

FILE



January 2, 2018

Public Utilities Commission of Ohio
 Docketing Division
 180 East Broad Street
 Columbus, Ohio 43215-3793

RE: Renewal Certification Application Competitive Retail Natural Gas Broker/Aggregator-
 Early Bird Power 2018

Dear PUCO,

Enclosed please find proper documents requested by the Public Utilities Commission of Ohio for Early Bird Power's Renewal Certification Application for Competitive Retail Natural Gas Broker/Aggregator. Specifically:

- (1) Application, one original notarized copy along with 3 copies
- (2) Exhibits A, B, C, and D along with all proper attachments
- (3) Most recent copies of Early Bird Power's PUCO Natural Gas Broker certificate

We have provided an original plus three copies of the application and all additional information. Thank you for taking the time to process Early Bird Power's renewal application and please let us know if any additional information is needed.

Best regards,

Shaun Pandit, CEO & Director

RECEIVED-DOCKETING DIV
 2018 JAN -5 PM 1:48
 PUCO

This is to certify that the inclosed application was an accurate and complete reproduction of the original document delivered in the regular course of business.
 Technician AS Date Processed 1/5/18



PUCO USE ONLY - Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		14 - 0005 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

- Retail Natural Gas Aggregator Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Early Bird Power LLC
 Address 1 Adams Street, Milton, MA 02186
 Telephone No. 617 872 2384 Web site Address www.earlybirdpower.com
 Current PUCO Certificate No. 14-0005-GA-AGG Effective Dates

A-3 Applicant information under which applicant will do business in Ohio:

Name InCorp Services, Inc
 Address Waterstone Boulevard Suite 140, Cincinnati, OH 45249
 Web site Address www.earlybirdpower.com Telephone No. 617 872 2384

A-4 List all names under which the applicant does business in North America:

Early Bird Power LLC

A-5 Contact person for regulatory or emergency matters:

Name Shaun Pandit Title CEO/President
 Business Address 1 Adams Street, Milton, MA 02186
 Telephone No. 617 872 2384 Fax No. 617 322 9283 Email Address shaunpandit@earlybirdpower.com

RECEIVED - DOCKETING DIVISION
 2018 JAN -5 PM 1:18
 PUCO

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Shaun Pandit Title CEO/President
Business address 1 Adams Street, Milton, MA 02186
Telephone No. 617 872 2384 Fax No. 617 322 9283 Email Address shaunpandit@earlybirdpower.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 1 Adams Street, Milton, MA 02186
Toll-Free Telephone No. 617 872 2384 Fax No. 617 322 9283 Email Address shaunpandit@earlybirdpowe

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Patrick Geller, InCorp Services, Inc. Title Agent
Business address Waterstone Boulevard Suite 140, Cincinnati, OH 45249
Telephone No. 617 872 2384 Fax No. 617 322 9283 Email Address shaunpandit@earlybirdpower.com

A-9 Applicant's federal employer identification number 270186126

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14** Exhibit A-14 "Principal Officers, Directors & Partners," provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15** Exhibit A-15 "Company History," provide a concise description of the applicant's company history and principal business interests.
- A-16** Exhibit A-16 "Articles of Incorporation and Bylaws," provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17** Exhibit A-17 "Secretary of State," provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1** Exhibit B-1 "Jurisdictions of Operation," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2** Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3** Exhibit B-3 "Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4** Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

No Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

No Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C-10 “Corporate Structure,”** provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

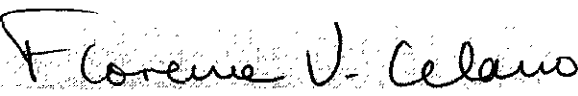
SECTION D – APPLICANT TECHNICAL CAPABILITY

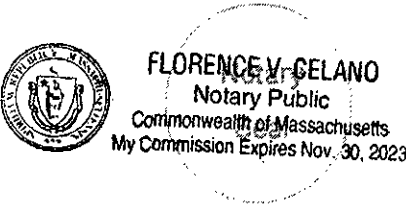
PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 “Operations,”** provide a current written description of the operational nature of the applicant’s business functions.
- D-2 **Exhibit D-2 “Operations Expertise,”** given the operational nature of the applicant’s business, provide evidence of the applicant’s current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 “Key Technical Personnel,”** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant’s current business.

Applicant Signature and Title  LEO

Sworn and subscribed before me this 8th day of December Month 2017 Year

 Florence V. Celano
 Signature of official administering oath Print Name and Title



My commission expires on November 30, 2023



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)
Early Bird Power)
for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. 14-005 -GA-AGG

County of Suffolk
State of MA

Shawn Parde [Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

[Handwritten Signature] CEO

Sworn and subscribed before me this 8th day of December Month 2017 Year

Florence V. Celano
Signature of Official Administering Oath

Florence V. Celano
Print Name and Title

FLORENCE V. CELANO
Notary Notary Public
Commonwealth of Massachusetts
My Commission Expires Nov. 30, 2023

My commission expires on November 30, 2023

SECTION A-14 through A-17

A-14

“Principal Officers, Directors & Partners”

Name: Shaun Pandit

Title: CEO/President

Address: 1 Adams Street, Milton, MA 02186

Phone: (617) 872-2384

Early Bird Power is wholly owned by Shaun Pandit.

A-15

“Company History”

Early Bird Power LLC began in April of 2009 and is currently active and in good standing, serving customers in all deregulated states. Shaun Pandit is the owner and founder of Early Bird Power. He has an economics degree from Boston College and a Master’s in Finance from Loyola Chicago Business School. After ten years trading commodities and foreign exchange, Shaun focused on electricity at the advent of deregulated markets in 1997. Shaun is an energy expert with senior level experience in the energy business and in depth knowledge of electricity and natural gas markets.

A-16

“Articles of Incorporation and Bylaws”

N/A-The articles of incorporation and bylaws have NOT changed since the original application for Early Bird Power LLC.

A-17

“Secretary of State”

See attached



1 Adams Street
Milton, MA 02186

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show EARLY BIRD POWER LLC, a Maryland For Profit Limited Liability Company, Registration Number 1875140, filed on August 7, 2009, is currently in FULL FORCE AND EFFECT upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 12th day of December, A.D. 2017.

Jon Husted

Ohio Secretary of State

Validation Number: 201734600862

SECTION B Exhibits

B-1

“Jurisdiction of Operation”

Early Bird Power LLC is certified, licensed, registered, or otherwise authorized to provide retail natural gas service and/or electric service in the following jurisdictions:

Pennsylvania, Illinois, Connecticut, Maine, Massachusetts, Rhode Island, New Hampshire, Delaware, Maryland, Texas, New York, New Jersey, California, Florida, Georgia, Ohio

B-2

“Experience and Plans”

Early Bird Power develops a sound energy strategy for a customer, procuring the lowest cost supply while managing a company’s risk according to the company’s objectives. We continually monitor the market for opportunities from multiple suppliers. We manage contract negotiations and provide individual client attention throughout the length of the agreement.

We have a 24-hour toll free number for customers to use. As a broker not a supplier, Early Bird Power will not be billing customers. Early Bird Power serves as a channel partner/broker to registered energy suppliers and is paid a commission by the supplier, not the customer. Early Bird Power LLC complies with all of the Commission rules adopted pursuant to Section 4929.22 of Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

Early Bird Power has a broad understanding of energy markets, and key relationships that provide the lowest priced strategic options for companies seeking to lower their monthly power bills. Shaun Pandit is the founder, principal, and CEO of Early Bird Power. Shaun is an energy expert with years of senior level experience in the energy industry. As Director of Origination and Structuring for AES New Energy/Constellation New Energy, he was responsible for optimizing generation assets and servicing Industrial and Commercial clients. He also served as Risk Manager for TransCanada Power Marketing, managing power, gas, and credit exposure. Shaun was most recently VP of Trading and Marketing for International Power, responsible for all purchases and sales of power, emissions, and fuels for the North American generation assets.

B-3

“Summary of Experience”

The majority of Early Bird Power’s clients are located in the North-East region of the United States, but Ohio is an area that Early Bird Power is expecting to grow and service more customers in the coming years. Currently, Early Bird Power has over 100 natural gas clients in the National Grid and Eversource accounts. The average size of a customer typically served is approximately 2500 therms/mo. Early Bird Power is in good standing and has no customer complaints.

B-4

“Disclosure of Liabilities and Investigations”

N/A None



1 Adams Street
Milton, MA 02186

SECTION C Exhibits

C-1

“Annual Reports”

Early Bird Power does not have annual reports or any shareholders to report to; Shaun Pandit is the sole owner of the company. Early Bird Power is not a publicly traded company.

C-2

“SEC Filings”

Early Bird Power is a broker only, and does not take title to the natural gas, and is not a public entity, but a single member LLC, so no SEC filing is required.

C-3

“Financial Statements”

See Attached

C-4

“Financial Arrangements”

N/A

C-5

“Forecasted Financial Statements”

See Attached

C-6

“Credit Rating”

N/A

C-7

“Credit Report”

See Attached

C-8

“Bankruptcy Information”

N/A, no reorganizations, bankruptcy filings to report in the current year or since application last filed for certification.

C-9

“Merger Information”

N/A, no dissolution or merger or acquisition of the applicant since the last filed certification.

C-10

“Corporate Structure”

Early Bird Power is a stand-alone entity with no affiliate or subsidiary companies.



1 Adams Street
Milton, MA 02186

Early Bird Power, LLC
Balance Sheet
As of December 31, 2016

	Total	
	As of Dec 31, 2016	As of Dec 31, 2015
ASSETS		
Current Assets		
Total Bank Accounts	\$ 41,027	\$ 15,374
Total Current Assets	\$ 41,027	\$ 15,374
Fixed Assets		
Security Deposit	\$ 1,000	\$ 1,000
TOTAL ASSETS	\$ 46,063	\$ 16,374
LIABILITIES AND EQUITY		
Liabilities		
Credit Cards	\$ 9,046	\$ 3,099
Line of Credit	\$ 65,220	\$ 94,940
Payroll Liabilities	\$ 358	\$ 0
Total Liabilities	\$ 74,624	\$ 98,039
Equity		
Common stock	\$ 1,000	
Members Draw	-\$ 598,100	\$ 0
Members Equity	\$ 0	-\$ 637,315
Net Income	\$ 568,539	\$ 555,650
Total Equity	-\$ 28,561	-\$ 81,665
TOTAL LIABILITIES AND EQUITY	\$ 46,063	\$ 16,374

Officer Certified



Shaun Pandit, CEO

Early Bird Power, LLC
Profit and Loss
January - December 2016

	Total	
	Jan - Dec 2016	Jan - Dec 2015
Income		
Commission Income	\$ 1,522,692	\$ 1,153,802
Total Income	\$ 1,522,692	\$ 1,153,802
Expenses		
Advertising and Promotion	\$ 46,977	\$ 46,993
Automobile Expense	\$ 17,615	\$ 2,517
Total Bank Service Charges	\$ 294	\$ 529
Business Licenses and Permits	\$ 5,637	\$ 5,454
Computer and Internet Expenses	\$ 1,863	\$ 1,668
Contract Labor	\$ 121,359	\$ 176,254
Depreciation Expense	\$ 212	
Dues and Subscriptions	\$ 4,714	\$ 7,584
Gifts	\$ 760	\$ 1,057
Insurance Expense	\$ 10,497	\$ 19,987
Interest Expense	\$ 3,381	\$ 4,240
Meals and Entertainment	\$ 33,397	\$ 26,981
Miscellaneous	\$ 15	\$ 2,852
Office Expenses	\$ 14,402	\$ 11,867
Payroll Expenses	\$ 610,252	\$ 228,638
Postage and Delivery	\$ 362	\$ 387
Professional Fees	\$ 24,139	\$ 5,988
Rent Expense	\$ 15,413	\$ 14,400
Repairs and Maintenance		\$ 675
Taxes-personal property	\$ 83	\$ 19
Telephone Expense	\$ 7,574	\$ 6,410
Travel Expense	\$ 30,603	\$ 30,007
Total Expenses	\$ 949,551	\$ 594,508
Net Operating Income	\$ 573,141	\$ 559,295
Other Expenses		
Charitable Contributions	\$ 4,602	\$ 3,645
Total Other Expenses	\$ 4,602	\$ 3,645
Net Other Income	\$ (4,602)	\$ (3,645)
Net Income	\$ 568,539	\$ 555,650

Officer Certified



Shaun Pandit, Officer/CEO

Early Bird Power LLC

Exhibit C-5

Income Statement - Forecast Ohio Natural Gas

	Forecast-2018	Forecast-2019
Revenue		
Gross Revenue Ohio Natural Gas	\$11,000	\$14,300
Total Income	\$11,000	\$14,300
Expenses Ohio Natural Gas	\$5,500	\$7,150
Total Expenses	\$5,500	\$7,150
Net Income	\$5,500	\$7,150

Assumptions: Revenue & expenses increase by one third

Preparer: Shaun Pandit CEO

Earlybird Power, One Adams Street
Milton, MA 02186

email: SHAUNPANDIT@EARLYBIRDPPOWER.COM
phone number: 888-763-2759

Dashboard

Summary

Update Company Info

Tools

Early Bird Power Lic DUNS: 63-177-3218

CS CreditSignal

Summary

Company Info

256 Canton Ave
Milton, MA 02186
Phone: (617) 872-2384
URL:

DBA's:

Update Company Info

Need help? Start here

Upgrade to CreditBuilder™ Today

Help build your D&B® scores and ratings and benchmark your company against your industry and competitors

Speak with a Credit Advisor to learn more
1-800-700-2733

Scores and Ratings

Score / Rating:	Status	Last Date Changed
PAYDEX®	Good	02/07/2017
Delinquency Predictor Class	Fair	09/05/2017
Financial Stress Class	Fair	02/07/2017
Supplier Evaluation Rating	Fair	07/18/2017
D&B Rating	N/A	12/08/2017
D&B Viability Rating	Good	

Alerts

There are currently no alerts issued for this company. If you only recently began monitoring this company, you will not receive your first alerts until score changes or events occur. To configure your alerts notification settings, please [Click Here](#)

[Configure Alerts](#)

SECTION D Exhibits

D-1

“Operations”

Early Bird Power is located at 1 Adams Street in Milton, MA but operates as a certified broker of electricity and natural gas nationally, in all deregulated states. Early Bird Power is independently owned and operated and is not affiliated with any energy supplier or local distribution company. Early Bird Power works with all major energy suppliers to help customers find the lowest fixed energy price available on the market, as well as assisting in the contractual and legal process for the sale/arrangement for supply of energy commodity to the customer, without taking title to the natural gas/electricity.

D-2

“Operations Expertise”

See Attached

D-3

“Key Technical Personnel”

Name: Shaun Pandit

Title: CEO/President

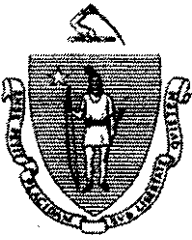
Email: shaunpandit@earlybirdpower.com

Phone: (617) 872-2384

Background:



1 Adams Street
Milton, MA 02186



THE COMMONWEALTH OF MASSACHUSETTS
DEPARTMENT OF PUBLIC UTILITIES

Exhibit D-2

CHARLES D. BAKER
GOVERNOR

KARYN E. POLITO
LIEUTENANT GOVERNOR

MATTHEW A. BEATON
SECRETARY OF ENERGY
AND ENVIRONMENTAL AFFAIRS

ONE SOUTH STATION
BOSTON, MA 02110
(617) 305-3500

ANGELA M. O'CONNOR
CHAIRMAN

JOLETTE A. WESTBROOK
COMMISSIONER

ROBERT E. HAYDEN
COMMISSIONER

April 21, 2017

Shaun Pandit, CEO
Early Bird Power, LLC
1 Adams Street
Milton, MA 02186

Mr. Pandit,

The Department of Public Utilities has reviewed Early Bird Power LLC's ("Early Bird") application for renewal of its Gas Retail Agent license. I am pleased to inform you that the application has been approved. Early Bird's license number is **RA-043**.

As a condition of maintaining its license, Early Bird must file updated information within 30 days of any material change in the information required by 220 C.M.R. § 14.04(2), and must comply with all relevant requirements of G.L. c. 164 and the regulations thereunder, including 220 C.M.R. §§ 12.00, 14.00 et seq. If you decide to request renewal of Early Bird's license next year, please submit a renewal application at least 30 days prior to the expiration of this license.

Sincerely,


Mark D. Marini, Secretary

FAX: (617) 345-9101
www.mass.gov/dpu

The Public Utilities Commission of Ohio
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate

Renewal

Issued pursuant to Case Number(s):

14-0005-GA-AGG

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:
14-338G (2)

Granted to:

Early Bird Power LLC

Whose office or principal place of business is located at:

1 Adams St., Milton, MA 02186

And is hereby certified to provide:

Retail Natural Gas Aggregator/Broker Services
within the state of Ohio, for a two-year period.

Certification Effective:

February 8, 2016 through February 8, 2018

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

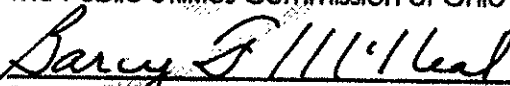
This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio

Dated: **February 9, 2016**

By Order of
The Public Utilities Commission of Ohio



Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Felecia D. Burdett, Acting Secretary

This foregoing document was electronically filed with the Public Utilities

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in

Case No(s). 14-0005-GA-AGG

Summary: Application electronically filed by Docketing Staff on behalf of Docketing