

INTL FCStone®

INTL FCStone Inc.
INTL FCStone Financial Inc.
1075 Jordan Creek Parkway - Suite 300
West Des Moines, Iowa 50266

FILE

April 10, 2018

Public Utilities Commission of Ohio
Attn: Docketing Division
180 East Broad Street
Columbus, Ohio 43215-3793

Re: Energy Aggregator/Power Brokers
Renewal Application - Supplement
Case Number 14-0446-EL-AGG
UPS Tracking No. 1Z 676 668 07 9049 4065

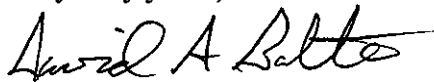
Greetings:

Please accept the following information (original plus three copies) as a supplement to the Renewal Application of INTL FCStone Financial Inc. in the above case (14-0446-EL-AGG). Specifically, we are providing a Dun & Bradstreet credit report on parent company INTL FCStone Inc. within Item C-7 on the amended Page 12 as attached.

In addition, due to the reliance upon the attached credit report, INTL FCStone Inc. does hereby guarantee the obligations of INTL FCStone Financial Inc. arising under this Renewal Application.

Thank you for your assistance with this filing. If any additional questions or issues arise regarding this matter, please contact me at 515-223-3797 or by e-mail at david.bolte@intlfcstone.com.

Very truly yours,



David A. Bolte
Secretary and Counsel

Enclosure

RECEIVED - DOCKETING DIV
2018 APR 12 AM 10:36
PUCO

INTL FCStone Inc.

I hereby certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician ML Date Processed APR 12 2018

Exhibit C-7 Credit Report

INTL FCStone Financial Inc. is a wholly-owned subsidiary of INTL FCStone Inc. In addition to referring to the 2017 and 2016 Statements of Financial Condition for credit-worthiness, the current Dun & Bradstreet credit report on parent company INTL FCStone Inc. is attached as Appendix D.

Due to the reliance upon the attached credit report, INTL FCStone Inc. does hereby guarantee the obligations of INTL FCStone Financial Inc. arising under this Renewal Application under Case Number 14-0446-EL-AGG.

Dated this 10th day of April, 2018.

A handwritten signature in black ink, appearing to read "David A. Bolte". The signature is fluid and cursive, with a long horizontal stroke at the end.

David A. Bolte
Secretary and Counsel

APPENDIX D

**DUN & BRADSTREET CREDIT REPORT ON
INTL FCSTONE INC.
(Parent Company of Applicant)**

Intl Fcstone Inc. DUNS: 62-079-3877

Business Information Report

Company Information

708 Third Ave Ste 1500
New York, NY 10017

This is a **headquarter** location.
The business has branch(es)
division(s); detailed branch/subsidiary
information is available in Dun &
Bradstreet's linkage or family tree
products.

Website www.intlassets.com

Telephone (212) 485-3500

Chief Executive: DIRECTOR(S): The officers
identified by (+) and Paul G
Anderson, Scott J Branch, John M
Fowler, Edward J Grzybowski, Daryl
K Henze, Bruce W Krehbiel and Eric
Parthemore.

Stock Symbol: INTL

Year Started 1924

Employees 1607

Corporate Family:

This business is a headquarter of the corporate family.

Financial Statement

Sales \$29,423,600,000

Net Worth \$449,900,000.00

History: NA

Financial Condition: profitable

Financing: SECURED

SIC: 6289
6211
6282

Line of Business: Security and commodity brokers;
financial services and investment
advice

Scores

PAYDEX®

76 ▼

6 days beyond terms



Based on up to 24 months of trade.

D&B PAYDEX® Key



High risk of late payment
(average 30 to 120 days beyond terms)



Medium risk of late payment
(average 30 days or less beyond terms)

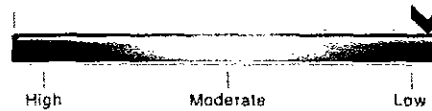


Low risk of late payment
(average prompt to 30+ days sooner)

Credit Limit Recommendation

Risk Category
Low

Conservative Credit Limit
\$500k
Aggressive Credit Limit
\$1,000k



D&B Rating ®

Rating
5A3

Financial Strength: **5A** indicates \$50,000,000 and over
Composite Credit Appraisal: **3** is fair

The credit rating was assigned based on D&B's assessment of the company's financial ratios and its cash flow. For more information, see the D&B Rating Key.

Below is an overview of the company's rating history since 01/03/2001

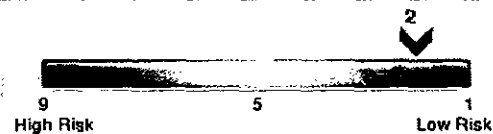
D&B Rating	Date Applied
5A3	2015-11-17
--	2013-01-03
5A3	2009-01-30
4A3	2005-05-25
3A2	2004-01-16
3A3	2003-01-07
--	2002-01-17
3A1	2001-05-16
3A2	2001-04-23
3A1	2001-01-03

The Summary Analysis section reflects information in D&B's file as of April 2, 2018

D&B Viability Rating

2

Viability Score

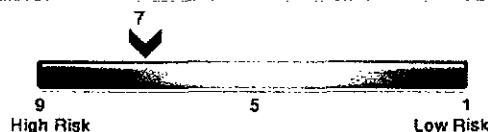


Compared to ALL US Businesses within the D&B Database:

- Level of Risk: **Low Risk**
- Businesses ranked 2 have a probability of becoming no longer viable: **2%**
- Percentage of businesses ranked 2: **4%**
- Across all US businesses, the average probability of becoming no longer viable: **14%**

7

Portfolio Comparison

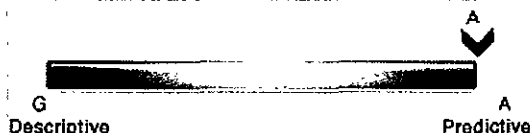


Compared to ALL US Businesses within the D&B Database:

- Model Segment: **Available Financial Data**
- Level of Risk: **High to Moderate Risk**
- Businesses ranked 7 within this model segment have a probability of becoming no longer viable: **1%**
- Percentage of businesses ranked 7 within this model segment: **10%**
- Within this model segment, the average probability of becoming no longer viable: **0.6%**

A

Data Depth Indicator



Data Depth Indicator:

Rich Firmographics
Extensive Commercial Trading Activity
Comprehensive Financial Attributes

A

Company Profile

Financial Data	Trade Payments	Company Size	Years in Business
Available	Available (3+Trade)	Large	Established

Compared to ALL US Businesses within the D&B Database:

- Financial Data: **Available**
- Trade Payments: **Available: 3+Trade**
- Company Size: **Large: Employees:50+ or Sales: \$500K+**
- Years in Business: **Established: 5+**

History & Operations

History

The following information was reported: 01/24/2018

Officer(s):

JOHN RADZIWILL, CHB+
SEAN M O'CONNOR, PRES-CEO+
WILLIAM J DUNAWAY, CFO
XUONG NGUYEN, COO
AARON SCHROEDER, CAO
BRIAN T SEPHTON, CLO-CGO
TRICIA HARROD, CRO

DIRECTOR(S):

The officers identified by (+) and Paul G Anderson, Scott J Branch, John M Fowler, Edward J Grzybowski, Daryl K Henze, Bruce W Krehbiel and Eric Parthemore.

The Delaware Secretary of State's business registrations file showed that INTL FCStone Inc. was registered as a Corporation on October 26, 1987, under the file registration number 2141726.

Business started 1924.

The company was founded in 1924 and was formerly known as International Assets Holding Corporation and changed its name to

INTL FCStone Inc. in March 2011.

The company's common stock is traded on the NASDAQ Global Select under the ticker symbol "INTL". As of September 30, 2017, there were 334 shareholders of record. As of December 15, 2017, those shareholders identified by the company as beneficially owning 5% or more of the total outstanding shares were: BlackRock Institutional Trust Company, N.A. (10.29%); The Vanguard Group, Inc. (5.24%); Sean M O'Connor (6.35%) and Scott J Branch (5.75%). As of the same date, officers and directors as a group beneficially owned 20.48 % of the total outstanding shares.

RECENT EVENTS.

On July 1, 2017, Sterne, Agee & Leach, Inc., a wholly-owned subsidiary of the company completed the merger with and into INTL FCStone Financial Inc., another wholly-owned subsidiary of the company.

On October 1, 2016, the company's wholly owned subsidiary, INTL FCStone Ltd (IFL), acquired the London-based EMEA oils business of ICAP plc. The purchase price included cash consideration of \$6.0 million.

On July 1, 2016, the company acquired all of the legacy independent brokerage and clearing businesses of Sterne Agee, LLC, a wholly-owned subsidiary of Stifel Financial Corp. Effective August 1, 2016, the company acquired all of the legacy Registered Investment Advisor (RIA) business of Sterne Agee, LLC. Pursuant to the two stock purchase agreements, it acquired Sterne Agee & Leach, Inc.; Sterne Agee Clearing, Inc.; Sterne Agee Financial Services, Inc.; Sterne Agee Asset Management, Inc. and Sterne Agee Investment Advisor Services, Inc. for cash consideration. The purchase price of \$45.0 million represents a discount to the allocation of fair value to the net assets of the Sterne entities acquired.

On March 31, 2016, Brickell Bank acquired Trade Finance Portfolio from INTL FCStone Inc.

JOHN RADZIWIŁŁ. Director since 2002. He currently serves as the company's Chairman of the Board.

SEAN M O'CONNOR. Director since 2002. He has served as the company's CEO since 2002 and was appointed as President in October 2015.

WILLIAM J DUNAWAY. He was appointed CFO of the company on October 5, 2009 following the merger with FCStone Group, Inc. (FCStone). From January 2008 until the merger, he was the CFO of FCStone.

XUONG NGUYEN. He was appointed as COO of the company in October 2015. Prior to that, he served as Executive Vice President of the FCM Division of INTL FCStone Financial Inc., as well as COO of additional subsidiaries of the company. He was appointed CEO of FCStone, LLC in February 2014 and was COO of FCStone, LLC for four years prior to his appointment as CEO. He previously served as COO of MFGlobal in the U.S. and served in senior management positions in operations, accounting, project management and audit at Bank of America, JP Morgan (formerly Banc One and First Chicago), ABN Amro, and the Chicago Mercantile Exchange.

AARON SCHROEDER. He was appointed as CAO in December 2014. He has been with the company and FCStone for nearly 15 years. He has been Group Controller since November 2012, and prior to his appointment was CAO, Director of Accounting, Group Controller and Assistant Treasurer of FCStone and its subsidiaries.

BRIAN T SEPTON. He was appointed Chief Legal Officer (CLO) and Chief Governance Officer (CGO) of the company on October 5, 2009 following the merger with FCStone after serving in other various roles with the company since joining in December 2004.

TRICIA HARROD. She joined the company in 2009, previously served as its Global Head of Risk and was appointed as Chief Risk Officer (CRO) in October 2015. Prior to joining the company, she spent more than 25 years in Risk Management for the energy industry, including eleven years as Head of Risk Management for GXP Energy (Aquila, Inc.) including responsibilities of Enterprise Risk Management, Middle Office, Insurance, Credit and Market Risk.

PAUL G ANDERSON. Director since 2009. He was appointed as the company's President in 2009 and retired in 2012.

SCOTT J BRANCH. Director since 2002. He served as the company's President from 2013 until his retirement in October 2015.

Prior to May 2013 he served as COO of the company following the merger with FCStone in October 2009.

JOHN M FOWLER. Director since 2005. He has been a private investor, financial consultant and adviser since 1998.

EDWARD J GRZYBOWSKI. Director since 2014. He served as Chief Investment Officer of TIAA-CREF from 2006 to 2012.

DARYL K HENZE. Director since 2009. He is a consultant in the area of finance and accounting.

BRUCE W KREHBIEL. Director since 2009. He is the Manager of Kanza Cooperative Association since 1986.

ERIC PARTHEMORE. Director since 2009. He recently retired as the President and Chief Executive Officer of Heritage Cooperative, Inc.

Operations

01/24/2018

Description:

The company operates as a financial services company. The company's Commercial Hedging segment offers risk management consulting services related to agricultural and energy commodities, and base metal products listed on the London Metals Exchange.

Its Global Payments segment provides payment solutions to banks and commercial businesses; and charities and non-governmental organizations, and government organizations.

The company's Securities segment offers value-added solutions that facilitate cross-border trading in foreign securities, including unlisted American Depositary Receipts, Global Depositary Receipts, and foreign ordinary shares. This segment also operates as an institutional dealer in fixed income securities to serve asset managers, commercial bank trust and investment departments, broker-dealers, and insurance companies. In addition, it originates, structures, and places debt instruments; trades in various international debt instruments; and offers asset management services.

The company's Physical Commodities segment provides a range of trading and hedging services comprising OTC products for selecting producers, consumers, and investors. This segment also offers financing services to commercial commodity-related companies against physical inventories.

The company's Clearing and Execution Services segment offers prime brokerage foreign exchange services for the financial institutions and professional traders; provides a range of OTC products, including 24-hour a day execution of spot, forwards, and options, as well as non-deliverable forwards; and operates a proprietary foreign exchange

desk that arbitrages the exchange-traded foreign exchange markets with the cash markets.

Revenue is derived from realized and unrealized trading income in securities, foreign currencies and commodities purchased or sold for the company's account. Sells to commercial concerns. Territory : International.

Nonseasonal.

Employees: 1,607 which includes officer(s). 5 employed here.

Facilities: Leases premises in a building.

Branches: The business has branch(es) division(s); detailed branch/subsidiary information is available in Dun & Bradstreet's linkage or family tree products.

Subsidiaries: The business has subsidiary(ies); detailed subsidiary information is available in Dun & Bradstreet's linkage or family tree products.

SIC & NAICS

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations than if we use the standard 4-digit code. The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

6211 0000 Security brokers and dealers

6211 0300 Mineral, oil, and gas leasing and royalty dealers

6282 0000 Investment advice

6289 0300 Security and commodity clearinghouses

NAICS:

523120 Securities Brokerage

523930 Investment Advice

523999 Miscellaneous Financial Investment Activities

Payments

PAYDEX®

The D&B PAYDEX® is a unique, dollar weighted indicator of payment performance based on up to 50 payment experiences as reported to D&B by trade references.

3 Month PAYDEX®

72 ▲

When weighted by dollar amount, payments to suppliers average 12 days beyond terms.

Based on payments collected over the last 3 months

24 Month PAYDEX®

76 ▼

When weighted by dollar amount, payments to suppliers average 6 days beyond terms.

Based on payments collected over the last 24 months

When dollar amounts are not considered, then approximately 88 of the company's payments are within terms.

- High risk of late payment (average 30 to 120 days beyond terms)
- Medium risk of late payment (average 30 days or less beyond terms)
- Low risk of late payment (average prompt to 30+ days sooner)

Payments Summary

Total (Last 24 Months): 50

	Total Received	Total Dollar Amount	Largest High Credit Payment summary	Within Terms	Days Slow			
					31	30-80	81-90	90

Top Industries

Misc business credit	8	\$78,250.00	\$25,000.00	100%	0	0	0	0
Telephone communictns	7	\$19,750.00	\$7,500.00	97%	3	0	0	0
Railroad	4	\$25,000.00	\$15,000.00	60%	35	5	0	0
Public finance	4	\$18,500.00	\$7,500.00	100%	0	0	0	0
Short-trm busn credit	3	\$4,003,500.00	\$4,000,000.00	100%	0	0	0	0
Whol grain/field bean	2	\$1,005,000.00	\$1,000,000.00	100%	0	0	0	0
Whol computers/softwr	2	\$207,500.00	\$200,000.00	100%	0	0	0	0
Nonclassified	2	\$20,750.00	\$20,000.00	100%	0	0	0	0
Mfg edible fats/oils	1	\$2,000,000.00	\$2,000,000.00	50%	50	0	0	0
Business consulting	1	\$25,000.00	\$25,000.00	100%	0	0	0	0
Accounting services	1	\$2,500.00	\$2,500.00	100%	0	0	0	0
Misc business service	1	\$2,500.00	\$2,500.00	100%	0	0	0	0
Mfg relays/controls	1	\$2,500.00	\$2,500.00	100%	0	0	0	0
Help supply service	1	\$2,500.00	\$2,500.00	50%	50	0	0	0
Trucking non-local	1	\$750.00	\$750.00	0%	100	0	0	0
Ret stationery	1	\$250.00	\$250.00	100%	0	0	0	0
Whol industrial suppl	1	\$100.00	\$100.00	50%	0	0	0	50
Lithographic printing	1	\$50.00	\$50.00	100%	0	0	0	0
Mfg food preparations	1	\$0.00	\$0.00	0%	0	0	0	0

Other Categories

Cash experiences	6	\$1,200	\$750	--	--	--	--	--
Unknown	1	\$200,000	\$200,000	--	--	--	--	--
Unfavorable comments	0	\$0	\$0	--	--	--	--	--
Placed for collections with D&B:	0	\$0	\$0	--	--	--	--	--
Other	0	N/A	\$0	--	--	--	--	--
Total in D&B's file	50	\$7,615,600	\$4,000,000	--	--	--	--	--

The highest Now Owes on file is \$400,000

The highest Past Due on file is \$400,000

There are 50 payment experience(s) in D&Bs file for the most recent 24 months, with 26 experience(s) reported during the last three month period.

Payments Details

Total (Last 24 Months): 50

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/t (Mo)
02/2018	Ppt	--	\$0	\$0	--	6-12 mos
02/2018	Ppt	--	\$750	\$0	--	1 mo

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo.)
02/2018	Ppt	--	\$0	\$0	--	6-12 mos
02/2018	Ppt	--	\$35,000	\$0	--	1 mo
02/2018	Ppt	--	\$0	\$0	--	6-12 mos
02/2018	Ppt	\$200,000	\$70,000	\$0	N30	1 mo
02/2018	Ppt	\$25,000	\$25,000	\$0	--	1 mo
02/2018	Ppt	\$25,000	\$25,000	\$0	--	1 mo
02/2018	Ppt	\$20,000	\$20,000	\$0	--	1 mo
02/2018	Ppt	\$15,000	\$0	\$0	--	6-12 mos
02/2018	Ppt	\$15,000	\$15,000	\$0	--	1 mo
02/2018	Ppt	\$7,500	\$7,500	\$0	N30	1 mo
02/2018	Ppt	\$7,500	\$7,500	\$0	--	1 mo
02/2018	Ppt	\$5,000	\$0	\$0	--	4-5 mos
02/2018	Ppt	\$5,000	\$5,000	\$0	--	1 mo
02/2018	Ppt	\$2,500	\$0	\$0	--	6-12 mos
02/2018	Ppt	\$2,500	\$0	\$0	--	6-12 mos
02/2018	Ppt	\$1,000	\$0	\$0	--	1 mo
02/2018	Ppt	\$750	\$0	\$0	--	4-5 mos
02/2018	Ppt	\$500	\$0	\$0	--	1 mo
02/2018	Ppt	\$250	\$0	\$0	--	1 mo
02/2018	Ppt	\$250	\$250	\$0	N30	1 mo
02/2018	Ppt-Slow 30	\$2,000,000	\$400,000	\$200,000	--	1 mo
02/2018	(024)	\$750	\$0	\$0	Cash account	1 mo
01/2018	Ppt	\$1,000,000	\$400,000	\$400,000	--	1 mo
01/2018	(026)	--	\$0	\$0	Cash account	6-12 mos
11/2017	Ppt	\$2,500	\$0	\$0	--	6-12 mos
11/2017	Ppt-Slow 30	\$2,500	\$0	\$0	N30	6-12 mos
11/2017	Slow 15	\$2,500	\$1,000	\$1,000	--	--
11/2017	Slow 15-45	\$2,500	\$1,000	\$1,000	--	6-12 mos
10/2017	(031)	\$250	--	--	Cash account	1 mo
07/2017	Ppt	\$7,500	--	--	--	1 mo
06/2017	Ppt	\$5,000	\$1,000	\$0	--	1 mo
06/2017	Ppt	\$2,500	\$0	\$0	--	4-5 mos
06/2017	Slow 30	\$500	\$500	\$500	N30	--
05/2017	Ppt	\$50	--	--	--	1 mo
05/2017	Ppt-Slow 180	\$100	\$0	\$0	--	6-12 mos
04/2017	Ppt	\$1,000	\$0	\$0	--	6-12 mos
04/2017	(039)	\$100	--	--	Cash account	1 mo
04/2017	(040)	\$50	--	--	Cash account	1 mo

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo.)
12/2016	Ppt	\$4,000,000	\$0	\$0	--	6-12 mos
12/2016	Ppt	--	\$0	\$0	--	6-12 mos
10/2016	Slow 15	\$750	\$750	\$750	--	--
10/2016	(044)	\$50	--	--	Cash account	1 mo
09/2016	Slow 30	\$5,000	\$0	\$0	--	6-12 mos
07/2016	Ppt	\$5,000	--	--	--	1 mo
07/2016	Ppt	\$5,000	--	--	--	1 mo
07/2016	Ppt	\$1,000	--	--	--	1 mo
06/2016	Ppt	\$2,500	\$0	\$0	--	6-12 mos
03/2016	(050)	\$200,000	\$0	\$0	--	6-12 mos

Payments Detail Key: ■ 30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Banking and Finance

Statement Update

Key Business Ratios from D&B

Invalid License (800). Please contact SoftwareFX Support

- This Company

Key Financial Comparisons

	(\$)	(\$)	(\$)
This Company's Operating Results Year Over Year			
Net Sales	NA	NA	NA
Gross Profit	NA	NA	NA
Net Profit	NA	NA	NA
Dividends / Withdrawals	NA	NA	NA
Working Capital	NA	NA	NA
This Company's Assets Year Over Year			
Cash	NA	NA	NA
Accounts Receivable	NA	NA	NA
Notes Receivable	NA	NA	NA
Inventories	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets	NA	NA	NA
Other Non Current	NA	NA	NA
Total Assets	NA	NA	NA
This Company's Liabilities Year Over Year			
Accounts Payable	NA	NA	NA
Bank Loan	NA	NA	NA
Notes Payable	NA	NA	NA
Other Current Liabilities	NA	NA	NA
Total Current Liabilities	NA	NA	NA
Other Long Term and Short Term Liabilities	NA	NA	NA
Deferred Credit	NA	NA	NA
Net Worth	NA	NA	NA
Total Liabilities and Net Worth	NA	NA	NA

Assets(\$)		Liabilities(\$)	
Cash	321800000	Accts Pay	111500000
Accts Rec	254400000	Payables To Customers	3063800000
Inventory	244700000	Taxes	7900000
Notes Rec	10600000	Pay-Broker-Dealers/Clearing Orgs	197100000
Cash/Sec & Other Assets Segregated	464400000	Payables To Lenders Under Loans	422900000
Deposits With & Rec-Broker-Dealers	2628200000		
Income Taxes Receivable	500000		
Deferred Income Taxes-Net	22900000	Current Liabs	
Current Assets		Total Collateralized Transactions	1759200000
Fixt & Equip	40300000	Financial Instruments Sold	803300000
Goodwill & Intangible Assets-Net	57600000	COMMON STOCK	200000
Investments-Other	2711100000	ADDIT. PD.-IN CAP	261400000
Other Assets	52400000	TREASURY STOCK	-46300000
		RETAINED EARNINGS	254600000
		ACCUM OTHER COMPREHENSIVE LOSS-NET	-26700000
		Total Liabilities	6,808,900,000.00

Total Assets 6,808,900,000.00

From OCT 01 2017 to DEC 31 2017 sales \$7,918,600,000; cost of goods sold \$7,706,000,000. Gross profit \$212,600,000; operating expenses \$68,000,000. Operating income \$144,600,000; other expenses \$126,000,000; net income before taxes \$18,600,000; Federal income tax \$25,500,000; (net loss) \$6,900,000.

Statement obtained from Securities And Exchange Commission. Prepared from books without audit.

A review of the accountant's opinion indicated that the financial statement meets generally accepted accounting principles and the audit contains no qualifications.

Explanations

Explanations: The net worth of this company includes intangibles.

Key Business Ratios

	This Company	Industry Median	Industry Quartile
Solvency			
Quick Ratio	NA	NA	NA
Current Ratio	NA	NA	NA
Current Liabilities to Net Worth	NA	NA	NA
Current Liabilities to Inventory	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets to Net Worth	NA	NA	NA
Efficiency			
Collection Period	NA	NA	NA
Inventory Turn Over	NA	NA	NA
Sales to NWC	NA	NA	NA
Acct Pay to Sales	NA	NA	NA
Profitability			
Return on Sales	NA	NA	NA
Return on Assets	NA	NA	NA
Return on NetWorth	NA	NA	NA

Public Filings

Summary

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	0	-
Judgments	0	-
Liens	1	03/05/13
Suits	0	-
UCCs	19	05/01/17

The following Public Filing data is for information purposes only and is not the official record.
Certified copies can only be obtained from the official source.

Judgments

We currently don't have enough data to display this section

Liens

A lien holder can file the same lien in more than one filing location. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurrence..

Amount: 332
Status: Open
FILING NO. 2013R02946
Type State: State Tax

Filed By: KS DEPT OF LABOR
Against: INTL FCSTONE INC
Where Filed: WYANDOTTE COUNTY REGISTER OF DEEDS, KANSAS CITY, KS
Date Status Attained: 03/05/13
Date Filed: 03/05/13
Latest Info Received: 04/09/13

Suits

We currently don't have enough data to display this section

UCC Filings

Collateral: All Assets
Type: Original
Sec.Party: BANK OF AMERICA, N.A., AS ADMINISTRATIVE AGENT, DALLAS, TX
Debtor: INTL FCSTONE INC.
Filing No.: 2013 3675932
Filed With: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed: 09/20/13
Latest Info Received: 10/18/13

Collateral: Negotiable instruments and proceeds - Assets and proceeds - Account(s)
and proceeds - General intangibles(s) and proceeds - and OTHERS
Type: Original
Sec.Party: LME CLEAR LIMITED, LONDON
Debtor: INTL FCSTONE LTD
Filing No.: 2016125760
Filed With: FINANCE & REVENUE DEPT, WASHINGTON, DC
Date Filed: 12/05/16
Latest Info Received: 12/27/16

Collateral: Negotiable instruments and proceeds - Assets and proceeds - Account(s)
and proceeds - General intangibles(s) and proceeds - and OTHERS
Type: Original
Sec.Party: LME CLEAR LIMITED, LONDON
Debtor: INTL FCSTONE LTD
Filing No.: 1612050573471
Filed With: SECRETARY OF STATE/UCC DIVISION, ALBANY, NY
Date Filed: 12/05/16
Latest Info Received: 12/23/16

Collateral: Negotiable instruments
Type: Amendment
Sec.Party: INTERNATIONAL ASSETS HOLDING CORPORATION
Debtor: INTERNATIONAL ASSETS HOLDING CORPORATION
Filing No.: 2009 4172851
Filed With: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed: 12/17/09
Latest Info Received: 01/27/10
Original Filing No.: 2007 2919594
Original UCC Filed Date: 07/31/07

Collateral: Assets and proceeds - Business machinery/equipment and proceeds - Equipment and proceeds - Computer equipment and proceeds
Type: Original
Sec.Party: ACCESS SYSTEMS, INC., WAYNE, PA
Debtor: INTL FCSTONE INC., KANSAS CITY, MO
Filing No.: 2017 1153250
Filed With: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed: 02/20/17
Latest Info Received: 04/04/17

Collateral: Leased Assets and proceeds - Leased Computer equipment and proceeds - Leased Equipment and proceeds
Type: Amendment
Sec.Party: ACCESS SYSTEMS, INC., WAYNE, PA
Debtor: INTL FCSTONE INC., KANSAS CITY, MO
Filing No.: 2017 2862537
Filed With: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed: 05/01/17
Latest Info Received: 07/07/17
Original Filing No.: 2017 1153250
Original UCC Filed Date: 02/20/17

Collateral: Account(s) and proceeds - Leased Equipment and proceeds - Leased Computer equipment and proceeds
Type: Original
Sec.Party: DELL FINANCIAL SERVICES L.P., AUSTIN, TX
Debtor: INTL ASSETS, INC.
Filing No.: 200706357211
Filed With: SECRETARY OF STATE/UCC DIVISION, TALLAHASSEE, FL
Date Filed: 08/22/07
Latest Info Received: 09/12/07

Collateral: Leased Assets and proceeds - Leased Computer equipment and proceeds - Leased Business machinery/equipment and proceeds
Type: Original
Sec.Party: ACCESS SYSTEMS, INC., WAYNE, PA
Debtor: INTL FCSTONE INC.
Filing No.: 2016 1147634
Filed With: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed: 02/25/16
Latest Info Received: 03/18/16

Collateral: Computer equipment including proceeds and products
Type: Original
Sec.Party: ACCESS SYSTEMS LEASING, CEDAR RAPIDS, IA
Debtor: INTL FCSTONE INC.
Filing No.: 2012 0698433
Filed With: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed: 02/22/12
Latest Info Received: 03/15/12

Collateral: Computer equipment including proceeds and products
Type: Original
Sec.Party: ACCESS SYSTEMS LEASING, CEDAR RAPIDS, IA
Debtor: INTL FCSTONE INC.
Filing No.: 2011 3417642
Filed With: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed: 09/06/11
Latest Info Received: 09/29/11

Collateral: Business machinery/equipment and proceeds - Leased Computer equipment and proceeds
Type: Original
Sec.Party: DE LAGE LANDEN FINANCIAL SERVICES, INC., WAYNE, PA
Debtor: INTL FCSTONE INC.
Filing No.: 2012 4473049
Filed With: SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed: 11/19/12
Latest Info Received: 12/20/12

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

Government Activity

Summary

Borrower (Dir/Guar): NO
Administrative Debt: NO
Contractor: NO
Grantee: NO
Party excluded from federal program(s): NO
Possible Candidate:
Labor Surplus Area: N/A
Small Business: N/A
8(A) Firm: N/A

The public record items contained herein may have been paid, terminated, vacated, or released prior to today's date

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

Special Events

02/09/2018

EARNINGS UPDATE:

According to published reports, comparative operating results for the 3 months ended December 31, 2017: Revenue of \$7,918,600,000, Net Income of (\$6,900,000); compared to Revenue of \$6,074,400,000, Net Income of \$6,300,000 for the comparable period in the prior year.

Corporate Linkage

Parent

Company Name	DUNS #	City, State
INTL FCSTONE INC.	62-079-3877	NEW YORK, NEW YORK

Headquarters (US)

Company Name	DUNS #	City, State
FCSTONE GROUP, INC.	09-802-6008	KANSAS CITY, MISSOURI
INTL TRADING, INC	09-032-4539	WINTER PARK, FLORIDA
INTL COMMODITIES INC.	55-633-8601	NEW YORK, NEW YORK
INTL FCSTONE FINANCIAL INC.	07-988-4994	WINTER PARK, FLORIDA
INTL FCSTONE INC.	62-079-3877	NEW YORK, NEW YORK

US Linkages

Company Name	DUNS #	City, State
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Subsidiaries

WESTOWN COMMODITIES LLC	07-952-9243	WEST DES MOINES, IOWA
F C C TRANSPORTATION INC	00-355-5567	WEST DES MOINES, IOWA
COFFEE NETWORK, L.L.C.	12-967-2205	MIAMI, FLORIDA
FCSTONE CARBON, LLC	83-253-3892	WEST DES MOINES, IOWA
HANLEY GROUP, L.P.	18-527-5356	CHICAGO, ILLINOIS
FCSTONE MERCHANT SERVICES, LLC	83-254-3859	WEST DES MOINES, IOWA
HCENERGY, LLC	96-866-1012	NEW YORK, NEW YORK
FCSTONE TRADING, LLC	10-132-5160	KANSAS CITY, MISSOURI
FORWARD INSIGHT COMMODITIES, LLC	07-857-8279	NEW YORK, NEW YORK
FCSTONE FOREX LLC	83-221-2992	CHICAGO, ILLINOIS
TRADEWIRE SECURITIES LLC	00-577-4704	CORAL GABLES, FLORIDA
INTL FCSTONE MARKETS, LLC	00-556-0467	KANSAS CITY, MISSOURI
FCSTONE MERCHANT SERVICES LLC	02-096-8997	KANSAS CITY, MISSOURI
HENCORP BECSTONE FUTURES, L.C.	96-500-4455	MIAMI, FLORIDA

Branches

INTL FCSTONE INC.	94-710-9117	BOWLING GREEN, OHIO
INTL TRADING, INC	17-212-1910	NEW YORK, NEW YORK
INTL FCSTONE INC.	04-531-6954	CHICAGO, ILLINOIS
INTL FCSTONE FINANCIAL INC.	79-890-8245	BOSTON, MASSACHUSETTS
INTL FCSTONE FINANCIAL INC.	19-581-9474	MACON, GEORGIA
INTL FCSTONE FINANCIAL INC.	19-581-9508	GOLDSBORO, NORTH CAROLINA
INTL FCSTONE INC.	04-596-9128	OMAHA, NEBRASKA
INTL FCSTONE FINANCIAL INC.	78-322-8729	SAVANNAH, GEORGIA
INTL FCSTONE FINANCIAL INC.	82-816-7424	GREENVILLE, SOUTH CAROLINA
INTL FCSTONE FINANCIAL INC.	03-403-9222	MOBILE, ALABAMA

Company Name	DUNS #	City, State
INTL FCSTONE INC.	07-984-3435	PLYMOUTH, MINNESOTA
INTL FCSTONE FINANCIAL INC.	96-320-8228	MOUNT PLEASANT, SOUTH CAROLINA
FCSTONE GROUP, INC.	05-264-0195	BLOOMINGTON, ILLINOIS
INTL FCSTONE INC.	03-545-8524	LAWRENCE, KANSAS
INTL FCSTONE FINANCIAL INC.	15-111-6915	NASHVILLE, TENNESSEE
INTL FCSTONE INC.	80-402-8228	ZIONSVILLE, INDIANA
FCSTONE GROUP, INC.	95-799-1003	MINNEAPOLIS, MINNESOTA
INTL FCSTONE FINANCIAL INC.	13-708-8345	AUSTIN, TEXAS
INTL FCSTONE FINANCIAL INC.	01-654-9920	LITTLE ROCK, ARKANSAS
INTL FCSTONE INC.	10-876-3147	EDGEWOOD, KENTUCKY
INTL FCSTONE INC.	08-059-0323	KANSAS CITY, MISSOURI
INTL FCSTONE FINANCIAL INC.	07-593-1936	NEW YORK, NEW YORK
INTL FCSTONE FINANCIAL INC.	00-184-5465	NEW ORLEANS, LOUISIANA
INTL FCSTONE FINANCIAL INC.	14-282-3827	LOUISVILLE, KENTUCKY
INTL FCSTONE INC.	13-439-2674	NEW YORK, NEW YORK
INTL FCSTONE FINANCIAL INC.	17-135-7312	MONTGOMERY, ALABAMA
FCSTONE GROUP, INC.	15-562-6430	STRATFORD, IOWA
INTL COMMODITIES INC.	04-098-2755	CHESTERFIELD, MISSOURI
INTL FCSTONE FINANCIAL INC.	83-256-1158	WEST DES MOINES, IOWA
INTL FCSTONE INC.	01-505-4128	NASHVILLE, TENNESSEE
INTL FCSTONE INC.	04-095-3078	CHESTERFIELD, MISSOURI
INTL FCSTONE FINANCIAL INC.	83-633-9499	ATLANTA, GEORGIA
FCSTONE GROUP, INC.	83-634-1305	CHICAGO, ILLINOIS
FCSTONE GROUP, INC.	01-706-1925	ROY, UTAH
FCSTONE GROUP INC	00-190-8412	ROY, UTAH
INTL FCSTONE FINANCIAL INC.	08-002-4782	NEW YORK, NEW YORK
INTL FCSTONE FINANCIAL INC.	07-845-2519	CHICAGO, ILLINOIS
INTL FCSTONE INC.	82-762-4268	MINNEAPOLIS, MINNESOTA
FCSTONE GROUP, INC	01-307-4179	BROOKINGS, SOUTH DAKOTA
FCSTONE GROUP, INC	02-249-0176	CHAMPAIGN, ILLINOIS
INTL FCSTONE FINANCIAL INC.	96-937-2572	BATON ROUGE, LOUISIANA
INTL FCSTONE INC.	07-850-8393	MIAMI, FLORIDA

International Linkages

Company Name	DUNS #	Country	City, State / Province
Headquarters			
INTL NETHERLANDS B.V.	41-301-6320	NETHERLANDS	AMSTERDAM, NOORD-HOLLAND
INTL FCSTONE LTD	34-816-9116	ENGLAND	LONDON, LONDON
INTL CAPITAL S.A.	97-806-5956	ARGENTINA	CIUDAD DE BUENOS AIRES,
FCSTONE DO BRASIL CONSULTORIA EM FUTUROS E COMMODITIES LTDA	89-814-6256	BRAZIL	CAMPINAS, SAO PAULO
INTL FCSTONE (NETHERLANDS) B.V.	49-027-3037	NETHERLANDS	AMSTERDAM, NOORD-HOLLAND
Subsidiaries			
INTL FCSTONE PTY LTD	75-268-1684	AUSTRALIA	SYDNEY, NEW SOUTH WALES
INTL ASIA PTE. LTD.	93-686-6482	SINGAPORE	SINGAPORE,
INTL FCSTONE LIMITED	98-557-7083	IRELAND	DUBLIN, CO DUBLIN
INTL GAINVEST CAPITAL URUGUAY S.A.	96-602-7419	URUGUAY	MONTEVIDEO, MONTEVIDEO
GAINVEST S.A. SOCIEDAD GERENTE DE FONDOS COMUNES DE INVERSION	97-529-3635	ARGENTINA	CIUDAD DE BUENOS AIRES,
INTL FCSTONE LTD	43-543-4842	ITALY	MILANO, MILANO
FCSTONE PARAGUAY S.R.L.	95-506-4774	PARAGUAY	ASUNCION, CENTRAL
INTL COMMODITIES, INC.	52-305-3858	ENGLAND	LONDON, LONDON
INTL FCSTONE PTE. LTD.	59-538-4975	SINGAPORE	SINGAPORE,
GAINVEST DO BRASIL ASSET MANAGEMENT LTDA	67-926-3400	BRAZIL	SAO PAULO, SAO PAULO
INTL CIBSA S.A.	97-176-0525	ARGENTINA	CIUDAD DE BUENOS AIRES,
Branches			
FCSTONE DO BRASIL CONSULTORIA EM FUTUROS E COMMODITIES LTD	94-429-8149	BRAZIL	SORRISO, MATO GROSSO
FCSTONE DO BRASIL CONSULTORIA EM FUTUROS E COMMODITIES LTDA	90-397-9382	BRAZIL	GOIANIA, GOIAS
FCSTONE DO BRASIL CONSULTORIA EM FUTUROS E COMMODITIES LTDA	89-814-6257	BRAZIL	SAO PAULO, SAO PAULO
FCSTONE DO BRASIL CONSULTORIA EM FUTUROS E COMMODITIES LTDA	89-814-6259	BRAZIL	MARINGA, PARANA
FCSTONE DO BRASIL CONSULTORIA EM FUTUROS E COMMODITIES LTDA	89-814-6260	BRAZIL	PORTO ALEGRE, RIO GRANDE DO SUL
FCSTONE DO BRASIL CONSULTORIA EM FUTUROS E COMMODITIES LTDA	89-814-6262	BRAZIL	RECIFE, PERNAMBUCO