NA	NA
This Company's Liabilities Year Over Year			
Accounts Payable	NA	NA	NA
Bank Loan	NA	NA	NA
Notes Payable	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Long Term Debt	NA	NA	NA
Deferred Credit	NA	NA	NA
Net Worth	NA	NA	NA
Total Liabilities And Net Worth	NA	NA	NA

Balance Sheet

Fiscal Consolidated Statement Dated

We currently do not have any recent financial statement on file for this business.

Accountant: James L. Beddow PC, Kennesaw, Georgia.

Key Business Ratios

(Industry Median is based on this number of firms:)

	This Company	Industry Median	Industry Quartile
Solvency			
Quick Ratio	NA	NA	NA
Current Ratio	NA	NA	NA
Current Liabilities to Net Worth	NA	NA	NA
Current Liabilities to Inventory	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets to Net Worth	NA	NA	NA
Efficiency			
Collection Period	NA	NA	NA
Inventory Turn Over	NA	NA	NA
Sales to NWC	NA	NA	NA

Acct Pay to Sales	NA	NA	NA
Profitability			
Return on Sales	NA	NA	NA
Return on Assets	NA	NA	NA
Return on NetWorth	NA	NA	NA

Public Filings

Summary

This following public filing data includes both open and closed filings found in D&B's database on this company. It is for informational purposes only and is not the official record. Certified copies can be obtained from the official source.

Record Type	# of Records	Most Recent Filing Date
Liens	1	06/03/0016
Government History	1	N/A

Details

Judgements

No judgments have been reported to D&B on this company.

Liens

A lien holder can file the same lien in more than one filing. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurrence.

Amount: 1152
Status: Open
Case Number: 201600009776
Type State: State Tax
Filed By: COMMONWEALTH OF PA DEPARTMENT OF REVENUE, HARRISBURG, PA
Against: ENERGY ADVISORY SERVICE LLC
Where Filed: ALLEGHENY COUNTY PROTHONOTARY, PITTSBURGH, PA
Date Status Attained: Sep 12, 2018
Date Filed: 06/02/16
Latest Info Received: 06/03/16

Suits

No suits have been reported to D&B on this company.

UCC Filings

No UCC Filings have been reported to D&B on this company.

Bankruptcies

No bankruptcies have been reported to D&B on this company.

Government History

Borrower(Dir/Guar):	NO
Administrative Debt:	NO
Contractor:	NO
Grantee:	NO
Party excluded from federal program(s):	NO
Labor Surplus Area:	N/A
Small Business:	YES (2018)
8(A) Firm:	N/A

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