

FILE

February 9, 2018

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Public Utilities Commission of Ohio
 Docketing Division
 180 East Broad Street
 Columbus, OH. 43215-3793

PUCO

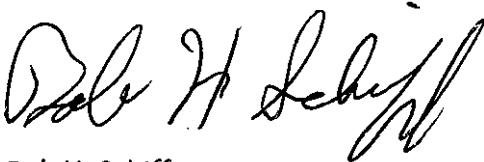
Re: Electric Aggregators/Power Brokers Renewal Application – 14-~~398E~~^{789E} (2)
 Natural Gas Aggregators/Power Brokers Renewal Application – 14-342G (2)
 Ultimate Energy Advisors, LLC

To Whom It May Concern:

Enclosed please find 1 original and 3 copies for each of the above applications.

If you have any questions or concerns regarding the information, please contact Bob Schiff at 214-202-2875 (Bobs@ueallc.com) or Mark Fisher at 214-923-5101 (Markf@ueallc.com).

Sincerely yours,



Bob H. Schiff
 Managing Partner
 Ultimate Energy Advisors, LLC
 214-202-2875
 Bobs@ueallc.com

FILE**SECTION A - APPLICANT INFORMATION AND SERVICES****A-1 Applicant intends to renew its certificate as:** (Check all that apply)☒ Retail Natural Gas Aggregator☒ Retail Natural Gas Broker**A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address:**

Legal Name: Ultimate Energy Advisors, LLC

Address: 6922 Flint Cove Drive, Dallas, TX 75248

Telephone #: 214-202-2875

Web site address (if any): UEALLC.COM

Current PUCO Certificate #: 14-342G (2)

Date Certified: March 14, 2016

A-3 Applicant information under which applicant will do business in Ohio:

Legal Name: Ultimate Energy Advisors, LLC

Address: 6922 Flint Cove Drive, Dallas, TX 75248

Web Site Address: UEALLC.COM

Telephone #: 214-202-2875

A-4 List all names under which the applicant does business in North America:

Ultimate Energy Advisors, LLC

A-5 Contact person for regulatory or emergency matters:

Name: Bobby H. Schiff

Title: Manager

Business address: 6922 Flint Cove Dr., Dallas, TX 75248

Telephone #: 214-202-2875

Fax #: 888-528-5485

E-mail address: Bobs@ueallc.com

A-6 Contact person for Commission Staff use in investigating customer complaints

Name: Bobby H. Schiff

Title: Managing Partner

Business address: 6922 Flint Cove Dr., Dallas, TX 75248

Telephone #: 214-202-2875

Fax #: 888-528-5485

E-mail address: Bobs@ueallc.com

A-7 Applicant's address and toll-free number for customer service and complaints:

Customer Service address: 6922 Flint Cove Dr., Dallas, TX 75248

Toll-free Telephone #:

Fax #: 888-528-5485

E-mail address: Bobs@ueallc.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio employee: (Not Applicable for Broker/Aggregator)

Name:

Title:

Business address:

Telephone #:

Fax #:

E-mail address:

A-9 Applicant's federal employer identification number # 32-0250609RECEIVED-BOOKETING DIV
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A-10 Applicant's form of ownership (check one):

- Sole Proprietorship
 Partnership
 Limited Liability Partnership (LLP)
X Limited Liability Company (LLC)
 Corporation
 Other _____

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.). **NA – We are a Gas broker providing supplier selection, and pricing and contract negotiation services to our customers.**

Columbia Gas of Ohio	Residential	Small Commercial	Large Commercial / Industrial
Dominion East Ohio	Residential	Small Commercial	Large Commercial / Industrial
Duke Energy Ohio	Residential	Small Commercial	Large Commercial / Industrial
Vectren Energy Delivery of Ohio	Residential	Small Commercial	Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services. **NA – Not a Gas Supplier.**

Columbia Gas of Ohio

Residential	Beginning Date of Service:	End Date:
Small Commercial	Beginning Date of Service:	End Date:
Large Commercial	Beginning Date of Service:	End Date:
Industrial	Beginning Date of Service:	End Date:

Dominion East Ohio

Residential	Beginning Date of Service:	End Date:
Small Commercial	Beginning Date of Service:	End Date:
Large Commercial	Beginning Date of Service:	End Date:
Industrial	Beginning Date of Service:	End Date:

Duke Energy Ohio

Residential	Beginning Date of Service:	End Date:
Small Commercial	Beginning Date of Service:	End Date:
Large Commercial	Beginning Date of Service:	End Date:
Industrial	Beginning Date of Service:	End Date:

Vectren Energy Delivery of Ohio

Residential	Beginning Date of Service:	End Date:
Small Commercial	Beginning Date of Service:	End Date:
Large Commercial	Beginning Date of Service:	End Date:
Industrial	Beginning Date of Service:	End Date:

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

NA – We are a Gas broker providing supplier selection, and pricing and contract negotiation services to our customers.

Columbia Gas of Ohio	Intended Start Date:
Dominion East Ohio	Intended Start Date:
Duke Energy Ohio	Intended Start Date:
Vectren Energy Delivery of Ohio	Intended Start Date:

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-14 Exhibit A-14 "Principal Officers, Directors & Partners," provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-15 Exhibit A-15 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.

A-16 Exhibit A-16 "Company History," provide a concise description of the applicant's company history and principal business interests.

A-17 Exhibit A-17 "Articles of Incorporation and Bylaws," provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, only if the contents of the originally filed documents changed since the initial application.

A-18 Exhibit A-18 "Secretary of State," provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale natural gas services.

B-2 Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

B-3 Exhibit B-3 "Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations," disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification. (No or Yes)

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification. (No or Yes)

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE
PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

C-4 Exhibit C-4 "Financial Arrangements," provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

C-7 Exhibit C-7 "Credit Report," provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.

C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

D-1 Exhibit D-1 "Operations," provide a current written description of the operational nature of the applicant's business functions.

D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.

D-3 Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Exhibit A-14
"Principal Officers, Directors & Partners"

Provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Bobby H. Schiff
Manager
6922 Flint Cove Drive
Dallas, Texas 75248
(214) 202-2875

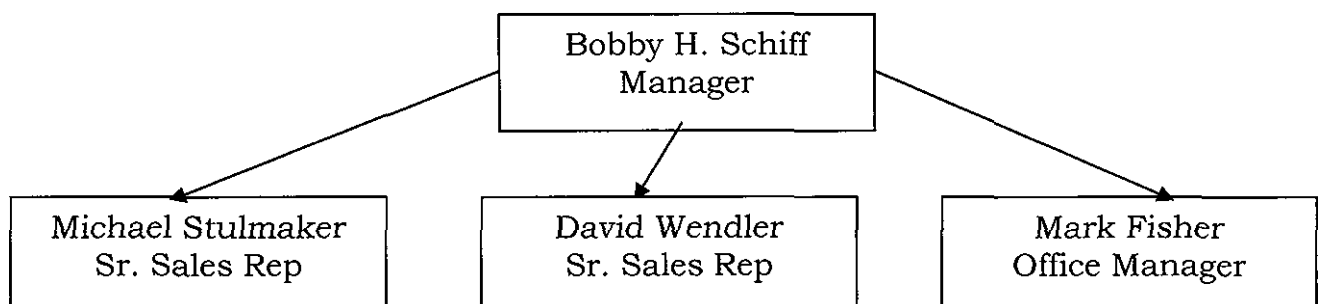
Exhibit A-15
"Corporate Structure"

Provide a description of the applicant's corporate structure, including a graphical depiction of such structure and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

Ultimate Energy Advisors, L.L.C. ("UEA") is a Texas Limited Liability Company formed under the Texas Business Organization Code for the purpose of transacting any and all lawful business for which limited liability companies may be organized, including, but not limited to, energy consulting and procurement. UEA is also an approved aggregator in the deregulated electricity market in the State of Texas.

Manager – Bobby H. Schiff (see resume attached) has responsibility for compliance with all State financial records and compliance with all rules and regulations promulgated by the State of Ohio for the sale of Natural Gas and Electricity.

Sr. Sales Representative – Michael Stulmaker
Sr. Sales Representative – David Wendler
Office Manager – Mark Fisher



Ultimate Energy Advisors, L.L.C. does not currently have any affiliates or subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies.

Exhibit A-16
"Company History"

Provide a concise description of the applicant's company history and principal business interests.

Ultimate Energy Advisors, L.L.C. was formed on June 2, 2008 as a limited liability company under the Texas Business Organizations Code to provide energy consulting and procurement for its customers.

In July, 2012, Ultimate Energy Advisors began offering gas utility products and services to its clients. To date, Ultimate Energy Advisors has completed 12 contracts for its clients in Georgia, Illinois, New Hampshire and Texas. Ultimate Energy Advisors has pursued and developed excellent working relationships with many nationally recognized Natural Gas providers including Constellation, Hess, Direct Energy and Centerpoint.

Exhibit A-17
"Articles of Incorporation and Bylaws"

If applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.

Not Applicable / Ultimate Energy Advisors, L.L.C. is a limited liability company.

Exhibit A-18
"Secretary of State"

Provide evidence that the applicant has registered with the Ohio Secretary of the State.

See the attached State of Ohio Certificate #201029401059 dated 10/19/2010

Exhibit B-1
"Jurisdictions of Operation"

Provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

UEA is authorized to provide retail natural gas services in Georgia, Illinois, New Hampshire, New Jersey, New York and Texas, and is authorized to provide retail and wholesale electric services (including aggregation of services) in the states of Delaware, Illinois, Maryland, New Hampshire, New Jersey, New York, Pennsylvania and Texas.

Exhibit B-2
"Experience & Plans"

Provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

Ultimate Energy Advisors, LLC does not take possession of the electricity it sells and does not bill clients. All our transactions are done through Electricity providers.

The code of conduct for Ultimate Energy, LLC:

1. Prohibits against unfair, deceptive, and unconscionable acts and practices in the marketing, solicitation, and sale of such a competitive retail electric service and in the administration of any contract for service.
2. All Contract Terms are provided by the electricity providers and are subject to the laws and regulations of the State of Ohio.
3. All Service Termination processes and fees are provided to us by the electricity providers.
4. Minimum content of customer bills are handled directly by the electricity providers.
5. Disconnection and Termination fees are determined by the providers.
6. Minimum service quality, safety, and reliability are assured by the electricity providers.
7. Generation resource mix and environmental characteristics of power supplies are provided by the electricity providers.
8. Customer information and records are kept by the electricity providers.

Exhibit B-3
"Summary of Experience"

Provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

Ultimate Energy Advisors has closed transactions in the numerous deregulated gas markets: 4 in Texas, 6 in Illinois, 1 in New Jersey, 2 in New Hampshire and 2 in Georgia. To date, Ultimate Energy Advisors has established relationships with large natural gas suppliers, including, Direct Energy, Constellation, Champion Energy, GDF Suez, NA and Centerpoint. Ultimate Energy Advisors expects to expand its presence in the deregulated natural gas markets due to demand from existing electricity customers.

Exhibit B-4
"Disclosure of Liabilities and Investigations"

Provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

Not Applicable / Ultimate Energy Advisors, L.L.C. is not aware of any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority or regulatory investigations.

Exhibit B-5
"Disclosure of Consumer Protection Violations"

Disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ NO ☐ YES

Exhibit B-6
"Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"

Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ NO ☐ YES

**Exhibit C-1
“Annual Reports”**

Provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

Ultimate Energy Providers, L.L.C. does not produce Annual Reports for Shareholders. As an LLC with no shareholders and only one owner (the Manager – Bobby Schiff), Ultimate Energy Advisors prefers to present the financial statements (as provided in Exhibit C-3).

**Exhibit C-2
“SEC Filings”**

Provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

Ultimate Energy Advisors, L.L.C. is not required to provide any filings or reports to the SEC. Ultimate Energy Advisors, L.L.C. does not have any public or private securities requiring review by the SEC or any other federal or state regulatory body.

**Exhibit C-3
“Financial Statements”**

Provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

Please see the attached Actual Balance Sheet, Income Statement and Cash Flow Statement marked Exhibit C-3. Prepared by and certified by:

Bobby H. Schiff
6922 Flint Cove Drive
Dallas, TX 75248
bobs@ueallc.com
(214) 202-2875

Balance Sheets	2016 (A)	2017 (A)
Assets		
Cash	2,500	1,200
Current Commissions Receivable	365,000	455,000
Long Term Commissions Receivable	1,124,000	1,179,000
Furniture, Fixtures and Equipment	1,000	1,000
Total Assets	1,492,500	1,636,300
Liabilities		
Commission Payable	505,000	528,750
Reserve for Bad Debts	12,200	16,500
Total Liabilities	517,200	543,500
Partners Equity	975,300	1,092,700
Total Liab. and Partner Equity	1,492,500	1,636,200
 Income Statements	 2016 (A)	 2017 (A)
Revenues		
Gross Commissions	492,520	519,530
Other Income	3,000	4,000
Net Revenues	495,520	523,530
Expenses		
Consulting	0	0
Commissions Paid	209,130	218,800
Accounting	3,200	2,700
Bad Debt	4,300	7,290
Insurance and IT	11,580	16,776
Legal	107,460	36,620
Licenses & Fees	7,520	4,613
Meals & Entertainment	2,360	4,696
Travel	4,410	6,350
All Other Expenses	29,570	32,869
Net Expenses	379,530	328,680
Net Profit	115,990	194,850

Cash Flow Statement	2016 (A)	2017 (A)
Cash flows from (used in) operating activities		
Cash receipts from customers	485,000	495,000
Cash paid to suppliers and employees	(379,530)	(328,680)
Cash Distribution to Partners	(105,470)	(167,620)
Interest paid	0	0
Income taxes paid	0	0
Net cash flows from operating activities	0	(1,300)
Cash flows from (used in) investing activities		
Proceeds from the sale of equipment	0	0
Dividends received	0	0
Net cash flows from investing activities	0	0
Cash flows from (used in) financing activities		
Dividends paid	0	0
Net cash flows used in financing activities	0	0
Net increase in cash and cash equivalents	0	(1,300)
Cash and cash equivalents, beginning of year	2,500	2,500
Cash and cash equivalents, end of year	2,500	1,200

**Exhibit C-4“
Financial Arrangements”**

Provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

(N/A – Seeking to be certified as an aggregator and/or broker)

**Exhibit C-5
“Forecasted Financial Statements”**

Provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

Please see the attached Forecasted Balance Sheet, Income Statement and Cash Flow Statement marked Exhibit C-5. Prepared by and certified by:

Bobby H. Schiff
6922 Flint Cove Drive
Dallas, TX 75248
bobs@ueallc.com
(214) 202-2875

Balance Sheets	2018 (F)	2019 (F)
Assets		
Cash	500	1,200
Current Commissions Receivable	525,000	545,000
Long Term Commissions Receivable	1,198,000	1,232,000
Furniture, Fixtures and Equipment	1,000	3,000
Total Assets	1,724,500	1,779,200
Liabilities		
Commission Payable	570,000	615,000
Reserve for Bad Debts	12,500	16,500
Total Liabilities	582,500	631,500
Partners Equity	1,142,000	1,147,700
Total Liab. and Partner Equity	1,724,500	1,779,200
 Income Statements	 2018 (F)	 2019 (F)
Revenues		
Gross Commissions	532,000	545,800
Other Income	4,100	4,200
Net Revenues	536,100	550,000
Expenses		
Consulting	0	0
Commissions Paid	224,300	229,900
Accounting	2,800	2,900
Bad Debt	7,500	7,700
Insurance and IT	15,000	15,400
Legal	66,000	67,700
Licenses & Fees	4,400	4,500
Meals & Entertainment	6,800	7,000
Travel	7,100	7,300
All Other Expenses	3,100	3,200
Net Expenses	337,000	345,600
Net Profit	199,600	204,400

Cash Flow Statement	2018 (F)	2019 (F)
Cash flows from (used in) operating activities		
Cash receipts from customers	505,000	510,000
Cash paid to suppliers and employees	(337,000)	(345,600)
Cash Distribution to Partners	(168,650)	(163,750)
Interest paid	0	0
Income taxes paid	0	0
Net cash flows from operating activities	(650)	650
Cash flows from (used in) investing activities		
Proceeds from the sale of equipment	0	0
Dividends received	0	0
Net cash flows from investing activities	0	0
Cash flows from (used in) financing activities		
Dividends paid	0	0
Net cash flows used in financing activities	0	0
Net increase in cash and cash equivalents	(650)	650
Cash and cash equivalents, beginning of year	1,200	550
Cash and cash equivalents, end of year	550	1,200

Exhibit C-6
"Credit Rating"

Provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

Not Applicable / Ultimate Energy Advisors, L.L.C. currently has no financial need for external debt. All the operational cash needs are supported by ongoing sales transactions.

Exhibit C-7
"Credit Report"

Provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.

See Dun & Bradstreet report attached marked Exhibit C-7.

Live Report : ULTIMATE ENERGY ADVISORS, L L C


D-U-N-S® Number: 00-415-0490

Endorsement/Billing Reference: kbrinks@rbaleasing.com





D&B Address	
Address	6922 Flintcove Dr Dallas, TX, US - 75248
Location Type	Single Location
Phone	972 239-6922
Fax	
Web	

Endorsement : kbrinks@rbaleasing.com

Company Summary

Currency: Shown in USD unless otherwise indicated 

Score Bar

PAYDEX®		68	Paying 17 days past due
Commercial Credit Score Percentile		12	Moderate to High Risk of severe payment delinquency.
Financial Stress Score National Percentile		28	Moderate to High Risk of severe financial stress.
D&B Viability Rating		5	View More Details
Bankruptcy Found		No	
D&B Rating		DS	The information available does not permit us to classify the company.

D&B Company Overview

This is a single location

Manager	BOBBY H SCHIFF, PRIN
Year Started	2008
Employees	2
SIC	4911
Line of business	Electric services
NAICS	221118

Detailed Trade Risk Insight™

Days Beyond Terms Past 3 Months

There is not sufficient reporting trading activity to generate 3 months Days Beyond Terms (a minimum of 3 trade experiences from at least 2 suppliers)

Recent Derogatory Events

	Nov-17	Dec-17	Jan-18
--	--------	--------	--------

Placed for Collection

Bad Debt Written Off

FirstRain Company News



This Company is not currently tracked for Company News

Exhibit C-7 "Credit Report"

PG 1


Public Filings.....

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	Number of Records	Most Recent Filing Date
Bankruptcies	0	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	0	-

The public record items contained herein may have been paid, terminated, vacated or released prior to todays date.

Predictive Scores

Currency: Shown in USD unless otherwise indicated 

D&B Viability Rating Summary.....

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will go out of business, become dormant/inactive, or file for bankruptcy/insolvency within the next 12 months. The D&B Viability Rating is made up of 4 components:

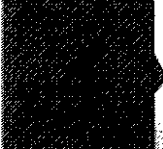
		Viability Score	Lowest Risk: 1	Highest Risk: 9
Compared to All US Businesses within the D&B Database:				
<ul style="list-style-type: none">• Level of Risk: Low Risk• Businesses ranked 4 have a probability of becoming no longer viable: 5 %• Percentage of businesses ranked 4: 14 %• Across all US businesses, the average probability of becoming no longer viable: 14 %				
5	Portfolio Comparison		Lowest Risk: 1	Highest Risk: 9
Compared to All US Businesses within the same MODEL SEGMENT:				
<ul style="list-style-type: none">• Model Segment : Established Trade Payments• Level of Risk: Moderate Risk• Businesses ranked 5 within this model segment have a probability of becoming no longer viable: 5 %• Percentage of businesses ranked 5 with this model segment: 11 %• Within this model segment, the average probability of becoming no longer viable: 5 %				

Exhibit 67 "Credit Report"

P42

Exhibit C-7 "Credit Report"

P92

Data Depth Indicator

Predictive Data:A

Descriptive Data:G

Data Depth Indicator:

- ✓ Rich Firmographics
- ✓ Extensive Commercial Trading Activity
- ✗ No Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assessment.

Company Profile

Financial Data

Trade
Payments

Company Size

Years in
Business

Not Available

Available (3+
Trade)

Small

Established

Company Profile Details:

- Financial Data: **Not Available**
- Trade Payments: **Available** (3+ Trade)
- Company Size: **Small** (Employees: <10 and Sales: <\$10K or Missing)
- Years in Business: **Established** (5+)

Credit Capacity Summary

This credit rating was assigned because of D&B's assessment of the company's creditworthiness. For more information, see the

D&B Rating Key

D&B Rating : **DS**

The DS rating indicates that the information available does not permit D&B to classify the company within our rating key.

Number of Employees Total:

2

Payment Activity:

(based on 6 experiences)

Average High Credit:

3,200

Highest Credit:

7,500

Total Highest Credit:

13,350

D&B Credit Limit Recommendation

Conservative credit Limit

1,000

Aggressive credit Limit:

10,000

Risk category for this business :

MODERATE

The Credit Limit Recommendation (CLR) is intended to serve as a directional benchmark for all businesses within the same line of business or industry, and is not calculated based on any individual business. Thus, the CLR is intended to help guide the credit limit decision, and must be balanced in combination with other elements which reflect the individual company's size, financial strength, payment history, and credit worthiness, all of which can be derived from D&B reports.

Risk is assessed using D&B's scoring methodology and is one factor used to create the recommended limits. See Help for details.

Exhibit C-7 "Credit Report"

pg 3

Financial Stress Class Summary

The Financial Stress Score predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&Bs extensive data files.

The Financial Stress Class of 4 for this company shows that firms with this class had a failure rate of 0.84% (84 per 10,000), which is 1.75 times higher than the average of businesses in D & B's database.

Financial Stress Class : 4 (Lowest Risk:1; Highest Risk:5)

Moderately higher than average risk of severe financial stress, such as a bankruptcy or going out of business with unpaid debt, over the next 12 months.

Probability of Failure:

Risk of Severe Financial Stress for Businesses with this Class: **0.84 %** (84 per 10,000)
Financial Stress National Percentile : **28** (Highest Risk: 1; Lowest Risk: 100)
Financial Stress Score : **1434** (Highest Risk: 1,001; Lowest Risk: 1,875)
Average Risk of Severe Financial Stress for Businesses in D&B database: **0.48 %** (48 per 10,000)

The Financial Stress Class of this business is based on the following factors:

Limited time under present management control
Low proportion of satisfactory payment experiences to total payment experiences.
Low Paydex Score.

Notes:

The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
The Probability of Failure shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Probability of Failure - National Average represents the national failure rate and is provided for comparative purposes.
The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&Bs file.
The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

Exhibit C-7 "Credit Report"

PJ⁴

Norms	National %
This Business	28
Region: WEST SOUTH CENTRAL	44
Industry: INFRASTRUCTURE	43
Employee range: 1-9	52
Years in Business: 6-10	43

This Business has a Financial Stress Percentile that shows:

Higher risk than other companies in the same region.

Higher risk than other companies in the same industry.

Higher risk than other companies in the same employee size range.

Higher risk than other companies with a comparable number of years in business.

Credit Score Summary

The Commercial Credit Score (CCS) predicts the likelihood of a business paying its bills in a severely delinquent manner (91 days or more past terms).

The Credit Score class of 4 for this company shows that 9.4% of firms with this class paid one or more bills severely delinquent, which is lower than the average of businesses in D & B's database.

Credit Score Class : 4 ⬆️ Lowest Risk:1;Highest Risk :5

Incidence of Delinquent Payment

Among Companies with this Classification: **9.40 %**
Average compared to businesses in D&B's database: **10.20 %**
Credit Score Percentile : **12** (Highest Risk: 1; Lowest Risk: 100)
Credit Score : **456** (Highest Risk: 101; Lowest Risk:670)

The Credit Score Class of this business is based on the following factors:

Evidence of recent payment experiences paid later than 30 days
Recent high balance past due
Proportion of past due balances to total amount owing
Limited time under present management control
Unstable Paydex over last 12 months
Higher risk industry based on delinquency rates for this industry

Notes:

The Commercial Credit Score Risk Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience severe delinquency.

The Incidence of Delinquent Payment is the percentage of companies with this classification that were reported 91 days past due or more by creditors. The calculation of this value is based on D&B's trade payment database.

The Commercial Credit Score percentile reflects the relative ranking of a firm among all scorable companies in D&B's file.

The Commercial Credit Score offers a more precise measure of the level of risk than the Risk Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

Norms	National %
This Business	12
Region: WEST SOUTH CENTRAL	52
Industry: INFRASTRUCTURE	32
Employee range: 1-9	66
Years in Business: 6-10	43

This business has a Credit Score Percentile that shows:


Higher risk than other companies in the same region.

Higher risk than other companies in the same industry.

Exhibit C-7 "Credit Report"

Higher risk than other companies in the same employee size range.
Higher risk than other companies with a comparable number of years in business.

Trade Payments

Currency: Shown in USD unless otherwise indicated 

D&B PAYDEX®


The D&B PAYDEX is a unique, weighted indicator of payment performance based on payment experiences as reported to D&B by trade references.
Learn more about the D&B PAYDEX

Timeliness of historical payments for this company.

Current PAYDEX is 68 Equal to 17 days beyond terms (Pays more slowly than the average for its industry of generally within terms)
Industry Median is 80 Equal to generally within terms
Payment Trend currently is ↔ Unchanged, compared to payments three months ago

Indications of slowness can be the result of dispute over merchandise, skipped invoices etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Total payment Experiences in D&Bs File (HQ)	6
Payments Within Terms (not weighted)	85 %
Trade Experiences with Slow or Negative Payments(%)	16.67%
Total Placed For Collection	0
High Credit Average	3,200
Largest High Credit	7,500
Highest Now Owing	0
Highest Past Due	0

D&B PAYDEX® : 68  (Lowest Risk:100; Highest Risk:1)

When weighted by amount, payments to suppliers average 17 days beyond terms

3-Month D&B PAYDEX® : 80  (Lowest Risk:100; Highest Risk:1)

Based on payments collected over last 3 months.

When weighted by amount, payments to suppliers average within terms

D&B PAYDEX® Comparison

Current Year

PAYDEX® of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Electric services , based on SIC code 4911 .

Shows the trend in D&B PAYDEX scoring over the past 12 months.

	3/17	4/17	5/17	6/17	7/17	8/17	9/17	10/17	11/17	12/17	1/18	2/18
This Business	80	80	80	80	80	80	71	68	68	68	68	68
Industry Quartiles												

Exhibit C-7 "Credit Report"

Upper	80	.	.	80	.	.	80	.	.	80	.	.
Median	80	.	.	80	.	.	80	.	.	80	.	.
Lower	72	.	.	73	.	.	73	.	.	73	.	.

Current PAYDEX for this Business is 68 , or equal to 17 days beyond terms
The 12-month high is 80 , or equal to GENERALLY WITHIN terms
The 12-month low is 68 , or equal to 17 DAYS BEYOND terms

Previous Year

Shows PAYDEX of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Electric services , based on SIC code 4911 .

Previous Year	03/16 Q1'16	06/16 Q2'16	09/16 Q3'16	12/16 Q4'16
This Business	UN	UN	UN	80
Industry Quartiles				
Upper	80	80	80	80
Median	80	80	80	80
Lower	72	71	72	72

Based on payments collected over the last 4 quarters.

Current PAYDEX for this Business is 68 , or equal to 17 days beyond terms
The present industry median Score is 80 , or equal to generally within terms
Industry upper quartile represents the performance of the payers in the 75th percentile
Industry lower quartile represents the performance of the payers in the 25th percentile

Payment Habits

For all payment experiences within a given amount of credit extended, shows the percent that this Business paid within terms. Provides number of experiences to calculate the percentage, and the total credit value of the credit extended.

\$ Credit Extended	# Payment Experiences	Total Amount	% of Payments Within Terms
Over 100,000	0	0	0%
50,000-100,000	0	0	0%
15,000-49,999	0	0	0%
5,000-14,999	2	12,500	70%
1,000-4,999	0	0	0%
Under 1,000	2	300	100%

Based on payments collected over last 24 months.

All Payment experiences reflect how bills are paid in relation to the terms granted. In some instances, payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Payment Summary

There are 6 payment experience(s) in D&Bs file for the most recent 24 months, with 3 experience(s) reported during the last three month period.

The highest Now Owes on file is 0 . The highest Past Due on file is 0

Below is an overview of the companys currency-weighted payments, segmented by its suppliers primary industries:

Total Revd (#)	Total Amts	Largest High Credit	Within Terms (%)	Days Slow <31 31-60 61-90 90+> (%)(%)(%)(%)
----------------------	---------------	------------------------	------------------------	---

Exhibit C-7 "Credit Report"

Top Industries

Short-term busn credit	1	7,500	7,500	50	0	50	0	0
Public finance	1	5,000	5,000	100	0	0	0	0
Nonclassified	1	250	250	100	0	0	0	0
Lithographic printing	1	50	50	100	0	0	0	0

Other payment categories


Cash experiences	2	550	500					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					
Placed for collections	0	N/A	0					
Total in D&B's file	6	13,350	7,500					

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Indications of slowness can be result of dispute over merchandise, skipped invoices etc.

Detailed payment history for this company

Date Reported (mm/yy)	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last Sale Within (month)
12/17	Ppt	250	0	0		1 mo
	(002)	500	0	0	Cash account	1 mo
	(003)	50	0	0	Cash account	6-12 mos
10/17	Ppt-Slow 60	7,500	0	0		6-12 mos
07/17	Ppt	50				1 mo
01/16	Ppt	5,000				1 mo

Payments Detail Key:  30 or more days beyond terms

Payment experiences reflect how bills are paid in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices, etc. Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Public Filings

Currency: Shown in USD unless otherwise indicated 

Summary

A check of D&B's public records database indicates that no filings were found for ULTIMATE ENERGY ADVISORS, L L C at 6922 Flintcove Dr , Dallas TX .

D&B's extensive database of public record information is updated daily to ensure timely reporting of changes and additions. It includes business-related suits, liens, judgments, bankruptcies, UCC financing statements and business registrations from every state and the District of Columbia, as well as select filing types from Puerto Rico and the U.S. Virgin Islands.

D&B collects public records through a combination of court reporters, third parties and direct electronic links with federal and local authorities. Its database of U.S. business-related filings is now the largest of its kind.

Special Events


Currency: Shown in USD unless otherwise indicated 

Exhibit C-7 "Credit Report"

PJ 8

Special Events

01/24/2017

The name and address of this business have been confirmed by D & B using available sources.

History & Operations

Currency: Shown in USD unless otherwise indicated

Company Overview

Company Name:	ULTIMATE ENERGY ADVISORS, L L C
Street Address:	6922 Flintcove Dr Dallas , TX 75248
Phone:	972 239-6922
Present management control	10 years

History

The following information was reported: 01/13/2018

Business started 2008.

Business Registration

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF
Jan 30 2018

Registered Name:	ULTIMATE ENERGY ADVISORS, L.L.C.
Business type:	DOMESTIC LIMITED LIABILITY CO
State of incorporation:	TEXAS
Filing date:	Jun 02 2008
Registration ID:	0800986109
Duration:	PERPETUAL
Status:	IN EXISTENCE
Where filed:	SECRETARY OF STATE , AUSTIN , TX
Registered agent:	BOBBY H SCHIFF , 6922 FLINTCOVE DRIVE , DALLAS , TX , 752480000
Principals:	BOBBY SCHIFF , MEMBER , 6922 FLINTCOVE , DALLAS , TX , 752480000

Operations

01/13/2018

Description:	Provides electric services.
Employees:	2.
Facilities:	Occupies premises in building.

SIC & NAICS

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific about a company's operations than if we use the standard 4-digit code.

Exhibit C-7 "Credit Report"

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

4911 0000 Electric services

NAICS:

221118 Other Electric Power Generation

Financials

Company Financials: D&B

Additional Financial Data

Request Financial Statements

Request Financial Statements

Requested financials are provided by ULTIMATE ENERGY ADVISORS, L L Cand are not DUNSRight certified.

Key Business Ratios

D & B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance.

To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

Based on this Number of Establishments

92

Industry Norms Based On 92 Establishments

	This Business	Industry Median	Industry Quartile
Profitability			
Return on Sales %	UN	6.2	UN
Return on Net Worth %	UN	6.5	UN
Short-Term Solvency			
Current Ratio	UN	1.2	UN
Quick Ratio	UN	0.5	UN
Efficiency			
Assets to Sales %	UN	238.3	UN
Sales / Net Working Capital	UN	9.2	UN
Utilization			
Total Liabilities / Net Worth (%)	UN	155.1	UN

UN = Unavailable

Detailed Trade Risk Insight™

Detailed Trade Risk Insight provides detailed updates on over 1.5 billion commercial trade experiences collected from more than 260 million unique supplier/purchaser relationships.

Days Beyond Terms - Past 3 & 12 Months

Exhibit C-7 "Credit Report"

There is not sufficient reported trading activity to generate 3 month Days Beyond Terms (a minimum of 3 trade experiences from at least 2 companies).

12 months from Mar 17 to Feb 18

2
Days

Dollar-weighted average of 3 payment experiences reported from 3 companies

Derogatory Events Last 12 Months from Feb 17 to Jan 18

No Derogatory trade Event has been reported on this company for the past 13 Months

Total Amount Current and Past Due - 12 month trend from Feb 17 to Jan 18

Status	Feb-17	Mar-17	Apr-17	May-17	Jun-17	Jul-17	Aug-17	Sep-17	Oct-17	Nov-17	Dec-17	Jan-18
Total	319	0	20	15	30	45	45	45	45	337	337	337
Current	319	-	-	-	-	-	-	-	-	337	337	337
1-30 Days Past Due	-	-	20	-	15	15	15	15	15	-	-	-
31-60 Days Past Due	-	-	-	15	-	15	15	15	15	-	-	-
61-90 Days Past Due	-	-	-	-	15	-	-	-	-	-	-	-
90+ Days Past Due	-	-	-	-	-	15	15	15	15	-	-	-

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Exhibit C-8
"Bankruptcy Information"

Provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

Not Applicable / Ultimate Energy Advisors, L.L.C. nor its partners have been never been involved in any bankruptcy proceedings.

Exhibit C-9
"Merger Information"

Provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Not Applicable / Ultimate Energy Advisors, L.L.C. has never been involved in any dissolution, merger or acquisition transactions.

**Exhibit D-1
“Operations”**

Provide a current written description of the operational nature of the applicant’s business functions.

Ultimate Energy Advisors sets up a request for proposal for various electricity and natural gas users in deregulated markets across the US. Ultimate has relationships with approximately 25 suppliers across the US that are licensed in these markets. After the bids are submitted, we prepare a summary presentation to the customer showing all bids received; we provide recommendations and then assist with the negotiation of any contract language (if applicable). Ultimate Energy Advisors then monitors the account as well as the gas market in the event any opportunities arise at any point in time.

**Exhibit D-2
“Operations Expertise”**

Given the operational nature of the applicant’s business, provide evidence of the applicant’s current experience and technical expertise in performing such operations.

The managing partner of Ultimate Energy Advisors, L.L.C. – Bobby Schiff – has over 13 years’ experience in deregulated electricity markets across the US and has either consummated or assisted on over 1,000 contracts during that time. This includes a very wide range of users – from restaurants to office buildings to general manufacturers. Mr. Schiff has also established relationships with some of the largest retail energy providers in the US and the World, including: GDF Suez, NA (largest utility company in the world), Con-Edison Solutions (oldest electricity company in the US), Constellation New Energy (second oldest in the US and wholly-owned subsidiary of Exelon, the largest utility company in the US), Nextera Energy (Florida based supplier and also one of the 5 largest), Direct Energy (parent based in UK) and Mid-American Power (owned by Berkshire Hathaway).

**Exhibit D-3
“Key Technical Personnel”**

Provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant’s current business.

Financial and accounting is overseen by Mark Fisher, who has over 30 years’ experience in various accounting and finance positions throughout his career. Mark has been active in this industry since the inception of Ultimate Energy in June of 2008. Ultimate Energy Advisors currently has 2 salespeople and is actively looking for additional qualified sales personnel throughout the US. Growth in actual sales personnel depends on the availability of quality of experienced sales professionals. Ultimate Energy Advisors is only interested in mature individuals who are straightforward and honest in their sales approach; Ultimate has a fairly strict process for evaluating these individuals.

Applicant Signature & Title

Sworn and subscribed before me this _____ day of _____, _____
Month Year

Signature of official administering oath

Print Name and Title

My commission expires on _____

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

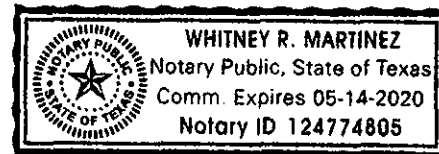
Bobby H. Schaff, Manager
Signature of Affiant & Title

Sworn and subscribed before me this 8th day of February, 2018
Month Year

Whitney R. Martinez
Signature of official administering oath

Whitney R. Martinez, Notary Public, TX
Print Name and Title

My commission expires on May 14, 2020



AFFIDAVIT

State of Texas :Addison
(Town) ss.County of DallasBobby H. Schiff

Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Owner (Office of Affiant) of Ultimate Energy Advisors, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)
11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.