



# Public Utilities Commission

PUCO USE ONLY – Version 1.08

Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		12 - 921 - GA-AGG

## RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

#### A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

#### A-2 Applicant information:

Legal Name Summit Power Brokers, LLC  
Address 4381 Hudson Drive Stow, Ohio 44224  
Telephone No. 330-208-0335 Web site Address www.summitpowerbrokers.com  
Current PUCO Certificate No. 13-245G(3) Effective Dates April 13, 2016 - April 13, 2018

#### A-3 Applicant information under which applicant will do business in Ohio:

Name Summit Power Brokers, LLC  
Address 4381 Hudson Drive Stow, Ohio 44224  
Web site Address www.summitpowerbrokers.com Telephone No. 330-208-0335

#### A-4 List all names under which the applicant does business in North America:

Summit Power Brokers, LLC  
Summit Power Brokers

#### A-5 Contact person for regulatory or emergency matters:

Name Robert C. Haehn Title President  
Business Address 4381 Hudson Drive Stow, Ohio 44224  
Telephone No. 330-208-0335 Fax No. 330-319-8999 Email Address rob@summitpowerbrokers.com

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business. Technician WWD Date Processed 03/08/18

2018 MAR - 8 PM 12:48

PUCO

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name Robert C. Haehn

Title President

Business address 4381 Hudson Drive Stow, Ohio 44224

Telephone No. 330-208-0335

Fax No. 330-319-8999

Email Address rob@summitpowerbrokers.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address 4381 Hudson Drive Stow, Ohio 44224

Toll-Free Telephone No. N.A. 330-208-0335 Fax No. 330-319-8999

Email Address rob@summitpowerbrokers.cc

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name Robert C. Haehn

Title President

Business address 4381 Hudson Drive Stow, Ohio 44224

Telephone No. 330-208-0335

Fax No. 330-319-8999

Email Address rob@summitpowerbrokers.com

**A-9 Applicant's federal employer identification number 45-5045424**

**A-10 Applicant's form of ownership: (Check one)**

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)**

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☒ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	6-1-2012	End Date	N.A.
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	6-1-2012	End Date	N.A.
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	6-1-2012	End Date	N.A.

☒ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	6-1-2012	End Date	N.A.
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	6-1-2012	End Date	N.A.
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	6-1-2012	End Date	N.A.

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

## SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational



status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.  
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

**C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

**C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

**C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

## **SECTION D – APPLICANT TECHNICAL CAPABILITY**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

*Robert C. Haack - President*

Sworn and subscribed before me this

*6<sup>th</sup>*

day of

*March*

Month

*2018*

Year

*Debbie D. Edling*

Signature of official administering oath

*Debbie D. Edling Notary*

Print Name and Title

My commission expires on

*Nov. 28, 2019*



# The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service  
Affidavit Form  
(Version 1.07)

In the Matter of the Application of )

Summit Power Brokers, LLC )

for a Certificate or Renewal Certificate to Provide )  
Competitive Retail Natural Gas Service in Ohio. )

Case No. 12 - 921 -GA-AGG

County of Summit

State of Ohio

Robert C. Haehn

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Robert C. Haehn - President

Sworn and subscribed before me this

6th

day of

March

Month

2018

Year

Debbie D. Edling

Signature of Official Administering Oath

Debbie D. Edling - Notary

Print Name and Title

My commission expires on

Mar. 28, 2019

Exhibit A-14

“Principal Officers, Directors & Partners”

Summit Power Brokers

Summit Power Brokers, LLC is wholly owned by Robert C. Haehn.

Robert C. Haehn – Owner Summit Power Brokers, LLC

Percentage Ownership – 100%

4381 Hudson Drive Stow, Ohio 44224

Phone: 330-208-0335

## Exhibit A-15

### “Company History”

#### Summit Power Brokers

Robert C. Haehn started working in Ohio’s deregulated energy industry in October 2009 when he accepted a position as a Business Development Manager with an alternative electric supplier. As a lifelong resident of Ohio, Mr. Haehn was well positioned to work with business customers in his home state. In November 2010 Mr. Haehn became an independent agent and in 2012 he applied and was approved for an electric and natural gas brokerage license by The Public Utilities Commission of Ohio. He became active with his license in June 2012.

Since acquiring his own license and starting Summit Power Brokers, a commercial energy brokerage firm, Mr. Haehn has acquired a large number commercial accounts as of 12-31-17. Mr. Haehn aligned his company with an energy brokerage firm that significantly expanded the product offering to his customers. This strategic alliance also positions Mr. Haehn with the ability to broker energy service across all deregulated states as an independent agent. Mr. Haehn benefits from client referrals but mostly uses a strategy of cold calling to expand his customer base.

The principal business interests of Mr. Haehn and his energy brokerage firm are to provide energy brokerage services to commercial customers in Ohio. The company does have plans to expand services to other deregulated states and will communicate any material changes as they occur to The Public Utilities Commission of Ohio.

Exhibit A-16

“Articles of Incorporation and Bylaws”

Summit Power Brokers

No changes since the initial application.

"Secretary of State"

**Jon Husted**  
Ohio Secretary

Summit Power Brokers

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### Corporation Details

Corporation Details		
Entity Number	2078238	
Business Name	SUMMIT POWER BROKERS, LLC	
Filing Type	DOMESTIC LIMITED LIABILITY COMPANY	
Status	Active	
Original Filing Date	01/24/2012	
Expiry Date		
Location:	County:	State:
Agent / Registrant Information		
ROBERT C. HAEHN 4110 BRIDGEWATER PARKWAY STE 201 STOW, OH 44224 Effective Date: 01/24/2012 Contact Status: Active		
Incorporator Information		
ROBERT C. HAEHN		
Filings		
Filing Type	Date of Filing	Document Number/Image
ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.	01/24/2012	<a href="#">201203000962</a>



## Exhibit B-1

### "Jurisdictions of Operation"

#### Summit Power Brokers

Summit Power Brokers, LLC is licensed as a commercial energy broker in Ohio under the following license numbers:

Electric Case Number - 12-828-EL-AGG

Electric Certificate Number – 12-491E (3)

Natural Gas Case Number – 12-0921-GA-AGG

Natural Gas Certificate Number – 12-245G(3)

Robert C. Haehn is authorized to provide retail electric and natural gas service in all deregulated states as an authorized individual agent for brokers and direct suppliers.

## Exhibit B-2

### “Experience and Plans”

#### Summit Power Brokers

Applicant is seeking renewal of Original AGG Case Number 12-921-GA-AGG to continue providing commercial natural gas brokerage services. The direct supplier is solely responsible for billing and receiving payment from commercial customer. The commercial customer contracts with the direct supplier through the energy broker and therefore pays direct supplier for agreed natural gas. Applicant does not receive out of pocket compensation from commercial customer. Applicant does not bill or receive payment from commercial customer. The direct supplier pays applicant a monthly commission based on a predetermined rate per mcf multiplied by the actual mcf used by the commercial customer.

Applicant and direct suppliers that applicant contracts with are both subject to Commission rules pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code. Applicant will review proposed natural gas offers to ensure direct suppliers are compliant with ORC 4929.22 and contained in Chapter 4901:1-29.

If the PUCO requires applicant to make available a dedicated toll free complaint number or otherwise dedicated complaint number for Summit Power Brokers, LLC applicant will do so on the company website. Applicant will provide local customer assistance for customer complaints that can be made in writing by fax, mail or email. Applicant will send notification to one or more of the following within 3 business days of receiving customer complaint: PUCO, office of the consumers' counsel, attorneys general office. Applicant will make available complaint telephone numbers for the natural gas utility, natural gas service company as well as telephone numbers for state agencies including the commission, office of the consumers' counsel, and attorney general's office with the available hours upon request.

Applicant will abide by the procedures set forth in the energy agreement in the event of a customer complaint. This includes but is not limited to mediation, arbitration or otherwise acceptable remedy as set forth by PUCO, office of the consumers' counsel, and/or attorneys general office. In the event procedures were not set forth in the energy agreement or regulatory authority initiates an investigation applicant will adhere to the recommendation of the regulatory authority.

## Exhibit B-3

### “Summary of Experience”

#### Summit Power Brokers

Robert C. Haehn started working in Ohio’s deregulated energy industry in October 2009 when he accepted a position as a Business Development Manager with an alternative electric supplier. As a lifelong resident of Ohio, Mr. Haehn was well positioned to work with business customers in his home state. In November 2010 Mr. Haehn became an independent agent and in 2012 he applied and was approved for an electric and natural gas brokerage license by The Public Utilities Commission of Ohio. He became active with his license in June 2012.

Since acquiring his own license and starting Summit Power Brokers, a commercial energy brokerage firm, Mr. Haehn has acquired a large number commercial accounts as of 12-31-17. Summit Power Brokers operates primarily as a commercial electric broker in Northeast Ohio. The company also services commercial natural gas accounts in the Columbia Gas of Ohio and Dominion East Ohio markets. Summit Power Brokers currently services commercial gas accounts representing over 30,000 mcf annually.

## Exhibit B-4

### “Disclosure of Liabilities and Investigations”

#### Summit Power Brokers

*Summit Power Brokers does not have any existing, pending or past rulings, judgements, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the company's financial or operational status or ability to provide the services it is licensed to provide.*

## Exhibit C-1

### “Annual Reports”

#### Summit Power Brokers

Exhibit C-1 “Annual Reports” is not applicable to Summit Power Brokers. Summit Power Brokers is wholly owned by Robert C. Haehn and began operating as a licensed commercial electric and natural gas broker on June 1, 2012. The energy brokerage firm is privately held and does not have shareholders. Summit Power Brokers does not issue annual reports.

## Exhibit C-2

### “SEC Filings”

#### Summit Power Brokers

Exhibit C-2 “SEC Filings” is not applicable to Summit Power Brokers. Summit Power Brokers is wholly owned by Robert C. Haehn and began operating as a licensed commercial electric and natural gas broker on June 1, 2012. The energy brokerage firm is privately held and not subject to “SEC Filings.”

Exhibit C-3

“Financial Statements”

Summit Power Brokers

Prepared by:

Robert C. Haehn

4381 Hudson Drive

Stow, Ohio 44224

Email: [rob@summitpowerbrokers.com](mailto:rob@summitpowerbrokers.com)

Phone: 330-208-0335

Education: Bachelor of Business Administration, Major: Finance, Kent State University May 2000

Master of Business Administration, Concentration: Finance, Kent State University May 2005

Exhibit C-3  
"Financial Statements"  
Summit Power Brokers

## Year 5

	Year 5	Jan	Feb	March	April	May	June	July	Aug	Sep	Oct	Nov	Dec	TOTALS
CASH IN		1	2	3	4	5	6	7	8	9	10	11	12	
Beginning Cash Balance		\$9,935	\$11,762	\$15,323	\$18,927	\$12,730	\$13,254	\$13,459	\$12,656	\$13,024	\$12,794	\$11,509	\$13,166	
New Cash Injection for Working Capital		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Cash Received from Operations														
Total Electric Commission		\$4,105	\$4,633	\$4,434	\$4,511	\$4,181	\$4,028	\$4,276	\$4,415	\$4,752	\$4,867	\$4,594	\$5,177	\$53,973
Total Gas Commission		821	1,438	1,662	1,547	1,192	608	153	278	190	187	260	510	\$8,846
TOTAL CASH-IN		\$4,926	\$6,070	\$6,097	\$6,058	\$5,373	\$4,636	\$4,428	\$4,693	\$4,943	\$5,053	\$4,855	\$5,687	\$62,819
CASH OUT														
Cost of Goods Sold														
(Purchases on Account)		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Payment of Current Accounts Payable		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Fixed (Equipment) Asset Depreciation		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Operating Expenses														
Advertising														-
Website Design		-			700									\$700
Dues and Subscriptions						375	305							\$680
Lodging and Meals		43	53	53	114	96	21	94	85	507	451	41		\$1,639
Office - Rent														\$0
Office - Answering Service					100			21	155	66	65	78	68	\$563
Office - Internet - Phone - Fax		174	70	244	200	374	200	287	287	373	323	277	77	\$2,886
Payroll - Robert Haehn		2,200	1,800	1,600	10,550	3,400	3,500	4,400	2,500	2,300	4,500	1,600	1,600	\$39,950
Office Expense		115	101	115	207	85	78	89	102	111	89	79	210	\$1,381
Payroll Taxes														\$0
Professional Fees		-	-	-	-	-	-	-	-	-	-	-	-	\$200
Legal Fees														\$0
Auto Expense			8	56	6	82			57		52	10	62	\$333
Tolls														\$0
Cell Phone		211	106	104	106	106		159	98	106	214			\$1,312
Utilities														\$0
Interest Expense - Credit Card		141	131	136	136	138	134	137	129	119	118	99	102	\$1,520
CC Pmt (less int. expense)		194	219	164	115	167	171	13	771	981	282	1,014	298	\$4,389
Misc.		21	21	21	21	26	21	32	141	558	96			\$958
		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL CASH-OUT		\$3,099	\$2,509	\$2,493	\$12,255	\$4,849	\$4,430	\$5,232	\$4,325	\$5,173	\$6,338	\$3,198	\$2,600	\$56,501
Net Cash Flow		\$1,827	\$3,561	\$3,604	(\$6,197)	\$524	\$206	(\$804)	\$368	(\$230)	(\$1,285)	\$1,657	\$3,087	\$6,318
Ending Cash Balance		\$11,762	\$15,323	\$18,927	\$12,730	\$13,254	\$13,459	\$12,656	\$13,024	\$12,794	\$11,509	\$13,166	\$16,253	\$16,253



Exhibit C-3  
 "Financial Statements"  
 Summit Power Brokers

## Income Statement

<b>Sales</b>	<b>2016</b>
<b>Total Sales</b>	<b>\$62,819</b>
<b>Cost of Sales</b>	
<b>Depreciation</b>	<b>-</b>
<b>Total Cost of Goods Sold</b>	<b>\$0</b>
<b>GROSS PROFIT MARGIN</b>	<b>\$62,819</b>
<b>Gross Profit %</b>	<b>100.00%</b>
<b>Operating Expenses</b>	
Advertising	
Website Design	\$700
Dues and Subscriptions	\$680
Lodging and Meals	\$2,027
Office - Rent	
Office - Answering Service	\$553
Office - Internet - Phone - Fax	\$2,886
Payroll - Robert Haehn	\$39,950
Office Expense	\$2,174
Payroll Taxes	
Professional Fees	\$200
Legal Fees	
Auto Expense	\$2,924
Tolls	\$67
Cell Phone	\$1,312
Utilities	\$290
Interest Expense - Credit Card	\$1,520
CC Pmt (less int. expense)	
Misc.	\$958
0	\$0
<b>Total Expenses</b>	<b>\$56,241</b>
<b>Net Profit</b>	<b>\$6,578</b>
<b>% of Sales</b>	<b>10.47%</b>

Exhibit C-3  
"Financial Statements"  
Summit Power Brokers

## Balance Sheet Year 2016

### ASSETS

#### Current Assets

Cash	\$16,253
Accounts Receivable	\$0
Inventory	\$0
Other	\$0

<b>Total Current Assets</b>	<b>\$16,253</b>
-----------------------------	-----------------

#### Long Terms / Fixed Assets

Land and Building	\$0
Furniture, Fixtures and Equipment	\$0
Less: Accumulated Depreciation	\$0
Other	\$0

<b>Total Fixed Assets</b>	<b>\$0</b>
---------------------------	------------

#### Intangible Assets

Patents / Trademarks / Copyrights	\$0
Goodwill	\$10,000

<b>Total Intangible Assets</b>	<b>\$10,000</b>
--------------------------------	-----------------

### TOTAL ASSETS

**\$26,253**

### LIABILITIES

#### Current Liabilities

Note Payable	\$0
Accounts Payable	\$0
Wages/Payroll Expenses/Commissions Payable	\$0
Current Long Term Debt	\$0
Other	\$0

<b>Total Current Liabilities</b>	<b>\$0</b>
----------------------------------	------------

#### Long Term Liabilities

Long Term Debt	\$4,168
Other	\$0

<b>Total Long Term Liabilities</b>	<b>\$4,168</b>
------------------------------------	----------------

<b>Total Liabilities</b>	<b>\$4,168</b>
--------------------------	----------------

### OWNERS EQUITY

Owner's Equity	\$22,085
----------------	----------

<b>Total Equity</b>	<b>\$22,085</b>
---------------------	-----------------

### TOTAL LIABILITIES AND EQUITY

**\$26,253**

## "Financial Statements"

## Summit Power Brokers

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Exhibit C-3  
 "Financial Statements"  
 Summit Power Brokers

## Income Statement

<b>Sales</b>	<b>2017</b>
<b>Total Sales</b>	<b>\$66,733</b>
<b>Cost of Sales</b>	<b>\$0</b>
<b>Depreciation</b>	<b>-</b>
<b>Total Cost of Goods Sold</b>	<b>\$0</b>
<b>GROSS PROFIT MARGIN</b>	<b>\$66,733</b>
<b>Gross Profit %</b>	<b>100.00%</b>
<b>Operating Expenses</b>	
Advertising	
Website Design	\$1,493
Dues and Subscriptions	\$662
Lodging and Meals	\$1,579
Office - Rent	
Office - Answering Service	\$851
Office - Internet - Phone - Fax	\$937
Payroll - Robert Haehn	\$46,200
Office Expense	\$2,537
Payroll Taxes	
Professional Fees	\$200
Legal Fees	
Auto Expense	\$1,552
Tolls	\$27
Cell Phone	\$1,005
Utilities	\$309
Interest Expense - Credit Card	\$743
CC Pmt (less int. expense)	
Misc.	\$864
	0
	\$0
<b>Total Expenses</b>	<b>\$58,959</b>
<b>Net Profit</b>	<b>\$7,774</b>
<b>% of Sales</b>	<b>11.65%</b>

Exhibit C-3  
"Financial Statements"  
Summit Power Brokers

## Balance Sheet Year 2017

### ASSETS

#### Current Assets

Cash	\$23,891
Accounts Receivable	\$0
Inventory	\$0
Other	\$0

<b>Total Current Assets</b>	<b>\$23,891</b>
-----------------------------	-----------------

#### Long Terms / Fixed Assets

Land and Building	\$0
Furniture, Fixtures and Equipment	\$0
Less: Accumulated Depreciation	\$0
Other	\$0

<b>Total Fixed Assets</b>	<b>\$0</b>
---------------------------	------------

#### Intangible Assets

Patents / Trademarks / Copyrights	\$0
Goodwill	\$15,000

<b>Total Intangible Assets</b>	<b>\$15,000</b>
--------------------------------	-----------------

### TOTAL ASSETS

**\$38,891**

### LIABILITIES

#### Current Liabilities

Note Payable	\$0
Accounts Payable	\$0
Wages/Payroll Expenses/Commissions Payable	\$0
Current Long Term Debt	\$0
Other	\$0

<b>Total Current Liabilities</b>	<b>\$0</b>
----------------------------------	------------

#### Long Term Liabilities

Long Term Debt	
Other	\$0

<b>Total Long Term Liabilities</b>	<b>\$0</b>
------------------------------------	------------

<b>Total Liabilities</b>	<b>\$0</b>
--------------------------	------------

### OWNERS EQUITY

Owner's Equity	\$38,891
----------------	----------

<b>Total Equity</b>	<b>\$38,891</b>
---------------------	-----------------

### TOTAL LIABILITIES AND EQUITY

**\$38,891**

Exhibit C-4  
“Financial Arrangements”  
Summit Power Brokers

N.A.

Exhibit C-5

"Forecasted Financial Statements"

Summit Power Brokers

Prepared by:

Robert C. Haehn

4381 Hudson Drive

Stow, Ohio 44224

Email: [rob@summitpowerbrokers.com](mailto:rob@summitpowerbrokers.com)

Phone: 330-208-0335

Education: Bachelor of Business Administration, Major: Finance, Kent State University May 2000

Master of Business Administration, Concentration: Finance, Kent State University May 2005

Exhibit C-5  
“Forecasted Financial Statements”  
Summit Power Brokers

**List of Assumptions**

Forecasted Financial Statement of Cash Flows are based on existing monthly natural gas cash flows per month as of 12-31-17. The forecasted monthly revenue from natural gas are then added to the existing monthly cash flows.

**Natural gas**

Forecasted Financials are based on 24,000 new mcf customers in year 1 and 36,000 new mcf customers in year 2

Commission rate is \$.15 per mcf

Customer Retention Rate is 75% after year 1

Rolling Residual is defined as recurring commission that is received after the first month of applicable commissions

LLC may accept short term operational loans made available from owner Robert C. Haehn



Exhibit C-5  
"Forecasted Financial Statements"  
Summit Power Brokers

2 Year Forecasted Revenues in MCF - 2018 - 2019

	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
	1	2	3	4	5	6	7	8	9	10	11	12
New mcf sold (stated in yearly usage)	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Monthly Usage (New mcf sold/12)	167	167	167	167	167	167	167	167	167	167	167	167
rolling residual monthly mcf	167	167	333	500	667	833	1,000	1,167	1,333	1,500	1,667	1,833
total monthly mcf	167	333	500	667	833	1,000	1,167	1,333	1,500	1,667	1,833	2,000
monthly commission \$.15 cents per mcf	\$25	\$50	\$75	\$100	\$125	\$150	\$175	\$200	\$225	\$250	\$275	\$300

	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec
	13	14	15	16	17	18	19	20	21	22	23	24
New mcf sold (stated in yearly usage)	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000	3,000
New mcf sold broken down by month	250	250	250	250	250	250	250	250	250	250	250	250
residual monthly mcf	2,000	1,750	2,000	2,250	2,500	2,750	3,000	3,250	3,500	3,750	4,000	4,250
less lost monthly mcf @ 75% retention rate	500	438	500	563	625	688	750	813	875	938	1,000	1,063
rolling residual	1,500	1,313	1,500	1,688	1,875	2,063	2,250	2,438	2,625	2,813	3,000	3,188
total monthly mcf	1,750	2,000	2,250	2,500	2,750	3,000	3,250	3,500	3,750	4,000	4,250	4,500
monthly commission \$.15 cents per mcf	\$263	\$300	\$338	\$375	\$413	\$450	\$488	\$525	\$563	\$600	\$638	\$675

Exhibit C-5  
"Forecasted Financial Statements"  
Summit Power Brokers

2018 Forecasted Cash Flow Statement

Cash Flow												
Year 1 Forecast - 2018												
	Jan	Feb	March	April	May	June	July	Aug	Sep	Oct	Nov	Dec
	1	2	3	4	5	6	7	8	9	10	11	12
<b>CASH IN</b>												
Beginning Cash Balance	\$23,891	\$22,472	\$21,649	\$20,952	\$20,101	\$18,595	\$16,723	\$14,693	\$12,608	\$10,522	\$8,386	\$6,281
New Cash Injection for Working Capital	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Cash Received from Operations</b>												
Total Sales												
2015 Electric												
New Electric												
2017 Gas	\$1,056	\$1,627	\$1,728	\$1,550	\$869	\$477	\$295	\$215	\$189	\$114	\$120	\$397
New Gas	\$25	\$50	\$75	\$100	\$125	\$150	\$175	\$200	\$225	\$250	\$275	\$300
<b>TOTAL CASH-IN</b>	\$1,081	\$1,677	\$1,803	\$1,650	\$994	\$627	\$470	\$415	\$414	\$364	\$395	\$697
<b>CASH OUT</b>												
Cost of Goods Sold												
(Purchases on Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Payment of Current Accounts P:	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Fixed (Equipment) Asset F	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Operating Expenses</b>												
Advertising												
Website Design												
Dues and Subscriptions												
Lodging and Meals												
Office - Rent												
Office - Answering Service												
Office - Internet - Phone - Fax												
Payroll Officer	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Office Expense												
Payroll Taxes 13.3%												
Professional Fees	-	-	-	-	-	-	-	-	-	-	-	-
Legal Fees												
Auto Expense												
Tolls												
Cell Phone												
Utilities												
Interest Expense - Credit Card												
CC Pmt (less int. expense)	500	500	500	500	500	500	500	500	500	500	500	500
Misc.	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>TOTAL CASH-OUT</b>	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500
<b>Net Cash Flow</b>	(\$1,419)	(\$823)	(\$697)	(\$850)	(\$1,506)	(\$1,873)	(\$2,030)	(\$2,085)	(\$2,086)	(\$2,136)	(\$2,105)	(\$1,803)
<b>Ending Cash Balance</b>	\$22,472	\$21,649	\$20,952	\$20,101	\$18,595	\$16,723	\$14,693	\$12,608	\$10,522	\$8,386	\$6,281	\$4,478

## Income Statement

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Exhibit C-5  
"Forecasted Financial Statements"

Summit Power Brokers

## Balance Sheet Year 2018

### ASSETS

Current Assets	
Cash	\$4,478
Accounts Receivable	\$0
Inventory	\$0
Other	\$0
<b>Total Current Assets</b>	<b>\$4,478</b>
Long Terms / Fixed Assets	
Land and Building	\$0
Furniture, Fixtures and Equipment	\$0
Less: Accumulated Depreciation	\$0
Other	\$0
<b>Total Fixed Assets</b>	<b>\$0</b>
Intangible Assets	
Patents / Trademarks / Copyrights	\$0
Goodwill	\$15,000
<b>Total Intangible Assets</b>	<b>\$15,000</b>

### TOTAL ASSETS

**\$19,478**

### LIABILITIES

Current Liabilities	
Note Payable	\$0
Accounts Payable	\$0
Wages/Payroll Expenses/Commissions Payable	\$0
Current Long Term Debt	\$0
Other	\$0
<b>Total Current Liabilities</b>	<b>\$0</b>
Long Term Liabilities	
Long Term Debt	
Other	\$0
<b>Total Long Term Liabilities</b>	<b>\$0</b>
<b>Total Liabilities</b>	<b>\$0</b>

### OWNERS EQUITY

Owner's Equity	\$19,478
<b>Total Equity</b>	<b>\$19,478</b>

### TOTAL LIABILITIES AND EQUITY

**\$19,478**

2019 Forecasted Cash Flow Statement

Exhibit C-5  
"Forecasted Financial Statements"  
Summit Power Brokers

Cash Flow												
Year 2 Forecast - 2019												
	Jan	Feb	March	April	May	June	July	Aug	Sep	Oct	Nov	Dec
	1	2	3	4	5	6	7	8	9	10	11	12
<b>CASH IN</b>												
Beginning Cash Balance	\$4,478	\$3,296	\$2,723	\$2,289	\$1,713	\$495	(\$1,078)	(\$2,795)	(\$4,555)	(\$6,304)	(\$8,090)	(\$9,832)
New Cash Injection for Working Capital	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Cash Received from Operations</b>												
Total Sales												
2015 Electric												
New Electric												
2017 Gas	\$1,056	\$1,627	\$1,728	\$1,550	\$669	\$477	\$295	\$215	\$189	\$114	\$120	\$397
New Gas	\$263	\$300	\$338	\$375	\$413	\$450	\$488	\$525	\$563	\$600	\$638	\$675
<b>TOTAL CASH-IN</b>	\$1,318	\$1,927	\$2,065	\$1,925	\$1,282	\$927	\$783	\$740	\$752	\$714	\$758	\$1,072
<b>CASH OUT</b>												
Cost of Goods Sold	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
(Purchases on Account)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Payment of Current Accounts P	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Total Fixed (Equipment) Asset F	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Operating Expenses</b>												
Advertising												
Website Design												
Dues and Subscriptions												
Lodging and Meals												
Office - Rent												
Office - Answering Service												
Office - Internet - Phone - Fax												
Payroll Officer	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Office Expense												
Payroll Taxes 15.3%												
Professional Fees	-	-	-	-	-	-	-	-	-	-	-	-
Legal Fees												
Auto Expense												
Tolls												
Cell Phone												
Utilities												
Interest Expense - Credit Card												
CC Pmt (less int. expense)	500	500	500	500	500	500	500	500	500	500	500	500
Misc.	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>TOTAL CASH-OUT</b>	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500	\$2,500
<b>Net Cash Flow</b>	(\$1,182)	(\$573)	(\$435)	(\$575)	(\$1,218)	(\$1,573)	(\$1,717)	(\$1,760)	(\$1,748)	(\$1,786)	(\$1,742)	(\$1,428)
<b>Ending Cash Balance</b>	\$3,296	\$2,723	\$2,289	\$1,713	\$495	(\$1,078)	(\$2,795)	(\$4,555)	(\$6,304)	(\$8,090)	(\$9,832)	(\$11,260)

TOTALS

\$0  
\$0  
\$0  
\$0  
\$8,637  
\$5,625  
\$0  
\$14,262

24,000

\$0

\$30,000

(\$15,738)

Exhibit C-5  
 "Forecasted Financial Statements"  
 Summit Power Brokers

## Income Statement

<b>Sales</b>	<b>2019</b>
<b>Total Sales</b>	<b>\$14,262</b>
<b>Cost of Sales</b>	<b>\$0</b>
<b>Depreciation</b>	<b>-</b>
<b>Total Cost of Goods Sold</b>	<b>\$0</b>
<b>GROSS PROFIT MARGIN</b>	<b>\$14,262</b>
<b>Gross Profit %</b>	<b>100.00%</b>
<b>Operating Expenses</b>	
Advertising	
Website Design	
Dues and Subscriptions	\$662
Lodging and Meals	\$1,500
Office - Rent	
Office - Answering Service	\$888
Office - Internet - Phone - Fax	\$937
Payroll Office	\$24,000
Office Expense	\$1,788
Payroll Taxes 15.3%	
Professional Fees	\$200
Legal Fees	
Auto Expense	
Tolls	
Cell Phone	
Utilities	
Interest Expense - Credit Card	
CC Pmt (less int. expense)	
Misc.	\$468
	0
	\$0
<b>Total Expenses</b>	<b>\$30,443</b>
<b>Net Profit</b>	<b>(\$16,181)</b>
<b>% of Sales</b>	<b>-113.46%</b>

Exhibit C-5  
"Forecasted Financial Statements"

Summit Power Brokers

## Balance Sheet Year 2019

### ASSETS

#### Current Assets

Cash	(\$11,260)
Accounts Receivable	\$0
Inventory	\$0
Other	\$0
<b>Total Current Assets</b>	<b>(\$11,260)</b>

#### Long Terms / Fixed Assets

Land and Building	\$0
Furniture, Fixtures and Equipment	\$0
Less: Accumulated Depreciation	\$0
Other	\$0
<b>Total Fixed Assets</b>	<b>\$0</b>

#### Intangible Assets

Patents / Trademarks / Copyrights	\$0
Goodwill	\$15,000
<b>Total Intangible Assets</b>	<b>\$15,000</b>

### TOTAL ASSETS

**\$3,740**

### LIABILITIES

#### Current Liabilities

Note Payable	\$0
Accounts Payable	\$0
Wages/Payroll Expenses/Commissions Payable	\$0
Current Long Term Debt	\$0
Other	\$0
<b>Total Current Liabilities</b>	<b>\$0</b>

#### Long Term Liabilities

Long Term Debt	\$0
Other	\$0
<b>Total Long Term Liabilities</b>	<b>\$0</b>

#### Total Liabilities

\$0

### OWNERS EQUITY

Owner's Equity	\$3,740
----------------	---------

#### Total Equity

\$3,740

### TOTAL LIABILITIES AND EQUITY

**\$3,740**

## Exhibit C-6

### "Credit Rating"

#### Summit Power Brokers

Summit Power Brokers started energy brokerage services on June 1, 2012 and does not yet have a credit rating. The credit information was updated recently with Experian and Dun & Bradstreet. The company did acquire a DUNS number in 2014.



## "Credit Report"



## Summit Power Brokers

## Report

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For help reading this report, please review our sample report.

Search inquiry: Summit Power Brokers, Llc / Stow / OH / 44512 / (My company)

CreditScore<sup>SM</sup> Report

as of: 03/06/18 14:29 ET

## Summit Power Brokers LLC

Address:	4381 Hudson Dr Stow, OH 44224-2216 United States	SIC Code:	4931-Electric & Other Services Combined 4911-Electric Services 7389-Business Services, Nec
Phone:	330-208-0335	NAICS Code:	221100-Electric Power Generation, Transmission And Distribution 221122-Electric Power Distribution 561990-All Other Support Services
Experian BIN:	962981974	Business Type:	Corporation
Family Linkage:		Experian File Established:	August 2012
Ultimate Parent	Summit Power Brokers LLC 4381 Hudson Dr Stow, OH	Experian Years on File:	6 Years
Branches / Alternative Locations	Summit Power Brokers LLC 4110 Bridgewater Pkwy Apt 201 Stow, OH United States	Years in Business:	More than 6 Years
		Total Employees:	1

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

Lowest 6 Month Balance: \$249  
Highest 6 Month Balance: \$3,159  
Current Total Account Balance: \$0  
Highest Credit Amount Extended: \$0

Payment Tradelines: 3  
UCC Filings: 0

✗ Businesses Scoring Worse: 34%  
✓ Bankruptcies: 0  
✓ Liens: 0  
✓ Judgments Filed: 0  
✓ Collections: 0

## Credit Summary

[Back to top](#)

## Experian Business Credit Score: 35



The objective of the Experian Business Credit Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

## Key Score Factors:

- Number of active commercial accounts.
- Balance of all commercial accounts.
- Balance of delinquent commercial accounts.
- Percent of delinquent commercial accounts.

## Recommended Action: Medium Risk

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## Exhibit C-8

### “Bankruptcy Information”

#### Summit Power Brokers

Summit Power Brokers does not have reorganizations, protection from creditors or any other form of bankruptcy filings to report. Summit Power Brokers does not have parent or affiliate organizations yet.

Exhibit C-9

“Merger Information”

Summit Power Brokers

Summit Power Brokers does not have dissolution, merger or acquisition to report.

## Exhibit C-10

### “Corporate Structure”

#### Summit Power Brokers

Summit Power Brokers, LLC is wholly owned by Robert C. Haehn. Summit Power Brokers, LLC does not have affiliates or subsidiary companies.

The company has been in business since June 1, 2012. Summit Power Brokers, LLC services commercial electric and natural gas accounts in the state of Ohio.

## Exhibit D-1

### “Operations”

#### Summit Power Brokers

Renewal Applicant Summit Power Brokers operates as a broker only in providing commercial customers with natural gas procurement. Applicant contracts with direct suppliers and commercial customers by brokering natural gas supply from direct suppliers to commercial customers. Renewal Applicant will not nominate and schedule retail gas for delivery. Applicant will contract with direct suppliers and direct suppliers will be responsible for the nomination and scheduling of retail natural gas for delivery. The direct suppliers are responsible for retail ancillary services as well as other services used to supply natural gas to the natural gas company city gate for retail customers. Applicant will not act in a capacity to provide retail ancillary services as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

## Exhibit D-2

### “Operations Expertise”

#### Summit Power Brokers

Summit Power Brokers began operating as a commercial energy broker in June 2012. The Ohio based brokerage firm is headed by Robert C. Haehn. Mr. Haehn has been employed in Ohio's Deregulated Energy Industry since 2009 and has experience contracting with commercial energy users. Since obtaining his license and starting Summit Power Brokers, Mr. Haehn has acquired a large number of commercial clients.

Exhibit D-3

“Key Technical Personnel”

Summit Power Brokers

Summit Power Brokers

Robert C. Haehn – President

[rob@summitpowerbrokers.com](mailto:rob@summitpowerbrokers.com)

330-208-0335

Robert C. Haehn has been employed in Ohio’s deregulated energy market since 2009.