



Public Utilities Commission

FILE

PUCO USE ONLY - Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		16-895- GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Verdigris Energy LLC
Address P O Box 1777, Allen TX 75013 1711 Bur Oak Dr, Allen TX 75002
Telephone No. 214-592-7777 Web site Address www.VerdigrisEnergy.com
Current PUCO Certificate No. 16-0895-GA-AGG Effective Dates 5/26/2016

A-3 Applicant information under which applicant will do business in Ohio:

Name Verdigris Energy
Address P O Box 1777, Allen TX 75013
Web site Address www.verdigrisenergy.com Telephone No. 214-592-7777

A-4 List all names under which the applicant does business in North America:

Verdigris Energy

A-5 Contact person for regulatory or emergency matters:

Name Elizabeth Nottingham Title President
Business Address P O Box 1777, Allen TX 75013
Telephone No. 214-592-7777 Fax No. 972-692-7414 Email Address enottingham@verdigrisenergy.com

To certify that the listed information is true and correct, the undersigned hereby certifies that the information is true and correct. Date received 4/16/18

RECEIVED-DOCKETING DIV
2018 APR 16 PM 12:10
PUCO

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Jeff Nottingham Title Energy Economist
Business address P O Box 1777, Allen TX 75013
Telephone No. 214-592-7777 Fax No. 972-692-7414 Email Address jnottingham@verdigrisenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address P O Box 1777, Allen TX 75013
Toll-Free Telephone No. 888-849-1777 Fax No. 972-692-7414 Email Address info@verdigrisenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Incorp Services, Inc. Title Agent
Business address 9435 Waterstone Blvd, Ste 140, Cincinnati, OH 45249
Telephone No. 888-849-1777 Fax No. 972-692-7414 Email Address info@verdigrisenergy.com

A-9 Applicant's federal employer identification number 27-2782110

A-10 Applicant's form of ownership: (Check one)

<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Partnership
<input type="checkbox"/> Limited Liability Partnership (LLP)	<input checked="" type="checkbox"/> Limited Liability Company (LLC)
<input type="checkbox"/> Corporation	<input type="checkbox"/> Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	1/1/2019
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date	
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

EXHIBIT A-14
"Principal Officers, Directors & Partners"

Elizabeth Nottingham
President
1711 Bur Oak Drive Allen, TX 75002
918-344-0732

Jeff Nottingham
Executive Vice-President
1711 Bur Oak Drive Allen, TX 75002
469-853-8506

EXHIBIT A-15
"Company History"

Verdigris Energy LLC was formed as a Limited Liability Company in the State of Texas in June 2010. The company initiated commercial operations later that year, working as a Texas registered electricity aggregator.

Verdigris Energy is recognized by the State of Texas as a Historically Underutilized Business (HUB Certificate 1272782110500) and by the Cherokee Nation as a TERO Certified Business. Verdigris Energy holds licenses for electricity and natural gas aggregator/broker/consultant in several states, including Illinois, Massachusetts, Maryland, New Jersey, Pennsylvania and Rhode Island.

EXHIBIT A-16
"Articles of Incorporation and Bylaws"

Nothing has changed since the company's inception in 2010.

EXHIBIT A-17
 "Secretary of State"

Jon Husted
 Ohio Secretary of State

[Jon Husted & the Office](#) | [Elections & Voting](#) | [Campaign Finance](#) | [Legislation & Ballot Issues](#) | [Businesses](#) | [Records](#) | [Media Center](#)

Business Filing Portal

Corporation Details

Corporation Details		
Entity Number	2061900	
Business Name	VERDIGRIS ENERGY LLC	
Filing Type	DOMESTIC LIMITED LIABILITY COMPANY	
Status	Active	
Original Filing Date	11/14/2011	
Expiry Date		
Location:	County:	State:

Agent / Registrant Information	
INCORP SERVICES INC 9435 WATERSTONE BLVD SUITE 140 CINCINNATI, OH 45249 Effective Date: 11/14/2011 Contact Status: Active	

Incorporator Information	
JEFF NOTTINGHAM	

Filings		
Filing Type	Date of Filing	Document Number/Image
ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.	11/14/2011	201132001100

EXHIBIT B-1
"Jurisdiction of Operations"

Verdigris Energy LLC was formed on June 4, 2010 as a limited liability company under the laws of the State of Texas. As of the date of this filing, there have been no complaints against Verdigris Energy LLC with any public or private agencies. The company is in good standing with the State of Texas.

Other Licenses and Certifications by Jurisdiction:

Jurisdiction	Designation	Filing/Order Nbr	Date
Cherokee Nation	TERO Certification	10/25/2012-8	10/25/2012
District of Columbia	Electricity Supplier	Order 17068	2/11/2013
Illinois	Agent-Broker-Consultant	11-0691	12/13/2011
Massachusetts	Electricity Broker	EB-265	2/14/2014
Maryland	Electricity Broker	IR-2792	10/3/2012
New Jersey	Energy Agent Private Aggregator	EA0163 PA0108	11/1/2011
Pennsylvania	Broker/Marketer	A-2012-2284040	1/11/2012
Rhode Island	Non Regulated Power Producer	D-96-9(V5)	11/1/2012
Texas	Electricity Aggregator Hub Certificate DFWMSDC MBE & SBE CMBL Number	AG110015 1272782110500 DL03193 12727821105	7/19/2011

EXHIBIT B-2
"Experience & Plans"

Since its inception in 2010, Verdigris Energy has facilitated the execution of hundreds of electricity and natural gas contracts by its clients across the United States. Our primary services are conducting RFQs, educating our clients, evaluating supplier capabilities and making supply contract recommendations. During the course of the supply contract, we provide related services such as facilitating account additions or deletions, negotiating material change provisions. To date we have never had a complaint filed in any jurisdiction. In the vast majority of our client engagements, we are remunerated through a broker commission paid to us by the supplier. As such, Verdigris Energy typically does not produce billing statements.

EXHIBIT B-3
"Summary of Experience"

Verdigris Energy provides natural gas consulting and brokering for industrial clients in Texas, Florida, Illinois, Michigan, Ohio, Oklahoma and Virginia. Total volume managed through the end of 2017 is 484,658 Dth.

Exhibit B-4
"Disclosure of Liabilities and Investigations"

There are no rulings, judgments or, to our knowledge, any other matters that could adversely impact Verdigris Energy's ability to serve clients.

Exhibit B-5
"Disclosure of Consumer Protection Violations"

None

Exhibit B-6
"Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"

None

EXHIBIT C-1
"Annual Reports"

Verdigris Energy LLC is a privately held company and has no annual reports. Financial information is provided in Exhibit C-3.

EXHIBIT C-2
"SEC Filings"

Verdigris Energy LLC is privately held and not required to file with the SEC.

EXHIBIT C-3
"Financial Statements"

Verdigris Energy, LLC

PROFIT AND LOSS

January - December 2017



	TOTAL
Income	
Services	447,361.52
Unapplied Cash Payment Income	256.00
Uncategorized Income	3,813.48
Total Income	\$451,431.00
GROSS PROFIT	\$451,431.00
Expenses	
Advertising	1,801.96
Business Travel	3,711.19
Commissions & Fees	24,817.05
Communications	2,984.82
Contract Labor	129.85
Insurance	1,685.09
Interest Payment	29.38
Legal & Professional Fees	208.57
Meals and Entertainment	4,285.60
Office Expenses	1,787.73
Other Miscellaneous	36,833.95
Rental Expenses	4,238.97
Supplies	3,592.62
Taxes & Licenses	34.34
License Expense	1,169.33
Total Taxes & Licenses	1,203.67
Total Expenses	\$87,310.45
NET OPERATING INCOME	\$364,120.55
NET INCOME	\$364,120.55

Verdigris Energy, LLC

PROFIT AND LOSS

January - December 2016



	TOTAL
Income	
Services	532,868.94
Unapplied Cash Payment Income	-2,594.68
Total Income	\$530,274.26
GROSS PROFIT	\$530,274.26
Expenses	
Advertising	1,157.08
Business Travel	5,854.27
Commissions & Fees	106,336.13
Communications	4,891.26
Insurance	13,931.73
Legal & Professional Fees	553.60
Meals and Entertainment	3,523.42
Office Expenses	1,207.41
Other Miscellaneous	3,361.74
Payroll Expenses	
Taxes	0.00
Wages	0.00
Total Payroll Expenses	0.00
Rental Expenses	2,311.03
Supplies	2,304.21
Taxes & Licenses	396.00
License Expense	1,467.53
Total Taxes & Licenses	1,863.53
Total Expenses	\$147,295.43
NET OPERATING INCOME	\$382,978.83
NET INCOME	\$382,978.83

As a single-owner Limited Liability Company, by rule 100% of the company's net income must be distributed to the owner. As such, the company does not maintain a balance sheet nor does it hire independent auditors to certify financial statements. These statements are certified by Energy Economist and Executive Vice-President Jeff Nottingham, M.A., CEM, CDSM, CEP. Of note, the as of 4/11/2018 the 2017 P&L statement is mostly but not entirely complete.

EXHIBIT C-4
"Financial Arrangements"

N/A (Verdigris Energy does not take title to electricity or natural gas)

Exhibit C-5
"Forecasted Financial Statements"

Verdigris Energy LLC produces no financial forecasts. We expect revenue and expenses for 2018 and 2019 to be similar to the 2016 income statement provided in this document (C3 - "Financial Statements")

Projection prepared by:

Jeff Nottingham, Executive Vice-President
1711 Bur Oak Drive, Allen, TX 75002
Phone: 469/853-8506

jnottingham@verdigrisenergy.com

EXHIBITS C-6 & C-7
"Credit Rating" and "Credit Report"

As a privately held company never having taken on any debt and having very few recurring expenses, creditor information is minimal.

We are aware of the assignment of DUNS Number 03-492-7072, which is listed as "incomplete."

EXHIBIT C-8
"Bankruptcy Information"

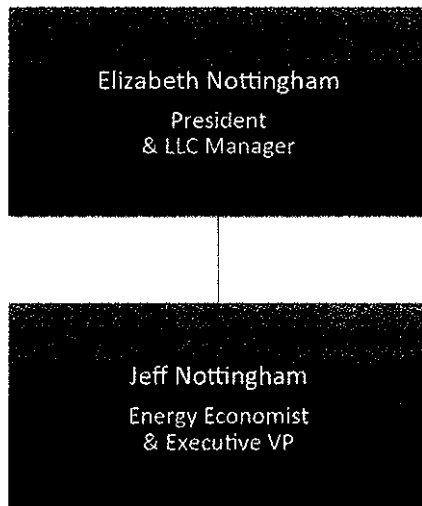
Neither the company nor any of its officers have ever made any form of bankruptcy filing or reorganizations.

EXHIBIT C-9
"Merger Information"

The applicant has not been involved in any dissolution, merger or acquisition.

EXHIBIT C-10
"Corporate Structure"

Verdigris Energy LLC



There are no subsidiary or affiliate companies.

Exhibit D-1
"Operations,"

Day to day operations at Verdigris Energy, including analysis, procurement and client support are conducted at the company home office in Allen, Texas. Home office staff is comprised of the President Elizabeth and staff energy economist.

Exhibit D-2

“Operations Expertise,”

Verdigris Energy has provided natural gas supply contract negotiation and monthly nominations for industrial clients since 2010.

Exhibit D-3

“Key Technical Personnel,”

Market technical analysis and client services are provided by Energy Economist Jeff Nottingham. Mr. Nottingham holds a Masters Degree in Energy Economics from the University of Oklahoma. He has a professional background in natural gas pipeline operations and trading, as well as work in the Rates and Regulatory Affairs departments at a utility holding company.

Verdigris Energy LLC

Applicant Signature and Title: President - E. Scott Nott
Title

Sworn and subscribed before me this 12 - day of April Month 2018 Year

Taryn Blair Watson
Signature of official administering oath

Taryn Blair Watson-Notary Public
Print Name and Title

My commission expires on: July 29, 2019

