NC FILE

Ohio | Public Utilities Commission

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Date Received	Case Number	Certification Number
	- GA-AGG	

18-1620

(36)

INITIAL CERTIFICATION APPLICATION
COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (***Example: Exhibit A-15 - Company History***). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to be certified as: (check all that apply)

☐ **Retail Natural Gas Aggregator** ☑ **Retail Natural Gas Broker**

A-2 Applicant information:

Legal Name: KOBIONA LLC

Address: 67 West Main St. Suite 412 Clinton CT 06413

Telephone No. 203-672-5962 Web site Address www.kobiona.com

A-3 Applicant information under which applicant will do business in Ohio:

Name: Justin Vissat

Address: 67 West Main St. Suite 412 Clinton CT 06413

Web site Address www.kobiona.com Telephone No. 203-672-5962

A-4 List all names under which the applicant does business in North America:

We do not have any other names that apply.

A-5 Contact person for regulatory or emergency matters:

Name Justin Vissat Title Managing Partner

Business Address 67 West Main St. Suite 412 Clinton CT 06410

Telephone No. 203-672-5962 Fax No. 203-913-1023 Email Address jvissat@kobiona.com

RECEIVED-DOCKETING DIV.
2018 OCT 29 PM 2:50
PUCO

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician 10 Date Processed 10/29/18

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Justin Vissat Title Managing Partner

Business address 67 West Main St. Suite 412 Clinton CT 06410

Telephone No. 203-672-5962 Fax No. 203-913-1023 Email Address jvissat@kobiona.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 67 West Main St. Suite 412 Clinton CT 06413

Toll-Free Telephone No. 1-844-209-7972 Fax No. 203-913-1023 Email Address jvissat@kobiona.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Incorp Services Inc. Title

Business address 9435 Waterstone Boulevard Suite 140 Cincinnati OH 45249

Telephone No. Fax No. Email Address

A-9 Applicant's federal employer identification number 47-3936122

A-10 Applicant's form of ownership: (Check one)

- ☐ **Sole Proprietorship**
- ☐ **Partnership**
- ☐ **Limited Liability Partnership (LLP)**
- ☑ **Limited Liability Company (LLC)**
- ☐ **Corporation**
- ☐ **Other**

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers.* (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

✓	Columbia Gas of Ohio	☐ Residential	✓ Small Commercial	✓ Large Commercial / Industrial
✓	Dominion East Ohio	☐ Residential	✓ Small Commercial	✓ Large Commercial / Industrial
✓	Duke Energy Ohio	☐ Residential	✓ Small Commercial	✓ Large Commercial / Industrial
✓	Vectren Energy Delivery of Ohio	☐ Residential	✓ Small Commercial	✓ Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

✓ Columbia Gas of Ohio

✓ Residential	Beginning Date of Service	12/15/2018	End Date
✓ Small Commercial	Beginning Date of Service	12/15/2018	End Date
✓ Large Commercial	Beginning Date of Service	12/15/2018	End Date
✓ Industrial	Beginning Date of Service	12/15/2018	End Date

✓ Dominion East Ohio

✓ Residential	Beginning Date of Service	12/15/2018	End Date
✓ Small Commercial	Beginning Date of Service	12/15/2018	End Date
✓ Large Commercial	Beginning Date of Service	12/15/2018	End Date
✓ Industrial	Beginning Date of Service	12/15/2018	End Date

✓ Duke Energy Ohio

✓ Residential	Beginning Date of Service	12/15/2018	End Date
✓ Small Commercial	Beginning Date of Service	12/15/2018	End Date
✓ Large Commercial	Beginning Date of Service	12/15/2018	End Date
✓ Industrial	Beginning Date of Service	12/15/2018	End Date

✓ Vectren Energy Delivery of Ohio

✓ Residential	Beginning Date of Service	12/15/2018	End Date
✓ Small Commercial	Beginning Date of Service	12/15/2018	End Date
✓ Large Commercial	Beginning Date of Service	12/15/2018	End Date
✓ Industrial	Beginning Date of Service	12/15/2018	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.

2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).

3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.

4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

status or ability to provide the services it is seeking to be certified to provide.

B-5 **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☑ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

B-6 **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☑ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If the applicant does not produce annual reports, the applicant should indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

✓	Columbia Gas of Ohio	Intended Start Date	12/15/2018
✓	Dominion East Ohio	Intended Start Date	12/15/2018
✓	Duke Energy Ohio	Intended Start Date	12/15/2018
✓	Vectren Energy Delivery of Ohio	Intended Start Date	12/15/2018

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-15 **Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.

A-16 **Exhibit A-16 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.

A-17 **Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.

C-10 **Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.

D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.

D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title Mary Baker

Sworn and subscribed before me this 25 **day of** October **Month** 2018 **Year**

Signature of official administering oath **Print Name and Title** Rebecca Stakelum

Rebecca Stakelum
Notary Public Connecticut
My Commission Expires
July 31, 2023

My commission expires on 7/31/23

Exhibit A-l4 "Principal Officers, Directors & Partners"

Name: Justin P. Vissat
Title: Managing Partner
Address: 67 West Main St. Suite 412 Clinton CT 06413
Telephone: 203-672-5962

Name: Brian M. Choquette
Title: Managing Partner
Address: 67 West Main St. Suite 412 Clinton CT 06413
Telephone:203-672-6605

Exhibit A-15 "Company History,"

Our company was formed in April of 2015 by Steven Miller under the name of Strategic Energy Partners LLC. Steven was removed from the LLC on 03/01/2016. Justin Vissat and Brian Choquette were added as new manager / members only. Both Partners combined have over ten years' experience within the industry. Our company provides electricity and Natural Gas brokerage services to commercial and industrial customers throughout the northeast. The name of the company was changed in Dec 2017 to KOBIONA LLC due to a conflict with another Strategic Energy in Texas.

Our services include providing competitive supplier bids to customers and assisting them with the process of contracting directly with their chosen supplier

Exhibit A-16 "Articles of Incorporation and Bylaws," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.

Attached is a copy of the original Certificate of Organization filed under the name of Strategic Energy Partners, LLC as well as the Certificate of Amendment dated 12/18/2017 changing the name from Strategic Energy Partners to KOBIONA, LLC

Interim notice of change of manager/member is also attached.

SECRETARY OF THE STATE OF CONNECTICUT

MAILING ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, P.O. BOX 150470, HARTFORD, CT 06115-0470
DELIVERY ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, 30 TRINITY STREET, HARTFORD, CT 06106
PHONE: 860-509-6003 WEBSITE: www.concord-sots.ct.gov

CERTIFICATE OF AMENDMENT
Limited Liability Company-DOMESTIC

C.G.S. §§34-247a; 34-247b

USE INK. COMPLETE ALL SECTIONS. PRINT OR TYPE. ATTACH 8½ X 1

FILING #0005999651 PG 01 OF 01 VOL B-02437
FILED 12/18/2017 12:00 PM PAGE 01747
SECRETARY OF THE STATE
CONNECTICUT SECRETARY OF THE STATE

FILING PARTY *(CONFIRMATION WILL BE SENT TO THIS ADDRES*

NAME: Justin P. Vissat
MAILING ADDRESS: 67 West Main St. Suite 413
CITY: Clinton
STATE: CT ZIP: 06413

MAKE CHECKS PAYABLE TO "SECRETARY OF THE STATE"

1. NAME OF LIMITED LIABILITY COMPANY - REQUIRED: *(MUST MATCH OUR CURRENT RECORDS EXACTLY WITH DESIGNATION SUCH AS L.L.C., LLC, ETC.)*

STRATEGIC ENERGY PARTNERS LLC

2. THE LIMITED LIABILITY COMPANY'S CERTIFICATE OF ORGANIZATION IS (CHECK A, B, C OR D) - REQUIRED:

☐ **A. AMENDED, NAME ONLY:** Kobiona LLC
(SPECIFY NEW NAME. MUST INCLUDE BUSINESS DESIGNATION SUCH AS: L.L.C., LLC, ETC.)

☐ **B. AMENDED:** ANY AMENDMENTS TO THE CERTIFICATE OF ORGANIZATION.

☐ **C. AMENDED AND RESTATED:** PROVIDE THE TEXT OF EACH AMENDMENT **AND** ATTACH A COMPLETE RESTATEMENT OF THE LIMITED LIABILITY COMPANY'S CERTIFICATE OF ORGANIZATION.

☐ **D. RESTATED:** INTEGRATION OF ALL PREVIOUS AMENDMENTS TO THE CERTIFICATE OF ORGANIZATION INTO ONE DOCUMENT. ATTACH A COMPLETE RESTATEMENT OF THE LLC'S CERTIFICATE OF ORGANIZATION.

3. FULL TEXT OF EACH AMENDMENT - REQUIRED IF 2B OR 2C IS CHECKED:
(NOTE: IF YOU ARE AMENDING THE BUSINESS NAME ONLY, COMPLETE SECTION 2A AND YOU MAY LEAVE THIS SECTION BLANK.)

4. EXECUTION - REQUIRED: *(SUBJECT TO PENALTY OF FALSE STATEMENT)*

DATE (MM/DD/YYYY) 12/18/2017

NAME OF SIGNATORY (print/type)	CAPACITY/TITLE OF SIGNATORY	SIGNATURE
JUSTIN P VISSAT BRIAN M CHOQUETTE	Managing Partner Managing Partner	

Rev. 7/2017

Office of the Secretary of the State of Connecticut

Articles of dissolution have not been filed, and so far as indicated by the records of this office such limited liability company is in existence.

Secretary of the State

Date Issued: January 10, 2018

Business ID: 1173806 Longform Certificate Number: 2018009377001

Note: To verify this certificate, visit the web site http://www.concord.sots.ct.gov

Office of the Secretary of the State of Connecticut

I, the Connecticut Secretary of the State, and keeper of the seal thereof, DO HEREBY CERTIFY, that articles of organization for

KOBIONA LLC

a domestic limited liability company, were filed in this office on April 30, 2015. The following is a list of all documents filed in this office:

Filing Type:	File Date/Time:	Effective Date/Time:
CERTIFICATE OF ORGANIZATION	April 30, 2015 03:19 PM	April 30, 2015 03:19 PM
REPORT (2016)	March 01, 2016 10:18 AM	
LIMITED LIABILITY INTERIM NOTICE	September 21, 2016 01:40 PM	
CHANGE OF ADDRESS	January 03, 2017 03:36 PM	
CHANGE OF AGENT FOR SERVICE OF PROCESS	February 02, 2017 02:00 PM	February 02, 2017 02:00 PM
CERTIFICATE OF AMENDMENT with name change from: STRATEGIC ENERGY PARTNERS LLC to: KOBIONA LLC	December 18, 2017 12:00 PM	December 18, 2017 12:01 AM
REPORT (2017)	January 10, 2018 09:41 AM	
REPORT (2018)	January 10, 2018 09:45 AM	

Business ID: 1173806 Longform Certificate Number: 2018009377001

Note: To verify this certificate, visit the web site http://www.concord.sots.ct.gov

SECRETARY OF THE STATE OF CONNECTICUT

INTERIM NOTICE OF CHANGE OF MANAGER/MEMBER

FILING PARTY:

Name: STRATEGIC ENERGY PARTNERS LLC
Address: 900 CHAPEL STREET, APT 201
City: NEW HAVEN
State: CT **Zip:** 06051
Country:

FILING #0005655671 PG 1 OF 1
VOL B-02245 PAGE 3213
FILED ON 09/21/2016 01:40 PM
SECRETARY OF THE STATE OF CONNECTICUT

1. COMPLETE NAME OF LIMITED LIABILITY COMPANY REQUIRED:

STRATEGIC ENERGY PARTNERS LLC

2. NEW MANAGER / MEMBER INFORMATION:

Name	TITLE	RESIDENCE ADDRESS	BUSINESS ADDRESS
BRIAN M CHOQUETTE	MANAGING PARTNER	769 NEWFIELD STREET, SUITE 5,MIDDLETOWN,CT 06457	769 NEWFIELD STREET, SUITE 5,MIDDLETOWN,CT 06457
JUSTIN P VISSAT	MANAGING PARTNER	769 NEWFIELD STREET, SUITE 5,MIDDLETOWN,CT 06457	769 NEWFIELD STREET, SUITE 5,MIDDLETOWN,CT 06457

3. MANAGER(S) / MEMBER(S) WHO HAVE CEASED TO BE MANAGER(S) / MEMBER(S):

NAME	TITLE	RESIDENCE ADDRESS	BUSINESS ADDRESS
JUSTIN VISSAT	MEMBER	900 CHAPEL ST STE 201, NEW HAVEN, CT, 06410	900 CHAPEL ST STE 201, NEW HAVEN, CT, 06410

4. EXECUTION *(I hereby certify and state, under penalties of false statement, that all of the information set forth on this filing is true. I hereby electronically sign this document.)*

Dated This: 21 **Day of:** September **, 2016**

NAME OF SIGNATORY *(PRINT/TYPE)*	CAPACITY/TITLE OF SIGNATORY	SIGNATURE
BRIAN M CHOQUETTE	MANAGING PARTNER	BRIAN M CHOQUETTE

SECRETARY OF THE STATE OF CONNECTICUT

MAILING ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, P.O. BOX 150470, HARTFORD, CT 06115-0470
DELIVERY ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, 30 TRINITY STREET, HARTFORD, CT 06106
PHONE: 860-509-6003 WEBSITE: www.concord-sots.ct.gov

ARTICLES OF ORGANIZATION

LIMITED LIABILITY COMPANY - DOMESTIC

C.G.S. §§34-120; 34-121

USE INK. COMPLETE ALL SECTIONS. PRINT OR TYPE. ATTAC

FILING #0005325179 PG 01 OF 02 VOL B-02060
FILED 04/30/2015 03:19 PM PAGE 03664
SECRETARY OF THE STATE
CONNECTICUT SECRETARY OF THE STATE

FILING PARTY *(CONFIRMATION WILL BE SENT TO THIS*

NAME: **Steven C. Miller**

ADDRESS: **PO Box 26**

CITY: **Plainville**

STATE: **CT** ZIP: **06062**

MAKE CHECKS PAYABLE TO ... OF THE STATE"

1. NAME OF LIMITED LIABILITY COMPANY - REQUIRED: (MUST INCLUDE BUSINESS DESIGNATION I.E. LLC, L.L.C., ETC.)

Strategic Energy Partners LLC

2. DESCRIPTION OF BUSINESS TO BE TRANSACTED OR PURPOSE TO BE PROMOTED - REQUIRED:
ATTACH 81/2 X 11 SHEETS IF NECESSARY.

Energy Aggregation and Consultation Services

3. LLC'S PRINCIPAL OFFICE ADDRESS - REQUIRED: *(NO P.O. BOX)* PROVIDE FULL ADDRESS. "SAME AS ABOVE" NOT ACCEPTABLE.

ADDRESS: **22 Pine Street, Suite 103C**

CITY: **Bristol**

STATE: **CT** ZIP: **06010**

4. MAILING ADDRESS, IF DIFFERENT THAN #3: PROVIDE FULL ADDRESS. "SAME AS ABOVE" NOT ACCEPTABLE.

ADDRESS: **22 Pine Street, Suite 103C**

CITY: **Bristol**

STATE: **CT** ZIP: **06010**

5. APPOINTMENT OF STATUTORY AGENT FOR SERVICE OF PROCESS - REQUIRED: *(COMPLETE A OR B NOT BOTH)*

☒ **A. IF AGENT IS AN INDIVIDUAL.**
PRINT OR TYPE FULL LEGAL NAME:

Steven C. Miller

BUSINESS ADDRESS *(P.O. BOX NOT ACCEPTABLE) IF NONE, MUST STATE "NONE"*	**CONNECTICUT RESIDENCE ADDRESS** *(P.O. BOX NOT ACCEPTABLE)*
ADDRESS: **None**	ADDRESS: **460 Cook Hill Road**
CITY:	CITY: **Cheshire**
STATE:	STATE: **CT**
ZIP:	ZIP: **06410**

SIGNATURE ACCEPTING APPOINTMENT: 4/28/2015

FORM LC-1-1.0
Rev. 1/1/2015

☐ **B. IF AGENT IS A BUSINESS:**
PRINT OR TYPE NAME OF BUSINESS AS IT APPEARS ON OUR RECORDS:

CT BUSINESS ADDRESS *(P.O.BOX UNACCEPTABLE)*

ADDRESS:

CITY:

STATE: ZIP:

SIGNATURE ACCEPTING APPOINTMENT ON BEHALF OF AGENT:

PRINT NAME & TITLE OF PERSON SIGNING:

6. MANAGER OR MEMBER INFORMATION-*REQUIRED:* *(MUST LIST AT LEAST ONE MANAGER OR MEMBER OF THE LLC.) ATTACH 81/2 X 11 SHEETS IF NECESSARY.*

NAME	TITLE	BUSINESS ADDRESS (No. P.O Box) *IF NONE, MUST STATE "NONE"*	RESIDENCE ADDRESS: (No. P.O Box)
Steven C. Miller	Member	None	460 Cook Hill Road Cheshire, CT 06410

7. MANAGEMENT - PLACE A CHECK NEXT TO THE FOLLOWING STATEMENT ONLY IF IT APPLIES

☐: MANAGEMENT OF THE LIMITED LIABILITY COMPANY SHALL BE VESTED IN A MANAGER OR MANAGERS

8. ENTITY EMAIL ADDRESS-REQUIRED: *(IF NONE, MUST STATE "NONE.")*

Stevenmiller23@gmail.com

9. EXECUTION: *(SUBJECT TO PENALTY OF FALSE STATEMENT)*

DATED THIS 28th **DAY OF** April , 2015

NAME OF ORGANIZER (PRINT OR TYPE)	SIGNATURE
Steven C. Miller	

AN ANNUAL REPORT WILL BE DUE YEARLY IN THE ANNIVERSARY MONTH THAT THE ENTITY WAS FORMED/REGISTERED AND CAN BE EASILY FILED ONLINE @ www.concord-sots.ct.gov
CONTACT YOUR TAX ADVISOR OR THE TAXPAYER SERVICE CENTER AT THE DEPARTMENT OF REVENUE SERVICES AS TO ANY POTENTIAL TAX LIABILITY RELATING TO YOUR BUSINESS, INCLUDING QUESTIONS ABOUT THE BUSINESS ENTITY TAX.
TAX PAYER SERVICE CENTER: (800) 382-9463 OR (860) 297-5962 OR GO TO www.ct.gov/drs

Exhibit A-17 "Secretary of State," provide evidence that the applicant has registered
with the Ohio Secretary of the State.

Attached is a copy of Certificate of Filing,

JON HUSTED
Ohio Secretary of State

A 17

Wed Oct 24 2018

Entity#: 4243112

Filing Type: FOREIGN LIMITED LIABILITY COMPANY

Original Filing Date: 10/16/2018

Location: –

Business Name: KOBIONA LLC

Status: Active

Exp. Date: -

Agent/Registrant Information

INCORP SERVICES, INC.
9435 WATERSTONE BOULEVARD SUITE 140
CINCINNATI OH 45249
10/16/2018
Active

Filings

Filing Type	Date of Filing	Document ID
REG. OF FOR. PROFIT LIM. LIAB. CO.	10/16/2018	201828900470

Exhibit B-1 *'Jurisdictions of Operation,"
Copies of the following licenses are attached

Massachusetts ~ renewed July 1 2018 – Active Under Renewal Process Still.

New Hampshire - issued 7/28/2015

Maine – issued 07/2016

Exhibit B-2 "Experience & Plans

KOBIONA, LLC provides competitive supplier bids to customers and assists them with the process of contracting directly with their chosen supplier. We do not take title to the power, so we do not bill the customer.

All billing is done directly between the supplier and the customer.
We maintain a toll-free line for customer service and any inquiries and/or complaints are addressed immediately via telephone and followed up via email for written documentation.

Exhibit B-3 "Summary of Experience," provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services, (e.g. number and types of customers served, utility service areas, amoimt of load, etc.).

KOBIONA Services MA , RI , CT , NY , ME , NY Gas Markets.

KOBIONA worked with a Hotel Management company to aggregate its members into one contract. All accounts are in the Western Massachusetts area as well as Connecticut and are served by Eversource and National Grid utilities.
There was a total of 15 accounts representing a contract volume of 9,000,000 Therms over a 21 month term.

1. Customer served by Yankee Gas utility, aggregated 48 accounts for a total contract volume of 1,721,000 Therms over 12 months.
2. Customer served by National Grid utility, aggregated 33 accounts for a total contract volume of 1,928,000 Therms over 18 months.
3. Customer served by Connecticut Southern Gas utility, aggregated 65 accounts for a total contract volume of 1,900,000 Therms over 16 months.

Exhibit B-4 "Disclosure of Liabilities and Investigations,"

There are no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that would adversely impact KOBIONA, LLC's financial or operational status or ability to provide the services we are seeking to be certified to provide.

Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

KOBIONA, LLC is a limited liability company and not required to file Annual Reports.

Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

KOBIONA, LLC is not a publicly traded entity and therefore not required to file with the SEC.

Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

Attached are copies of our 2016 financial statements and 2017 financial Statements. 2018 is not yet available.

Exhibit C-4 "Financial Arrangements," provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).

KOBIONA, LLC there are not financial agreements at this moment in time.

Exhibit C-5 "Forecasted Financial Statements,"

Attached is the forecast for 2018 prepared Bailey Scarano

Certified Public Accountants

1224 Main Street

Branford, CT 06405

203.481.1120 Phone

203.488.3027 Fax

baileyscarano.com

Assumptions are 75% renewal rate with approx. $2,000 new business revenue per month.

Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

As a startup entity, we do not have sufficient history yet to be rated.

Exhibit C-7 "Credit Report," provide a copy of the applicant's credit report from Experion, Dim and Bradstreet or a similar organization.

As a startup entity, we do not have sufficient history yet to be rated

Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

There are no bankruptcy filings made by KOBIONA, LLC.

Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

There are no mergers or acquisitions of KOBIONA, LLC.

Exhibit C-10 "Corporate Structure"

Justin P Vissat

Founder / Managing Partner

Brian M Choquette

Managing Partner

Sales Team

Joe Bak

Catherine Gray

Brian Munger

Wayne Martucci

Operations Team

Tony Pelli

Steve Miller

Exhibit D-1 "Operations"

KOBIONA, LLC provides competitive natural gas supplier bids to customers and assists them with the process of contracting directly with their chosen supplier. We do not take title to the natural gas, so we do not bill the customer.

D-2 "Operations Expertise"

Currently we provide natural gas consulting to the following states. MA, CT, RI, ME, NH, NY. We provide ongoing consulting to clients, helping them navigate the third party supplier offers vs utility market rates.

Exhibit D-3 "Key Technical Personal"

Name: Justin P. Vissat
Title: Managing Partner
Email: jvissat@kobiona.com
Address: 67 West Main St. Suite 412 Clinton CT 06413
Telephone: 203-672-5962

Name: Brian M. Choquette
Title: Managing Partner
Email: bchoquette@kobiona.com
Address: 67 West Main St. Suite 412 Clinton CT 06413
Telephone:203-672-6605

The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)
[ ])
for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. [ ]-[ ] **-GA-AGG**

County of New Haven
State of CT

Justin Vissat [Affiant], being duly sworn/affirmed, hereby states that:

(1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.

(2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.

(3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.

(4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.

(5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.

(6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.

(7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.

(8) Affiant further sayeth naught.

Affiant Signature & Title Managing Partner

Sworn and subscribed before me this 25 **day of** October **Month** 2018 **Year**

Signature of Official Administering Oath

Justin Vissat Managing Partner
Print Name and Title

Rebecca Stakelum
Notary Public-Connecticut
My Commission Expires
July 31, 2023

My commission expires on 7/31/23

180 East Broad Street • Columbus, OH 43215-3793 • (614) 466-3016 • www.PUCO.ohio.gov
The Public Utilities Commission of Ohio is an Equal Opportunity Employer and Service Provider