# Tenaska Power VANAGEMENT, LLC \$18 SEP 11 PH 3: 05

RECEIVED-DOCKETING DIV 1701 E. Lamar Boulevard, Suite 100

Arlington, Texas 76006 USA

817-462-1521 FAX: 817-462-1035

PUCO

September 10, 2018

Public Utilities Commission of Ohio **Docketing Division** 180 East Broad Street Columbus Ohio 43215-3793

**UORIGINAL** 

16-1965-EL-CRS

Tenaska Power Management, LLC Renewal Application for Retail Power Marketer Re:

Dear Sir or Madam,

Enclosed please find an original and three copies of Tenaska Power Management, LLC's Renewal Application for Retail Power Marketer and all required documentation for your approval. Please note that Exhibit C-3 and C-5 have been provided under confidential seal.

If you have any questions, or need further documentation, please do not hesitate to contact Teri Brown at (817) 891-4170 or at tbrown@tnsk.com.

Sincerely,

Christa Hodnett

Manager, Contract Administration

CMISTA HOGARAT

Enclosures

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business. Technician \_Date Processed



**RENEWAL INFORMATION** 

A.

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## RENEWAL APPLICATION FOR RETAIL GENERATION PROVIDERS AND POWER MARKETERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

#### Applicant intends to be renewed as: (check all that apply) A-1 Retail Generation Provider □Power Broker ☑Power Marketer ☐Aggregator Applicant's legal name, address, telephone number, PUCO certificate number, and A-2 web site address Legal Name Tenaska Power Management, LLC Address 14302 FNB Parkway Omaha, NE 68154 - Headquarters PUCO Certificate # and Date Certified 16-1137E (1) - October 30, 2016 Telephone #(817) 462-1521 Web site address (if any) www.tenaskapowermanagement.com A-3 List name, address, telephone number and we b site address under which Applicant does business in Ohio Legal Name Tenaska Power Management, LLC Address 1701 E. Lamar Blvd., Suite 100, Arlington, TX 76006 Telephone #(817) 462-1521 Web site address (if any) www.tenaskapowermanagement.com

Applicant does bu	siness under the na	
Contact person	for regulatory or eme	rgency matters
Name_Brad_Cox		
Title Vice Preside	nt <u>Markets &amp; Compliance</u>	
Business address_	1701 E. Lamar Blvd., Suit	te 100 Arlington, TX 76006
Telephone # <u>(817)</u>	303-1114	Fax # (817) 303-1104
E-mail address	bcox@tnsk.com	<del></del>
Contact person	for Commission Staff	use in investigating customer complaint
Name Curry Aldr	idge	
	nt Origination/Commercia	al Operations
		e 100 Arlington, TX 76006
		Fax # (817) 303-1104
	caldridge@tnsk.con	
Customer Service	address <u>1701 E. Lamar B</u> ne # <u>(800) 328-1609</u>	lber for customer service and complaints  lvd., Suite 100 Arlington, TX 76006  Fax # (817) 303-1104
Applicant's fede	eral employer identific	eation number # 453307583
Applicant's for	m of ownership (checl	cone)
☐Sole Proprietors	hip	☐Partnership
	y Partnership (LLP)	☐ Limited Liability Company (LLC) ☐ Other
☐Corporation		
•	OLLOWING AS SEPARA	ATE ATTACHMENTS AND LABEL AS INDIC.

#### B. MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a let of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.
- **B-2** Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.
- B-3 <u>Exhibit B-3 "Disclosure of Liabilities and Investigations,"</u> provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
  ☑ No ☐ Yes
  If yes, provide a separate attachment labeled as Exhibit B-4 "Disclosure of Consumer Protection Violations" detailing such violation(s) and providing all relevant documents.
  B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service denied, curtailed, suspended, revoked, or cancelled within the past two years.
  ☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

- 1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
- 2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
- 3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
- 4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 <u>Exhibit C-9 "Merger Information,"</u> provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure." provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

#### D. TECHNICAL CAPABILITY

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- **D-1** Exhibit D-1 "Operations" provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.
- **D-2** Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.
- **D-3** Exhibit D-3 "Key Technical Personnel," provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.
- D-4 <u>Exhibit D-4 "FERC Power Marketer License Number,"</u> provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)

(LEGAL)	Signature of Applicant and Title	ident			
	Sworn and subscribed before me this _ Month	10	day of September , 2018	<u> </u>	
	Signature of official administering oath		Erin Hartley Print Name and Title	×	ERIN HARTLEY My Notary ID # 129948538 Expires September 8, 2022

My commission expires on September 8, 2022

## <u>AFFIDAVIT</u>

State oflexas	<u>_</u> ;
	Arlington ss. (Town)
County of <u>Tarrant</u>	_;
Kevin R. Smith	, Affiant, being duly sworn/affirmed according to law, deposes and says that:
He/She is the President	(Office of Affiant) of Tenaska Power Management, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

- The Applicant herein, attests under penalty of false statement that all statements made in the
  application for certification renewal are true and complete and that it will amend its application while
  the application is pending if any substantial changes occur regarding the information provided in the
  application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 7. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of h he/she expects said Applicant to be able to prove the same at any h	2 '	and belief and that
Kinn R. Smith ), President Signature of Affiant & Title	tearing notices.	
Sworn and subscribed before me this day of Septemb Month	er , 2018 Year	
6 Un fantlyt	Erin Hartley Print Name and Title	
Signature of official administering oath  My commission expires on Septe		ERIN HARTLEY My Notary ID # 129948538 Expires September 8, 2022

# EXHIBIT A-10 OFFICERS AND DIRECTORS OF TENASKA POWER MANAGEMENT, LLC

Howard L. Hawks* Director & Chairman	Thomas E. Hendricks* Director & Executive	Jerry K. Crouse* Director & CEO	Ronald N. Quinn* Director & Executive Vice
(402) 691-9503	Vice President	(402) 691-9532	President & Secretary
hhawks@tenaska.com	(402) 691-9507	jcrouse@tenaska.com	(402) 691-9504
mawks@tonaska.com	thendricks@tenaska.com	Jerouseasteriaska.com	rquinn@tenaska.com
	mondrionologionasna.com		rquimasconaska.com
Curry D. Aldridge**	Robert E. Anderson**	Nicholas N. Borman*	Jeremy D. Carpenter**
Vice President	Vice President	Senior Vice President	Vice President
(817) 303-1876	(817) 462-8072	(402) 691-9535	(817) 303-1869
caldridge@tnsk.com	banderson@tnsk.com	nborman@tenaska.com	jcarpenter@tnsk.com
Larry G. Carlson*	O. Bradley Cox**	David C. Dickey*	Aaron O. Dubberly*
Vice President	Vice President	Vice President & Associate	Vice President
(402) 938-1661	(817) 303-1114	General Counsel	(402) 691-9540
lcarlson@tenaska.com	bcox@tnsk.com	(402) 691-9738	adubberly@tenaska.com
_		ddickey@tenaska.com	}
Keith E. Emery**	Mark G. Foreman**	Drew J. Fossum*	Joseph G. Hancock*
Vice President	Vice President	Sr. Vice President,	Vice President
(817) 303-1870	(817) 462-1524	General Counsel &	(402) 691-9733
kemery@tnsk.com	mforeman@tnsk.com	Assistant Secretary	jhancock@tenaska.com
		(402) 758-6127	
		dfossum@tenaska.com	}
William W. Horton**	Fred R. Hunzeker*	Todd S. Jonas*	Gregory B. Kelly**
Vice President	Vice President	Senior Vice President	Vice President
(817) 462-1519	(402) 758-6110	(402) 691-9548	(817) 303-3600
bhorton@tnsk.com	fhunzeker@tenaska.com	tjonas@tenaska.com	gkelly@tnsk.com
David W. Kirkwood*	Corey S. Kopiasz*	Timothy G. Kudron*	Jenni Z. Leger**
Vice President	Vice President	Senior Vice President &	Vice President
(402) 691-9555	(402) 691-9704	Assistant Secretary	(817) 303-1852
dkirkwood@tenaska.com	ckopiasz@tenaska.com	(402) 691-9703	jleger@tnsk.com
J		tkudron@tenaska.com	
Christopher A. Leitner*	Daniel E. Lonergan*	Delette J. Olberg*	Vasu S. Pinapati*
Vice President	Vice President	Vice President	Vice President
(402) 691-9725	(402) 691-9542	(402) 691-9595	(402) 691-9702
cleitner@tenaska.com	dlonergan@tenaska.com	dolberg@tenaska.com	vpinapatie@tenaska.com
Daniel G. Ramaekers*	James H. Rich*	Kevin R. Smith**	Douglas A. Troupe*
Vice President	Vice President	President	Assistant Secretary
(402) 758-6170	(402) 758-6214	(817) 462-1513	(402) 691-9519
dramaekers@tenaska.com	jrich@tenaska.com	ksmith@tnsk.com	dtroupe@tenaska.com
Sheila R. Trueblood*	Gregory A. Van Dyke*	James B. Welniak*	David T. Wingfield*
Vice President & Corporate	CFO & Senior Vice	Vice President	Vice President
Controller	President & Treasurer	(402) 691-9556	(402) 691-9559
		jwelniak@tenaska.com	dwingfield@tenaska.com
(402) 691-9708	(402) 691-9720	I IMEHITAKIMEHASKA COM	I UWINDHERRARA GOOD

<sup>\*14302</sup> FNB Parkway, Omaha, NE 68154

<sup>\*\* 1701</sup> E. Lamar Blvd., Suite 100, Arlington, TX 76006

#### EXHIBIT B-1 JURISDICTIONS OF OPERATIONS TENASKA POWER MANAGEMENT, LLC AND AFFILIATED ENTITIES

#### **Retail Jurisdictions:**

Texas

California

Delaware

Nevada

Montana

Massachusetts

Pennsylvania

Illinois

Maryland

New Jersey

#### Wholesale Jurisdictions:

**ERCOT** 

PJM

**MISO** 

ISO-NE / NEPOOL

**SERC** 

SPP

**WECC** 

**CAISO** 

**FRCC** 

**IESO** 

MRO

**NYISO** 

# EXHIBIT B-2 EXPERIENCE AND PLANS TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC (TPM) will have a contract with an affiliate, Tenaska, Inc. (Tenaska), for services, including, but not limited to, Accounting, Settlement, Contract Administration, Communications and Scheduling Services, Hedging Services, Management Services, and Computer Support Services. Tenaska provides similar services to Tenaska Power Services Co. (TPS). TPS is a leading nationwide power marketing firm, engaged in the physical and financial trading of wholesale power throughout the United States and in Canada. It is certified to provide scheduling, settlement and market interface services in ERCOT, MISO, PJM, NYISO, SPP, CAISO and ISO-NE. TPS assists independent power producers, municipal and cooperative utilities, large industrial customers and competitive retailers in meeting load obligations and optimizing resource value by performing a broad range of power marketing services, including trading congestion revenue rights, Renewable Energy Certificates and emission allowances. TPS coordinates purchase and sale of electric capacity, energy and ancillary services, as well as energy risk management and financial and physical hedging, for its third-party clients, both renewable (wind, solar and landfill gas) and fossil fueled. TPS also offers scheduling, bidding and market interface and operations, including customized accounting, settlement, invoicing and reporting services and round-the-clock trading operations.

Under the service contract between TPM and Tenaska, Tenaska will provide services to TPM using some of the same expert staff that have served TPS under Tenaska's services contract with TPS. Because of the positive results that this group of experts have obtained for TPS under the TPS-Tenaska services contract, TPM is confident that this group of skilled professionals will be able to perform services for Applicant at the same high level of competence as they have demonstrated in their services to TPS.

# EXHIBIT B-3 DISCLOSURE OF LIABILITES AND INVESTIGATIONS TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC does not have any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact Applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

### EXHIBIT C-1 ANNUAL REPORTS TENASKA POWER MANAGEMNET, LLC

Tenaska Power Management, LLC is a privately held company and does not publish an annual report.

#### EXHIBIT C-2 SEC FILINGS TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC is not required to file with the SEC as it is a privately held company.

## EXHIBIT C-3 FINANCIAL STATEMENTS TENASKA POWER MANAGEMENT, LLC

CONFIDENTIAL



#### EXHIBIT C-4 FINANCIAL ARRANGEMENTS TENASKA POWER MANAGEMENT, LLC

August 6, 2018

Tenaska Power Management, LLC has met the Electric Collateral obligations for Duke Energy Corporation as of August 6, 2018.

Tom Hunt

Duke Energy Corp Certified Supplier Business Center Tom.Hunt@Duke-Energy.com



### EXHIBIT C-5 FORECASTED FINANCIAL STATEMENTS TENASKA POWER MANAGEMENT, LLC

CONFIDENTIAL

#### EXHIBIT C-6 CREDIT RATING TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC is a non-public company; therefore, Exhibit C-6 is not applicable.

#### EXHIBIT C-7 CREDIT REPORT TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC does not have a credit report to provide as it has not done any business to date.

# EXHIBIT C-8 BANKRUPTCY INFORMATION TENASKA POWER MANAGEMENT, LLC

No reorganizations, protection from creditors or any other form of bankruptcy filings have been made by Tenaska Power Management, LLC (TPM), or an affiliate organization that guarantees the obligations of TPM or any officer of TPM, in the current year or within the two most recent years preceding this renewal application.

### EXHIBIT C-9 MERGER INFORMATION TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC has not had any dissolution, merger or acquisition within the two most recent years preceding this renewal application.

#### EXHIBIT C-10 CORPORATE STRUCTURE TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC (TPM) is a stand-alone entity with no subsidiary companies.

TPM's affiliates are as follows:

Alabama Electric Marketing, LLC Berkshire Power Company, LLC California Electric Marketing, LLC CSOLAR IV South, LLC CSOLAR IV West, LLC Elkhorn Ridge Wind, LLC Kiowa Power Partners, LLC LQA, LLC New Mexico Electric Marketing, LLC Soltage, LLC (and its affiliated generation projects) Tenaska Alabama Partners, L.P. Tenaska Alabama II Partners, L.P. Tenaska California Energy Marketing, LLC Tenaska Energia de Mexico, S. de R.L., de C.V. Tenaska Frontier Partners Ltd. Tenaska Gateway Partners, Ltd. Tenaska Georgia Partners, L.P. Tenaska Pennsylvania Partners, LLC Tenaska Power Services Co. Tenaska Solar Ventures, LLC Tenaska TOPS REP, L.P. Tenaska Virginia Partners, L.P. Texas Electric Marketing, LLC TPS Corp.

Please note that Tenaska Power Management, LLC (TPM) has not listed its individual shareholders owning 10% or more of TPM or related companies, or any holding companies within the Tenaska corporate family which do not actively conduct regulated energy business. TPM has only listed companies actively engaged in some aspect of electric power activities or management thereof of which have electric power marketing or other FERC licenses.

TPM is indirectly affiliated with Tenaska Energy, Inc. (TEI), a privately held company, due to having shared investors who own more than 10% each company. TEI owns or is affiliated with numerous corporate entities which are investment companies, capital management companies, holding companies, or companies that hold limited partnership interests in power plants or other facilities that are managed by a general partner, or are inactive shelf companies. This list does not include such companies. TEI also may have affiliates which own or are involved in multiple lines of non-regulated business, such as oil and gas production, drilling operations and biofuels production, as well as affiliates that operate natural gas marketing and storage companies. This list does not include any non-regulated companies or companies that are not electric energy companies.

### EXHIBIT D-1 OPERATIONS TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC (TPM) plans to engage in electric power transactions and services to retail electric consumers. TPM's operations will not include the generation of power for retail sales; however, it will include the scheduling of retail power and delivery and the provision of retail ancillary services.

#### EXHIBIT D-2 OPERATIONS EXPERTISE TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC (TPM) will have a contract with an affiliate, Tenaska, Inc. (Tenaska), for services, including, but not limited to, Accounting, Settlement, Contract Administration, Communications and Scheduling Services, Hedging Services, Management Services, and Computer Support Services. Tenaska provides similar services to Tenaska Power Services Co. (TPS). TPS is a leading nationwide power marketing firm, engaged in the physical and financial trading of wholesale power throughout the United States and in Canada. It is certified to provide scheduling, settlement and market interface services in ERCOT, MISO, PJM, NYISO, SPP, CAISO and ISO-NE. TPS assists independent power producers, municipal and cooperative utilities, large industrial customers and competitive retailers in meeting load obligations and optimizing resource value by performing a broad range of power marketing services, including trading congestion revenue rights, Renewable Energy Certificates and emission allowances. TPS coordinates purchase and sale of electric capacity, energy and ancillary services, as well as energy risk management and financial and physical hedging, for its third-party clients, both renewable (wind, solar and landfill gas) and fossil fueled. TPS also offers scheduling, bidding and market interface and operations, including customized accounting, settlement, invoicing and reporting services and round-the-clock trading operations.

Under the service contract between TPM and Tenaska, Tenaska will provide services to TPM using some of the same expert staff that have served TPS under Tenaska's services contract with TPS. Because of the positive results that this group of experts have obtained for TPS under the TPS-Tenaska services contract, TPM is confident that this group of skilled professionals will be able to perform services for Applicant at the same high level of competence as they have demonstrated in their services to TPS.

# EXHIBIT D-3 KEY TECHNICAL PERSONNEL TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC ("TPM") has contracted with Tenaska, Inc. ("Tenaska") to provide market support, interface with the ISO, as well as settlement, contracts, accounting and computer services. The following key TPM personnel will provide services in their designated areas of responsibility, will supervise personnel reporting to them in their respective areas to ensure provision of services, and will be responsible for managing the contract relationship with Tenaska for their respective functions to coordinate the provision of services.

#### Curry Aldridge

Curry Aldridge is the Vice President of Origination and Commercial Operations of TPM. Curry, based in Texas, is responsible for managing Tenaska's origination team as well as several of the back office operations of the business. These back office duties include managing the settlements, process integrity, contracts, and strategic technology departments. Curry was promoted into this position at Tenaska in February 2013.

Curry Aldridge joined Tenaska in February 2000. During his time at Tenaska, Curry Aldridge worked on the 24-hour Real-time Trading/Scheduling desk for three years where he was responsible for trading and scheduling activities in multiple markets. In February 2003, Curry began working in Tenaska's Origination group focusing on the ERCOT market where he was responsible for signing long-term marketing and energy management structured transactions with both wholesale and retail third parties. During this time, Curry held the proxy vote for Tenaska on the Retail Market Subcommittee in 2003-2004 and served as the Independent Power Marketer segment member in 2005. He was also a NERC-certified System Operator from 2003-2008.

In 2007, Curry shifted his origination efforts to the PJM market where he started retail business activities in that market, as well as expanding the existing wholesale energy management business. In August 2008, he transitioned over and began managing Tenaska's energy management business. Responsibilities in this role involved the day-to-day management of Tenaska's scheduling, management and optimization activities for energy management customers in the ERCOT, MISO, PJM, SERC and SPP power markets. These duties include directing the incorporation of new energy management customers into Tenaska's energy management programs and coordinating internally between Tenaska's operations, trading, origination, settlements, accounting, risk management, and information technology groups. From 2010-2011, Curry served as Tenaska's representative on the ERCOT Wholesale Market Subcommittee as the Independent Power Marketer segment member. During this time in energy management, Curry also was responsible for managing ERCOT Nodal implementation efforts as well as managing EMS/SCADA operations.

Curry Aldridge holds a Bachelor of Business Administration degree in Marketing from Abilene Christian University in Abilene, Texas.

#### Michelle Trenary

Michelle Trenary serves as the Settlements and Customer Support Director for TPM. Michelle, based in Texas, is responsible for day to day settlement activities in multiple power markets. She has over 26 years of energy industry experience, with 11 years focused on electric utility engineering and 15 years focused on deregulated energy markets.

Prior to joining Tenaska, Michelle was Director of Settlements for a Texas Retail Electric Provider. She established the department and initiated all ERCOT market settlement processes. Michelle has served in many engineering and engineering leadership roles, including Substation Design Engineer, Substation Construction, Testing and Maintenance Supervisor, Engineering Services Manager, and Production Costing Consulting Engineer.

Michelle is active in Settlement User market stakeholder meetings. She served as the Accountable Executive for the implementation of the ERCOT Nodal market and is currently the Project Manager for the implementation of the SPP Integrated Marketplace market.

Michelle earned a Bachelor of Science degree in Electrical Engineering from the University of Tulsa, Tulsa, Oklahoma, and is a registered engineer in training in the State of Oklahoma.

#### James Lunday

James Lunday serves as the Controller for TPM. James, based in Texas, is responsible for all accounting functions, including customer billing, accounts receivable and payable, and financial reporting. He has over 12 years of accounting management experience, including the past 8 years in the electricity industry.

James joined Tenaska in 2011 coming from TXU Energy where he was responsible for financial reporting for the retail electricity business. James previously held multiple other accounting positions in non-energy industries during his career.

James is a Certified Public Accountant and a Certified Global Management Accountant with active CPA licenses in the States of Texas and Oklahoma. He is a member of the American Institute of Certified Public Accountants and the Oklahoma and Texas state-level CPA organizations. He earned a Bachelor of Science in Business Administration, majoring in accounting, from Missouri Southern State University and an MBA at Texas Christian University.

John P. Swope, CFA

John Swope serves as the Director of Origination for the Northeastern Region of the US for TPM. John, based in Pennsylvania, is responsible for the Origination/Marketing function involving generators, municipals, utilities, marketers and load serving entities in these markets.

Prior to joining Tenaska in January 2015, John worked at Pennsylvania Power and Light Co. for 7 years. As a Senior Originator there, he managed a multi-thousand MW Load Portfolio across 3 ISOs. Prior to that, John held commercial positions in energy, banking and risk management in several major Fortune 500 companies.

John served as a U.S. Navy Nuclear Submarine Officer from 1990 to 1995, and is certified as a Nuclear Engineer by the Department of Energy. John earned a Bachelor of Science degree in Physics from Georgetown University in 1990, and an MBA from the MIT Sloan School of Management in 1999. John was awarded the Chartered Financial Analyst charter in 2006.

#### EXHIBIT D-4 FERC POWER MARKETING LICENSE TENASKA POWER MANAGEMENT, LLC

Tenaska Power Management, LLC Market Based Rate Authorization Docket No. ER 12-60-000 Effective Date: December 5, 2011