

RENEWAL CERTIFICATION FILING INSTRUCTIONS COMPETITIVE RETAIL NATURAL GAS BROKER/AGGREGATORS

I. *Where to File:* Applications should be sent to: Public Utilities Commission of Ohio (PUCO or Commission), Docketing Division, 180 East Broad Street, Columbus Ohio 43215-3793.

II. *What to File:* Applicant must submit one original notarized application signed by a principal officer and three copies, including all exhibits, affidavits, and other attachments. All attachments, affidavits, and exhibits should be clearly identified. *For example, Exhibit C-10 should be marked “Exhibit C-10 - Corporate Structure.”* All pages should be numbered and attached in a sequential order.

IMPORTANT REQUIREMENT: *The renewal application must be docketed in the applicant’s original GA-AGG case number. Therefore, applicant should enter that number on the renewal application form when filing a renewal application.*

III. *When to File:* Pursuant to Rule 4901:1-27-09 of the Ohio Administrative Code, renewal applications shall be filed between 30 and 60 days to the prior certificate’s expiration date.

IV. *Renewal Application Form:* The renewal application form is available on the PUCO Web site, www.puco.ohio.gov or directly from the Commission located at: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

V. *Confidentiality:* An applicant may file financial statements, financial arrangements, and forecasted financial statements under seal. If these exhibits are filed under seal, they will be afforded protective treatment for a period of six years from the date of the certificate for which the information is being provided.

An applicant may file a motion for a protective order for other information not filed under seal per the previous paragraph. If the motion is filed in conformance with rule 4901:1-27-07 of the Administrative Code, it shall be automatically approved on the thirty-first day after the date of filing and the information shall be afforded protective treatment for a period of six years from the date of the certificate for which the information is being provided, unless the commission or an attorney examiner appointed by the commission rules otherwise.

At the expiration of the six-year period provided for in the previous paragraphs, the information will be automatically released into the open record. An applicant wishing to extend a protective order beyond the six-year time period must comply with paragraph (F) of rule 4901-1-24 of the Administrative Code.

VI. *Commission Process for Certification Renewal:* An application for renewal shall be made on forms approved and supplied by the Commission. The applicant shall complete the

appropriate renewal form in its entirety and supply all required attachments, affidavits, and evidence of capability specified by the form at the time an application is filed. The Commission renewal process begins when the Commission's Docketing Division receives and time/date stamps the application. An incomplete application may be suspended or rejected. An application that has been suspended as incomplete will cause delay in renewal.

The Commission may approve, suspend, or deny an application within 30 days. If the Commission does not act within 30 days, the renewal application is deemed automatically approved on the 31st day after the official filing date. If the Commission suspends the renewal application, the Commission shall notify the applicant of the reasons for such suspension and may direct the applicant to furnish additional information. The Commission shall act to approve or deny a suspended application within 90 days of the date that the renewal application was suspended.

Upon Commission approval, the applicant shall receive notification of approval and a numbered, renewed certificate that specifies the service(s) for which the applicant is certified and the dates for which the certificate is valid. Unless otherwise warranted, the renewed certification designation will remain consistent with the previously granted certification. For example, a certified marketer will renew as a certified marketer.

Unless otherwise specified by the Commission, the competitive retail natural gas service (CRNGS) supplier's renewed certificate is valid for an additional period of two years, beginning and ending on the dates specified on the certificate. The applicant may renew its certificate in accordance with Rule 4901:1-27-09 of the Ohio Administrative Code. CRNGS suppliers, which include marketers, shall inform the Commission of any material change to the information supplied in a renewal application within thirty (30) days of such material change in accordance with Rule 4901:1-27-11 of the Ohio Administrative Code.

VII. *Contractual Arrangements for Capability Standards:* If the applicant is relying upon contractual arrangements with a third-party, to meet any of the certification requirements, the applicant must provide with its application all of the following:

- The legal name of any contracted entity;
- A statement that a valid contract exists between the applicant and the third-party;
- A detailed summary of the contract(s), including all services provided thereunder; and
- The documentation and evidence to demonstrate the contracting entity's capability to meet the requirements as if the contracting entity was the applicant.

VIII. Questions: Questions regarding filing procedures should be directed to CRNGS@puc.state.oh.us

IX. *Governing Law:* The certification/renewal of CRNGS suppliers is governed by Chapters 4901:1-27 and 4901:1-29 of the Ohio Administrative Code, and Section 4929.20 of the Ohio Revised Code.



PUCO USE ONLY – Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		10 - 0073 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name RD Energy, Inc.
Address 20 Meadow Wood Dr. Granville, Ohio 43023
Telephone No. 7403211075 Web site Address www.rd-energy.com
Current PUCO Certificate No. 10-179 G(4) Effective Dates 2-22-16 thru 2-22-18

A-3 Applicant information under which applicant will do business in Ohio:

Name RD Energy, Inc.
Address 20 Meadow Wood Dr. Granville, Ohio 43023
Web site Address www.rd-energy.com Telephone No. 7403211075

A-4 List all names under which the applicant does business in North America:

RD Energy, Inc	

A-5 Contact person for regulatory or emergency matters:

Name Darrell Bragg Title President
Business Address 20 Meadow Wood Dr. Granville, Ohio 43023
Telephone No. 7403211075 Fax No. 7402051335 Email Address dbragg@rd-energy.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Darrell Bragg Title President
Business address 20 Meadow Wood Dr. Granville, Ohio 43023
Telephone No. 7403211075 Fax No. 7402051335 Email Address dbragg@rd-energy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 20 Meadow Wood Dr. Granville, Ohio 43023
Toll-Free Telephone No. 1-877-307-3757 Fax No. 7402051335 Email Address dbragg@rd-energy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Darrell Bragg Title President
Business address 20 Meadow Wood Dr. Granville, Ohio 43023
Telephone No. 7403211075 Fax No. 7402051335 Email Address dbragg@rd-energy.com

A-9 Applicant's federal employer identification number 20-2177002

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship ☐ Partnership
☐ Limited Liability Partnership (LLP) ☐ Limited Liability Company (LLC)
☒ Corporation ☐ Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Dominion East Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Duke Energy Ohio	<input checked="" type="checkbox"/>	Residential	<input type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ Columbia Gas of Ohio

<input checked="" type="checkbox"/>	Residential	Beginning Date of Service	Jan 2005	End Date	
<input checked="" type="checkbox"/>	Small Commercial	Beginning Date of Service	Jan 2005	End Date	
<input checked="" type="checkbox"/>	Large Commercial	Beginning Date of Service	Jan 2005	End Date	
<input checked="" type="checkbox"/>	Industrial	Beginning Date of Service	Jan 2005	End Date	

☒ Dominion East Ohio

<input checked="" type="checkbox"/>	Residential	Beginning Date of Service	Jan 2005	End Date	
<input checked="" type="checkbox"/>	Small Commercial	Beginning Date of Service	Jan 2005	End Date	
<input checked="" type="checkbox"/>	Large Commercial	Beginning Date of Service	Jan 2005	End Date	
<input checked="" type="checkbox"/>	Industrial	Beginning Date of Service	February 2005	End Date	

☒ Duke Energy Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input checked="" type="checkbox"/>	Small Commercial	Beginning Date of Service	October 2008	End Date	
<input checked="" type="checkbox"/>	Large Commercial	Beginning Date of Service	October 2008	End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☒ Vectren Energy Delivery of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input checked="" type="checkbox"/>	Small Commercial	Beginning Date of Service	November 2014	End Date	
<input checked="" type="checkbox"/>	Large Commercial	Beginning Date of Service	November 2014	End Date	
<input checked="" type="checkbox"/>	Industrial	Beginning Date of Service	November 2014	End Date	

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date	
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Danell Bragg President

Sworn and subscribed before me this

2 day of JANUARY Month 2018 Year

Laura VanDeusen

Signature of official administering oath

Print Name and Title

My commission expires on



LAURA VAN DEUSEN
Notary Public, State of Ohio
My Commission Expires
December 20, 2021



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Renewal Certificate)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. - -GA-AGG

County of
State of

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Sworn and subscribed before me this

day of Month Year

Signature of Official Administering Oath

Print Name and Title

My commission expires on



LAURA VAN DEUSEN
Notary Public, State of Ohio
My Commission Expires
December 20, 2021

(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016)

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180 East Broad Street • Columbus, OH 43215-3793 • (614) 466-3016 • www.PUCO.ohio.gov
The Public Utilities Commission of Ohio is an Equal Opportunity Employer and Service Provider

RD Energy, Inc.

Exhibit A – 14

Principal Officers, Directors & Partners

[Http://www.rd-energy.com](http://www.rd-energy.com)

20 Meadow Wood Dr.

Granville, OH 43023

740-321-1075 Phone

740-205-1335 Fax

1-877-307-3757 Toll-Free

Darrell W. Bragg, President, Treasurer and Secretary

RD Energy, Inc.

Exhibit A – 15

Company History

RD Energy, Inc. was founded January 3, 2005.

Our mission: to provide a resource in this volatile and ever changing energy market for our customers to rely on for support and guidance in their natural gas and electric purchasing decisions so that those decisions are based on insight and facts supported by the latest market conditions and trends.

Corporate goals:

1. Provide information and facts of the energy market so decisions are based on knowledge.
2. Provide honest and timely customer advice so decisions can be made with confidence.
3. Be available so customers can feel supported.

For a few years prior to 2005 Ray Dankel worked as an Account Executive for what was then known as WPS Energy Services, Inc. (Now known as Constellation Energy). Due to a customer's target change inside of WPS Energy, it was decided that Ray could better serve WPS Energy and Ray Dankel's customers by becoming an agent for WPS Energy rather than an employee. In early January 2005 Ray Dankel formed the corporation RD Energy, Inc. RD Energy sold natural gas exclusively for WPS Energy to small commercial and industrial accounts throughout Ohio. In addition, RD Energy worked to build strong business organization relationships for those organization's members and member employees.

Darrell Bragg graduated from college in 1980 with a BS degree with areas of concentration in both accounting and finance. Upon graduation, Darrell went to work for Columbia Gas Transmission for 9 years. The last three years at Columbia Gas Transmissions he worked as a Transportations and Exchange representative providing information, instruction, problem solving and guidance for the new deregulated market, to deregulated customers throughout the Columbia Gas Transmission area. His overall knowledge of accounting, gas measurement, gas control, Appalachian production and billing provided the tools necessary for the Columbia representative position. In 1989 Darrell left Columbia to work over the next six years for two independent natural gas marketers in Ohio. He rose to vice president in both companies exhibiting strength in finance, sales and sales strategy along with exhibiting strong customer and producer relationships. Darrell joined WPS Energy in 1995 as a Vice President and headed up the new small customer programs (choice) beginning in 1998. In 2000 he started up an agent program that sold gas for WPS Energy and later Integrys Energy to the small choice customers instead of corporate Account Executives. Darrell worked as one of the negotiating representatives for Integrys Energy as Columbia Gas of Ohio, Dominion East Ohio Gas and Duke Energy worked with the PUCO and interested parties to form the current choice landscape behind each utility.

In 2008 Darrell left Integrys Energy to take over ownership of RD Energy, Inc. due primarily to some health issues of Ray Dankel. RD Energy's mission statement and corporate goals are the center of Darrell's corporate beliefs and are the foundation of the future vision of the company. In June 2009

RD Energy entered Ohio's electric deregulated market behind the First Energy Utilities in northern Ohio and soon after began serving electric customers behind American Electric Power (AEP). The expectation is to provide the same excellent customer service to our customers and business organization partners for electric as we do for natural gas.

RD Energy, Inc.

Exhibit A-16

Articles of Incorporation and Bylaws

Prescribed by **J. Kenneth Blackwell**

Ohio Secretary of State

Central Ohio: (614) 466-3910

Toll Free: 1-877-SOS-FILE (1-877-767-3453)

www.state.oh.us/sos

e-mail: busserv@sos.state.oh.us

Expedite this Form: (Select One)

Mail Form to one of the Following:

☒ Yes PO Box 1390
Columbus, OH 43216

** Requires an additional fee of \$100 **

☐ No PO Box 670
Columbus, OH 43216
INITIAL ARTICLES OF INCORPORATION

(For Domestic Profit or Non-Profit)

Filing Fee \$125.00

THE UNDERSIGNED HEREBY STATES THE FOLLOWING:

(CHECK ONLY ONE (1) BOX)

(1) <input checked="" type="checkbox"/> Articles of Incorporation Profit (113-ARF) ORC 1701	(2) <input type="checkbox"/> Articles of Incorporation Non-Profit (114-ARN) ORC 1702	(3) <input type="checkbox"/> Articles of Incorporation Professional (170-ARP) Profession _____ ORC 1785
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Complete the general information in this section for the box checked above.

FIRST: Name of Corporation RD Energy, Inc.SECOND: Location Rocky River Cuyahoga
(City) (County)Effective Date (Optional) _____ Date specified can be no more than 90 days after date of filing. If a date is specified, the date must be a date on or after the date of filing.
(mm/dd/yyyy)☐ Check here if additional provisions are attached

Complete the information in this section if box (2) or (3) is checked. Completing this section is optional if box (1) is checked.

THIRD: Purpose for which corporation is formed
to engage in any lawful act or activity for which corporations may be formed under Section 1701 to 1701.98,
inclusive, of the Ohio Revised Code.

Complete the information in this section if box (1) or (3) is checked.

FOURTH: The number of shares which the corporation is authorized to have outstanding (Please state if shares are common or preferred and their par value if any) 1500 common none
(Refer to instructions if needed) (No. of Shares) (Type) (Par Value)

RD Energy, Inc.

Exhibit A-17

Secretary of State



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COI
01/10/2005	200501000716	DOMESTIC ARTICLES/FOR PROFIT (AF	125.00	100.00	.00	.00	

Receipt

This is not a bill. Please do not remit payment.

JOHN B. SHEPHERD
24461 DETROIT RD., 340
WESTLAKE, OH 44145

STATE OF OHIO
CERTIFICATE
Ohio Secretary of State, J. Kenneth Blackwell

1510932

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
RD ENERGY, INC.

and, that said business records show the filing and recording of:

Document(s)
DOMESTIC ARTICLES/FOR PROFIT

Document No(s):
200501000716



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 10th day of January, A.D. 2005.

J. Kenneth Blackwell
Ohio Secretary of State

RD Energy, Inc.

Exhibit B-1

Jurisdiction of Operations

RD Energy, Inc. is authorized to provide electric service in:

Ohio

RD Energy, Inc.

Exhibit B-2

Experience and Plans

RD Energy, Inc. has provided services to residential, commercial, mercantile and industrial customers. By establishing a working and trusting relationship with the individual client or business association, we provide energy information, strategies and insight so customer can make the right decisions for their homes or business. Darrell Bragg's 29 years of energy market, along with his experience in pricing, risk management, strategy creation, forecasting and overall energy knowledge separate us from our competitors. RD Energy takes its mission statement and corporate goals very seriously. The first step in having a happy customer is having an informed one on one, where the customer feels like information and guidance is readily available. All RD Energy employees and sales agents are trained to treat every customer with honesty and respect.

As RD Energy, Inc. moves forward in the Ohio electric marketplace, we will be simply a broker and not a supplier. We will not be billing but we will be handling billing questions. We won't own the supplier contract, but we will understand the contract language and be the contract intersect for the supplier and customer.

RD Energy, Inc. has places to provide both custom pricing to large volume users and savings offers to small commercial and residential customers. Customers with custom pricing will be provided not only a competitive price to compare with the utility rate, but we will provide a savings analysis as well so the customer can see the real value of the price. We will understand the supplier contract and point out both the most attractive points of the contract as well as any potential drawbacks. We recognize that any contract surprise to the detriment of the customer will likely lead to a lost customer at renewal time. We will work hard to inform customers and prevent contract surprises.

RD Energy, Inc.

Exhibit B-3

Summary of Experience

RD Energy, Inc. has served the natural gas market in Ohio since January 2005. As an agent for a major natural gas supplier, RD Energy, Inc. has brought approximately 10,000 new accounts to the supplier representing industrial, commercial and residential accounts with annual volumes adding up to approximately 4 bcf/year.

RD Energy's President has been involved in nearly all parts of the natural gas industry starting in January 1981 when he became employed by Columbia Gas Transmission. During his 9 years at TCO he became an expert in plant accounts, revenue accounts, Appalachian pool production, gas control, gas measurement and the scheduling of gas. The final three years at TCO he was the Transportation and Exchange Representative, which involved being one of the five representatives of TCO that was the marketing go between TCO and all of its deregulated utility and industrial customers. This position required expertise in most operational aspects of TCO. Darrell later worked in the deregulated supplier side of the business and has participated in sales, planning, finance, delivery, production, supply scheduling, management, accounting, ect. Each company he has worked for has seen fit to promote him to the Vice President level in a short period of time. In his most recent role he was responsible for the creation growth and financial profitability for all commercial and residential business in the companies eastern U.S. footprint for natural gas, electric and governmental aggregation.

Since taking over ownership in July 2008, Darrell continues to manage one of the largest business association relationships in the country, he has continued a business practice of honesty and professionalism and has brought the electric product to many natural gas customers. His knowledge of business principals, the energy industry and the recognition of the value of strong customer relationships demonstrate that his year in the business world and energy experience provides the bedrock of experience needed to function soundly in the Ohio deregulated market place.

RD Energy, Inc.

Exhibit B-4

Disclosure of Liabilities and Investigations

None.

RD Energy, Inc.

Exhibit C-1

Annual Reports

RD Energy, Inc. is not required to produce an annual report.

RD Energy, Inc.

Exhibit C-2

SEC Filings

RD Energy, Inc is a broker/sales agent and does not take title to the natural gas or electric and is not a public entity. RD Energy, Inc is an Ohio Corporation with 100% of the stock owned by Darrell Bragg so no SEC filing is required.

RD Energy, Inc.
Exhibit C-3
Financial Statements

See attached.



R D Energy, Inc
FINANCIAL STATEMENTS
DECEMBER 31, 2016

Kissling Jasko Bonds & Co., Inc.

Certified Public Accountants
20325 Center Ridge Road Suite 440
Rocky River, Ohio 44116

Business and Financial Consulting Services

KISSLING JASKO BONDS & CO., INC

CERTIFIED PUBLIC ACCOUNTANTS
20325 CENTER RIDGE RD. #440
ROCKY RIVER, OHIO 44116

To the Shareholders
R D Energy Inc
Granville, Ohio

Management is responsible for the accompanying financial statements of R D Energy, Inc, which comprise the balance sheets as of December 31, 2016 and the related statement of income for the year then ended in accordance with accounting principles generally accepted in the United States of America. We have performed a compilation engagement in accordance with Statements on Standards for Accounting and Review Services Committee of the AICPA. We did not audit or review the financial statements nor were we required to perform any procedures to verify the accuracy or completeness of the of the information provided by management. Accordingly, we do not express an opinion, a conclusion, nor provide any form of assurance on these financial statements.

Management has elected to omit substantially all of the disclosures and the statement of retained earnings and the statement of cash flows required by accounting principles generally accepted in the United States of America. If the omitted disclosures and the statement of retained earnings and the statement of cash flows were included in the financial statements, they might influence the user's conclusions about the Company's financial position, results of operations, and cash flows. Accordingly, these financial statements are not designed for those who are not informed about such matters.

The Company has elected to be taxed under the Internal Revenue Code to be treated as an S Corporation. In lieu of corporation income taxes, the shareholders of an S Corporation are taxed on their share of the Company's taxable income. Therefore, no provision or liability for federal income taxes has been included in these financial statements.

December 29, 2017
Rocky River, Ohio

Kissling Jasko Bonds & Co

RD Energy Inc.
Balance Sheet
As of December 31, 2016

	Dec 31, 16
ASSETS	
Current Assets	
Checking/Savings	
Cash in Bank - Fifth Third	4,433.27
Total Checking/Savings	4,433.27
Total Current Assets	4,433.27
Fixed Assets	
Equipment	18,181.07
Accumulated Depreciation	-18,181.07
Total Fixed Assets	0.00
Other Assets	
Deposit - BWC	198.72
Total Other Assets	198.72
TOTAL ASSETS	4,631.99
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Payroll Liabilities	
941 Payable	2,176.00
SWT Payable	1,127.82
Total Payroll Liabilities	3,303.82
Total Other Current Liabilities	3,303.82
Total Current Liabilities	3,303.82
Total Liabilities	3,303.82
Equity	
Capital Stock	500.00
Retained Earnings	-1,273.36
Net Income	2,101.53
Total Equity	1,328.17
TOTAL LIABILITIES & EQUITY	4,631.99

See Accompanying Accountant's Compilation Report

RD Energy Inc.
Profit & Loss
January through December 2016

	<u>Jan - Dec 16</u>	<u>% of Income</u>
Ordinary Income/Expense		
Income		
Commissions Earned	493,265.53	100.0%
Total Income	493,265.53	100.0%
Expense		
Advertising	8,290.29	1.7%
Automobile Expense	200.00	0.0%
Bank Service Charges	12.00	0.0%
Commissions	46,298.68	9.4%
Contributions	200.00	0.0%
Dues and Subscriptions	3,000.00	0.6%
Insurance	23,447.42	4.8%
Internet Expenses	1,509.04	0.3%
Licenses and Permits	648.15	0.1%
Meals & Entertainment	762.90	0.2%
Office Supplies & Expense	1,752.85	0.4%
Outside Services	500.00	0.1%
Postage and Delivery	646.69	0.1%
Professional Development	1,681.44	0.3%
Promotions	1,847.40	0.4%
Professional Fees	4,455.00	0.9%
Repairs & Maintenance	295.00	0.1%
Salaries & Wages	366,100.00	74.2%
Sales Expense	1,851.98	0.4%
Taxes	18,154.65	3.7%
Telephone	5,890.56	1.2%
Travel	3,619.95	0.7%
Total Expense	491,164.00	99.6%
Net Ordinary Income	2,101.53	0.4%
Net Income	<u>2,101.53</u>	<u>0.4%</u>

See Accompanying Accountant's Compilation Report

Kissling Jasko Bonds & Co., Inc.

Certified Public Accountants
20325 Center Ridge Road Suite 440
Rocky River, Ohio 44116
1-440-356-5588

Business and Financial Consulting Services

RD Energy, Inc.

Exhibit C-4

Financial Arrangements

RD Energy, Inc. is a broker/sales agent only and does not take title to the natural gas or electric and is not a public entity. RD Energy, Inc does not require any loans to operate.

RD Energy, Inc.

Exhibit C-5

Forecasted Financial Statements

See attached

RD Energy Inc.
2017 Profit & Loss Forecast Overview
January through December 2017

	<u>Jan - Dec 17</u>
Ordinary Income/Expense	
Income	
Commissions Earned	530,096.57
Total Income	530,096.57
Expense	
Advertising	11,229.39
Commissions	28,006.14
Contributions	200.00
Dues and Subscriptions	1,469.38
Employee Welfare	199.53
Insurance	27,661.11
Internet Expenses	871.64
Marketing	4,507.50
Meals & Entertainment	978.28
Office Supplies & Expense	1,481.60
Outside Services	50.00
Postage and Delivery	543.47
Promotions	1,525.86
Professional Fees	3,505.00
Salaries & Wages	407,240.00
Sales Expense	704.90
SEP Expense	8,090.00
Taxes	17,988.26
Telephone	4,972.16
Trade Shows	996.17
Travel	4,494.50
Total Expense	526,714.89
Net Ordinary Income	3,381.68
Net Income	<u>3,381.68</u>

RD Energy Inc.
2018 Profit & Loss Forecast Overview
January through December 2018

	<u>Jan - Dec 18</u>
Ordinary Income/Expense	
Income	
Commissions Earned	545,999.47
Total Income	545,999.47
Expense	
Advertising	11,229.39
Commissions	28,006.14
Contributions	200.00
Dues and Subscriptions	1,469.38
Employee Welfare	199.53
Insurance	27,661.11
Internet Expenses	871.64
Marketing	4,507.50
Meals & Entertainment	978.28
Office Supplies & Expense	1,481.60
Outside Services	50.00
Postage and Delivery	543.47
Promotions	1,525.86
Professional Fees	3,505.00
Salaries & Wages	423,140.00
Sales Expense	704.00
SEP Expense	8,090.00
Taxes	17,497.57
Telephone	4,972.16
Trade Shows	996.17
Travel	4,494.50
Total Expense	542,123.30
Net Ordinary Income	3,876.17
Net Income	<u><u>3,876.17</u></u>

RD Energy, Inc.

Exhibit C-6

Credit Rating

Selected Company: RD ENERGY, INC.
D-U-N-S #: 94-824-4194

Overview

Business Summary

Company Name:	RD ENERGY, INC.	
D-U-N-S #:	94-824-4194	
DBA's:	N/A	
Address:	20 Meadow Wood Dr	
City:	Granville	
State:	OH	
Zip:	43023	
Phone:	7403211075	
Principal:	DARRELL BRAGG	
Year Started:	2005	
Employs:	2 which includes officer(s).	
SIC Code(s):	49119904	Electric power broker
	73899999	Business services at non-commercial site
	49240100	Gas power broker
NAICS Code(s):	221122	Electric Power Distribution
	221210	Natural Gas Distribution
	561990	All Other Support Services
Legal Structure:	CORPORATION	

Special Events

There have been no special events reported to D&B for this company.

History and Operations

Officers and Directors

Current Officers

Name	Title
DARRELL BRAGG	PRES

Current Directors

No directors have been listed in this company report.

Company History

Provides electric services, specializing in electric power broker. Provides business services at non-commercial site.

Sells to general public, industrial and commercial concerns. Territory : Local.

Operations

Employees

2 which includes officer(s).

Facilities

Operates from residence of Darrell Bragg.

Location

There is currently no location information listed in this company report.

U.S. Branch

There are currently no U.S. branches listed in this company report.

U.S. Subsidiary

There are currently no U.S. subsidiaries listed in this company report.

International Branch

There are currently no international branches listed in this company report.

International Subsidiary

There are currently no international subsidiaries listed in this company report.

Payments

Payment Summary

	Total Received	Total Dollar Amount	Largest High Credit Payment summary	Within Terms	Days Slow			
					<31	31-60	61-90	91+
Top Industries								
Nonclassified	1	\$2,500	\$2,500	100%	0	0	0	0
Electric services	1	\$50	\$50	100%	0	0	0	0
Newspaper-print/publ	1	\$0	\$0	0%	0	0	0	0
Other Categories								
Cash experiences	1	\$50	\$50					
Unknown	0	\$0	\$0					
Unfavorable comments	0	\$0	\$0					
Placed for collections with D&B:	0	\$0	\$0					
Other	0	N/A	\$0					
Total in D&B's file	4	\$2,600	\$2,500					

The highest Now Owes on file is \$0


The highest Past Due on file is \$0

There are 4 payment experience(s) in D&Bs file for the most recent 24 months, with 2 experience(s) reported during the last three month period.

Payment Details

Total (Last 24 Months): 4

Date ↕	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo.)
12/2017	Ppt	\$2,500	\$0	\$0		6-12 mos
12/2017	(002)	\$50	\$0	\$0	Cash account	4-5 mos
11/2016	(003)	\$0	\$0	\$0		6-12 mos
09/2016	Ppt	\$50	\$0	\$0		6-12 mos

Payments Detail Key:  30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Finances

Key Financial Comparisons

	(\$)	(\$)	(\$)
This Company's Operating Results Year Over Year			
Net Sales	NA	NA	NA
Gross Profit	NA	NA	NA
Net Profit	NA	NA	NA
Dividends / Withdrawals	NA	NA	NA
Working Capital	NA	NA	NA
This Company's Assets Year Over Year			
Cash	NA	NA	NA
Accounts Receivable	NA	NA	NA
Notes Receivable	NA	NA	NA
Inventories	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets	NA	NA	NA
Other Non Current	NA	NA	NA
Total Assets	NA	NA	NA
This Company's Liabilities Year Over Year			
Accounts Payable	NA	NA	NA

Bank Loan	NA	NA	NA
Notes Payable	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Long Term Debt	NA	NA	NA
Deferred Credit	NA	NA	NA
Net Worth	NA	NA	NA
Total Liabilities And Net Worth	NA	NA	NA

Balance Sheet

Fiscal Consolidated Statement Dated

<p>We currently do not have any recent financial statement on file for this business.</p>
--

Key Business Ratios

(Industry Median is based on this number of firms:)

	This Company	Industry Median	Industry Quartile
Solvency			
Quick Ratio	NA	NA	NA
Current Ratio	NA	NA	NA
Current Liabilities to Net Worth	NA	NA	NA
Current Liabilities to Inventory	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets to Net Worth	NA	NA	NA
Efficiency			
Collection Period	NA	NA	NA
Inventory Turn Over	NA	NA	NA
Sales to NWC	NA	NA	NA
Acct Pay to Sales	NA	NA	NA
Profitability			
Return on Sales	NA	NA	NA
Return on Assets	NA	NA	NA
Return on NetWorth	NA	NA	NA

Public Filings

Summary

This following public filing data includes both open and closed filings found in D&B's database on this company. It is for informational purposes only and is not the official record. Certified copies can be obtained from the official source.

Record Type	# of Records	Most Recent Filing Date
Government History	1	N/A

Details

Judgements

No judgments have been reported to D&B on this company.

Liens

No liens have been reported to D&B on this company.

Suits

No suits have been reported to D&B on this company.

UCC Filings

No UCC Filings have been reported to D&B on this company.

Bankruptcies

No bankruptcies have been reported to D&B on this company.

Government History

Borrower(Dir/Guar):	NO
Administrative Debt:	NO
Contractor:	NO
Grantee:	NO
Party excluded from federal program(s):	NO
Labor Surplus Area:	N/A
Small Business:	YES (2017)
8(A) Firm:	N/A



RD Energy, Inc.

Exhibit C-7

Credit Report

RD Energy, Inc. does not use loans to operate. So there is no credit report to show.

RD Energy, Inc.

Exhibit C-8

Bankruptcy Information

RD Energy, Inc. has not had any reorganization, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.

RD Energy, Inc.

Exhibit C-9

Merger Information

RD Energy, Inc. has had no dissolution or mergers or acquisition of the applicant since the applicant last filed for certification.

RD Energy, Inc.

Exhibit C-10

Corporate Structure

RD Energy, Inc is a stand alone entity with no affiliate or subsidiary companies.

RD Energy, Inc.

Exhibit D-1

Operations

RD Energy, Inc. serves as an energy supplier sales agent for both deregulated natural gas and electric as an energy program and enrollment facilitator for business associations, as an energy information source to its natural gas and electric customer and can provide pricing and supply strategies for customers ranging from industrial to residential including governmental communities. RD Energy, Inc. personnel are recognized as having the integrity, the expertise and the communication skills necessary to provide timely, accurate and critically important data for clients of all sizes to make educated decisions on the product and timing of their energy purchase that best meets their energy budgets financial demands.

RD Energy, Inc.

Exhibit D-2

Operations Expertise

President- Darrell Bragg

Past Qualifying Positions:

- a. Vice President & General Manager at Integrys Energy Services (1995-2008) Served as the Income Stream Leader for Direct Mass Market and Governmental Aggregation Programs for the Integrys Energy business footprint. Responsible for developing the Income Stream to maximize growth, profitability and customer satisfaction. Participated in, reviewed and created the marketing plan, product pricing, product delivery, risk management, billing, financial reporting, budgeting Appalachian production services, in bound call center, resolve customer complaints, legal contracting as well as tariff negotiations. The overall goal was to have a high degree of customer delight while balancing competitive pricing and profitability.
- b. Vice President and partner at Fuels Services Group, Inc. (1992-1995) Responsible for Appalachian and Southwest natural gas purchasing as well as the industrial sales. Creates sales and purchasing plans and reviewed financial reporting, billing and natural gas delivery. Also worked to develop strong utility and pipeline relationships.

RD Energy, Inc.

Exhibit D-3

Key Technical Personnel

Darrell Bragg- President. Darrell has been in the industry for 31 years. Over the course of his career, Darrell has directly served and has been in charge of every element of the natural gas process from well head to supply purchasing to burner tip delivery and every component in between.

Ali Dodge - Operations Manager. Ali's role at RD Energy, Inc. is one of tracking all data, contracts and pricing as well as providing customer service. AT the front line to customer service Ali serves as the go between for customer who need a quick response to their questions and the data available to answer their questions. Her skill set includes a business and communications degree from Bowling Green State University. Her skill set and professionalism provides customers with assurance that any concerns are handled accurately and efficiently and the response will be timely. Ali also uses her skill set to help with the sales and marketing components of RD Energy, Inc.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

1/11/2018 11:06:59 AM

in

Case No(s). 10-0073-GA-AGG

Summary: Application Renewal Certification Application Competitive Retail Natural Gas Brokers/Aggregators electronically filed by Mr. Darrell Bragg on behalf of RD Energy Inc.