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**Ohio****Public Utilities  
Commission**

PUCO USE ONLY – Version 1.08 May 2016		
Date Received	Case Number	Certification Number
	- GA-AGG	

18-137

FILE

## INITIAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

**A-1 Applicant intends to be certified as: (check all that apply)**

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

**A-2 Applicant information:**

Legal Name

Address

Telephone No.

Web site Address

Gold Star Energy LLC  
4076 E Patterson RD Beaver Creek OH 45430  
937 429-9980  
gseusa.com

**A-3 Applicant information under which applicant will do business in Ohio:**

Name

Address

Web site Address

Telephone No.

Gold Star Energy LLC  
4076 E Patterson RD Beaver Creek OH 45430  
gseusa.com  
937 429 9980

**A-4 List all names under which the applicant does business in North America:**

Gold Star Energy LLC

**A-5 Contact person for regulatory or emergency matters:**

Name

Title

Business Address

Telephone No.

Fax No.

Email Address

Jeremy Smith  
owner  
4076 E Patterson RD Beaver Creek OH 45430  
937 429-9980  
937 226-9911  
jsmith@gseusa.com

RECEIVED - PUCO  
 2018 JAN 25 AM 11:33  
 PUCO  
 This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
 technician JE Date Processed 1/25/18

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name Jeremy K Smith Title owner  
Business address same  
Telephone No. same Fax No. same Email Address same

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address 4076 E Patterson RD Beaver Creek OH 45430

? Toll-Free Telephone No. 1-866-377-8880 Fax No. 937-226-9911 Email Address jsmith@gseusa.com

? **A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name Jeremy K. Smith Title PRESIDENT/OWNER  
Business address 4076 E. Patterson RD. BEAVERCREEK, OH 45430  
Telephone No. 937-429-9980 Fax No. 937-226-9911 Email Address jsmith@gseusa.com

**A-9 Applicant's federal employer identification number** 45-4545562

**A-10 Applicant's form of ownership: (Check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other                                      |

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers.** (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- X A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- X A-16 **Exhibit A-16 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- X A-17 **Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State. *see attached*

## **SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- X B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- X B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- X B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- ✕ **B-5** **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- ✕ **B-6** **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED**

- ✕ **C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If the applicant does not produce annual reports, the applicant should indicate that Exhibit C-1 is not applicable and why.  
(This is generally only applicable to publicly traded companies who publish annual reports.)
- ✕ **C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- ✕ **C-3** **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

✕ **C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's current financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

✕ **C-5** **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

✕ **C-6** **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

✕ **C-7** **Exhibit C-7 “Credit Report,”** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- X C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- X C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- X C-10 **Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

## **SECTION D – APPLICANT TECHNICAL CAPABILITY**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- L D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- X D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- X D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Jeremy K. Smith

Sworn and subscribed before me this 17<sup>th</sup> day of January Month 2016 Year

Sandra Winland-Anderson

Signature of official administering oath

Sandra Winland-Anderson  
Print Name and Title Notary Public

My commission expires on 12-18-2022

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Exhibit A-14 Principal Officers, Directors & Partners**

Jeremy K Smith

President

50 Chestnut St Ste. 234

Beavercreek OH 45440

Telephone 937 539-6538





DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
03/27/2015	201508501424	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	125.00	0.00	0.00	0.00	0.00

**Receipt**

This is not a bill. Please do not remit payment.

TYE AND TYE, A LEGAL PROFESSIONAL ASSOC.  
5975 KENTSHIRE DRIVE  
DAYTON, OH 45440

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted  
2378997

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**GOLD STAR ENERGY LLC**

and, that said business records show the filing and recording of:

Document(s)

**DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG**  
Effective Date: 03/24/2015

Document No(s):

**201508501424**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
27th day of March, A.D. 2015.

Ohio Secretary of State

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Exhibit B-1 "Jurisdictions of Operation"**

State of Ohio

Utility Areas:

Dayton Power & Light  
First Energy-Ohio Edison  
Toledo Edison  
Cleveland Illuminating

AEP  
Columbus Southern  
Ohio Power

Duke

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Exhibit B-2 "Experience & Plans"**

Six (6) years of experience in the energy filed and licensed in the State of Ohio.

Our sales are based on relationship selling.

We are an energy brokerage firm that currently works with Champion, AEP, Constellation, Direct Energy, GDF Suez/Engie, USG&E, APG&E and NAP (North American Power). Our suppliers handle billings needs, complaints and respond to customer complaints.

**Plans-(Mission Statement)**

Our plan is to build strong lasting relationships with our customers, suppliers and employees, while maintaining a high level of business ethics, and to ensure satisfaction to all parties involved not only in our day to day transactions but our long term growth.

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Exhibit B-4 "Disclosure of Liabilities and Investigations"**

Not Applicable

Reason- have no liabilities or standing investigations

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Exhibit C-1 "Annual Reports"**

Not Applicable

Reason- No Shareholders

JEREMY K MITH DBA GOLD STAR ENERGY LLC

**Exhibit C-2 "SEC Filings"**

Not applicable

Reason- not required to file

JEREMY K MITH DBA GOLD STAR ENERGY LLC

**Exhibit C-3 "Financial Statements"**

See attached

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Exhibit C4 "Financial Arrangements"**

Not applicable- we are not a CRES



**SCHEDULE C**  
(Form 1040)

**Profit or Loss From Business**  
(Sole Proprietorship)

OMB No. 1545-0074

**2015**

Attachment  
Sequence No. **09**

Department of the Treasury  
Internal Revenue Service (99)

► Information about Schedule C and its separate instructions is at [www.irs.gov/schedulec](http://www.irs.gov/schedulec).  
► Attach to Form 1040, 1040NR, or 1041; partnerships generally must file Form 1065.

Name of proprietor <b>Jeremy K Smith</b>		Social security number (SSN) <b>[REDACTED]</b>
A Principal business or profession, including product or service (see instructions) <b>Utility Broker</b>		B Enter code from instructions ► <b>221000</b>
C Business name (if no separate business name, leave blank) <b>Gold Star Energy</b>		D Employer ID number (EIN), (see instrs) <b>45-4545562</b>
E Business address (including suite or room no.) ► City, town or post office, state, and ZIP code		
F Accounting method: (1) <input checked="" type="checkbox"/> Cash (2) <input type="checkbox"/> Accrual (3) <input type="checkbox"/> Other (specify) ►		
G Did you 'materially participate' in the operation of this business during 2015? If 'No,' see instructions for limit on losses.		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
H If you started or acquired this business during 2015, check here		<input type="checkbox"/> Yes <input type="checkbox"/> No
I Did you make any payments in 2015 that would require you to file Form(s) 1099? (see instructions)		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
J Yes, did you or will you file required Forms 1099?		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

**Part I Income**

1 Gross receipts or sales. See instructions for line 1 and check the box if this income was reported to you on Form W-2 and the 'Statutory employee' box on that form was checked. <input type="checkbox"/>	1	3,965,659.
2 Returns and allowances	2	183,153.
3 Subtract line 2 from line 1	3	3,782,506.
4 Cost of goods sold (from line 42)	4	
5 Gross profit. Subtract line 4 from line 3	5	3,782,506.
6 Other income, including federal and state gasoline or fuel tax credit or refund (see instructions)	6	
7 Gross income. Add lines 5 and 6	7	3,782,506.

**Part II Expenses.** Enter expenses for business use of your home **only** on line 30.

8 Advertising	8	18,240.	18 Office expense (see instructions)	18	69,308.
9 Car and truck expenses (see instructions)	9	32,075.	19 Pension and profit-sharing plans	19	
10 Commissions and fees	10		20 Rent or lease (see instructions):		
11 Contract labor (see instructions)	11	2,748,074.	a Vehicles, machinery, and equipment	20 a	3,400.
12 Depreciation	12		b Other business property	20 b	49,160.
13 Depreciation and section 179 expense deduction (not included in Part III) (see instructions)	13	75,760.	21 Repairs and maintenance	21	
14 Employee benefit programs (other than on line 19)	14		22 Supplies (not included in Part III)	22	
15 Insurance (other than health)	15	23,482.	23 Taxes and licenses	23	
16 Interest:			24 Travel, meals, and entertainment:		
a Mortgage (paid to banks, etc.)	16 a		a Travel	24 a	4,757.
b Other	16 b		b Deductible meals and entertainment (see instructions)	24 b	6,000.
17 Legal and professional services	17	172,697.	25 Utilities	25	9,364.
28 Total expenses before expenses for business use of home. Add lines 8 through 27a	28		26 Wages (less employment credits)	26	
29 Tentative profit or (loss). Subtract line 28 from line 7	29		27 a Other expenses (from line 48)	27 a	275,094.
30 Expenses for business use of your home. Do not report these expenses elsewhere. Attach Form 8829 unless using the simplified method (see instructions). <b>Simplified method filers only:</b> enter the total square footage of: (a) your home: _____ and (b) the part of your home used for business: _____. Use the Simplified Method Worksheet in the instructions to figure the amount to enter on line 30.	30		b Reserved for future use	27 b	
31 Net profit or (loss). Subtract line 30 from line 29. • If a profit, enter on both <b>Form 1040, line 12</b> (or <b>Form 1040NR, line 13</b> ) and on <b>Schedule SE, line 2</b> . (If you checked the box on line 1, see instructions). Estates and trusts, enter on <b>Form 1041, line 3</b> . • If a loss, you must go to line 32.	31	295,095.			
32 If you have a loss, check the box that describes your investment in this activity (see instructions). • If you checked 32a, enter the loss on both <b>Form 1040, line 12</b> , (or <b>Form 1040NR, line 13</b> ) and on <b>Schedule SE, line 2</b> . (If you checked the box on line 1, see the line 31 instructions). Estates and trusts, enter on <b>Form 1041, line 3</b> . • If you checked 32b, you must attach <b>Form 6198</b> . Your loss may be limited.	32 a		32 a	<input type="checkbox"/>	All investment is at risk.
	32 b		32 b	<input type="checkbox"/>	Some investment is not at risk.

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Amended Exhibit C-5 "Forecasted Financial Statements"**

	<b><u>2016</u></b>	<b><u>2017</u></b>	<b><u>2018</u></b>
REVENUE	1,780,000	2,110,600	2,481,600
EXPENSES	955,000	1,091,600	1,191,600
NET INCOME			

Preparer

Tom Engle- VP Commercial Sales  
50 Chestnut St Ste 234  
Beavercreek OH 45440  
[goldstarenergy88@gmail.com](mailto:goldstarenergy88@gmail.com)  
Phone 937 429.9980

JEREMY K SMITH DBA GOLD STAR ENERGY

**EXHIBIT C-5 "FORECASTED FINANCIAL STATEMENT"**

**Profit and Loss Projection**

GOLD STAR ENERGY

Fiscal Year Begins

	2014	2015
<b>Revenue (Sales)</b>		
AEP ENERGY	265,000.0	220,000
DIRECT ENERGY	95,000.0	400,000
UTAH ENERGY RES	560,000.0	450,000
LAKE SHORE RES	840,000.0	1,200,000
CONSTELLATION	700,000.0	1,100,000
APG&E	125,000.0	560,000
CHAMPION ENERGY	17,000.0	10,500
<b>Total Revenue (Sales)</b>	<b>2602000.0</b>	<b>3,940,500</b>

<b>Gross Profit</b>	<b>2,460,000.0</b>	<b>3,940,500</b>
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**Expenses**

Salary expenses		
Payroll expenses	2,126,000.0	3,210,000
Outside services	-2,600.0	-16,000
Supplies	-11,000.0	-18,000
Repairs and maintenance	-6,000.0	-8,000
Advertising	-16,000.0	-12,000
Car. delivery and travel	20,000.0	-28,000
Accounting and legal	-4,500.0	6,000
Rent	-39,000.0	-45,500
Telephone	-3,000.0	3,200
Utilities	-600.0	2,700
Insurance	-7,900.0	10,000
Taxes (real estate, etc.)	75,000.0	110,000
Interest		
Depreciation	-1,800.0	3,500
Losses	65,000.0	80,000
Misc. (unspecified)	31,000.0	
<b>Total Expenses</b>	<b>2224600.0</b>	<b>3,297,900</b>

<b>Net Profit</b>	<b>235,400.0</b>	<b>642,600</b>
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# GOLD STAR ENERGY LLC- PROFIT & LOSS STATEMENT

FISCAL Year Begins

2016

1-1-16 TO 4-31-16

Revenue (Sales)

Gross Earnings

JAN	633,049.69
FEB	532,469.37
MARCH	372,635.81
APRIL	433,536.52
	1,971,691.39

Total In

Total Out

NET Profit

Total Revenue (Sales)

1,971,691.39

1,744,877.64

226,813.75

Payrol 1099	1,589,159.20
rent	17,200.00
outsided services	7200
supplies	9876.9
repairs	4398
advertising	2603.09
vans gas	10980.4
utilities	3803.1
insurance	6456.87
legal fees	4689
office expenses	28,909.00
losses	26,442.00
Misc (unspecified)	5050
drug test	2409.03
background checks	8697
brokers license	17004.05
TOTAL OUT	1,744,877.64

6-8-16

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*[Signature]*

---

president

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## **GOLD STAR ENERGY COMPANY 2016**

### **CURRENT ASSETS**

CASH 310,700.00

### **ACCOUNTS RECEIVABLE**

USG&E 5,469.00

CONSTELLATION 328,143.00

AEP 109,381.00

DIRECT ENERGY 218,762.00

GS SUEZ 437,524.00

COMMERCIAL ACCOUNTS RECEIVABLE 1,099,279.00

RESIDUAL INCOME 2016 THRU 2018

Note: We are paid residually on accounts for multiple years.

The 1,099,279 includes payments due to Gold Star.

RESIDENTIAL ACCTS RECEIVABLE 171,000.00

6/6/16-6/17/16 owed

Note: average future residential income 70,000 wk

**TOTAL**

### **FIXED ASSETS**

GOLD STAR VEHICLES 63,510.00

FURNITURE 9,317.00

COMPUTERS 8,709.00

**TOTAL**

Note: see attached Fed. Summary Depreciation Schedule

### **EXPENSES-**

RENT -69,960.00

UTILITIES -3,127.56

GAS FOR COMPANY VEHICLES -16,800.00

INSURANCE -13,600.84

PAYROLL FOR GOLD STAR 6/6/16-6/17/16 -56,000.00

**TOTAL EXPENSES TO DATE 2016 -159,488.40**

Note: We have not incurred any business loans or debts

**TOTAL**

Signature

Date

*Henry R. Smith*

6-9-16

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Amended Exhibit C-5 "Forecasted Financial Statements"**

	<b><u>2016</u></b>	<b><u>2017</u></b>	<b><u>2018</u></b>
REVENUE	1,780,000	2,110,600	2,481,600
EXPENSES	955,000	1,091,600	1,191,600
NET INCOME			

Preparer

Tom Engle- VP Commercial Sales  
50 Chestnut St Ste 234  
Beavercreek OH 45440  
[goldstarenergy88@gmail.com](mailto:goldstarenergy88@gmail.com)  
Phone 937 429.9980

JEREMY K SMITH DBA GOLD STAR ENERGY

**Exhibit C-6 "Credit Rating"**

See Attached -

## Applicant

NAME: JARRELLA SMITH  
775 S. 1000 N.  
P.O. BOX 45155

## Your Credit Score

Your credit score: 4725  
Source: TransUnion  
Date: 06/02/2016

## Understanding Your Credit Score

### What you should know about credit scores

Your credit score is a number that reflects the information in your credit report.

Your credit report is a record of your credit history. It includes information about whether you pay your bills on time and how much you owe to creditors.

Your credit score can change, depending on how your credit history changes.

### How we use your credit score

Your credit score can affect whether you can get a loan and how much you will have to pay for that loan.

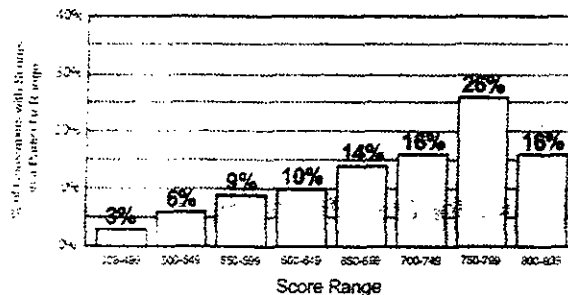
### The range of scores

TransUnion scores range from a low of 300 to a high of 850.

Generally, the higher your score, the more likely you are to be offered better credit terms.

### How your score relates to the price of other loans

TransUnion





JEREMY K SMITH DBA GOLD STAR ENERGY LLC

C-7 "Credit Report"

See Attached

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Exhibit C-8 "Bankruptcy Information"**

Not Applicable

*Reason-never filed bankruptcy*

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Exhibit C-9 "Merger Information"**

Not applicable

Reason- no merger has ever taken place

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

**Exhibit C-10 "Corporate Structure"**

Graphical Depiction of Corporate Structure:

Jeremy K Smith dba Gold Star Energy llc  
President

Affiliate companies supplying electricity and natural gas

AEP

Constellation

Direct Energy

Champion Energy

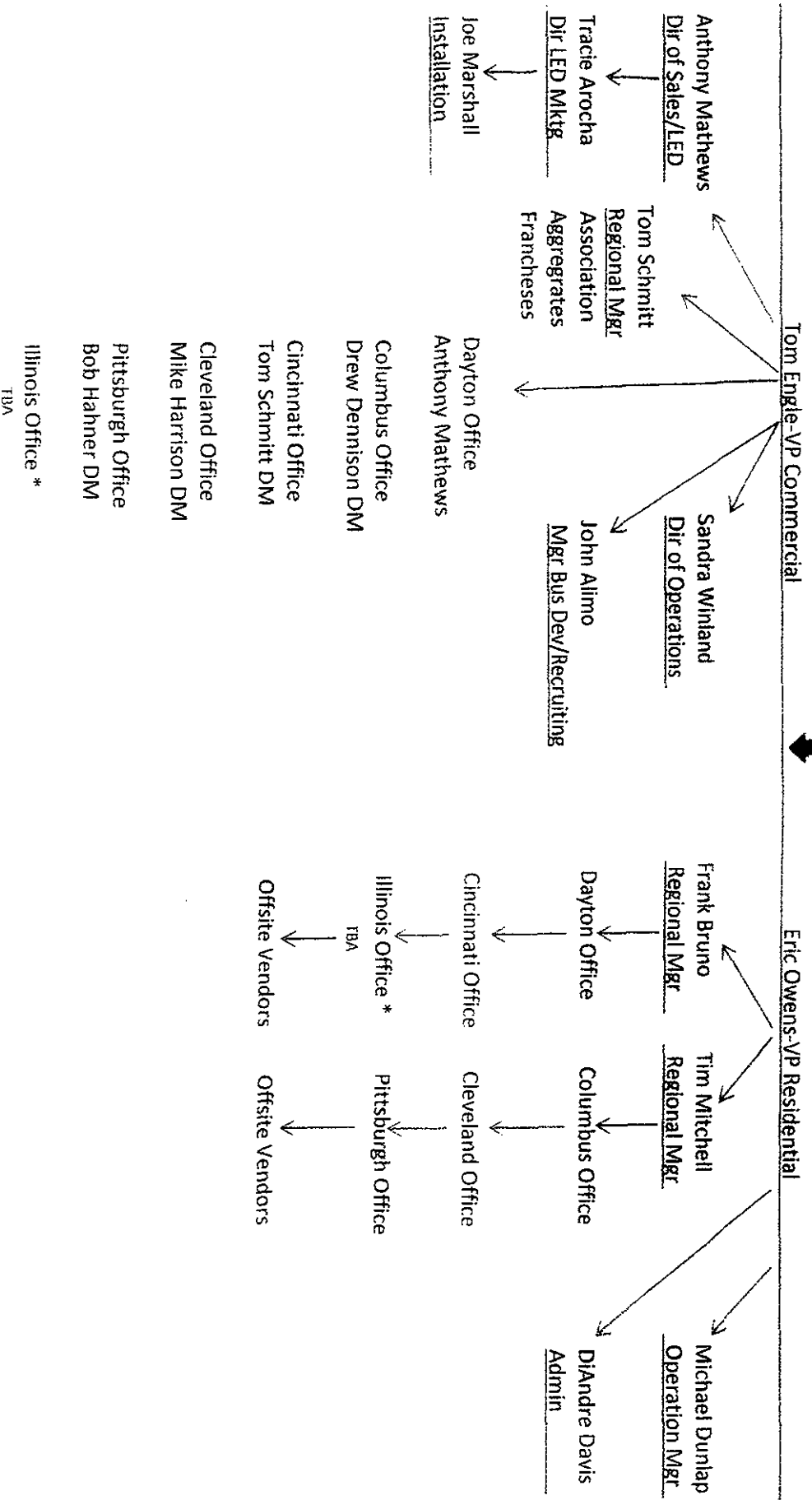
APGE (Affordable Power Gas and Electric)

GDF Suez/Engie

NAP (North American Power)

USG&E (United States Gas and Electric)

GOLD STAR ENERGY LLC  
JEREMY SMITH - PRESIDENT



JEREMY K SMITH DBA GOLD STAR ENERGY LLC

0-1 "Operation"

State of Ohio

Utility Areas:

Dayton Power & Light  
First Energy-Ohio Edison  
Toledo Edison  
Cleveland Illuminating

AEP  
Columbus Southern  
Ohio Power

Duke

Gas

Columbia  
Duke  
Dominion East  
Vectren

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

D-2 "Experience & Plans"

Six (6) years of experience in the energy filed and licensed in the State of Ohio.

Our sales are based on relationship selling.

We are an energy brokerage firm that currently works with Champion, AEP, Constellation, Direct Energy, GDF Suez/Engie, USG&E, APG&E and NAP (North American Power). Our suppliers handle billings needs, complaints and respond to customer complaints.

**Plans-(Mission Statement)**

Our plan is to build strong lasting relationships with our customers, suppliers and employees, while maintaining a high level of business ethics, and to ensure satisfaction to all parties involved not only in our day to day transactions but our long term growth.

JEREMY K SMITH DBA GOLD STAR ENERGY LLC

0-3 "Principal Officers, Directors & Partners"

Jeremy K Smith  
President  
50 Chestnut St Ste. 234  
Beavercreek OH 45440  
Telephone 937 539-6538

Tom Enloe  
VP COMMERCIAL  
50 CHESTNUT STREET STE 234  
BEAVERCREEK, OH 45440  
PHONE - 937-232-6938





# The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service  
Affidavit Form  
(Version 1.07)

In the Matter of the Application of )

GOLD STAR ENERGY LLC )  
for a Certificate or Renewal Certificate to Provide )  
Competitive Retail Natural Gas Service in Ohio. )

Case No.        -        -GA-AGG

County of GREENE  
State of OHIO

TERENCE L. SMITH [Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

[Signature]

Sworn and subscribed before me this

10th

day of

January

Month

2018

Year

[Signature]

Signature of Official Administering Oath

Sandra H. Anderson

Print Name and Title

Notary Public

My commission expires on

2/15/2022