



PUCO USE ONLY – Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		14 - 0639 - GA-AGG

## RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

**A-1 Applicant intends to renew its certificate as: (check all that apply)**

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

**A-2 Applicant information:**

Legal Name Daybreak Energy LLC  
Address 11096 Bandy Road, Alliance, OH 44601  
Telephone No. 330-584-2006 Web site Address www.daybreakenergy.net  
Current PUCO Certificate No. 14-352G(2) Effective Dates 5/15/2016 - 5/15/2018

**A-3 Applicant information under which applicant will do business in Ohio:**

Name Daybreak Energy LLC  
Address 11096 Bandy Road, Alliance, OH 44601  
Web site Address www.daybreakenergy.net Telephone No. 330-584-2006

**A-4 List all names under which the applicant does business in North America:**

**A-5 Contact person for regulatory or emergency matters:**

Name Thomas McCune Title President  
Business Address 11096 Bandy Road, Alliance, OH 44601  
Telephone No. 330-584-2006 Fax No. 330-754-0098 Email Address tmccune@daybreakenergy.net



**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name **Thomas McCune** Title **President**  
Business address **11096 Bandy Road, Alliance, OH 44601**  
Telephone No. **330-584-2006** Fax No. **330-754-0098** Email Address **tmccune@daybreakenergy.net**

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address **11096 Bandy Road, Alliance, OH 44601**  
Toll-Free Telephone No. **833-228-7787** Fax No. **330-754-0098** Email Address **tmccune@daybreakenergy.net**

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name **Thomas McCune** Title **President**  
Business address **11096 Bandy Road, Alliance, OH 44601**  
Telephone No. **330-584-2006** Fax No. **330-754-0098** Email Address **tmccune@daybreakenergy.net**

**A-9 Applicant's federal employer identification number** **46-5327662**

**A-10 Applicant's form of ownership: (Check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other                                      |

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)**



<input type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

**A-12** If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

**A-13** If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:



<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date	
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

**A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

**A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.

**A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*

**A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

## SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

**B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

**B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

**B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

**B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational



status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.  
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).



**C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

**C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

**C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

**C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.



- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

## SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

*Thomas Miller* President

Sworn and subscribed before me this

*4th*

day of

*April*

Month

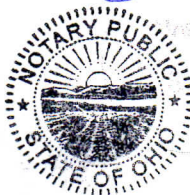
*2018*

Year

Signature of official administering oath

Print Name and Title

*Jennifer R. Ling*



JENNIFER R. LING  
Notary Public, State of Ohio  
My Comm. Expires May 09, 2021  
Recorded in Columbiana County

My commission expires on

*May 09, 2021*





# The Public Utilities Commission of Ohio

## Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In the Matter of the Application of )

Daybreak Energy LLC )

for a Certificate or Renewal Certificate to Provide )

Competitive Retail Natural Gas Service in Ohio. )

Case No.

14

0639

-GA-AGG

County of Mahoning

State of Ohio

Thomas McCune

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Thomas McCune President

Sworn and subscribed before me this

9th

day of

April

Month

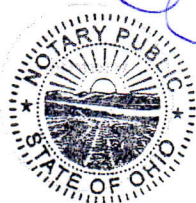
2018

Year

Signature of Official Administering Oath

Print Name and Title

Jennifer R. Ling, Notary Public



JENNIFER R. LING  
Notary Public, State of Ohio  
My Comm. Expires May 09, 2021

My commission expires on

May 09, 2021

Recorded in Columbus, Ohio (CNGS) Broker/Aggregator Renewal - Version 1.08, Revised May 2016)

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#### **Exhibit A-14 Principle Officers Directors and Partners**

Thomas McCune Member, President  
11096 Bandy Road  
Alliance, OH 44601  
330-584-2006

#### **Exhibit A-15 Company History**

Daybreak Energy LLC began operation in 2014. The company was created to leverage Thomas McCune's 8 years of experience as a business to business Commercial & Industrial account manager for 3 of Ohio's energy marketers, Direct Energy, Delta Energy and Hess Corporation. Since its inception in May of 2014, Daybreak Energy has successfully worked as a broker of natural gas and electricity in the Ohio market.

#### **A-16 Articles of Incorporation and Bylaws**

The contents of the originally filed documents have not changed since the initial application.

#### **Exhibit A-17 Secretary of State**

See attached certificate of good standing provided by the Secretary of State, registration # 2281811.



UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show DAYBREAK ENERGY LLC, an Ohio For Profit Limited Liability Company, Registration Number 2281811, was organized within the State of Ohio on March 27, 2014, is currently in FULL FORCE AND EFFECT upon the records of this office.*



*Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 5th day of April, A.D. 2018.*

*Jon Husted*

Ohio Secretary of State

Validation Number: 201809502324



### **Exhibit B-1 Jurisdiction of Operation**

Daybreak Energy LLC offers natural gas and electricity brokering/sales in the state of Ohio only.

### **Exhibit B-2 Experience & Plans**

Thomas McCune, owner of Daybreak Energy LLC began working in Ohio's deregulated natural gas market in January of 2006. For a span of more than 8 years Mr. McCune worked as an account representative for 3 major energy marketers. During that time, Mr. McCune established a presence in the marketplace and developed more than 150 relationships with small business, commercial and industrial customers.

Since starting Daybreak Energy LLC, Mr. McCune has successfully leveraged his existing relationships and extensive knowledge of the territory to provide energy expertise and brokerage services from natural gas and electricity suppliers to the business community. As Daybreak Energy LLC's business grows through referrals and sales efforts, sales agents may be added as needed. Daybreak Energy does not take title to the natural gas, but simply provides energy consulting and brokering services. All contracts signed by the customer are from the certified supplier directly and not Daybreak Energy LLC. All contracted services and billing are provided by the natural gas supplier. Daybreak Energy does, however, maintain an office and toll free phone line to respond to customer inquiries, concerns or complaints, in conjunction with the certified supplier with whom the customer has contracted for natural gas service. Daybreak Energy LLC plans to continue to operate the manner described above.

### **Exhibit B-3 Summary of Experience**

Thomas McCune, owner of Daybreak Energy LLC, worked as an account manager for Direct Energy Business from January 2006 to July 2008. In this role Mr. McCune marketed natural gas services in seven counties in northeast Ohio. Mr. McCune called on small business, commercial and industrial accounts who consume 500 to 50,000 dekatherms of natural gas per year. During this time Mr. McCune worked behind both Dominion East Ohio and Columbia Gas of Ohio utilities, affording him the opportunity to become adept with the Customer Choice and General Transportation Service programs of both utilities. Mr. McCune assessed individual prospects' specific needs and produced customized price quotes based on their usage and load shape. Activities included calling on energy procurement decision makers, door to door prospecting, phone prospecting, trade shows and mailings. From September 2008 to November 2012, Mr. McCune assumed a similar role at Delta Energy in the same geographic territory, pursuing the same target prospects. In late 2012, Delta Energy was acquired by Hess Corporation and Mr. McCune continued a similar role with Hess as he had been in with Delta Energy. At the end of his tenure with Hess, Mr. McCune had an active book of 125 customers with an aggregate annual volume in excess of 365,000 Dth. In May of 2014, Mr. McCune started Daybreak Energy LLC, and has successfully maintained the bulk of his customer base and steadily grown the business. Daybreak Energy LLC has entered in to agreements with multiple certified suppliers of natural gas and electricity to broker their products in the marketplace.

### **Exhibit B-4 Disclosures of Liabilities and Investigations**

There are no existing, pending or past rulings that could adversely impact Daybreak Energy LLC's ability to provide our services.



### **Exhibit C1 Annual Reports**

Daybreak Energy LLC is a privately held company and is not required to prepare annual reports.

### **Exhibit C-2 SEC Filings**

Daybreak Energy LLC is not required to file with the SEC since it is not a publically traded company.

### **Exhibit C-3 Financial Statements**

#### **Daybreak Energy LLC**

#### **Financial Statements**

The financial information below was prepared and is certified by Thomas McCune, President of Daybreak Energy LLC, 11096 Bandy Road, Alliance, OH 44601. Email:tmccune@DaybreakEnergy.net

<b>CASH FLOW</b>	<b>2016</b>	<b>2017</b>
COMMISSIONS/BROKER FEES		
Natural Gas	\$49,473.00	\$45,957.00
<b>TOTAL CASH RECEIPTS</b>	<b>\$49,473.00</b>	<b>\$45,957.00</b>
<b>EXPENSES</b>		
Automobile	\$927.00	\$1248.00
Regulatory Fees	\$100.00	\$100.00
Utilities	\$216.00	\$275.00
Telephone/Fax/Internet	\$3018.00	\$2951.00
Meals & Entertainment Expense	\$106.00	\$107.00
Advertising & Promotional Materials	\$263.00	\$238.00
Website & Maintenance	\$113.00	\$103.00
Legal Services & Insurance	419.00	381.00
Professional Services	\$189.00	\$172.00
Supplies	\$116.00	\$58.00
Postage & Mailings	\$108.00	\$74.00
<b>TOTAL EXPENSES</b>	<b>\$5,575.00</b>	<b>\$5,707.00</b>
<b>NET PROFIT/LOSS</b>	<b>\$43,898.00</b>	<b>\$40250.00</b>

### **Exhibit C-4 Financial Arrangements**

N/A



### **Exhibit C-5 Forecasted Financial Statements**

#### **Daybreak Energy LLC**

#### **Forecasted Financial Statements**

The forecasted financial information below was prepared and is certified by Thomas McCune, President,  
11096 Bandy Road, Alliance, OH 44601. Email:tmccune@DaybreakEnergy.net , Ph. 330-584-2006

<b>CASH FLOW</b>	<b>2019</b>	<b>2020</b>
COMMISSIONS/BROKER FEES	\$47,000.00	\$48,000.00
Natural Gas		
<b>TOTAL CASH RECEIPTS</b>	\$47,000.00	\$48,000.00
<b>EXPENSES</b>		
Automobile	\$1,800.00	\$2,000.00
Regulatory Fees	\$100.00	\$100.00
Utilities	\$285.00	\$300.00
Telephone/Fax/Internet	\$2,900.00	\$3,000.00
Meals & Entertainment Expense	\$150.00	\$175.00
Advertising & Promotional Materials	\$265.00	\$300.00
Website & Maintenance	\$100.00	\$100.00
Legal Services & Insurance	375.00	\$375.00
Professional Services	\$165.00	\$175.00
Supplies	\$115.00	\$125.00
Postage & Mailings	\$125.00	\$145.00
<b>TOTAL EXPENSES</b>	\$6,380.00	\$6,795.00
<b>NET PROFIT/LOSS</b>	\$40,620.00	\$41,205.00

### **Exhibit C-6 Credit Rating**

N/A

### **Exhibit C-7 Credit Report**

See attached credit report.

Apr 6, 2018 - Report + FICO® Score + added versions



Experian®

Equifax®

TransUnion®

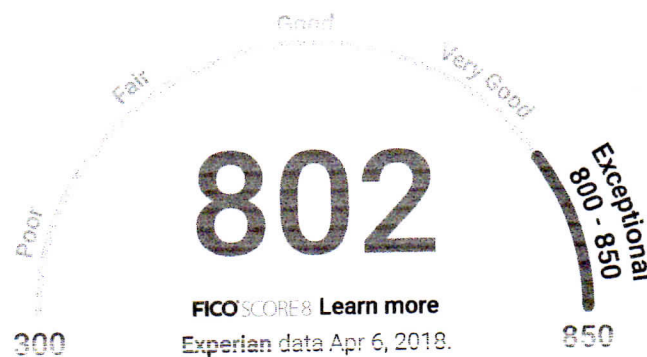
Your Next 3 Bureau Credit Report and **FICO® Scores** is now available [Refresh Now ▶](#)

## Summary



Your summary includes the information that identifies this Report as yours, as well as summaries of the other sections of the Report.

[Learn More](#)



## Get rewarded for your 802 credit score.

Use our credit card guide to find a rewards credit card that makes the most out of your everyday spending.

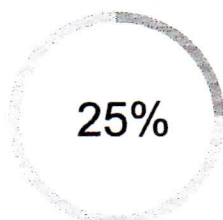
[Launch guide](#)



## Accounts Summary

Open Credit Cards	7
Open Retail Cards	0
Open Real Estate Loans	0
Open Installment Loans	1
Total Open Accounts	9
Accounts Ever Late	0
Collections Accounts	0
Time Since Negative	Never
Average Account Age	12 Years 5 Months
Oldest Account	25 Years 4 Months

## My Overall Credit Usage

**Credit Debt**

\$35,617

**Total Credit**

\$140,300

## My Debt Summary

Credit and Retail Card Debt

**\$35,617**

Real Estate Debt	\$0
Installment Loans Debt	\$3,144
Collections Debt	\$0
Total Debt	\$38,761

## Inquiries 0

## Public Records 0

## Personal Information

Name

THOMAS S MCCUNE

Also Known As

TOM MCCUNE

S MCCUNE THOMAS

THOMAS M CUNE

Birth Year

1954

Address(es)

11096 BANDY RD

ALLIANCE, OH 44601-9211

3433 KALURAH ST NE

CANTON, OH 44721-2222

1002 EAGLE DR #APT 212

AKRON, OH 44312-5842



Employer(s)

**SELF EMPLOYED**

ZAPAS NACK PIZZA (Past)

Currently Daybreak Energy LLC

Statements

**Personal Statement(s)**

No Statement(s) present at this time

## Is everything correct?

Be sure to review your Credit Report for any unfamiliar information. If you find inaccurate information, you can either dispute the information online or via postal mail.

**Visit Dispute Center**

Accounts



### **Exhibit C-8 Bankruptcy Information**

Daybreak Energy LLC has not been through any type of reorganization nor has it ever filed for bankruptcy protection.

### **Exhibit C-9 Merger Information**

Not Applicable

### **Exhibit C-10 Corporate Structure**

Daybreak Energy LLC is a stand-alone entity with no affiliate or subsidiary companies.

### **Exhibit D-1 Operations**

Daybreak Energy LLC provides brokerage services, energy consulting, planning and management services. Our target market is small business, commercial and industrial customers in Ohio. We do not take title to natural gas prior to consumption by the end user. The natural gas supplier is responsible for nominating, scheduling and billing the customer.

### **Exhibit D-2 Operations Expertise**

Thomas McCune, owner of Daybreak Energy LLC has over 12 years of experience as a sales professional in Ohio's deregulated energy markets. During that time Mr. McCune has employed expertise in a consultative, solutions oriented approach to business, resulting in a steadily growing base of long term client relationships. Mr. McCune has focused on strategies and products that most benefit the customer and optimize their energy spend. In addition, Mr. McCune has developed a wealth of knowledge of the Ohio energy market, having been directly involved with 3 energy suppliers, running his own company which brokers for multiple certified suppliers and having worked behind several utilities. He has developed numerous relationships with energy professionals throughout the state.

Through the years, Mr. McCune has attended numerous sales and management training seminars as well as intensive training workshops specific to the Ohio energy market and Customer Choice programs. He is a sales professional committed to high standards of integrity and delivering quality and value in business transactions.

### **Exhibit D-3 Key Technical Personnel**

Thomas McCune, Member, Owner, President, Director of Sales & Marketing  
11096 Bandy Road  
Alliance, OH 44601  
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