

Business address _____
Telephone # _____ Fax # _____
E-mail address _____

A-6 Contact person for Commission Staff use in investigating customer complaints

Name _____
Title _____
Business address _____
Telephone # _____ Fax # _____
E-mail address _____

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address _____
Toll-free Telephone # _____ Fax # _____
E-mail address _____

A-8 Applicant's federal employer identification number # _____

A-9 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-10 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|--|--------------------------------------|--|--|--|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |

A-11 Provide the approximate start date that the applicant proposes to begin delivering services

Motion to extend expired license 14-1486-EL-AGG

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-12 **Exhibit A-12 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.

A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.

A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

E

B. **APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

Exhibit A-12, Principal Officers, Directors & Partners

Len D Chylack, CEO
1122 Nottingham Drive
West Chester, PA 19380
610-692-6544

Len A Chylack, President
2019 Fawn Lane
Romansville, PA 19320
610-517-3362

Catherine Chylack, Secretary
1122 Nottingham Drive
West Chester, PA 19380
610-692-6544

Exhibit A-13, Company History

AUI Associates, Inc. (AUI) has been an energy leader in the natural gas industry since 1994. As a retail marketer of Energy, AUI has a proven history of experience which allows us to offer our clients the most cost-effective programs in the industry. AUI works with our clients to design a strategy that allows their business to take full advantage of the deregulated energy markets.

AUI's customers were the first PECO firm customers to start saving back in 1994! AUI's original gas customers are still with us strong today, 20 years later. AUI's aggregation expertise allows customers to achieve saving discounts that the largest users enjoy.

AUI's customers were the first to save on PECO's "Lvt" low volume transportation back in 2001.

AUI has been through the lows of the early 1990's to the runaway high's starting after 9/11, peaking post "Katrina", then back to the lows with the recent "shale" gas boom and the latest December 2013 to February 2014 weather related spike in prices. AUI possesses the expertise and experience to utilize the best tools to manage this volatile market expense.

AUI's electric deregulation experience goes back to 1998! AUI was heavily involved in Pennsylvania's 1st electric deregulation phase in the late 1990's to early 2000.

AUI works with our clients to design a strategy that allows their business model to take full advantage of the deregulated energy markets.

Exhibit C-1, "Annual Reports"

N/A

Exhibit C-2, "Sec Filings"

N/A

Exhibit C-3, "Financial Statements"

See attached

Form 1120 Department of the Treasury Internal Revenue Service		U.S. Corporation Income Tax Return		OMB No. 1545-0123 2016	
		For calendar year 2016 or tax year beginning, ending			
		▶ Information about Form 1120 and its separate instructions is at www.irs.gov/form1120 .			
A Check if: 1a Consolidated return (attach Form 851) <input type="checkbox"/> b Life/nonlife consolidated return <input type="checkbox"/> 2 Personal holding co. (attach Sch. PH) <input type="checkbox"/> 3 Personal service corp. (see instructions) <input type="checkbox"/> 4 Schedule M-3 attached <input type="checkbox"/>		Name AUI ASSOCIATES, INC.		B Employer identification number 23-2645141	
		TYPE OR PRINT Number, street, and room or suite no. If a P.O. box, see instructions. 1122 NOTTINGHAM DR		C Date incorporated 09/13/1991	
		City or town, state, or province, country, and ZIP or foreign postal code WEST CHESTER PA 19380-4055		D Total assets (see instructions) \$ 83,437	
		E Check if: (1) <input type="checkbox"/> Initial return (2) <input type="checkbox"/> Final return (3) <input type="checkbox"/> Name change (4) <input type="checkbox"/> Address change			
Income	1a Gross receipts or sales		1a	1,213,582	
	b Returns and allowances		1b		
	c Balance. Subtract line 1b from line 1a		1c	1,213,582	
	2 Cost of goods sold (attach Form 1125-A)		2		
	3 Gross profit. Subtract line 2 from line 1c		3	1,213,582	
	4 Dividends (Schedule C, line 19)		4	1,582	
	5 Interest		5	99	
	6 Gross rents		6		
	7 Gross royalties		7		
	8 Capital gain net income (attach Schedule D (Form 1120))		8		
	9 Net gain or (loss) from Form 4797, Part II, line 17 (attach Form 4797)		9		
10 Other income (see instructions—attach statement)		10			
11 Total income. Add lines 3 through 10		11	1,215,263		
Deductions (See instructions for limitations on deductions.)	12 Compensation of officers (see instructions—attach Form 1125-E)		12	345,000	
	13 Salaries and wages (less employment credits)		13		
	14 Repairs and maintenance		14	1,938	
	15 Bad debts		15		
	16 Rents		16		
	17 Taxes and licenses		17	26,215	
	18 Interest		18	1,050	
	19 Charitable contributions		19	949	
	20 Depreciation from Form 4562 not claimed on Form 1125-A or elsewhere on return (attach Form 4562)		20	24,642	
	21 Depletion		21		
	22 Advertising		22	8,140	
	23 Pension, profit-sharing, etc., plans		23	71,250	
	24 Employee benefit programs		24	40,399	
	25 Domestic production activities deduction (attach Form 8903)		25		
	26 Other deductions (attach statement)		26	687,139	
	27 Total deductions. Add lines 12 through 26		27	1,206,722	
	28 Taxable income before net operating loss deduction and special deductions. Subtract line 27 from line 11		28	8,541	
29a Net operating loss deduction (see instructions)		29a			
b Special deductions (Schedule C, line 20)		29b			
c Add lines 29a and 29b		29c			
Tax, Refundable Credits, and Payments	30 Taxable income. Subtract line 29c from line 28. See instructions		30	8,541	
	31 Total tax (Schedule J, Part I, line 11)		31	1,281	
	32 Total payments and refundable credits (Schedule J, Part II, line 21)		32	2,400	
	33 Estimated tax penalty. See instructions. Check if Form 2220 is attached <input type="checkbox"/>		33		
	34 Amount owed. If line 32 is smaller than the total of lines 31 and 33, enter amount owed		34		
	35 Overpayment. If line 32 is larger than the total of lines 31 and 33, enter amount overpaid		35	1,119	
	36 Enter amount from line 35 you want: Credited to 2017 estimated tax ▶ 1,119 Refunded ▶		36		
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					
Sign Here Signature of officer: LEONARD A CHYLACK Date: _____ Title: VICE-PRESIDENT		May the IRS discuss this return with the preparer shown below? See instructions. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Print/Type preparer's name: L STEUART BROWN, CPA Preparer's signature: L STEUART BROWN, CPA Date: _____		Check <input type="checkbox"/> if self-employed PTIN: P00368245			
Paid Preparer Use Only		Firm's name: FISCHER CUNNANE & ASSOCIATES, LTD Firm's address: 11 TURNER LN WEST CHESTER, PA 19380-4805		Firm's EIN: 23-3060583 Phone no.: 610-431-1003	

Schedule L Balance Sheets per Books

	Beginning of tax year		End of tax year	
	(a)	(b)	(c)	(d)
Assets				
1 Cash		56,412		63,197
2a Trade notes and accounts receivable				
b Less allowance for bad debts				
3 Inventories				
4 U.S. government obligations				
5 Tax-exempt securities (see instructions)				
6 Other current assets (att. stmt.) STMT 3		4,000		4,000
7 Loans to shareholders		12,014		12,014
8 Mortgage and real estate loans				
9 Other investments (attach stmt.)				
10a Buildings and other depreciable assets	187,311		209,135	
b Less accumulated depreciation	180,267	7,044	204,909	4,226
11a Depletable assets				
b Less accumulated depletion				
12 Land (net of any amortization)				
13a Intangible assets (amortizable only)	11,000		11,000	
b Less accumulated amortization	11,000	0	11,000	0
14 Other assets (attach stmt.)				
15 Total assets		79,470		83,437
Liabilities and Shareholders' Equity				
16 Accounts payable				
17 Mortgages, notes, bonds payable in less than 1 year				
18 Other current liabilities (att. stmt.) STMT 4		35,895		55,058
19 Loans from shareholders		2,284		2,284
20 Mortgages, notes, bonds payable in 1 year or more		26,782		2,931
21 Other liabilities (attach statement)				
22 Capital stock: a Preferred stock	1,000	1,000	1,000	1,000
b Common stock				
23 Additional paid-in capital				
24 Retained earnings—Appropriated (att. stmt.)				
25 Retained earnings—Unappropriated		13,509		22,164
26 Adjustments to SH equity (att. stmt.)				
27 Less cost of treasury stock				
28 Total liabilities and shareholders' equity		79,470		83,437

Schedule M-1 Reconciliation of Income (Loss) per Books With Income per Return

Note: The corporation may be required to file Schedule M-3. See instructions.

1 Net income (loss) per books	8,655	7 Income recorded on books this year not included on this return (itemize):	
2 Federal income tax per books	-1,400	Tax-exempt interest \$	
3 Excess of capital losses over capital gains			
4 Income subject to tax not recorded on books this year (itemize):			
STMT 5	90	8 Deductions on this return not charged against book income this year (itemize):	
5 Expenses recorded on books this year not deducted on this return (itemize):		a Depreciation \$	
a Depreciation \$		b Charitable contributions \$	949
b Charitable contributions \$			
c Travel and entertainment \$	2,145		949
	2,145	9 Add lines 7 and 8	949
6 Add lines 1 through 5	9,490	10 Income (page 1, line 28)—line 6 less line 9	8,541

Schedule M-2 Analysis of Unappropriated Retained Earnings per Books (Line 25, Schedule L)

1 Balance at beginning of year	13,509	5 Distributions: a Cash	
2 Net income (loss) per books	8,655	b Stock	
3 Other increases (itemize):		c Property	
		6 Other decreases (itemize):	
		7 Add lines 5 and 6	
4 Add lines 1, 2, and 3	22,164	8 Balance at end of year (line 4 less line 7)	22,164

1120
Form
Department of the Treasury
Internal Revenue Service

U.S. Corporation Income Tax Return

OMB No. 1545-0123

2015

For calendar year 2015 or tax year beginning

, ending

▶ Information about Form 1120 and its separate instructions is at www.irs.gov/form1120.

A Check if:

- 1a Consolidated return (attach Form 851) ☐
 b Life/nonlife consolidated return ☐
 2 Personal holding co. (attach Sch. PH) ☐
 3 Personal service corp. (see instructions) ☐
 4 Schedule M-3 attached ☐

TYPE
OR
PRINT

Name
AUI ASSOCIATES, INC.

Number, street, and room or suite no. If a P.O. box, see instructions.

1122 NOTTINGHAM DR

City or town, state, or province, country, and ZIP or foreign postal code

WEST CHESTER**PA 19380-4055**

B Employer identification number

23-2645141

C Date incorporated

09/13/1991

D Total assets (see instructions)

\$ **79,470**

E Check if: (1) Initial return (2) Final return (3) Name change (4) Address change

Income	1a Gross receipts or sales	1a	854,331	
	b Returns and allowances	1b		
	c Balance. Subtract line 1b from line 1a	1c		854,331
	2 Cost of goods sold (attach Form 1125-A)	2		
	3 Gross profit. Subtract line 2 from line 1c	3		854,331
	4 Dividends (Schedule C, line 19)	4		1,715
	5 Interest	5		50
	6 Gross rents	6		
	7 Gross royalties	7		
	8 Capital gain net income (attach Schedule D (Form 1120))	8		
	9 Net gain or (loss) from Form 4797, Part II, line 17 (attach Form 4797)	9		
10 Other income (see instructions—attach statement)	10			
11 Total income. Add lines 3 through 10	11		856,096	
Deductions (See instructions for limitations on deductions.)	12 Compensation of officers (see instructions—attach Form 1125-E)	12		238,000
	13 Salaries and wages (less employment credits)	13		
	14 Repairs and maintenance	14		1,184
	15 Bad debts	15		
	16 Rents	16		
	17 Taxes and licenses	17		20,434
	18 Interest	18		1,393
	19 Charitable contributions	19		68
	20 Depreciation from Form 4562 not claimed on Form 1125-A or elsewhere on return (attach Form 4562)	20		15,263
	21 Depletion	21		
	22 Advertising	22		9,022
	23 Pension, profit-sharing, etc., plans	23		50,400
	24 Employee benefit programs	24		40,500
	25 Domestic production activities deduction (attach Form 8903)	25		
	26 Other deductions (attach statement)	26		479,225
	27 Total deductions. Add lines 12 through 26	27		855,489
	28 Taxable income before net operating loss deduction and special deductions. Subtract line 27 from line 11	28		607
	Tax, Refundable Credits, and Payments	29a Net operating loss deduction (see instructions)	29a	
b Special deductions (Schedule C, line 20)		29b		
c Add lines 29a and 29b		29c		
30 Taxable income. Subtract line 29c from line 28 (see instructions)	30		607	
31 Total tax (Schedule J, Part I, line 11)	31		91	
32 Total payments and refundable credits (Schedule J, Part II, line 21)	32		3,891	
33 Estimated tax penalty (see instructions). Check if Form 2220 is attached <input type="checkbox"/>	33			
34 Amount owed. If line 32 is smaller than the total of lines 31 and 33, enter amount owed	34			
35 Overpayment. If line 32 is larger than the total of lines 31 and 33, enter amount overpaid	35		3,800	
36 Enter amount from line 35 you want: Credited to 2016 estimated tax ▶ Refunded ▶	36		3,800	

Sign
Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Signature of officer **LEONARD CHYLACK**

Date

Title **PRESIDENT**

Paid

Print/Type preparer's name

L STEUART BROWN, CPA

Preparer's signature

L STEUART BROWN, CPA

Date

Check ☐ if self-employed

PTIN

P00368245

Preparer
Use Only

Firm's name

Firm's address

FISCHER CUNNANE & ASSOCIATES, LTD**11 TURNER LN****WEST CHESTER, PA****19380-4805**

Firm's EIN ▶

Phone no.

23-3060583**610-431-1003**

Exhibit C-4 “Financial Arrangements”

N/A

Exhibit C-5 “Forecasted Financial Statements”

See attached

Exhibit C-6 “Credit Rating”

See attached

Exhibit C-7 “Credit Report”

See attached

Exhibit C-8 “Bankruptcy Information”

N/A

Exhibit C-9 “Merger Information”

N/A

Exhibit C-10 “Corporate Structure”

AUI Associates, Inc is a standalone entity with no affiliated or subsidiary companies

Exhibit C-5 "Forecasted Financial Statements"

AUI Associates, Inc – Revenue Forecast

	<u>Fiscal Year</u>	<u>Natural Gas Revenue</u>
Forecast	2018	\$11,500
Forecast	2019	\$12,000

Exhibit C-6 "Credit Rating"



Business Information Report Now with NEW Features

AUI ASSOCIATES, INC. AUI ASSOCIATES, INC.

D-U-N-S® Number 17-190-2364

- Print Entire Report
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- Save HTML Report

Report Printed: June 25, 2014
In Date

Overview

History & Operations

Payments

Banking & Finance

Public Filings

OVERVIEW

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- [Summary Analysis](#)
- [Viability Rating Analysis](#)
- [Customer Service](#)

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- [Payment Details](#)

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- [Business's Web Site](#)

BUSINESS SUMMARY

[About Business Summary](#)

AUI ASSOCIATES, INC.

GLOBAL ENERGY RESOURCES

1122 Nottingham Dr
West Chester, PA 19380This is a **single** location.**Web site:** www.globalenergyresources.com**Telephone:** 610 692-6544**Fax:** 610 692-4411**Chief executive:** LEONARD CHYLACK SR, PRES**Year started:** 1991**Employs:** 4**History:** INCOMPLETE. Jump to: [Finance Section](#)**SIC:** 8742. Jump to: [SIC & NAICS Section](#)**Line of business:** Management consulting services

Now Included with this Report

NEW!

D&B's Credit Limit Recommendation

How much credit should you extend to this business?

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Payment Trends Profile

Enhanced payment trends and industry benchmarks for this business.

[Learn More](#)[View Now](#)[D-U-N-S Number:](#) 17-190-2364[D&B Rating:](#) --. Jump to: [Summary Analysis Section](#)[D&B Viability Rating:](#) 21CK. Jump to: [Viability Rating Analysis Section](#)[D&B PAYDEX®:](#)

D&B PAYDEX: 80

When weighted by dollar amount, payments to suppliers average generally within terms.



Based on up to 24 months of trade.

D&B PAYDEX Key

- High risk of late payment (average 30 to 120 days beyond terms)
- Medium risk of late payment (average 30 days or less beyond terms)
- Low risk of late payment (average prompt to 30+ days sooner)

. Jump to: [Payments Section](#)

SUMMARY ANALYSIS

[About Summary Analysis](#)

[Overview](#)[History & Operations](#)[Payments](#)[Banking & Finance](#)[Public Filings](#)

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Below is an overview of the company's rating history since 10/17/12:

D&B Rating	Date Applied
--	10/17/12

The Summary Analysis section reflects information in D&B's file as of June 23, 2014.

VIABILITY RATING ANALYSIS

[? About Viability Rating Analysis](#)

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will no longer be in business within the next 12 months.

? D&B VIABILITY RATING: 21CK

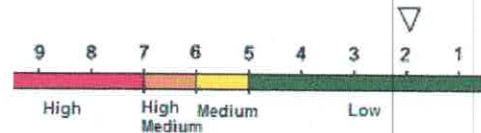
D&B VIABILITY RATING COMPONENTS:

VIABILITY SCORE:

Viability Score is the first of four components within the D&B Viability Rating. It is a high-level risk indicator that assesses the probability that a company will no longer be in business within the next 12 months, compared to all US businesses within the D&B database. A business is no longer viable when it goes out of business, becomes dormant/ inactive or files for bankruptcy. The ranking ranges from 1 to 9 where 9 reflects the highest probability of becoming no longer viable and 1 reflects the lowest probability.

NOTE: The Viability Score is best used when ranking all businesses within your portfolio based on the probability of becoming no longer viable.

Viability Score: 2



Compared to ALL US Business within D&B Database:

- **Level of risk:** Low Risk
- **Business ranked 2 have a probability of becoming no longer viable:** 2%
- **Percentage of business ranked 2:** 4%
- **Across all US business, the average probability of becoming no longer viable:** 14%

PORTFOLIO COMPARISON:

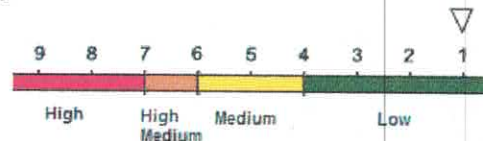
Portfolio Comparison is the second of four components within the D&B Viability Rating. Portfolio Comparison is a more detailed risk indicator that assesses the viability of a company compared to similar businesses within the same model segment which are determined by the amount and type of data available. A business is no longer viable when it goes out of business, becomes dormant/ inactive or files for bankruptcy. Every business within the D&B database falls into 1 of the following 4 profile segments:

1. Available Financial Data.
2. Established Trade Payments.
3. Limited trade Payments.
4. Firmographics and Business Activity.

The Portfolio Comparison ranking ranges from 1 to 9 where 9 reflects the highest probability of becoming no longer viable and 1 reflects the lowest probability.

NOTE: The Portfolio Comparison is best used when analyzing the individual risk level of a company compared to businesses within the same profile segment.

Portfolio Comparison: 1



Compared to all Business within the same MODEL SEGMENT:

AUI Associates, Inc

D&B Business Credit Report

Credit Report

EXHIBIT C-7



Decide with Confidence

Business Information Report

[Print this Report](#)

 Report Printed: JUN 25 2014
In Date

BUSINESS SUMMARY

AUI ASSOCIATES, INC.
 GLOBAL ENERGY RESOURCES
1122 Nottingham Dr
West Chester, PA 19380

 This is a **single** location.

Web site: www.globalenergyresources.com

Telephone: 610 692-6544

Fax: 610 692-4411

Chief executive: LEONARD CHYLACK SR, PRES

Year started: 1991

Employs: 4

History: INCOMPLETE

SIC: 8742

Line of business: Management consulting services

D-U-N-S Number: 17-190-2364

D&B Rating: --
D&B Viability Rating: 21CK

D&B PAYDEX®:
D&B PAYDEX: 80

When weighted by dollar amount, payments to suppliers average generally within terms.



Based on up to 24 months of trade.

SUMMARY ANALYSIS

D&B Rating:--

The blank rating symbol should not be interpreted as indicating that credit should be denied. It simply means that the information available to D&B does not permit us to classify the company within our rating key and that further enquiry should be made before reaching a decision. Some reasons for using a "--" symbol include: deficit net worth, bankruptcy proceedings, insufficient payment information, or incomplete history information. For more information, see the D&B Rating Key.

Below is an overview of the company's rating history since 10/17/12:

D&B Rating	Date Applied
--	10/17/12

The Summary Analysis section reflects information in D&B's file as of June 23, 2014.

VIABILITY RATING ANALYSIS

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will no longer be in business within the next 12 months.

D&B VIABILITY RATING:21CK

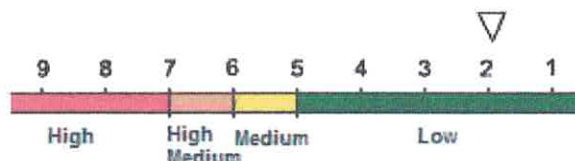
D&B VIABILITY RATING COMPONENTS:

VIABILITY SCORE:

Viability Score is the first of four components within the D&B Viability Rating. It is a high-level risk indicator that assesses the probability that a company will no longer be in business within the next 12 months, compared to all US businesses within the D&B database. A business is no longer viable when it goes out of business, becomes dormant/ inactive or files for bankruptcy. The ranking ranges from 1 to 9 where 9 reflects the highest probability of becoming no longer viable and 1 reflects the lowest probability.

NOTE: The Viability Score is best used when ranking all businesses within your portfolio based on the probability of becoming no longer viable.

Viability Score: 2



Compared to ALL US Business within D&B Database:

- **Level of risk:** Low Risk
- **Business ranked 2 have a probability of becoming no longer viable:** 2%
- **Percentage of business ranked 2:** 4%
- **Across all US business, the average probability of becoming no longer viable:** 14%

PORTFOLIO COMPARISON:

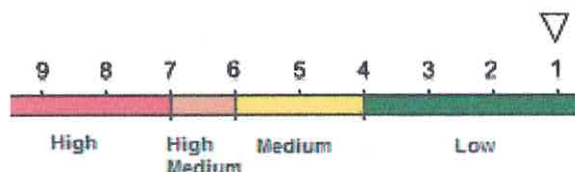
Portfolio Comparison is the second of four components within the D&B Viability Rating. Portfolio Comparison is a more detailed risk indicator that assesses the viability of a company compared to similar businesses within the same model segment which are determined by the amount and type of data available. A business is no longer viable when it goes out of business, becomes dormant/ inactive or files for bankruptcy. Every business within the D&B database falls into 1 of the following 4 profile segments:

1. Available Financial Data.
2. Established Trade Payments.
3. Limited trade Payments.
4. Firmographics and Business Activity.

The Portfolio Comparison ranking ranges from 1 to 9 where 9 reflects the highest probability of becoming no longer viable and 1 reflects the lowest probability.

NOTE: The Portfolio Comparison is best used when analyzing the individual risk level of a company compared to businesses within the same profile segment.

Portfolio Comparison: 1



Compared to all Business within the same MODEL SEGMENT:

- **Model Segment:** Established Trade Payments
- **Level of risk:** Low Risk
- **Business ranked 1 within this model segment have a probability of becoming no longer viable:** 2%
- **Percentage of business ranked 1 within this model segment:** 11%
- **Within this model segment, the average probability of becoming no longer viable:** 5%

DATA DEPTH INDICATOR:

Data Depth Indicator is the third of four components within the D&B Viability Rating. The Depth of Data Indicator presents the level of data available for a company. Data depth assists in the assessment of whether a company will no longer be viable and includes the following:

1. Financial Attributes.
2. Commercial Trading Activity.
3. Firmographics.

The level of data is represented by a letter on a scale of A - G where A reflects the greatest level of predictive data to make a highly reliable assessment of company viability, and G reflects a minimal level of data which can be considered descriptive. The more comprehensive the data, the more precise the overall D&B Viability Rating becomes. If a company has been placed in the Special Category, a letter ranging from H-M will be assigned to identify the specific reason.

Data Depth: C

Data Depth Indicator Details:

- Rich Firmographics
- Extensive Commercial Trading Activity
- No Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assessment.

You have the ability to influence the confidence of the viability assessment by asking the business to report more information to D&B at <https://iupdate.dnb.com>

COMPANY PROFILE:

Company Profile is the fourth of four components within the D&B Viability Rating. The Company Profile describes a company based on a combination of 4 categories:

1. Financial Data.
2. Trade Payments.
3. Company Size.
4. Years in Business.


A company is characterized by a letter which ranges from A - X; with each letter representing a combination of the 4 categories that make up the company's profile. For example, A describes a company with a comprehensive level data, which has been in business 5+ years, with 50+ employees or \$500K+ in Sales, while X reflects a company with a minimal data, in business < 5 years, with < 10 employees or < \$10K in Sales. Y and Z reflect a Branch and Subsidiary, respectively.

Company Profile: K

Company Profile Details:

- **Financial Data:**Not Available
- **Trade Payments :** Available: 3+Trade
- **Business Size:** Small: Employees: <10 or Sales: <\$10K or Missing
- **Years in Business:**Established (Established: 5+)

CUSTOMER SERVICE

 Need help? Call Customer Service at (800) 932-0025, Monday through Friday, 8:00 AM to 6:00 PM Local Time.

HISTORY

The following information was reported **04/05/2014**:

Officer(s): LEONARD CHYLACK SR, PRES

DIRECTOR(S): THE OFFICER(S)

Incomplete history caption has been applied due to the following factor(s):

Stock ownership is undetermined.

The Pennsylvania Secretary of State's business registration file showed that AUI Associates, Inc. registered as a Corporation on September 13, 1991.

Business started 1991.

LEONARD CHYLACK SR. Antecedents are undetermined.

BUSINESS REGISTRATION

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF JUN 20 2014:

The following data is for informational purposes only and is not an official record. Certified copies may be obtained from the Pennsylvania Department of State.

Registered Name: AUI ASSOCIATES, INC.

Business type: CORPORATION

Corporation type: NOT AVAILABLE

Date incorporated: SEP 13 1991

State of incorporation: PENNSYLVANIA

Filing date: SEP 13 1991

Registration ID: 2049109

Duration: PERPETUAL

Status: ACTIVE

Where filed: SECRETARY OF STATE/CORPORATIONS DIVISION, HARRISBURG, PA

Principals: LEONARD D CHYLACK, PRESIDENT, 1122 NOTTINGHAM DR, WEST CHESTER, PA, 193804055
CATHERINE CHYLACK, SECRETARY, 1122 NOTTINGHAM DR, WEST CHESTER, PA, 193804055
CATHERINE CHYLACK, TREASURER, 1122 NOTTINGHAM DR, WEST CHESTER, PA, 193804055

OPERATIONS

04/05/2014

Description: Provides management consulting services (100%).

Employees: 4 which includes officer(s).

Facilities: Occupies premises in building.

SIC & NAICS

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code.

NAICS:

541611 Administrative Management and General Management Consulting Services

The 4-digit SIC numbers link to the description on the

Occupational Safety & Health Administration (OSHA)
Web site. Links open in a new browser window.

87420000 Management consulting services

D&B PAYDEX

The D&B PAYDEX is a unique, dollar weighted indicator of payment performance based on up to 3 payment experiences as reported to D&B by trade references.

D&B PAYDEX: 80

When weighted by dollar amount, payments to suppliers average generally within terms.



Based on up to 24 months of trade.

When dollar amounts are not considered, then approximately 100% of the company's payments are within terms.

PAYMENT SUMMARY

The Payment Summary section reflects payment information in D&B's file as of the date of this report.

Below is an overview of the company's dollar-weighted payments, segmented by its suppliers' primary industries:

	Total Rcv'd (#)	Total Dollar Amts (\$)	Largest High Credit (\$)	Within Terms (%)	Days Slow <31 31-60 61-90 90> (%)			

Top industries:

Short-trm busn credit	1	1,000	1,000	100	-	-	-	-
Radiotelephone commun	1	250	250	100	-	-	-	-

Other payment categories:

Cash experiences	1	50	50					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					

Placed for collections:

With D&B	0	0						
Other	0	N/A						
Total in D&B's file	3	1,300	1,000					

The highest **Now Owes** on file is \$1,000

The highest **Past Due** on file is \$0

D&B receives over 600 million payment experiences each year. We enter these new and updated experiences into D&B Reports as this information is received.

PAYMENT DETAILS

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

11/30/2018 1:32:31 PM

in

Case No(s). 18-1695-GA-AGG

Summary: Amended Application Case 18-1695-EL-AGG Revision of Exhibit A-10 and Exhibits C electronically filed by Ms. Sarah Gutherman on behalf of AUI Associates, Inc