

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Robert C. Haehn Title President
Business address 143 Boardman Canfield Road # 332 Boardman, Ohio 44512
Telephone No. 330-758-5174 Fax No. 330-319-8999 Email Address robert_haehn@live.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 143 Boardman Canfield Road # 332 Boardman, Ohio 44512
Toll-Free Telephone No. N.A. 330-758-5174 Fax No. 330-319-8999 Email Address robert_haehn@live.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Robert C. Haehn Title President
Business address 143 Boardman Canfield Road # 332 Boardman, Ohio 44512
Telephone No. 330-758-5174 Fax No. 330-319-8999 Email Address robert_haehn@live.com

A-9 Applicant's federal employer identification number 82-4890000

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	12-04-2013
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	12-04-2013

☒ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	7-01-2013
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	6-19-2013
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	6-19-2013

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If the applicant does not produce annual reports, the applicant should indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's current financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Robert C. Hank - President

Sworn and subscribed before me this

11th day of *April*

Month

2018

Year

Debbie D. Edling

Signature of official administering oath

Debbie D. Edling - Notary

Print Name and Title

My commission expires on

Nov 28, 2019



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In the Matter of the Application of)

Liberty Power Brokers, LLC)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. - -GA-AGG

County of

State of

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Sworn and subscribed before me this

day of

Month

Year

Signature of Official Administering Oath

Print Name and Title

My commission expires on

Exhibit A-14

"Principal Officers, Directors & Partners"

Liberty Power Brokers

Liberty Power Brokers, LLC is wholly owned by Robert C. Haehn.

Robert C. Haehn – Owner Liberty Power Brokers, LLC

Percentage Ownership – 100%

143 Boardman Canfield Road # 332 Boardman, Ohio 44512

Phone: 330-758-5174

Exhibit A-15

“Company History”

Liberty Power Brokers

Liberty Power Brokers, LLC is wholly owned by Robert C. Haehn. The company's primary business interests are providing Electric and Natural Gas Brokerage Services for commercial and residential accounts. Liberty Power Brokers is a new Energy Brokerage company and does not have customers. Mr. Haehn owns the website domain www.libertypowerbrokers.com but it is not an active site.

Mr. Haehn also owns Summit Power Brokers. Summit Power Brokers is licensed as a commercial electric and gas broker in Ohio.

**LIMITED LIABILITY COMPANY OPERATING AGREEMENT
OF
Liberty Power Brokers, LLC**

This Single-member LLC Operating Agreement represents Liberty Power Brokers, LLC that was formed in the State of Ohio on March 17th 2018, hereinafter known as the "Company".

Robert Haehn of 143 Boardman Canfield Road # 332, Boardman, Ohio, 44512 is recognized as the sole member of the Company (the "Member(s)").

NOW, THEREFORE, for good and valuable consideration the receipt and sufficiency of which is hereby acknowledged, it is agreed as follows:

1. Name and Principal Place of Business

The name of the Company is Liberty Power Brokers, LLC with a principal place of business at 143 Boardman Canfield Road # 332, Boardman, Ohio, 44512. The mailing address shall be the same address as the principal office location.

2. Registered Agent

The name of the Registered Agent is Robert Haehn with a registered office located at 143 Boardman Canfield Road # 332, Boardman, Ohio, 44512 for the service of process as of April 10th 2018. This may change at any time by the Company filing an amendment with the Secretary of State, or respective office, in the State of Ohio.

3. Formation

The Company was formed on March 17th 2018, when the Member(s) filed the Articles of Organization with the office of the Secretary of State pursuant to the statutes governing limited liability companies in the State of Ohio (the "Statutes").

4. Purpose

The purpose of the Company is to engage in and conduct any and all lawful businesses, activities or functions, and to carry on any other lawful activities in connection with or incidental to the foregoing, as the Member(s) in their discretion shall determine.

5. Term

The term of the Company shall be perpetual, commencing on the filing of the Articles of Organization of the Company, and continuing until terminated under the provisions set forth herein.

6. Member(s) Capital Contributions

The Member(s) shall be making a Capital Contribution described as: 1000.00

7. Distributions.

The Member may make such capital contributions (each a "Capital Contribution") in such amounts and at such times as the Member shall determine. The Member shall not be obligated to make any

Exhibit A-16
"Articles of Incorporation and Bylaws"
Liberty Power Brokers

Capital Contributions. The Member may take distributions of the capital from time to time in accordance with the limitations imposed by the Statutes.

A "Capital Account" for the Member's shall be maintained by the Company. The Member's Capital Account shall reflect the Member's capital contributions and increases for any net income or gain of the Company. The Member's Capital Account shall also reflect decreases for distributions made to the Member and the Member's share of any losses and deductions of the Company.

8. Books, Records and Tax Returns

The Company shall maintain complete and accurate books and records of the Company's business and affairs as required by the Statutes and such books and records shall be kept at the Company's Registered Office and shall in all respects be independent of the books, records and transactions of the Member.

The Company's fiscal year shall be the calendar year with an ending month of December. The Member intends that the Company, as a single member LLC, shall be taxed as a Sole Proprietorship in accordance with the provisions of the Internal Revenue Code.

9. Bank Accounts

All funds of the Company shall be deposited in the Company's name in a bank account or accounts as chosen by the Member(s). Withdrawals from any bank accounts shall be made only in the regular course of business of the Company and shall be made upon such signature or signatures as the Member(s) from time to time may designate.

10. Management of the Company

The business and affairs of the Company shall be conducted and managed by the Member(s) in accordance with this Agreement and the laws of the State of Ohio.

Robert Haehn, as sole member of the Company, has sole authority and power to act for or on behalf of the Company, to do any act that would be binding on the Company, or incur any expenditures on behalf of the Company. The Member shall not be liable for the debts, obligations or liabilities of the Company, including under a judgment, decree or order of a court. The Company is organized as a "member-managed" limited liability company. The Member is designated as the initial managing member.

11. Ownership of Company Property

The Company's assets shall be deemed owned by the Company as an entity, and the Member shall have no ownership interest in such assets or any portion thereof. Title to any or all such Company assets may be held in the name of the Company, one or more nominees or in "street name", as the Member may determine.

Except as limited by the Statutes, the Member may engage in other business ventures of any nature, including, without limitation by specification, the ownership of another business similar to that operated by the Company. The Company shall not have any right or interest in any such independent ventures or to the income and profits derived therefrom.

12. Dissolution and Liquidation

Exhibit A-16
"Articles of Incorporation and Bylaws"
Liberty Power Brokers

The Company shall dissolve and its affairs shall be wound up on the first to occur of (i) At a time, or upon the occurrence of an event specified in the Articles of Organization or this Agreement. (ii) The determination by the Member that the Company shall be dissolved.

Upon the death of the Member, the Company shall be dissolved. By separate written documentation, the Member shall designate and appoint the individual who will wind down the Company's business and transfer or distribute the Member's Interests and Capital Account as designated by the Member or as may otherwise be required by law.

Upon the disability of a Member, the Member may continue to act as Manager hereunder or appoint a person to so serve until the Member's Interests and Capital Account of the Member have been transferred or distributed.

13. Indemnification

The Member (including, for purposes of this Section, any estate, heir, personal representative, receiver, trustee, successor, assignee and/or transferee of the Member) shall not be liable, responsible or accountable, in damages or otherwise, to the Company or any other person for: (i) any act performed, or the omission to perform any act, within the scope of the power and authority conferred on the Member by this agreement and/or by the Statutes except by reason of acts or omissions found by a court of competent jurisdiction upon entry of a final judgment rendered and un-appealable or not timely appealed ("Judicially Determined") to constitute fraud, gross negligence, recklessness or intentional misconduct; (ii) the termination of the Company and this Agreement pursuant to the terms hereof; (iii) the performance by the Member of, or the omission by the Member to perform, any act which the Member reasonably believed to be consistent with the advice of attorneys, accountants or other professional advisers to the Company with respect to matters relating to the Company, including actions or omissions determined to constitute violations of law but which were not undertaken in bad faith; or (iv) the conduct of any person selected or engaged by the Member.

The Company, its receivers, trustees, successors, assignees and/or transferees shall indemnify, defend and hold the Member harmless from and against any and all liabilities, damages, losses, costs and expenses of any nature whatsoever, known or unknown, liquidated or unliquidated, that are incurred by the Member (including amounts paid in satisfaction of judgments, in settlement of any action, suit, demand, investigation, claim or proceeding ("Claim"), as fines or penalties) and from and against all legal or other such costs as well as the expenses of investigating or defending against any Claim or threatened or anticipated Claim arising out of, connected with or relating to this Agreement, the Company or its business affairs in any way; provided, that the conduct of the Member which gave rise to the action against the Member is indemnifiable under the standards set forth herein.

Upon application, the Member shall be entitled to receive advances to cover the costs of defending or settling any Claim or any threatened or anticipated Claim against the Member that may be subject to indemnification hereunder upon receipt by the Company of any undertaking by or on behalf of the Member to repay such advances to the Company, without interest, if the Member is Judicially Determined not to be entitled to indemnification as set forth herein.

All rights of the Member to indemnification under this Agreement shall (i) be cumulative of, and in addition to, any right to which the Member may be entitled to by contract or as a matter of law or equity, and (ii) survive the dissolution, liquidation or termination of the Company as well as the death, removal, incompetency or insolvency of the Member.

Exhibit A-16
"Articles of Incorporation and Bylaws"
Liberty Power Brokers

The termination of any Claim or threatened Claim against the Member by judgment, order, settlement or upon a plea of *nolo contendere* or its equivalent shall not, of itself, cause the Member not to be entitled to indemnification as provided herein unless and until Judicially Determined to not be so entitled.

14. Miscellaneous

This Agreement and the rights and liabilities of the parties hereunder shall be governed by and determined in accordance with the laws of the State of Ohio. If any provision of this Agreement shall be invalid or unenforceable, such invalidity or unenforceability shall not affect the other provisions of this Agreement, which shall remain in full force and effect.

The captions in this Agreement are for convenience only and are not to be considered in construing this Agreement. All pronouns shall be deemed to be the masculine, feminine, neuter, singular or plural as the identity of the person or persons may require. References to a person or persons shall include partnerships, corporations, limited liability companies, unincorporated associations, trusts, estates and other types of entities.

This Agreement, and any amendments hereto may be executed in counterparts all of which taken together shall constitute one agreement.

This Agreement sets forth the entire agreement of the parties hereto with respect to the subject matter hereof. It is the intention of the Member(s) that this Agreement shall be the sole agreement of the parties, and, except to the extent a provision of this Agreement provides for the incorporation of federal income tax rules or is expressly prohibited or ineffective under the Statutes, this Agreement shall govern even when inconsistent with, or different from, the provisions of any applicable law or rule. To the extent any provision of this Agreement is prohibited or otherwise ineffective under the Statutes, such provision shall be considered to be ineffective to the smallest degree possible in order to make this Agreement effective under the Statutes.

Subject to the limitations on transferability set forth above, this Agreement shall be binding upon and inure to the benefit of the parties hereto and to their respective heirs, executors, administrators, successors and assigns.

No provision of this Agreement is intended to be for the benefit of or enforceable by any third party.

IN WITNESS WHEREOF, the Member(s) have executed this Agreement on April 10th 2018.

The Member(s) of Liberty Power Brokers, LLC



Robert Haehn

Jon Husted
Ohio Secretary of State

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Corporation Details

Corporation Details		
Entity Number	4147929	
Business Name	LIBERTY POWER BROKERS, LLC	
Filing Type	DOMESTIC LIMITED LIABILITY COMPANY	
Status	Active	
Original Filing Date	03/17/2018	
Expiry Date		
Location:	County:	State:
Agent / Registrant Information		
ROBERT C. HAEHN 143 BOARDMAN CANFIELD ROAD # 332 BOARDMAN, OH 44512 Effective Date: 03/17/2018 Contact Status: Active		
Incorporator Information		
ROBERT C. HAEHN		
Filings		
Filing Type	Date of Filing	Document Number/Image
ARTICLES OF ORGNZTN/DOM. PROFIT LIM. LIAB. CO.	03/17/2018	201806703262

Exhibit B-1

“Jurisdictions of Operation”

Liberty Power Brokers

Liberty Power Brokers is not licensed to provide Electric or Natural Gas Brokerage Services in any jurisdiction at the date of this filing.

Mr. Robert C. Haehn is the owner of Summit Power Brokers, LLC. Summit Power Brokers, LLC is licensed as a commercial Electric and Natural Gas Broker in Ohio under the following license numbers:

Electric Case Number - 12-828-EL-AGG
Electric Certificate Number – 12-491E (3)

Natural Gas Case Number – 12-0921-GA-AGG
Natural Gas Certificate Number – 12-245G(3)

Robert C. Haehn is authorized to provide retail electric and natural gas service in all deregulated states as an authorized individual agent for brokers and direct suppliers.

Exhibit B-2

“Experience and Plans”

Liberty Power Brokers

Applicant is seeking approval to provide electric brokerage services to commercial and residential accounts. The direct supplier is solely responsible for billing and receiving payment from customer. The customer contracts with the direct supplier through the energy broker and therefore pays direct supplier for agreed electric supply. Applicant does not receive out of pocket compensation from customer. Applicant does not bill or receive payment from customer. The direct supplier pays applicant a monthly commission based on a predetermined rate per kwh multiplied by the actual kwh used by the customer.

Applicant and direct suppliers that applicant contracts with are both subject to Commission rules pursuant to Section 4928.10 of the Revised Code. Applicant will review proposed electric offers to ensure direct suppliers are compliant with ORC 4928.10.

If the PUCO requires applicant to make available a dedicated toll free complaint number or otherwise dedicated complaint number for Liberty Power Brokers, LLC applicant will do so on the company website. Applicant will provide local customer assistance for customer complaints that can be made in writing by fax, mail or email. Applicant will send notification to one or more of the following within 3 business days of receiving customer complaint: PUCO, office of the consumers' counsel, attorneys general office. Applicant will make available complaint telephone numbers for the electric utility, electric service company as well as telephone numbers for state agencies including the commission, office of the consumers' counsel, and attorney general's office with the available hours upon request.

Applicant will abide by the procedures set forth in the energy agreement in the event of a customer complaint. This includes but is not limited to mediation, arbitration or otherwise acceptable remedy as set forth by PUCO, office of the consumers' counsel, and/or attorneys general office. In the event procedures were not set forth in the energy agreement or regulatory authority initiates an investigation applicant will adhere to the recommendation of the regulatory authority.

Exhibit B-3

“Summary of Experience”

Liberty Power Brokers

Robert C. Haehn started working in Ohio’s deregulated energy industry in October 2009 when he accepted a position as a Business Development Manager with an alternative electric supplier. As a lifelong resident of Ohio, Mr. Haehn was well positioned to work with business customers in his home state. In November 2010 Mr. Haehn became an independent agent and in 2012 he applied and was approved for an electric and natural gas brokerage license by The Public Utilities Commission of Ohio. He became active with his license in June 2012.

Since acquiring his own license and starting Summit Power Brokers, a commercial energy brokerage firm, Mr. Haehn has acquired a large number commercial accounts as of 12-31-17. Summit Power Brokers operates primarily as a commercial electric broker in Northeast Ohio. The company also services commercial natural gas accounts in the Columbia Gas of Ohio and Dominion East Ohio markets. Summit Power Brokers currently services commercial gas accounts representing over 30,000 mcf annually.

Applicant Liberty Power Brokers, LLC is seeking an Ohio Electric Power Broker/Aggregator License.

Exhibit B-4

“Disclosure of Liabilities and Investigations”

Liberty Power Brokers

Liberty Power Brokers does not have any existing, pending or past rulings, judgements, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the company’s financial or operational status or ability to provide the services it is licensed to provide.

Exhibit C-1

“Annual Reports”

Liberty Power Brokers

Exhibit C-1 “Annual Reports” is not applicable to Liberty Power Brokers. Liberty Power Brokers is wholly owned by Robert C. Haehn and will begin operating as a licensed electric and natural gas broker on January 1, 2019. The energy brokerage firm is privately held and does not have shareholders. Liberty Power Brokers does not issue annual reports.

Exhibit C-2

“SEC Filings”

Liberty Power Brokers

Exhibit C-2 “SEC Filings” is not applicable to Liberty Power Brokers. Liberty Power Brokers is wholly owned by Robert C. Haehn and will begin operating as a licensed electric and natural gas broker on January 1, 2019. The energy brokerage firm is privately held and not subject to “SEC Filings.”

Exhibit C-3

“Financial Statements”

Liberty Power Brokers

Liberty Power Brokers is a new company and therefore does not yet have Financial Statements.
N.A.

Exhibit C-4
“Financial Arrangements”
Liberty Power Brokers

N.A.

Exhibit C-5

“Forecasted Financial Statements”

Liberty Power Brokers

Prepared by:

Robert C. Haehn

P.O. Box 2285

Stow, Ohio 44224

Email: robert_haehn@live.com

Phone: 330-758-5174

Education: Bachelor of Business Administration, Major: Finance, Kent State University May 2000

Master of Business Administration, Concentration: Finance, Kent State University May 2005

Exhibit C-5
“Forecasted Financial Statements”
Liberty Power Brokers

List of Assumptions

Natural gas

Forecasted Financials are based on 120,000 new mcf customers in year 1 and 240,000 new mcf customers in year 2

Commission rate is \$.30 per mcf

Customer Retention Rate is 75% after year 1

Rolling Residual is defined as recurring commission that is received after the first month of applicable commissions

LLC may accept short term operational loans made available from owner Robert C. Haehn

Exhibit C-5
"Forecasted Financial Statements"
Liberty Power Brokers

2 Year Forecasted Revenues in MCF - 2019 - 2020

	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
New mcs sold (stated in yearly usage)	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000
Monthly usage (New mcs sold/12)	833	833	833	833	833	833	833	833	833	833	833	833
Rolling residual monthly/mcs	833	833	1,667	2,500	3,333	4,167	5,000	5,833	6,667	7,500	8,333	9,167
Total monthly/mcs	833	1,667	2,500	3,333	4,167	5,000	5,833	6,667	7,500	8,333	9,167	10,000
Monthly commission @ 30 cents per mcs	\$250	\$500	\$750	\$1,000	\$1,250	\$1,500	\$1,750	\$2,000	\$2,250	\$2,500	\$2,750	\$3,000

	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
New mcs sold (stated in yearly usage)	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Monthly usage (New mcs sold/12)	1,667	1,667	1,667	1,667	1,667	1,667	1,667	1,667	1,667	1,667	1,667	1,667
Rolling residual monthly/mcs	1,667	1,667	3,333	5,000	6,667	8,333	10,000	11,667	13,333	15,000	16,667	18,333
Total monthly/mcs	1,667	3,333	5,000	6,667	8,333	10,000	11,667	13,333	15,000	16,667	18,333	20,000
Monthly commission @ 30 cents per mcs	\$2,750	\$3,250	\$3,750	\$4,250	\$4,750	\$5,250	\$5,750	\$6,250	\$6,750	\$7,250	\$7,750	\$8,250

Exhibit C-5
"Forecasted Financial Statements"
Liberty Power Brokers

2019 Forecasted Cash Flow Statement

Cash Flow													TOTALS
Year 1 Forecast - 2019													
	Jan	Feb	March	April	May	June	July	Aug	Sep	Oct	Nov	Dec	
CASH IN													
Beginning Cash Balance	\$1,000	\$72	(\$606)	(\$1,034)	(\$1,212)	(\$1,140)	(\$818)	(\$346)	\$476	\$1,548	\$2,870	\$4,442	
New Cash Injection for Working Capital	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CASH OUT													
Cash Received from Operations													
Total Sales													
Gas Sales	\$250	\$500	\$750	\$1,000	\$1,250	\$1,500	\$1,750	\$2,000	\$2,250	\$2,500	\$2,750	\$3,000	\$19,500
													\$0
													\$0
													\$0
													\$0
TOTAL CASH-IN	\$250	\$500	\$750	\$1,000	\$1,250	\$1,500	\$1,750	\$2,000	\$2,250	\$2,500	\$2,750	\$3,000	\$19,500
CASH OUT													
Cost of Goods Sold													
(Purchases on Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Payment of Current Accounts P:	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Fixed (Equipment) Asset F	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Expenses													
Advertising	55	55	55	55	55	55	55	55	55	55	55	55	660
Website Design	100	100	100	100	100	100	100	100	100	100	100	100	1,200
Dues and Subscriptions							100						100
Lodging and Meals													
Office - Rent	155	155	155	155	155	155	155	155	155	155	155	155	1,860
Office - Answering Service	74	74	74	74	74	74	74	74	74	74	74	74	888
Office - Internet - Phone - Fax	249	249	249	249	249	249	249	249	249	249	249	249	2,988
Payroll Officer													
Office Expense	149	149	149	149	149	149	149	149	149	149	149	149	1,788
Payroll Taxes 13.3%													
Professional Fees													
Legal Fees	100	100	100	100	100	100	100	100	100	100	100	100	1,200
Auto Expense	75	75	75	75	75	75	75	75	75	75	75	75	900
Tolls													
Cell Phone	149	149	149	149	149	149	149	149	149	149	149	149	1,788
Utilities	33	33	33	33	33	33	33	33	33	33	33	33	396
Interest Expense - Credit Card													
CC Pmt (less int. expense)													
Misc.	39	39	39	39	39	39	39	39	39	39	39	39	468
	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL CASH-OUT	\$1,178	\$1,178	\$1,178	\$1,178	\$1,178	\$1,178	\$1,278	\$1,178	\$1,178	\$1,178	\$1,178	\$1,178	\$14,236
Net Cash Flow	(\$928)	(\$678)	(\$428)	(\$178)	\$72	\$322	\$472	\$822	\$1,072	\$1,322	\$1,572	\$1,822	\$5,264
Ending Cash Balance	\$72	(\$606)	(\$1,034)	(\$1,212)	(\$1,140)	(\$818)	(\$346)	\$476	\$1,548	\$2,870	\$4,442	\$6,264	\$6,264

Exhibit C-5
"Forecasted Financial Statements"
Liberty Power Brokers

Income Statement

Sales	2019
Total Sales	\$19,500
Cost of Sales	\$0
Depreciation	
Total Cost of Goods Sold	\$0
GROSS PROFIT MARGIN	\$19,500
Gross Profit %	100.00%
Operating Expenses	
Advertising	\$660
Website Design	\$1,200
Dues and Subscriptions	\$100
Lodging and Meals	\$0
Office - Rent	\$1,860
Office - Answering Service	\$888
Office - Internet - Phone - Fax	\$2,988
Payroll Office	\$0
Office Expense	\$1,788
Payroll Taxes 13.3%	\$0
Professional Fees	\$0
Legal Fees	\$1,200
Auto Expense	\$900
Tolls	
Cell Phone	\$1,788
Utilities	\$396
Interest Expense - Credit Card	\$0
CC Pmt (less int. expense)	\$0
Misc.	\$468
Total Expenses	\$14,236
Net Profit	\$5,264
% of Sales	26.90%

Exhibit C-5
"Forecasted Financial Statements"

Liberty Power Brokers

Balance Sheet Year 2019

ASSETS

Current Assets

Cash	\$6,264
Accounts Receivable	\$0
Inventory	\$0
Other	\$0
Total Current Assets	\$6,264

Long Terms / Fixed Assets

Land and Building	\$0
Furniture, Fixtures and Equipment	\$0
Less: Accumulated Depreciation	\$0
Other	\$0
Total Fixed Assets	\$0

Intangible Assets

Patents / Trademarks / Copyrights	\$0
Goodwill	\$5,000
Total Intangible Assets	\$5,000

TOTAL ASSETS	\$11,264
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LIABILITIES

Current Liabilities

Note Payable	\$0
Accounts Payable	\$0
Wages/Payroll Expenses/Commissions Payable	\$0
Current Long Term Debt	\$0
Other	\$0
Total Current Liabilities	\$0

Long Term Liabilities

Long Term Debt	\$0
Other	\$0
Total Long Term Liabilities	\$0

Total Liabilities	\$0
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OWNERS EQUITY

Owner's Equity	\$11,264
Total Equity	\$11,264

TOTAL LIABILITIES AND EQUITY	\$11,264
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Exhibit C-5
"Forecasted Financial Statements"
Liberty Power Brokers

2020 Forecasted Cash Flow Statement

Cash Flow												TOTALS
Year 2 Forecast - 2020	Jan	Feb	March	April	May	June	July	Aug	Sep	Oct	Nov	Dec
CASH-IN												
Beginning Cash Balance	\$6,264	\$5,294	\$4,824	\$4,854	\$5,384	\$6,414	\$7,944	\$9,874	\$12,404	\$15,434	\$18,964	\$22,994
New Cash Injection for Working Capital	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Cash Received from Operations												
Total Sales												
Gas Sales	\$2,750	\$3,250	\$3,750	\$4,250	\$4,750	\$5,250	\$5,750	\$6,250	\$6,750	\$7,250	\$7,750	\$8,250
TOTAL CASH-IN	\$2,750	\$3,250	\$3,750	\$4,250	\$4,750	\$5,250	\$5,750	\$6,250	\$6,750	\$7,250	\$7,750	\$8,250
CASH-OUT												
Cost of Goods Sold												
(Purchases on Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Payment of Current Accounts Payable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Fixed (Equipment) Asset F	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Expenses												
Advertising	100	100	100	100	100	100	100	100	100	100	100	100
Website Design	100	100	100	100	100	100	100	100	100	100	100	100
Dues and Subscriptions												
Lodging and Meals												
Office - Rent	155	155	155	155	155	155	155	155	155	155	155	155
Office - Answering Service	74	74	74	74	74	74	74	74	74	74	74	74
Office - Internet - Phone - Fax	249	249	249	249	249	249	249	249	249	249	249	249
Payroll Officer	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Office Expense	149	149	149	149	149	149	149	149	149	149	149	149
Payroll Taxes 15.3%	306	306	306	306	306	306	306	306	306	306	306	306
Professional Fees												
Legal Fees	149	149	149	149	149	149	149	149	149	149	149	149
Auto Expense	135	135	135	135	135	135	135	135	135	135	135	135
Tolls												
Cell Phone	149	149	149	149	149	149	149	149	149	149	149	149
Utilities	55	55	55	55	55	55	55	55	55	55	55	55
Interest Expense - Credit Card												
CC Pmt (less int. expense)												
Misc.	99	99	99	99	99	99	99	99	99	99	99	99
	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL CASH-OUT	\$3,720	\$3,720	\$3,720	\$3,720	\$3,720	\$3,720	\$3,820	\$3,720	\$3,720	\$3,720	\$3,720	\$3,720
Net Cash Flow	(\$970)	(\$470)	\$30	\$530	\$1,030	\$1,530	\$1,930	\$2,530	\$3,030	\$3,530	\$4,030	\$4,530
Ending Cash Balance	\$5,294	\$4,824	\$4,854	\$5,384	\$6,414	\$7,944	\$9,874	\$12,404	\$15,434	\$18,964	\$22,994	\$27,524

Exhibit C-5
"Forecasted Financial Statements"
Liberty Power Brokers

Income Statement

Sales	2020
Total Sales	\$66,000
Cost of Sales	\$0
Depreciation	
Total Cost of Goods Sold	\$0
GROSS PROFIT MARGIN	\$66,000
Gross Profit %	100.00%
Operating Expenses	
Advertising	\$1,200
Website Design	\$1,200
Dues and Subscriptions	\$100
Lodging and Meals	\$0
Office - Rent	\$1,860
Office - Answering Service	\$888
Office - Internet - Phone - Fax	\$2,988
Payroll Office	\$24,000
Office Expense	\$1,788
Payroll Taxes - 15.3%	\$3,672
Professional Fees	\$0
Legal Fees	\$1,788
Auto Expense	\$1,620
Tolls	\$0
Cell Phone	\$1,788
Utilities	\$660
Interest Expense - Credit Card	\$0
CC Pmt (less int. expense)	\$0
Misc.	\$1,188
	\$0
Total Expenses	\$44,740
Net Profit	\$21,260
% of Sales	32.21%

Exhibit C-5
"Forecasted Financial Statements"

Liberty Power Brokers

Balance Sheet Year 2020

ASSETS

Current Assets

Cash	\$27,524
Accounts Receivable	\$0
Inventory	\$0
Other	\$0
Total Current Assets	\$27,524

Long Terms / Fixed Assets

Land and Building	\$0
Furniture, Fixtures and Equipment	\$0
Less: Accumulated Depreciation	\$0
Other	\$0
Total Fixed Assets	\$0

Intangible Assets

Patents / Trademarks / Copyrights	\$0
Goodwill	\$10,000
Total Intangible Assets	\$10,000

TOTAL ASSETS	\$37,524
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LIABILITIES

Current Liabilities

Note Payable	\$0
Accounts Payable	\$0
Wages/Payroll Expenses/Commissions Payable	\$0
Current Long Term Debt	\$0
Other	\$0
Total Current Liabilities	\$0

Long Term Liabilities

Long Term Debt	\$0
Other	\$0
Total Long Term Liabilities	\$0

Total Liabilities	\$0
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OWNERS EQUITY

Owner's Equity	\$37,524
Total Equity	\$37,524

TOTAL LIABILITIES AND EQUITY	\$37,524
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Exhibit C-6

“Credit Rating”

Liberty Power Brokers

Liberty Power Brokers will start energy brokerage services on January 1, 2019 and does not yet have a credit rating. The company did acquire a DUNS number in 2018.

Exhibit C-7

"Credit Report"

Liberty Power Brokers

Liberty Power Brokers will start energy brokerage services on January 1, 2019 and does not yet have a known credit report. The company did acquire a DUNS number in 2018.

Exhibit C-8

“Bankruptcy Information”

Liberty Power Brokers

Liberty Power Brokers does not have reorganizations, protection from creditors or any other form of bankruptcy filings to report. Liberty Power Brokers is an affiliate of Summit Power Brokers.

Exhibit C-9

“Merger Information”

Liberty Power Brokers

Liberty Power Brokers does not have dissolution, merger or acquisition to report.

Summit Power Brokers does not have dissolution, merger or acquisition to report.

Exhibit C-10

“Corporate Structure”

Liberty Power Brokers

Liberty Power Brokers, LLC is wholly owned by Robert C. Haehn. Liberty Power Brokers is an affiliate of Summit Power Brokers, LLC. Summit Power Brokers, LLC is wholly owned by Robert C. Haehn.

Summit Power Brokers, LLC has been in business since June 1, 2012. Summit Power Brokers, LLC services commercial electric and natural gas accounts in the state of Ohio.

Liberty Power Brokers, LLC will begin operating as commercial and residential electric and natural gas broker on January 1, 2019.

Liberty Power Brokers, LLC
143 Boardman Canfield Road # 332
Boardman, Ohio 44512
President - Robert C. Haehn
Percentage Ownership - 100%

Affiliate Companies

Affiliate Companies

Summit Power Brokers, LLC
4381 Hudson Drive
Stow, Ohio 44224
President - Robert C. Haehn
Percentage Ownership - 100%

Exhibit D-1

"Operations"

Liberty Power Brokers

Applicant Liberty Power Brokers operates as a broker only in providing commercial and residential customers with natural gas procurement. Applicant contracts with direct suppliers and customers by brokering natural gas supply from direct suppliers to commercial and residential customers. Applicant will not nominate and schedule retail gas for delivery. Applicant will contract with direct suppliers and direct suppliers will be responsible for the nomination and scheduling of retail natural gas for delivery. The direct suppliers are responsible for retail ancillary services as well as other services used to supply natural gas to the natural gas company city gate for retail customers. Applicant will not act in a capacity to provide retail ancillary services as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

Exhibit D-2

“Operations Expertise”

Liberty Power Brokers

Summit Power Brokers began operating as a commercial energy broker in June 2012. The Ohio based brokerage firm is headed by Robert C. Haehn. Mr. Haehn has been employed in Ohio's Deregulated Energy Industry since 2009 and has experience contracting with commercial energy users. Since obtaining his license and starting Summit Power Brokers, Mr. Haehn has acquired a large number of commercial clients.

Liberty Power Brokers will begin offering energy brokerage services for commercial and residential customers on January 1, 2019.

Exhibit D-3
"Key Technical Personnel"
Liberty Power Brokers

Liberty Power Brokers

Robert C. Haehn – President

robert_haehn@live.com

330-758-5174

Robert C. Haehn has been employed in Ohio's deregulated energy market since 2009.