

FILE



Original AGG Case Number	Version
16-0844-EL-AGG	May 2016

RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☒ Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Evolution Energy Partners, LLC
 Address 102 Pickering Way, Suite 508, Exton, PA 19341
 PUCO Certificate # and Date Certified 16-0844-GA-AGG Dated: 5/20/2016
 Telephone # (610) 329-8288 Web site address (if any) www.evolutionep.com

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Evolution Energy Partners LLC
 Address 102 Pickering Way, Suite 508, Exton, PA 19341
 Telephone # (610) 329-8288 Web site address (if any) www.evolutionep.com

A-4 List all names under which the applicant does business in North America

Evolution Energy Partners LLC Energy Evolution Partners LLC (Te)

A-5 Contact person for regulatory or emergency matters

Name Charles J. Hurchalla
 Title President and Managing Member
 Business address 102 Pickering Way, Suite 508, Exton, PA 19431
 Telephone # (610) 329-8288 Fax # (484) 713-5105
 E-mail address churchalla@evolutionep.com

PUCO

2018 APR -6 AM 11:50

RECEIVED PUBLIC UTILITIES COMMISSION

A-6 Contact person for Commission Staff use in investigating customer complaints

Name Charles J. Hurchalla
Title President and Managing Member
Business address 102 Pickering Way, Suite 508, Exton, PA 19341
Telephone # (610) 329-8288 Fax # (484) 713-5105
E-mail address churchalla@evolutionep.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 102 Pickering Way, Suite 508, Exton, PA 19341
Toll-free Telephone # (877) 280-4655 Fax # (484) 713-5105
E-mail address churchalla@evolutionep.com

A-8 Applicant's federal employer identification number # 47-2613026

A-9 Applicant's form of ownership (check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-10 Exhibit A -10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C - 10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

 *MANAONE member*
Signature of Applicant & Title

Sworn and subscribed before me this 20th day of March, 2018
Month Year


Signature of official administering oath

Vanessa J. Strausser
Print Name and Title

My commission expires on February 24, 2021

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Vanessa J. Strausser, Notary Public
Uwchlan Twp., Chester County
My Commission Expires Feb. 24, 2021

AFFIDAVIT

State of Pennsylvania:

Unichlan Twp ss.
(Town)

County of Chester :

Charles J. Hurdalla

Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Managing Member (Office of Affiant) of Evolution Energy Partners LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

MANABONG M MBZ
Signature of Affiant & Title

Sworn and subscribed before me this 26th day of March, 2018
Month Year

Vanessa J. Strausser
Signature of official administering oath

Vanessa J. Strausser
Print Name and Title

COMMONWEALTH OF PENNSYLVANIA
NOTARIAL SEAL
Vanessa J. Strausser, Notary Public
Uwchlan Twp., Chester County
My Commission Expires Feb. 24, 2021

My commission expires on February 24, 2021

**RENEWAL APPLICATION FOR ELECTRIC
AGGREGATORS/POWER BROKERS**

CASE: 16-0843-EL-AGG

Exhibit A-10 Principal Officers, Directors & Partners

- Charles J. Hurchalla - President & Managing Member
2544 Veronica Drive, Chester Spring, PA 19425
Telephone: 610-329-8288
- Michael Steiner – Member
2312 Ridgeway Road, Wilmington, DE 19805
Telephone: 302-734-7433

Exhibit B-1 Jurisdiction of Operation

Evolution Energy Partners LLC is currently registered, licensed or certified to provide gas and electric broker services in Pennsylvania, Maryland, Delaware (electric only), Illinois, Ohio, Maine, Massachusetts, Virginia and Washington DC.

**RENEWAL APPLICATION FOR ELECTRIC
AGGREGATORS/POWER BROKERS**

CASE: 16-0843-EL-AGG

Exhibit B-2 Experience & Plans

Evolution Energy Partners LLC will not take title to natural gas and billing statements will be provided by the supplier that the customer selects. As the company will not take title to natural gas, it will not be a party to agreements between the customer and supplier. Customer inquiries, questions, concerns or complaints will be handled by Evolution Energy Partners LLC or the supplier depending on which route the customer takes.

Exhibit B-3 Disclosure of Liabilities and Investigations

Evolution Energy Partners LLC does not have existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact it's financial or operation status or ability to provide services.

**RENEWAL APPLICATION FOR ELECTRIC
AGGREGATORS/POWER BROKERS**

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Exhibit C-1 Annual Report

Not Applicable Evolution Energy Partners does not have shareholders and is not required to prepare Annual Reports to Shareholders.

**RENEWAL APPLICATION FOR ELECTRIC
AGGREGATORS/POWER BROKERS**

CASE: 16-0843-EL-AGG

Exhibit C-2 SEC Filings

Not Applicable Evolution Energy Partners is a limited liability company and is not required to file 10-K/8-K reports.

**RENEWAL APPLICATION FOR ELECTRIC
AGGREGATORS/POWER BROKERS**

CASE: 16-0843-EL-AGG

Exhibit C-3 Financial Statements CONFIDENTIAL

Evolution Energy Partners LLC last two financial statements are provided under a separate envelope.

Exhibit C-4 Financial Arrangements

Not Applicable Evolution Energy Partners is an aggregator/broker who does not take title to the electricity or gas.

C-5 Forecasted Financial Statements **CONFIDENTIAL**

Evolution Energy Partners LLCs CONFIDENTIAL Forecasted Financial Statements for the Year Ending December 2018 and 2019 are attached in a separate envelope.

**RENEWAL APPLICATION FOR ELECTRIC
AGGREGATORS/POWER BROKERS**

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Exhibit C-6 Credit Rating

N/A

**RENEWAL APPLICATION FOR ELECTRIC
AGGREGATORS/POWER BROKERS**

CASE: 16-0843-EL-AGG

Exhibit C-7 Credit Report

See attached Dunn & Bradstreet credit report.

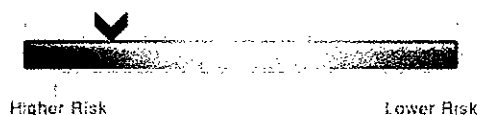
Evolution Energy Partners Llc

DUNS: 06-863-2010

Credit Information

Risk Summary

Risk of Late Payment



Risk of late payment is based on the following prioritized factors in addition to other information in D&B's files:

- No payment experiences reported
- Higher risk region based on delinquency rates for this region
- Limited time under present management control
- Higher risk industry based on delinquency rates for this industry

Indications of slowness can be the result of disputes over merchandise, skipped invoices, etc.

Payment Performance Trend

The payment performance trend for this company is Unavailable . Payment Trend currently is Unavailable compared to payments three months ago. The most recent payment information in D&B's files is:

- Industry average: GENERALLY WITHIN terms

*Note: Payments to suppliers are averaged weighted by dollar amounts.

Credit Limit Recommendation

We currently do have any information to be displayed for this business.

Company Profile

Chief Executive: NA

Line of Business:

Type of Business: NA

Undetermined

Years in Business: NA

Annual Sales: NA

Employees Total:

UNDETERMINED

Legal Filings and Other Important Information

We currently do have any information to be displayed for this business.

Special Events

12/06/2017

The name and address of this business have been confirmed by D&B using available sources.

Payment Trends

Summary

Address:
2312 Ridgeway Rd
Wilmington, DE 19805

Primary Industry SIC:
9999

D-U-N-S Number:
06-863-2010

Description:
Undetermined

This is a **single** location.

Payment Activity

Total payment Experiences in D&Bs File: NA

Payments Within Terms: NA
(not dollar weighted)

Total Placed For Collection: NA

Average Highest Credit: NA

Largest High Credit: NA

Highest Now Owing: NA

Highest Past Due: NA

Indications of slowness can be the result of dispute over merchandise, skipped invoices, etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

PAYDEX®

Score Not Available

We are unable to display a PAYDEX® for this company.
Please call 800-333-0505 for more information.

Score Not Available

We are unable to display a PAYDEX® for this company.
Please call 800-333-0505 for more information.

Invalid License (800). Please contact SoftwareFX Support

This Company (0)

Based on payments collected over the last 12 months.

- Current PAYDEX® for this Business is 0

PAYDEX® Score Comparison - This Company to Primary Industry Comparison, 4 Quarters

Invalid License (800). Please contact SoftwareFX Support

- My Company (0)
- Industry Upper Quartile (80)
- Industry Median (80)
- Industry Median (74)

Based on payments collected over the last 4 quarters.

- Current PAYDEX® for this Business is 80 , or equal to GENERALLY WITHIN terms
- Industry upper quartile represents the performance of the payers in the 75th percentile
- Industry lower quartile represents the performance of the payers in the 25th percentile

Business Payment Habit by Amount of Credit Extended, 24 Months ⓘ

We currently do not have enough details of this company to display in this section.

How to Read the D&B PAYDEX® Score ⓘ

Score

Payment Habit

Risk Interpretation



80 - 100

LOW risk of late payment

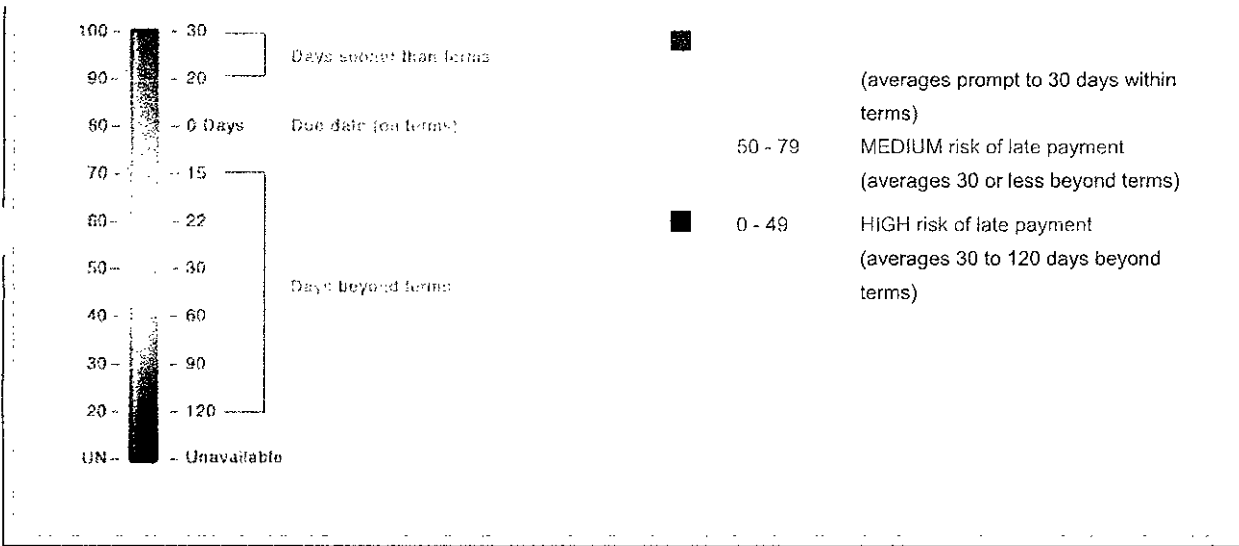


Exhibit C-8 Bankruptcy Information

Neither Evolution Energy Partners LLC nor any of its officers has had any reorganization, protection from creditors or any other form of bankruptcy filings.

**RENEWAL APPLICATION FOR ELECTRIC
AGGREGATORS/POWER BROKERS**

CASE: 16-0843-EL-AGG

Exhibit C-9 Merger Information

Evolution Energy Partners LLC has not had any dissolution, merger or acquisition.



Exhibit C-10 Corporate Structure

Evolution Energy Partners LLC is a stand-alone entity with no affiliate or subsidiary companies.