



**TAURUS**  
ADVISORY GROUP

27 Lawrence Road  
Madison, New Jersey 07940  
[www.TaurusAG.com](http://www.TaurusAG.com)

18-0990-GA-AGG

FILE

June 1, 2018

Public Utilities Commission of Ohio  
Docketing Division  
180 East Broad Street  
Columbus, Ohio 43215-3793

**RE: Initial Application, Retail Natural Gas Broker/Aggregator**

To Whom it May Concern:

Attached, please find the initial certification application for competitive retail natural gas brokers submitted on behalf of Taurus Advisory Group, LLC. We appreciate the time and effort of the Docketing Division in your review of our materials. Should you have any questions about the attached documentation, or any other matter, please don't hesitate to contact me at the number or email below.

Best Regards,

Nathan Burroughs  
Managing Director  
[nburroughs@TaurusAG.com](mailto:nburroughs@TaurusAG.com)  
27 Lawrence Rd  
Madison, NJ 07940  
Ph: 908.763.2077  
Fax: 908.934.9384

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
Technician TM Date Processed 6-5-18

[info@TaurusAG.com](mailto:info@TaurusAG.com)  
Ph: 855.557.3440  
Fax: 908.934.9384

REC'D  
2018 JUN -5 PM 2:49  
PUCO



# Public Utilities Commission

18-0990-GA-AGG PUCO USE ONLY - Version 1.08 May 2016		
Date Received	Case Number	Certification Number
	18-0990	
	-GA-AGG	

## INITIAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

#### A-1 Applicant intends to be certified as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

#### A-2 Applicant information:

Legal Name Taurus Advisory Group LLC  
Address 27 Lawrence Rd, Madison, NJ 07940  
Telephone No. (855)-557-3440 Web site Address [www.taurusag.com](http://www.taurusag.com)

#### A-3 Applicant information under which applicant will do business in Ohio:

Name Taurus Advisory Group LLC  
Address 27 Lawrence Rd., Madison, NJ 07940  
Web site Address [www.taurusag.com](http://www.taurusag.com) Telephone No. (855)-557-3440

#### A-4 List all names under which the applicant does business in North America:

Taurus Advisory Group LLC

#### A-5 Contact person for regulatory or emergency matters:

Name Nathan Burroughs Title Managing Director  
Business Address 27 Lawrence Rd, Madison NJ 07940  
Telephone No. (855)-557-3440 Fax No. 908-934-9384 Email Address [nburroughs@taurusag.com](mailto:nburroughs@taurusag.com)

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name **Nathan Burroughs** Title **Managing Director**  
Business address **27 Lawrence Rd, Madison NJ 07940**  
Telephone No. **(855)-557-3440** Fax No. **908-934-9384** Email Address **nburroughs@taurusag.com**

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address **27 Lawrence Rd, Madison NJ 07940**  
Toll-Free Telephone No. **(855)-557-3440** Fax No. **908-934-9384** Email Address **nburroughs@taurusag.com**

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name **Nicholas Gerome** Title **Managing Director**  
Business address **15555 North Park Lane, Cleveland Heights, OH 44118**  
Telephone No. **(855)-557-3440** Fax No. **908-934-9384** Email Address **ngerome@taurusag.com**

**A-9 Applicant's federal employer identification number 822818400**

**A-10 Applicant's form of ownership: (Check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other                                      |

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)**

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Dominion East Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Duke Energy Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☐ Columbia Gas of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Dominion East Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Duke Energy Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**

<input checked="" type="checkbox"/>	<b>Columbia Gas of Ohio</b>	<b>Intended Start Date</b>	July, 2018
<input checked="" type="checkbox"/>	<b>Dominion East Ohio</b>	<b>Intended Start Date</b>	July, 2018
<input checked="" type="checkbox"/>	<b>Duke Energy Ohio</b>	<b>Intended Start Date</b>	July, 2018
<input checked="" type="checkbox"/>	<b>Vectren Energy Delivery of Ohio</b>	<b>Intended Start Date</b>	July, 2018

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.**

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

## **SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED**

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED**

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If the applicant does not produce annual reports, the applicant should indicate that Exhibit C-1 is not applicable and why.  
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's current financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

**C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

**C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

**C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

## **SECTION D – APPLICANT TECHNICAL CAPABILITY**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.**

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

*Nathan Burroughs* Managing Director

Sworn and subscribed before me this 1st day of June Month 2018 Year

*Patrick Worrell*

Nathan Burroughs, Managing Director

Signature of official administering oath

Print Name and Title



PATRICK WORRELL  
Notary Public, State of Ohio  
My Comm. Expires 03-17-2020  
Recorded in Cuyahoga County

My commission expires on

3/17/2020



# The Public Utilities Commission of Ohio

## Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In the Matter of the Application of )

Taurus Advisory Group, LLC )

for a Certificate or Renewal Certificate to Provide )  
Competitive Retail Natural Gas Service in Ohio. )

Case No.  -GA-AGG

County of

State of

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Sworn and subscribed before me this

day of

Month

Year

Signature of Official Administering Oath

Print Name and Title



PATRICK WORRELL  
Notary Public, State of Ohio  
My Comm. Expires 03-17-2020  
Recorded in Cuyahoga County

My commission expires on



Taurus Advisory Group, LLC

## **EXHIBITS A-14 through D-3**



**Taurus Advisory Group, LLC**

**Exhibit A-14 → Principal Officers, Directors & Partners**

**Principal Officer – Martin Benante**

**Title – Owner & CEO**

**Address – 56 Crestview Ave., Madison, NJ 07940**

**Phone – 201-240-4905**

**Director – Nathan Burroughs**

**Address – 27 Lawrence Rd, Madison, NJ 07940**

**Cell – 908-763-2077**

**Office – 855.557.3440**

**Director – Nicholas Gerome**

**Address – 15555 North Park Blvd, Cleveland Heights Ohio 44118**

**Cell – 216.543.5100**

**Office – 855.557.3440**



**Taurus Advisory Group, LLC**

**Exhibit A-15 → Company History**

Our company is a New Jersey LLC, formed in September of 2017. We are an energy procurement advisory firm. Key personnel have a combined 55 years' experience in the energy sector – including industrial support of energy sector and production, in addition to intermediary brokering the sale and purchase of energy supply agreements.



**Taurus Advisory Group, LLC**

**Exhibit A-16 → Articles of Incorporation and Bylaws**

No Bylaws have been created.

See attached incorporation docs

NEW JERSEY DEPARTMENT OF THE TREASURY  
DIVISION OF REVENUE AND ENTERPRISE SERVICES

**CERTIFICATE OF FORMATION**  
**TAURUS ADVISORY GROUP LLC**  
**0450201129**

The above-named DOMESTIC LIMITED LIABILITY COMPANY was duly filed in accordance with New Jersey State Law on 09/18/2017 and was assigned identification number 0450201129. Following are the articles that constitute its original certificate.

1. **Name:**  
TAURUS ADVISORY GROUP LLC
2. **Registered Agent:**  
MARTIN BENANTE
3. **Registered Office:**  
27 LAWRENCE ROAD  
MADISON, NEW JERSEY 07940
4. **Business Purpose:**  
CONSULTATION FOR: ENERGY PROCUREMENT AND MANAGEMENT, GRANT AND INCENTIVES,  
GOVERNMENT RELATIONS, ORGANIZATIONAL SUSTAINABILITY
5. **Effective Date of this Filing is:**  
09/18/2017
6. **Members/Managers:**  
MARTIN BENANTE  
27 LAWRENCE ROAD  
MADISON, NEW JERSEY 07940
7. **Main Business Address:**  
27 LAWRENCE ROAD  
MADISON, NEW JERSEY 07940

**Signatures:**

MARTIN BENANTE  
AUTHORIZED REPRESENTATIVE



Certificate Number : 4040225411

Verify this certificate online at

[https://www1.state.nj.us/TYTR\\_StandingCert/JSP/Verify\\_Cert.jsp](https://www1.state.nj.us/TYTR_StandingCert/JSP/Verify_Cert.jsp)

IN TESTIMONY WHEREOF, I have  
hereunto set my hand and  
affixed my Official Seal  
18th day of September, 2017

A handwritten signature in black ink, appearing to read "Ford M. Scudder".

Ford M. Scudder  
State Treasurer



**Taurus Advisory Group, LLC**

**Exhibit A-17 → Secretary of State**

**See Attached**



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	CERT	COPY
09/28/2017	201726900984	REGISTRATION OF FOREIGN FOR PROFIT LLC (LFP)	99.00	0.00	0.00	0.00

**Receipt**

This is not a bill. Please do not remit payment.

TAURUS ADVISORY GROUP LLC  
27 LAWRENCE RD  
MADISON, NJ 07940

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, **Jon Husted**  
4077833

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

TAURUS ADVISORY GROUP LLC

and, that said business records show the filing and recording of:

Document(s)

REGISTRATION OF FOREIGN FOR PROFIT LLC

Effective Date: 09/26/2017

Document No(s):

201726900984



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
28th day of September, A.D. 2017.

*Jon Husted*  
Ohio Secretary of State



Form 533B Prescribed by:

**JON HUSTED**  
**OHIO SECRETARY OF STATE**

Toll Free: (877) SOS-FILE (877-767-3453)

Central Ohio: (614) 465-5910

www.OhioSecretaryofState.gov

busenrj@OhioSecretaryofState.gov

File online or for more information: www.OH4BusinessCentral.com

Mail this form to one of the following:

 Regular Filing (non expedite)  
 P.O. Box 400  
 Columbus, OH 43216

 Expedite Filing (Two business day processing time.  
 Requires an additional \$100.00)

 P.O. Box 1360  
 Columbus, OH 43216

## Registration of a Foreign Limited Liability Company

### Filing Fee: \$99

### Form Must Be Typed

CHECK ONLY ONE (1) BOX

 (1) ☒ Registration of a Foreign For-Profit Limited  
 Liability Company

(106-LFA)

ORC 1705

Jurisdiction of Formation

NJ

USA

State

Country

Date of Formation

9/18/2017

 (2) ☐ Registration of a Foreign Nonprofit  
 Limited Liability Company

(106-LFA)

ORC 1705

Jurisdiction of Formation

State

Country

Date of Formation

Name of Limited Liability Company in its jurisdiction of formation

TAURUS ADVISORY GROUP LLC

Name under which the foreign limited liability company desires to transact business in Ohio (if different from its name in its jurisdiction of formation) is:

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

The address to which interested persons may direct requests for copies of the limited liability company's operating agreement, bylaws, or other charter documents of the company is:

NATHAN BURROUGHS

Name

27 LAWRENCE RD

Mailing Address

MADISON

City

NJ

State

USA

Country

07940

ZIP Code

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

NICHOLAS GEROME

Name

15555 N PARK BLVD

Mailing Address

CLEVELAND HEIGHTS

City

OH

State

44118

ZIP Code

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if:

- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

**Required**  
Must be signed by an  
authorized representative.

NICK GEROME

Signature

If authorized representative  
is an individual, then they  
must sign in the "signature"  
box and print their name  
in the "Print Name" box.

By (if applicable)

Print Name

If authorized representative  
is a business entity, not an  
individual, then please print  
the business name in the  
"signature" box, an  
authorized representative  
of the business entity  
must sign in the "By" box  
and print their name in the  
"Print Name" box.

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name



**Exhibit B-1 → Jurisdictions of Operation**

Applicant is not currently registered to provide retail natural gas service in any jurisdiction.

Applicant is licensed to provide retail electric brokering service in the following jurisdictions:

- State of Ohio
- State of New Jersey



**Exhibit B-2 → Experience & Plans**

Applicant has over 12 years of experience acting as an intermediary in the sale and purchase of energy supply agreements in every deregulated market in the U.S. and Canada (including Ohio). Applicant will leverage this experience to offer more personal attention at a cheaper cost.

Applicant will be responsible for assisting clients in negotiating prices and contracts as well as providing assistance should any post-contractual issues arise. Assistance with ancillary programs such as state, federal, and utility incentive offerings relating to energy, along with the vetting and execution of energy management and sustainability initiatives will also be offered.

Our plan is to build upon existing relationships across the various facets of the energy and industrial sectors, and in some cases work with former clients and networks in the provision of services and the dissemination and marketing thereof.



**Exhibit B-3 → Summary of Experience**

**Martin Benante**

Served as Chairman and CEO of multi-billion-dollar corporation developing and supplying highly engineered products to industrial and energy markets.

Responsibilities included:

- Acquiring, streamlining operations, and increasing efficiency of industry-relative small and mid-sized companies
- Developing directives on the corporate intelligence surrounding energy markets and energy production, especially nuclear and natural gas

**Nicholas Jerome:**

Oversaw the day-to-day sourcing and operations of two leading energy procurement advisory firms for over 10 years and has executed over 30,000 energy supply agreements. Responsibilities have included:

- Tracked and reported historical and forward commodity settlement prices, managed and generated all company market reports, facilitated market calls and webinars, provided customer/contract specific data to support hedging recommendations
- Facilitated all bidding by generating RFP's and including the appropriate documentation and historical usage information to maximize participation and price action as well as ensuring a competitive and even environment
- Made purchase recommendations that reflect specific client objectives supported by historical price trends, current market conditions, specific account profile pattern, and general economic data
  - Executed, confirmed, and recorded customer supply agreements in every deregulated ISO as well as natural gas and Green-e certified RECS across North America
  - Performed revenue reporting, monitored post contractual activity, and commission tracking

**Nathan Burroughs**

Served in manager/director role for two consulting/advisory firms over the course of 9 years.

Responsibilities included:

- Ensuring compliance with organizational, local, State, and Federal rules, regulations, ordinances and programs
- Managing over \$100 million worth of client projects and contracts
- Assisting financial staff of clients with budgets ranging from \$50 million to \$580 million
- Garnering public and private funds for clients in support of sustainability initiatives, including comprehensive audits, retrofits, community planning, green space development, energy management, solar installations, and community outreach



**Taurus Advisory Group, LLC**

**Exhibits B-4 / B-5 / B-6**

Not Applicable



**Taurus Advisory Group, LLC**

**Exhibit C- 1 → Annual Reports**

**Not Applicable. Applicant is not a publicly traded company and does not have shareholders nor publish an external Annual Report.**



**Taurus Advisory Group, LLC**

**Exhibit C-2 → SEC Filings**

Not applicable. Applicant is not required to file with the SEC because Taurus Advisory group does not sell securities, publicly or otherwise.



**Exhibit C-3 – Financial Statements**

Applicant has been operating for approximately 6 months, and officially formed for only 9 months. As such, we have attached the following:

- Balance sheet
- Profit and Loss Statement
- Company Owner's most recent 2 years' tax returns

**Taurus Advisory Group**  
**Balance Sheet - Tax Basis**  
**As of December 31, 2017**

**ASSETS**

**Current Assets**

Cash	\$	44,808
<b>Total Current Assets</b>	<b>\$</b>	<b>44,808</b>

<b>TOTAL ASSETS</b>	<b>\$</b>	<b>44,808</b>
---------------------	-----------	---------------

**LIABILITIES AND EQUITY**

**Liabilities**

**Long-Term Liabilities**

Loan Payable - Martin Benante	\$	216,438
<b>Total Long-Term Liabilities</b>		<b>216,438</b>
<b>Total Liabilities</b>	<b>\$</b>	<b>216,438</b>

**Equity**

Common Stock	100
Retained Earnings	-
Net Income	(171,730)
<b>Total Equity</b>	<b>(171,630)</b>

<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$</b>	<b>44,808</b>
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No assurance is provided on these financial statements.

**Taurus Advisory Group**  
**Profit and Loss - Tax Basis**  
**September 18, 2017 - December 31, 2017**

<b>Income</b>		
Sales	\$	3,471
<b>Total Income</b>		<u><b>3,471</b></u>
<b>Gross Profit</b>	\$	<u><b>3,471</b></u>
<b>Expenses</b>		
Salaries & Wages		81,250
Legal & Professional Services		45,010
Outside Labor		27,083
Advertising & Marketing		152
Web Design		2,319
Accounting		800
Bank Charges & Fees		149
Campaign Contributions		900
Car & Truck		566
Contractors		648
Job Supplies		1,283
Meals & Entertainment		2,085
Office Supplies & Software		1,350
Payroll Service Fees		374
<b>Taxes</b>		
Payroll Taxes		7,892
State Taxes		500
Taxes & Licenses		239
<b>Total Taxes</b>		<u><b>8,631</b></u>
Postage		50
Professional Development		900
Reimbursable Expenses		778
Travel		1,064
<b>Total Expenses</b>	\$	<u><b>175,201</b></u>
<b>Net Income (Loss)</b>	\$	<u><u><b>(171,730)</b></u></u>

No assurance is provided on these financial statements.

**1040** U.S. Individual Income Tax Return

**2014**

OMB No. 1545-0047

Use Only - Do not write or stamp in this space

For the year Jan. 1-Dec. 31, 2014, or other tax year beginning

2014, ending

20

See separate instructions.

Your first name and initial

**MARTIN R**

Last name

**BENANTE**

Your social security number

If a joint return, spouse's first name and initial

**ELAINE K**

Last name

**BENANTE**

Spouse's social security number

Home address (number and street). If you have a P.O. box, see instructions.

**5508 BURNHAM ROAD**

**CHILL, WEST**

Apt. no.

Make sure the SSN(s) above and on line 10c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete address below (see instructions).

**VIETNAM**

**ET**

**34119**

Foreign country name

Foreign postal/territory

Foreign postal code

Presidential Election Campaign

Check here if you, or your spouse if filing jointly, want \$3 to go to the fund, keeping a tax before and change your tax or return. ☒ Yes ☒ No

**Filing Status**

1	<input type="checkbox"/> Single	4	<input type="checkbox"/> Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. <input type="checkbox"/>
2	<input checked="" type="checkbox"/> Married filing jointly (even if only one has income)	5	<input type="checkbox"/> Qualifying widow(er) with dependent child
3	<input type="checkbox"/> Married filing separately. Enter spouse's SSN above and full name here. <input type="checkbox"/>		

Check only one box.

**Exemptions**

a ☒ Yourself. If someone can claim you as a dependent, do not check box 6a.

b ☒ Spouse.

c Dependents:

(1) First name	Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) If a child under age 17, qualifying for credit for child tax credit (see instructions)

If more than four dependents, see instructions and check box ☐

Number of dependents on line 6b and on line 6c: **2**

Dependents to be not entered above

Add numbers on lines above: **2**

**Income**

7	Wages, salaries, tips, etc. Attach Form(s) W-2	STMT. 1.	7	<b>11,113,972.</b>
8a	Taxable interest. Attach Schedule B if required		8a	<b>1,664.</b>
b	Tax-exempt interest. Do not include on line 8a	8b		
9a	Ordinary dividends. Attach Schedule B if required		9a	<b>58,735.</b>
b	Qualified dividends	STMT. 1.	9b	<b>58,735.</b>
10	Taxable refunds, credits, or offsets of state and local income taxes	STMT. 2.	10	<b>4,210.</b>
11	Alimony received		11	
12	Business income or (loss). Attach Schedule C or C-EZ		12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here <input type="checkbox"/>		13	<b>-3,000.</b>
14	Other gains or (losses). Attach Form 4797.		14	
15a	IRA distributions	15a	15a	
b	Taxable amount	15b		
16a	Pensions and annuities	16a	16a	
b	Taxable amount	16b		
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E		17	
18	Farm income or (loss). Attach Schedule F		18	
19	Unemployment compensation		19	
20a	Social security benefits	20a	20a	
b	Taxable amount	20b		
21	Other income. List type and amount		21	
22	Combine the amounts in the far right column for lines 7 through 21. This is your total income		22	<b>11,175,581.</b>

**Adjusted Gross Income**

23	Educator expenses	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	Deductible part of self-employment tax. Attach Schedule SE	27	
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction	29	
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid <input type="checkbox"/> Recipient's SSN <input type="checkbox"/>	31a	
32	IRA deduction	32	
33	Student loan interest deduction	33	
34	Tuition and fees. Attach Form 8879	34	
35	Domestic production activities deduction. Attach Form 8803	35	
36	Add lines 23 through 35	36	
37	Subtract line 36 from line 22. This is your adjusted gross income	37	<b>11,175,581.</b>

See instructions, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.

Form 1040 (2014)

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## Tax and Credits

38 Amount from line 37 (adjusted gross income) 38 11,175,581.

39a Check ☐ You were born before January 2, 1955, ☐ Blind. Total boxes checked ☐ 39a  
b ☐ Spouse was born before January 2, 1955, ☐ Blind. checked ☐ 39bb If your spouse itemizes on a separate return or you were a dual-status alien, check here ☐ 39b

40 Itemized deductions (from Schedule A) or your standard deduction (see left margin) 40 27,870.

41 Subtract line 40 from line 38 41 11,147,711.

42 Exemptions. If line 38 is \$152,000 or less, multiply \$3,000 by the number on line 41. Otherwise, see instructions 42 NONE

43 Taxable income. Subtract line 42 from line 41. If line 42 is more than line 41, enter -0- 43 11,147,711.

44 Tax (see instructions). Check if any from: a ☐ Form(s) 9814 b ☐ Form 4672 c ☐ 44 4,349,734.

45 Alternative minimum tax (see instructions). Attach Form 6251 45 NONE

46 Excess advance premium tax credit repayment. Attach Form 8862 46

47 Add lines 44, 45, and 46 47 4,349,734.

48 Foreign tax credit. Attach Form 1118 if required 48

49 Credit for child and dependent care expenses. Attach Form 2441 49

50 Education credits from Form 8863, line 19 50

51 Retirement savings contributions credit. Attach Form 8880 51

52 Child tax credit. Attach Schedule 8812, if required 52

53 Residential energy credits. Attach Form 8865 53

54 Other credits from Form: a ☐ 2800 b ☐ 8801 c ☐ 54

55 Add lines 48 through 54. These are your total credits 55

56 Subtract line 55 from line 47. If line 55 is more than line 47, enter -0- 56 4,349,734.

57 Self-employment tax. Attach Schedule SE 57

58 Unreported social security and Medicare tax from Form: a ☐ 4137 b ☐ 6819 58

59 Additional tax on IRAs, other qualified retirement plans, etc. Attach Form 5320 if required 59

60a Household employment taxes from Schedule H 60a

b First-time homebuyer credit repayment. Attach Form 5405 if required 60b

61 Health care: individual responsibility (see instructions). Full-year coverage ☒ 6162 Taxes from: a ☒ Form 990 b ☒ Form 990-B c ☐ Instructions; enter code(s) 62 104,321.

63 Add lines 56 through 62. This is your total tax 63 4,454,055.

## Payments

64 Federal income tax withheld from Forms W-2 and 1099 64 3,868,003.

65 2014 estimated tax payments and amount applied from 2013 return 65 10,519.

66a Earned income credit (EIC) 66a

b Nontransferable combat pay election 66b

67 Additional child tax credit. Attach Schedule 8812 67

68 American opportunity credit from Form 8863, line 6 68

69 Net premium tax credit. Attach Form 8862 69

70 Amount paid with request for extension to file 70 600,000.

71 Excess social security and tier 1 RRTA tax withheld 71

72 Credit for federal tax on fuels. Attach Form 4136 72

73 Credits from Form: a ☐ 2439 b ☐ Reworked c ☐ Reworked d ☐ 73

74 Add lines 64, 65, 66a, and 67 through 73. These are your total payments 74 4,478,522.

## Refund

75 If line 74 is more than line 63, subtract line 63 from line 74. This is the amount you overpaid 75 24,467.

75a Amount of line 75 you want refunded to you. If Form 8878 is attached, check here ☐ 75a

Direct deposit? See instructions.

b Routing number  b Type: ☐ Checking ☐ Savingsc Account number 

77 Amount of line 75 you want applied to your 2015 estimated tax 77 24,467.

## Amount You Owe

78 Amount you owe. Subtract line 74 from line 63. For details on how to pay, see instructions 78

79 Estimated tax penalty (see instructions) 79

## Third Party Designee

Do you want to allow another person to discuss this return with the IRS (see instructions)? ☒ Yes. Complete below. ☐ NoDesignee name  SANDY O'GORMANPhone no.  973-401-2000 Personal identification number (PIN)  87432

## Sign Here

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Your signature

Date

Your occupation

Daytime phone number

Joint return? See instructions. Keep a copy for your records.

Spouse's signature. If a joint return, both must sign.

Date

Spouse's occupation

If you did not sign as a married individual, enter a 1 (see instructions)

RETIRE

## Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check

PTIN

SANDY O'GORMAN

Sandy O'Gorman

9/30/2015

not employed

P00955201

Firm's name  THE AYCO COMPANY, LPFirm's EIN  33-1187432Firm's address  8 CAMPUS DRIVE, 3RD FLPhone no.  973-401-2000

PARSIPPANY, NJ 07054-4409

# 1040 U.S. Individual Income Tax Return 2015

OMB No. 1545-0047 IRS Use Only - Do not write or stamp on this space

For the year Jan. 1-Dec. 31, 2015, or other tax year beginning 2015, ending 20

Your first name and last name Last name BENANTE

See separate instructions. Your social security number

If a joint return, spouse's first name and last name ELAINE K BENANTE

Spouse's social security number

Home address (number and street). If you have a P.O. box, see instructions. 5908 BURNHAM ROAD

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions). APT. NO. 34119

Foreign country name Foreign province/state/country Foreign postal code

Presidential Election Campaign

Check any of you, or your spouse if filing jointly, want \$3 to go to the fund. Check a line below and not change your tax or refund. ☒ Yes ☒ No

Filing Status

1 ☐ Single 4 ☐ Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter the child's name here. ☐ 2 ☒ Married filing jointly (even if only one had income) 5 ☐ Qualifying widow(er) with dependent child

Check only one box 3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ☐

Exemptions

6a ☒ Yourself. If someone can claim you as a dependent, do not check box 6a. 2

6b ☒ Spouse

6c Dependents:

(1) First name Last name (2) Dependents' social security number (3) Dependents' relationship to you (4) If 4 and enter age 17 meeting for credit (see instructions)

If more than four dependents, see instructions and attach form. ☐

7 Total number of exemptions claimed 2

Income

7 Wages, salaries, tips, etc. Attach Form(s) W-2. STMT. 1. 37,121,312.

8a Taxable interest. Attach Schedule B if required. 8a 9,787.

b Tax-exempt interest. Do not include on line 8a. STMT. 2. 844.

9a Ordinary dividends. Attach Schedule B if required. 9a 89,139.

b Qualified dividends. STMT. 2. 87,881.

10 Taxable refunds, credits, or offsets of state and local income taxes. 10

11 Alimony received. 11

12 Business income or (loss). Attach Schedule C or C-EZ. 12 8,155.

13 Capital gain or (loss). Attach Schedule D if required. If not required, check here. ☐ 13 -3,000.

14 Other gains or (losses). Attach Form 4797. 14

15a IRA distributions. 15a 2,603,496. b Taxable amount. 15b NONE

16a Pensions and annuities. 16a 1,045. b Taxable amount. 16b

17 Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E. 17

18 Farm income or (loss). Attach Schedule F. 18

19 Unemployment compensation. 19

20a Social security benefits. 20a b Taxable amount. 20b

21 Other income. List type and amount. 21

22 Combine the amounts in the far right column for lines 7 through 21. This is your total income. 22 37,226,438.

Adjusted Gross Income

23 Educator expenses. 23

24 Certain business expenses of reservists, performing artists, and fee-based government officials. Attach Form 2106 or 2106-EZ. 24

25 Health savings account deduction. Attach Form 8889. 25

26 Moving expenses. Attach Form 3903. 26

27 Deductible part of self-employment tax. Attach Schedule SE. 27 109.

28 Self-employed SEP, SIMPLE, and qualified plans. 28

29 Self-employed health insurance deduction. 29

30 Penalty on early withdrawal of savings. 30

31a Alimony paid. b Recipient's SSN. 31a 31b

32 IRA deduction. 32

33 Student loan interest deduction. 33

34 Tuition and fees. Attach Form 8877. 34

35 Domestic production activities deduction. Attach Form 8803. 35

36 Add lines 23 through 35. 36 109.

37 Subtract line 36 from line 22. This is your adjusted gross income. 37 37,226,329.

Form 1040 (2015)

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29

30



**Taurus Advisory Group, LLC**

**Exhibit C-4 -- Financial Arrangements**

Not applicable. Applicant is not taking title to the commodity and is seeking to be certified as aggregator and broker.



**Taurus Advisory Group, LLC**

**Exhibit C-5 – Forecasted Financial Statements**

**SEE ATTACHED**

# Forecasted Financial Statement

Taurus Advisory Group LLC  
Forecasted Financials - 2019 & 2020  
05.14.2018

	2019 1/1/2018-12/31/2018	2020 1/1/2019-12/31/2019
<b>REVENUES</b>		
Natural Gas Brokering	\$ 6,500.00	\$ 18,000.00
	-	-
	-	-
	-	-
<b>TOTAL REVENUES</b>	<b>6,500.00</b>	<b>18,000.00</b>
<b>COST OF GOODS SOLD</b>		
Product/Service 1 ...	-	-
Product/Service 2 ...	-	-
Product/Service 3 ...	-	-
Salaries-Direct	-	-
Payroll Taxes and Benefits-Direct	-	-
Depreciation-Direct	-	-
Supplies	2,000.00	500.00
Other Direct Costs	-	-
<b>TOTAL COST OF GOODS SOLD</b>	<b>-</b>	<b>500.00</b>
<b>GROSS PROFIT (LOSS)</b>	<b>8,000.00</b>	<b>17,500.00</b>
<b>OPERATING EXPENSES</b>		
<b>TOTAL OPERATING EXPENSES</b>	<b>500.00</b>	<b>500.00</b>
<b>OPERATING PROFIT (LOSS)</b>	<b>7,500.00</b>	<b>17,000.00</b>
	-	-
	-	-
	-	-
	-	-
<b>NET INCOME (LOSS)</b>	<b>\$ 7,500.00</b>	<b>\$ 17,000.00</b>

Prepared by:  
Nick Gerome  
15555 n Park Blvd, Cleveland Heights Oh 44118  
[ngerome@taurusag.com](mailto:ngerome@taurusag.com)  
855.557.3440



**Taurus Advisory Group, LLC**

**Exhibit C-6 – Credit Rating**

Not applicable, applicant does not yet have a credit rating.



**Taurus Advisory Group, LLC**

**Exhibit C-7 – Credit Report**

**SEE ATTACHED Owner Credit Report attained within past 6 months.**

## Nathan Burroughs

**From:** Taylor West <taylor@suretybonds.com>  
**Sent:** Friday, October 6, 2017 12:12 PM  
**To:** Nathan Burroughs  
**Subject:** Experian Credit Report

EXPERIAN Credit Profile Report  
EXPERIAN  
701 Experian Parkway P.O.Box 2002  
Allen, TX 75013-0036  
1-888-397-3742  
Date Reported: 10/6/2017

### Personal Information

**Name:** MARTIN R BENANTE

**SSN:** 136-48-6134

**Address:** 56 CRESTVIEW AVE  
MADISON, NJ 07940-2824

**Reported On:** 10/1/2016

**Last Updated Date:** 10/1/2016 (4)

**Last Subcode:** 1240338

**Address:** 5908 BURNHAM RD  
NAPLES, FL 34119-8428

**Reported On:** 1/1/2014

**Last Updated Date:** 9/1/2016 (6)

**Address:** 316 SAINT GEORGES PL  
WESTFIELD, NJ 07090-4408

**Reported On:** 5/1/2015

**Last Updated Date:** 6/1/2015 (0)

**AKA**

MARTIN R BENANTE JR

### Employment Information

**Company Name:** CURTIS WRIGHT CORP

**Date Hired:**

**Date Reported:** 9/1/1999

**Occupation:**

**Income:**

**Separation Date:**

**Company Name:** CUTRIS-WRIGHT CORP

**Date Hired:**

**Date Reported:** 9/1/1999

**Occupation:**

**Income:**

**Separation Date:**

### Report Summary

<b>Total # of Trades:</b>	37	<b>30 Days:</b>	0	<b>Accounts Balance:</b>	\$22,573
<b>Current Trades:</b>	37	<b>60 Days:</b>	0	<b>Monthly Payment:</b>	\$422
<b>Unrated Trades:</b>	N/A	<b>90+ Days:</b>	0	<b>Credit Limit:</b>	\$2,169,242

Curr Neg Trades:	0	Inquiries:	1	High Credit:	\$4,824,319
Hist Neg Trades:	0	Inq. last 6 mnths:	1	Total Real Est. Bal.:	\$0
No. of Accts Paid:	27	Public Records:	0	Total Rev. Bal.:	\$22,573
Curr Past Due:	N/A	Collections:	0	Tot. Installment Bal.:	\$0
Amount Past Due:	\$0	Oldest Trade:	10/1/1976	Available %:	78

## Scorecards

Scorecard: FICO V3  
Score: 758  
Reasons: (11) Amount owed on revolving accounts is too high  
(10) Ratio of balance to limit on bank revolving or other rev accts too high  
(32) Lack of recent installment loan information  
(08) Too many inquiries last 12 months

## Trade Lines

<u>Firm Name/ID</u>	<u>Opened</u>	<u>Credit Limit</u>	<u>Balance</u>	<u>MoPmnt</u>	<u>30</u>	<u>60</u>	<u>90</u>
<u>Account Number</u>	<u>Reported</u>	<u>High Credit</u>	<u>Past Due</u>	<u>MoRep</u>	<u>Pattern</u>		
<u>KOB</u>	<u>Acct.</u>	<u>Chargeoff</u>	<u>Orig.</u>	<u>Date Closed</u>			
<u>Status</u>	<u>Type</u>	<u>Let.</u>	<u>Closed</u>	<u>Owner</u>	<u>Terms</u>		
		<u>Pymnt.</u>	<u>Ind</u>				
AMEX/1229200	12/16/1999	\$10,000	\$0	-	0	0	0
349992088808	7/15/2016	\$2,149	-	31	NNNNNNNNNNNNNN		
Bank Credit Cards (Credit Card, Terms REV)	Revolving	-	-	-	NNNNNNNNNNNNNN		
(A3)Closed account.	-	-	Closed	Individual	Revolving		
(11)This is an account in good standing.							
WF/WB/1202270	7/8/2009	\$400,000	-	-	0	0	0
438656211002	5/5/2011	\$309,671	-	23	NCCCCCCCCCCCCC		
All Banks - Non-Specific (Home Equity Line Of Credit - Revolving Terms)	Mortgage	-	-	-	CCCCCCCCCCC		
(A3)Closed account.	5/2/2011	-	Closed	Joint Account	Revolving		
(11)This is an account in good standing.							
WF/WB/1202270	1/2/2008	\$500,000	-	-	0	0	0
438654211381	5/5/2011	\$488,593	-	41	NCCCCCCCCCCCCC		
All Banks - Non-Specific (Home Equity Line Of Credit - Revolving Terms)	Mortgage	-	-	-	CCCCCCCCCCCCC		
(A3)Closed account.	4/5/2011	-	Closed	Joint Account	Revolving		
(11)This is an account in good standing.							
MCYDSNB/1362830	10/6/1976	\$100	-	-	0	0	0
50150706	9/30/2017	\$621	-	99	NCCCCCNNNNNNN		
Department And Variety Stores - Non-Specific (Revolving Charge Account)	Revolving	-	-	-	NNNNNNNNNNNN		
(A2)Paid account/zero balance.	6/19/2012	-	Paid	Individual	Revolving		
(11)This is an account in good standing.							
AMEXDSNB/1917250	5/17/2008	\$300	-	-	0	0	0
37748172109	9/25/2017	-	-	99	NCCCCCNNNNNNN		
Bank Credit Cards (Credit Card, Terms REV)	Revolving	-	-	-	NNNNNNNNNNNN		
(A2)Paid account/zero balance.	12/10/2008	-	Paid	Authorized User	Revolving		
(11)This is an account in good standing.							

<b>MCYDSNB/1362830</b>	5/17/2008	\$100	-	-	0	0	0
43051792	9/20/2017	\$910	-	99	N	C	C
Department And Variety Stores - Non-Specific (Revolving Charge Account)	Revolving	-			N	N	N
(A2)Paid account/zero balance.	10/9/2008	Paid		Authorized User	Revolving		
(11)This is an account in good standing.							
<b>SYNCB/TJX COS DC/1611540</b>	12/19/2013	\$0	-	-	0	0	0
524366103561	10/30/2016	\$499	-	35	N	C	C
Bank Credit Cards (Credit Card, Terms REV)	Revolving	-			C	C	C
(A2)Paid account/zero balance.	9/17/2014	Paid		Individual	Revolving		
(11)This is an account in good standing.							
<b>WELLS FARGO BANK NV NA/1386370</b>	12/29/2007	\$500,000	-	-	0	0	0
6816817325657	9/30/2016	\$482,623	-	66	N	N	N
All Banks - Non-Specific (Home Equity Line Of Credit - Revolving Terms)	Mortgage	-			C	C	C
(A2)Paid account/zero balance.	7/1/2016	Paid		Joint Account	Revolving		
(11)This is an account in good standing.							
<b>WELLS FARGO BANK NV NA/1386370</b>	8/7/2013	\$200,000	-	-	0	0	0
6836830640190	8/31/2016	\$189,829	-	37	N	N	N
All Banks - Non-Specific (Home Equity Line Of Credit - Revolving Terms)	Mortgage	-			C	C	C
(A2)Paid account/zero balance.	6/21/2018	Paid		Joint Account	Revolving		
(11)This is an account in good standing.							
<b>WELLS FARGO BANK N A/2183650</b>	7/2/2009	\$400,000	-	-	0	0	0
7527522738023	8/31/2016	\$309,751	-	64	N	N	N
All Banks - Non-Specific (Home Equity Line Of Credit - Revolving Terms)	Mortgage	-			C	C	C
(A2)Paid account/zero balance.	6/21/2016	Paid		Joint Account	Revolving		
(11)This is an account in good standing.							
<b>AMEX/1229200</b>	12/4/1999	-	-	-	0	0	0
349992184428	4/26/2016	\$1,081	-	17	N	C	C
Bank Credit Cards (Credit Card, Terms REV)	Revolving	-			C	C	C
(A2)Paid account/zero balance.	-	Paid		Individual	1		
(11)This is an account in good standing.							
<b>SYNCB/TOYSRUSDC/1950896</b>	12/13/2008	\$0	-	-	0	0	0
524363200423	7/29/2015	\$602	-	80	N	C	C
Bank Credit Cards (Credit Card, Terms REV)	Revolving	-			C	C	C
(A2)Paid account/zero balance.	6/11/2013	Paid		Individual	Revolving		
(11)This is an account in good standing.							
<b>SYNCB/PC RICHARD/1250390</b>	6/14/2009	\$4,000	-	-	0	0	0
601917033204	12/12/2013	\$484	-	54	N	C	C
Bank Credit Cards (Revolving Charge Account)	Revolving	-			C	C	C
(A2)Paid account/zero balance.	9/25/2009	Paid		Individual	Revolving		
(11)This is an account in good standing.							
<b>VALLEY NATIONAL BANK/1113260</b>	5/7/2011	-	-	-	0	0	0
51112500	7/3/2013	\$40,915	-	26	N	C	C
All Banks - Non-Specific (Auto Loan)	Installment	-			C	C	C
(A2)Paid account/zero balance.	6/24/2013	Paid		Joint Account	72		
(11)This is an account in good standing.							
<b>CITI/1240000</b>	11/1/1991	\$40,000	-	-	0	0	0

546616033654	4/8/2013	\$36,635	-	99	NCCCCCCCCCCCCC
Bank Credit Cards (0G)	Revolving	-			CCCCCCCCCCCCC
(A2)Paid account/zero balance.	10/27/2011	Paid		Individual	Revolving
(11)This is an account in good standing.					
ALLY FINANCIAL/1918788	7/25/2008	-	-	-	0 0 0
020913509395	2/1/2013	\$23,823	-	55	NCCCCCCCCCCCCC
Automobile Financing Company (Auto Loan)	Installment	-			CCCCCCCCCCCCC
(A2)Paid account/zero balance.	1/9/2013	Paid		Joint Account	60
(11)This is an account in good standing.					
CAP1/BSTBY/1391139	9/6/2009	\$2,200	-	-	0 0 0
700106221572	6/20/2012	\$1,740	-	34	NCCCCCCCCCENN
Variety Stores (Revolving Charge Account)	Revolving	-			NNNNNNNNNNNN
(A2)Paid account/zero balance.	3/11/2010	Paid		Joint Account	Revolving
(11)This is an account in good standing.					
SYNCB/GAP/3607360	10/23/2006	\$0	-	-	0 0 0
601859520499	12/16/2011	\$332	-	63	NCCCCCCCCCCCCC
General Clothing Store (Revolving Charge Account)	Revolving	-			CCCCCCCCCCCCC
(A2)Paid account/zero balance.	11/23/2009	Paid		Individual	Revolving
(11)This is an account in good standing.					
TARGET NB/2218220	9/27/2008	\$200	-	-	0 0 0
435237759746	10/22/2011	\$544	-	37	NCCCCCCCCCCCCC
Bank Credit Cards (Credit Card, Terms REV)	Revolving	-			CCCCCCCCCCCCC
(A2)Paid account/zero balance.	12/12/2008	Paid		Individual	Revolving
(11)This is an account in good standing.					
CHASE MTG/3180830	3/18/2004	-	-	-	0 0 0
1560082728924	2/28/2010	\$2,380,000	-	72	NCCCCCCCCCCCCC
All Banks - Non-Specific (Conventional Real Estate Loan, Including Purchase Money First)	Mortgage	-			CCCCNCCCCC
(A2)Paid account/zero balance.	1/26/2010	Paid		Joint Account	360
(11)This is an account in good standing.					
CBNA/3178962	5/9/2004	\$2,501	-	-	0 0 0
603532015134	9/15/2009	\$709	-	65	NCCCCCCCCCCCCC
Retail, Not Elsewhere Classified (Revolving Charge Account)	Revolving	-			CCCCCCCCCCCCC
(A2)Paid account/zero balance.	5/28/2006	Paid		Individual	Revolving
(11)This is an account in good standing.					
SYNCB/PC RICHARD/2205640	12/11/2002	\$10,000	-	-	0 0 0
601917031131	10/8/2008	\$4,790	-	70	NCCCCCCCCCCCCC
Bank Credit Cards (Revolving Charge Account)	Revolving	-			CCCCCCCCCCCCC
(A2)Paid account/zero balance.	1/25/2005	Paid		Individual	Revolving
(11)This is an account in good standing.					
CAP1/BERPL/2156646	2/21/2005	\$0	-	-	0 0 0
01100312024	3/7/2008	\$6,781	-	37	NCCCCCCCCCCCCC
All Banks - Non-Specific (Revolving Charge Account)	Revolving	-			CCCCNNNNNNNN
(A2)Paid account/zero balance.	2/3/2006	Paid		Joint Account	Revolving
(11)This is an account in good standing.					
FIRST HOPE BANK/1196139	11/28/2005	-	-	-	0 0 0
7023634	1/31/2008	\$350,000	-	27	

All Banks - Non-Specific (Real Estate Specific Type Unknown)	Mortgage	-			NCCCCCCCCCCCCC CCCCCCCCCCCCC
(A2)Paid account/zero balance.	1/7/2008	Paid	Joint Account	300	
(11)This is an account in good standing.					
CBNA/1230730	9/1/1977	\$7,800	-	-	0 0 0
512107011738	10/18/2007	\$1,018	-	99	NCCCCCCCCCCCCC CCCCCCCCCCCCC
Bank Credit Cards (Credit Card, Terms REV)	Revolving	-			
(A2)Paid account/zero balance.	9/5/1998	Paid	Individual	Revolving	
(11)This is an account in good standing.					
SYNCB/JCP/3321860	5/19/1979	-	-	-	0 0 0
600889014638	10/12/2007	\$525	-	99	NCCCCCCCCCCCCC CCCCCCCCCCCCC
Complete Department Stores (Revolving Charge Account)	Revolving	-			
(A2)Paid account/zero balance.	-	Paid	Joint Account	Revolving	
(11)This is an account in good standing.					
CHASE CARD/1255420	4/6/2003	\$1,000	-	-	0 0 0
588896413349	10/7/2007	\$249	-	55	NCCCCCCCCCCCCC CCCCCCCCCCCCC
Bank Credit Cards (Credit Card, Terms REV)	Revolving	-			
(A2)Paid account/zero balance.	5/3/2003	Paid	Individual	Revolving	
(11)This is an account in good standing.					
CHASE CARD/3182310	1/9/2009	\$13,200	\$0	\$25	0 0 0
426690203317	9/28/2017	\$18,859	-	99	CCCCCCCCCCCCC CCCCCCCCCCCCC
Bank Credit Cards (OG)	Revolving	-			
(A1)Open account.	9/28/2017	Open	Individual	Revolving	
(11)This is an account in good standing.					
CHASE CARD/1240338	6/26/2006	\$17,000	\$1,495	\$25	0 0 0
552475400427	9/26/2017	\$59,051	-	99	CCCCCCCCCCCCC CCCCCCCCCCCCC
Bank Credit Cards (OG)	Revolving	-			
(A1)Open account.	9/12/2017	Open	Individual	Revolving	
(11)This is an account in good standing.					
BRKSB/CBNA/1373591	11/16/1997	\$3,440	\$0	\$25	0 0 0
603536701030	9/23/2017	\$1,924	-	99	CCCCCCCCCCCCC CCCCCCCCCCCCC
General Clothing Store (Revolving Charge Account)	Revolving	-			
(A1)Open account.	5/21/2013	Open	Individual	Revolving	
(11)This is an account in good standing.					
SYNCB/TOYSRUS/1950807	8/19/2015	\$700	\$0	\$39	0 0 0
604586100725	9/17/2017	\$415	-	26	CCCCCCCCCCCCC CCCCCCCCCCCCC
Variety Stores (Revolving Charge Account)	Revolving	-			
(A1)Open account.	11/15/2015	Open	Individual	Revolving	
(11)This is an account in good standing.					
CITI/1339272	12/16/2013	\$10,000	\$7,748	\$148	0 0 0
410039042538	9/13/2017	\$11,802	-	13	CCCCCCCCCCCCC CCCCCCCCCCCCC
All Banks - Non-Specific (OG)	Revolving	-			
(A1)Open account.	7/28/2017	Open	Individual	Revolving	
(11)This is an account in good standing.					
CBNA/3178962	3/12/2015	\$2,501	\$0	-	0 0 0
603532083184	9/13/2017	-	-	31	CCCCCCCCCCCCC CCCCCCCCCCCCC
Retail, Not Elsewhere Classified (Revolving Charge Account)	Revolving	-			

			-	Open	Individual	Revolving
(A1)Open account.						
(11)This is an account in good standing.						
<b>BRKSB/CBNA/1373589</b>	2/12/2012	\$6,300	\$0	\$27	0	0 0 0
525650671035	9/5/2017	\$1,478	-	68	CCCCCCCCCCCC	
All Banks - Non-Specific (Credit Card, Terms REV)	Revolving	-			CCCCCCCCCCCC	
(A1)Open account.	8/16/2017		Open	Individual	Revolving	
(11)This is an account in good standing.						
<b>CHASE CARD/1240338</b>	8/2/1991	\$21,400	\$7,909	\$79	0	0 0 0
414720230351	9/4/2017	\$37,166	-	99	CCCCCCCCCCCC	
Bank Credit Cards (0G)	Revolving	-			CCCCCCCCCCCC	
(A1)Open account.	8/16/2017		Open	Individual	Revolving	
(11)This is an account in good standing.						
<b>CHASE CARD/1240338</b>	7/3/2006	\$16,500	\$5,421	\$54	0	0 0 0
552475400737	9/3/2017	\$55,663	-	99	CCCCCCCCCCCC	
Bank Credit Cards (0G)	Revolving	-			CCCCCCCCCCCC	
(A1)Open account.	8/16/2017		Open	Individual	Revolving	
(11)This is an account in good standing.						
<b>CAP1/SAKS/1579670</b>	1/15/2008	\$0	\$0	-	0	0 0 0
752859	7/2/2009	\$3,087	-	21	CCCCCCCCCNCC	
Finance Companies - Non-Specific (Revolving Charge Account)	Revolving	-			CCCCCCCC	
(A4)Inactive account.	2/23/2009		Open	Individual	Revolving	
(11)This is an account in good standing.						



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**Taurus Advisory Group, LLC**

**Exhibit C-8 – Bankruptcy Information & Exhibit C-9 – Merger Information**

Not applicable



**Taurus Advisory Group, LLC**

**Exhibit C-9 → Merger Information**

Not Applicable



**Taurus Advisory Group, LLC**

**Exhibit C-10 – Corporate Structure**

We are a stand-alone entity with no affiliate or subsidiary companies.



### **Exhibit D-1 – Operations**

While Owner/CEO Martin Benante provides oversight of operations at a macro level, the majority of work executed by the company on behalf of its customers is performed by its managing directors, Nicholas Gerome and Nathan Burroughs.

This work includes:

- culling and packaging of market intelligence
- performing intermediary procurement activities for customers acting upon company recommendations
- Ensuring dependable list of suppliers with whom to engage clients and ensuring compliance with supplier procedures
- Tracking and external reporting on contract performance
- Tracking and internal reporting on all contractual consumption and earnings
- Managing client-facing personnel to ensure service requirements of each individual client are being met
- Ensuring compliance with client's complex procurement requirements/regulations



### **Exhibit D-2 – Operations Expertise**

Key personnel have over 20 years combined experience overseeing the specific type of operations described herein. This includes specific experience acting as an intermediary in the sale and purchase of energy supply and engaging agreements in every deregulated market in the U.S. and Canada (including Ohio). This additionally includes specific experience managing and improving the functionality of said operations for four other companies (including a consultant, an energy supplier, and a brokerage).



**Exhibit D-3 – Key Technical Personnel**

**Director – Nicholas Gerome**

**Address – 15555 North Park Blvd, Cleveland Heights Ohio 44118**

**Cell – 216.543.5100**

**Office – 855.557.3440**

At his most recent previous place of employment, Mr. Gerome served as COO and was responsible for internal market intel, internal education on efficient operations, business streamlining, and instituting client-facing and internal protocols that led to growing that company's book of clients from 300 to over 1400 and growing that company's revenue by a similar multiple. And as previously noted, Mr. Gerome oversaw the day-to-day sourcing and operations of two leading energy procurement advisory firms for over 10 years and has executed over 30,000 energy supply agreements.

**Director – Nathan Burroughs**

**Address – 27 Lawrence Rd, Madison, NJ 07940**

**Cell – 908-763-2077**

**Office - 855.557.3440**

In both management and direct client-facing roles at his two previous companies over the course of 8 years, Mr. Burroughs ensured that significant items compliance and service were adhered to and that client needs were impeccably served. His job security depended on the management of a variety of procurement, incentive, and grant projects averaging several million dollars per project for a variety of customers with budgets exceeding \$500 million. In his most recent position before coming to Taurus, Mr. Burroughs's operational expertise led to his company gaining over 40 clients whose procurement protocols were previously unattainable for his employer, in addition to garnering significant supplier refunds for said clients when operational guidelines not met by suppliers.